

FINANCIAL DISCLOSURE REPORT

FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Collyer, Rosemary M.	2. Court or Organization District Court - Washington DC	3. Date of Report 04/24/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status (Inactive since 3/14/20)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2019 to 03/13/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address E Barrett Prettyman Courthouse 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Trustee	Trust #2
3. Trustee	Trust #3
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Name of Person Reporting	Date of Report
Collyer, Rosemary M.	04/24/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Collyer, Rosemary M.	04/24/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Charles Schwab, Inc.	Pledged Asset Line of Credit	N
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1 (H)									
2. - BRKA - Common Class A		None	N	T					
3. - BRKB - Common Class B		None	M	T					
4. - Schwab Cash Equivalent Accounts	B	Int./Div.	M	T					
5. - T Rowe Price Maryland Tax Free Bond Fund (MDXBX)	C	Dividend	M	T					
6. - HTGC - Common	C	Dividend			Sold	06/26/19	L	A	
7. - TSLA - Common		None	K	T	Buy	10/28/19	K		
8. IRA #1 (H)									
9. - Schwab Cash Equivalent Accounts	A	Int./Div.	N	T					
10. - UST Inflation Index Notes 3.625% TIPS	C	Interest			Sold	08/26/19	M	E	
11. - IWF - ETF	A	Dividend	L	T					
12. - KMI - Common	B	Dividend	K	T					
13. - WPC - Common	C	Dividend			Sold	07/19/19	L	E	
14. - VHT - ETF	B	Dividend	M	T					
15. - PFE - Common	B	Dividend			Sold	06/22/19	L	E	
16. - DWM - ETF	B	Dividend	K	T					
17. - SKT - Common	C	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

Collyer, Rosemary M.

Date of Report

04/24/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - VZ - Common	C	Dividend			Sold (part)	01/18/19	L	D	
19.					Sold	06/28/19	J	A	
20. - GILD - Common	B	Dividend	L	T					
21. - PFE - Call Option Jun19 42.00					Redeemed	06/21/19	J	D	
22. - VZ - Call Option Jan19 52.50					Redeemed	01/08/19	J	B	
23. - TDF - Closed End Fund	B	Dividend	K	T	Sold (part)	02/25/20	K	A	
24. - GSBD - Common	B	Dividend			Sold	08/15/19	L	A	
25. - ABBV - Common	C	Dividend	L	T	Buy	01/09/19	L		
26. - WPC - Call Option Jul19 80.00		None			Sell Short	03/21/19	J		
27.					Redeemed	07/19/19	J	A	
28. - T - Common		None	K	T	Buy	03/09/20	K		
29. - WPC - Common		None	K	T	Buy	03/09/20	K		
30. IRA #2 (H)									See Part VIII (c)
31. - Schwab Cash Equivalent Accounts	B	Int./Div.							See Part VIII (c)
32. - TSLA - Common		None			Sold (part)	03/05/19	K	E	
33.					Sold (part)	10/25/19	K	E	
34.					Sold	10/28/19	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

Collyer, Rosemary M.

Date of Report

04/24/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - HTA - Common	B	Dividend			Sold	09/29/19	L	A	
36. - KHC - Common	A	Dividend			Sold	02/27/19	K	A	
37. - BABA - ADR		None			Sold	12/05/19	L	C	
38. - RDS/B - ADR	C	Dividend			Sold	11/11/19	L	A	
39. - CSCO - Common	A	Dividend			Buy	01/25/19	L		
40.					Sold	07/03/19	L	C	
41. - CSCO - Call Option Jul19 50.00		None			Sell Short	01/25/19	J		
42.					Redeemed	07/03/19	J	B	
43. - NLY - Common	C	Dividend			Buy	06/17/19	K		
44.					Sold	12/21/19	K	A	
45. - NLY - Call Option Dec19 9.00		None			Sell Short	10/28/19	J		
46.					Redeemed	12/21/19	J	A	
47. - BABA - Call Option Jan20 170.00		None			Sell Short	08/02/19	J		
48.					Closed	12/05/19	J	A	
49. IRA #4 (H)									
50. - Schwab Cash Equivalent Accounts	B	Int./Div.	M	T					
51. - PG - Common	A	Dividend			Sold	01/23/19	L	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. - CII - Closed End Fund	A	Dividend			Sold	02/22/19	L	D	
53. - XLF - ETF	A	Dividend			Sold (part)	06/26/19	K	B	
54.					Sold	07/08/19	J	A	
55. - PFF - ETF	C	Dividend	L	T	Buy (add'l)	03/07/19	K		
56. - NLY - Common	C	Dividend			Sold	04/12/19	L	A	
57. - BPY - MLP	B	Dividend	K	T					
58. - XOM - Common	B	Dividend			Sold	11/07/19	L	A	
59. - XOM - Call Option Jun19 90.00		None			Closed	03/29/19	J	B	
60. - DOC - Common	B	Dividend			Sold (part)	07/18/19	K	C	
61.					Sold	10/22/19	L	D	
62. - VTR - Common	C	Dividend	L	T					
63. - VTR - Call Option Aug19 62.50		None			Sell Short	01/23/19	J		
64.					Closed	02/27/19	J	A	
65. - VTR - Call Option Jan20 65.00		None			Sell Short	02/27/19	J		
66.					Closed	11/18/19	J	B	
67. - XOM - Call Option Jan20 87.50		None			Sell Short	03/29/19	J		
68.					Closed	08/12/19	J	B	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

Collyer, Rosemary M.

Date of Report

04/24/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. - XOM - Call Option Jan20 75.00		None			Sell Short	08/12/19	J		
70.					Closed	11/07/19	J	A	
71. - REM - ETF	C	Dividend	L	T	Buy	04/12/19	L		
72. - RQI - Closed End Fund	C	Dividend			Buy	04/22/19	L		
73.					Sold	02/07/20	L	D	
74. - PCI - Closed End Fund	C	Dividend	L	T	Buy	04/20/19	L		
75. - HPI - Closed End Fund	A	Dividend			Buy	04/22/19	K		
76.					Sold	07/19/19	L	B	
77. - JPS - Closed End Fund	B	Dividend	K	T	Buy	04/22/19	L		
78. - UTG - Closed End Fund	A	Dividend			Buy	08/07/19	K		
79.					Sold (part)	09/30/19	K	A	
80.					Sold	11/04/19	J	A	
81. - SKT - Common		None	K	T	Buy	12/30/19	K		
82. - SPG - Common		None	K	T	Buy	12/30/19	K		
83. - RQI - Closed End Fund		None	K	T	Buy	02/27/20	K		
84.					Buy (add'l)	03/09/20	K		
85. - HPI - Closed End Fund		None	K	T	Buy	03/10/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

Collyer, Rosemary M.

Date of Report

04/24/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. IRA #5 (H)									
87. - Schwab Cash Equivalent Accounts	A	Int./Div.	L	T					
88. - PAGP - Common Class A	B	Dividend			Sold	03/29/19	L	A	
89. - LTC - Common	C	Dividend	L	T					
90. - T - Common	B	Dividend			Sold	09/20/19	L	C	
91. - CODI - Common	B	Dividend			Sold	11/14/19	L	D	
92. - IRM - Common	C	Dividend	K	T					
93. - D - Common	C	Dividend	L	T					
94. - KIM - Common	C	Dividend	K	T	Sold (part)	09/30/19	K	D	
95. - ARCC - Common	C	Dividend	L	T					
96. - KRG - Common	C	Dividend	L	T					
97. - ENB - ADR	C	Dividend	L	T	Buy	03/29/19	L		
98. - T - Call Option Sep19 34.00		None			Sell Short	07/18/19	J		
99.					Redeemed	09/20/19	J	B	
100. - LTC - Call Option Feb20 50.00		None			Sell Short	08/29/19	J		
101.					Closed	11/18/19	J	B	
102. - BGR - Closed End Fund	B	Dividend	L	T	Buy	09/24/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
---	---	--	--	--	------------------------

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. - AFINP - Preferred	A	Dividend	K	T	Buy	10/22/19	K		
104. Merrill Lynch Cash Management Account	A	Int./Div.	K	T					See Part VIII (d)
105. Citibank Checking Account	A	Interest	J	T					
106.									
107.									
108.									
109.									
110.									
111.									
112.									
113.									
114.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Collyer, Rosemary M.

Date of Report

04/24/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I: - Line 1 refers to a trust that is unfunded and has no assets. Lines 2 and 3 refer to trusts established on 12/28/12. These trusts, documented in Part VII prior to 2014, are no longer shown in Part VII in accordance with revised filing instructions.

PART VII:

(a) In the description of assets the abbreviation ADR refers to American Depositary Receipts (shares of foreign companies trading on U.S. exchanges); ETF refers to Exchange Traded Funds (index funds that trade as common stocks); MLP refers to Master Limited Partnerships (partnerships that are traded publicly on an exchange).

(b) Lines 4, 9, 31, 50 and 87 are aggregated Schwab cash equivalent accounts containing one or more of the following: Schwab Value Advantage Municipal Money Fund; Schwab Value Advantage Money Fund, Schwab Cash Account, Charles Schwab Bank Deposit Accounts, and/or Schwab Cash Reserves. They are aggregated here per the Filing Instructions. These account balances change frequently as a result of securities purchases or sales, cash transfers, and dividends or interest received. The income received and ending values are shown as required.

(c) Lines 30 and 31 : IRA#2 was closed in January 2020. All securities were liquidated and cash was transferred to Brokerage Account #1.

(d) Line 104 refers to an aggregated cash equivalent account at Merrill Lynch that pays both dividends (for Merrill Lynch CMA Money Fund) and interest (for Merrill Lynch Bank Deposit Program). This is not a brokerage account, and no individual stocks, bonds or other assets are held.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Collyer, Rosemary M.

Date of Report

04/24/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Rosemary M. Collyer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544