

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) HART, JACOB P.	2. Court or Organization EASTERN DISTRICT OF PA.	3. Date of Report 07/29/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL TIME RECALLED MAGISTRATE JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 601 MARKET ST. PHILA., PA 19106		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Name of Person Reporting	Date of Report
HART, JACOB P.	07/29/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
HART, JACOB P.	07/29/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

HART, JACOB P.

Date of Report

07/29/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. DAVIS OF NEW YORK MUTUAL FUND	C	Dividend	L	T					
2. MORGAN STANLEY BANK DEPOSIT PROGRAM	A	Interest	J	T					
3. AB GLOBAL INVESTMENT INCOME	B	Dividend	K	T					
4. LOOMIS SAYLES STRATEGIC INCOME	A	Dividend	L	T					
5. DELAWARE TAX FREE PENNSYLVANIA FUND	A	Dividend	N	T	Buy (add'l)	09/19/19	M		
6. ALPINE ULT SHT TX OPT INC INV(NOW ABERDEEN ULTSHRT MUNI INC INST (ATOI	A	Dividend	O	T					
7. MAINSTAY SHT TRM HI YLD	C	Dividend	L	T					
8. NEUBERGER BERMAN STR INC INST	B	Dividend	K	T					
9. COLONY CAP INC		None	K	T					
10. BLACKROCK LARGE CAP VALUE	C	Dividend	M	T					
11. JP MORGAN GROWTH ADVANTAGE	E	Dividend	M	T					
12. GOLDMAN SACHS STRATEGIC	E	Dividend	M	T					
13. CITIGROUP IRA (H) (assets below)									
14. MS LIQUID ASSET FUND	A	Dividend	J	T					
15. MET WEST TOT RET BD (MWTIX)	C	Dividend	L	T	Sold (part)	11/20/19	J	A	
16. BLACKROCK LOW DUR BD(BFMSX)	C	Dividend	M	T	Sold (part)	11/20/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
17. T ROWE PRICE SM CAP (PRDSX)		None	J	T	Buy	04/23/19	J		
18. LOOMIS GROWTH Y (LSGRX)	A	Dividend	K	T	Buy (add'l)	04/18/19	K		
19. JP MORGAN VALUE ADVANTAGE (JVASX)	B	Dividend	L	T	Sold (part)	04/23/19	K	A	
20. HARDING LOEVNER INTL EQTY INST (HLMIZ)	B	Dividend	L	T	Sold (part)	04/23/19	K	A	
21. OAKMARK INTERNATIONAL (OAKIX)	B	Dividend	L	T	Sold (part)	04/23/19	L	A	
22. PIMCO SHORT TERM P (PTSPX)	B	Dividend	M	T	Buy (add'l)	04/23/19	J		
23. HARTFORD MIDCAP (HFMIX)	A	Dividend	J	T	Sold (part)	04/23/19	K	A	
24. WELLS FARGO SPECIAL (WFMIX)	A	Dividend	J	T	Sold (part)	04/23/19	K	A	
25. CAUSEWAY EMERGING MARKETS (CEMIX)	A	Dividend	L	T	Sold (part)	04/23/19	K	A	
26. ISHARES RUSSELL 1000 GRW ETF (IWF)		None	L	T	Buy (add'l)	04/23/19	K		
27. ISHARES RUSSELL 1000 VALUE ETF (IWD)	A	Dividend	K	T	Buy (add'l)	04/23/19	K		
28. ISHARES TIPS BOND (TIP)	A	Dividend	L	T	Sold (part)	11/20/19	J	A	
29. ISHARES RUSSELL 2000 (VALUE)	A	Dividend	J	T					
30. ISHARES RUSSELL 2000 (GROWTH)		None			Sold	04/23/19	J	A	
31. DELAWARE VALUE INSTL	A	Dividend	K	T	Sold (part)	04/23/19	K	A	
32. WESTERN ASSET CORE	C	Dividend	L	T	Sold (part)	11/20/19	J	A	
33. JOHN HANCOCK INT. GROWTH (GOGIX)	A	Dividend	L	T	Sold (part)	11/20/19	J	A	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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Date of Report

07/29/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. ISHARES INC. JAPAN	A	Dividend	K	T	Sold (part)	04/23/19	J	A	
35. ISHARES 20+YR TREASU BOND ETF (TLT)		None	L	T	Buy	04/23/19	L		
36. HARDING LOEVNER EMERG MKTS ADV (HLEMV)		None	L	T	Buy	04/23/19	L		
37. HARTFORD INTL VALUE 1 (HILIX)	B	Dividend	L	T	Buy	04/23/19	L		
38. CITIZENS BANK MONEY MARKET ACCOUNTS	A	Interest	L	T					
39. CITIGROUP SEP IRA (assets listed below)									
40. MS LIQUID ASSET FUND	A	Dividend	J	T					
41. METROPOLITAN WEST TOT RET BD (MWTIX)	B	Dividend	J	T	Sold (part)	11/20/19	J	A	
42. BLACKROCK LOW DUR BD (BFMSX)	A	Dividend	K	T					
43. T ROWE PRICE QM US SM CP JGR EQ (PRDSX)	A	Dividend	J	T	Buy	04/23/19	J		
44. LOOMIS GROWTH (LSGRX)	A	Dividend	J	T	Buy (add'l)	04/23/19	J		
45. J P MORGAN VALUE ADVANTAGE (JVASX)	A	Dividend	J	T	Sold (part)	04/23/19	J	A	
46. HARDING LOEVNER INTL EQTY INST (HLMIX)	A	Dividend	J	T	Sold (part)	04/23/19	J	A	
47. OAKMARK INTERNATIONAL (OAKIX)	A	Dividend	J	T	Sold (part)	04/23/19	J	A	
48. PIMCO SHORT TERM P (PTSPX)	A	Dividend	J	T					
49. HARTFORD MIDCAP I (HFMIX)	A	Dividend	J	T	Sold (part)	04/23/19	J	A	
50. WELLS FARGO SPECIAL MDCP VL I (WFMIX)	A	Dividend	J	T	Sold (part)	04/23/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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51. CAUSEWAY EMERGING MKTS INST (CEMIX)	A	Dividend	J	T	Sold (part)	04/23/19	J	A	
52. ISHARES RUSSELL 1000 GRW ETF (IWF)	A	Dividend	J	T	Sold (part)	04/23/19	J	A	
53. ISHARES RUSSELL 1000 VALUE ETF (IWD)	A	Dividend	J	T	Buy (add'l)	04/23/19	J		
54. ISAHRES TIPS BOND ETF (TIP)	A	Dividend	J	T					
55. ISHARES INC. JAPAN	A	Dividend	J	T	Sold (part)	04/23/19	J	A	
56. ISHARES RUSSELL 2000 GROWTH		None			Sold	04/23/19	J	A	
57. ISHARES RUSSELL 2000 VALUE	A	Dividend	J	T					
58. DELAWARE VALUE INSTL	A	Dividend	J	T	Sold (part)	04/23/19	J	A	
59. WESTERN ASSET CORE	A	Dividend	J	T					
60. JOHN HANCOCK INTL GROWTH	A	Dividend	J	T					
61. HARDING LOEVNER EMERG MKTS ADV (HLEMX)	A	Dividend	J	T	Buy	04/23/19	J		
62. HARTFORD INTL VALUE I	A	Dividend	J	T	Buy	04/23/19	J		
63. ISHARES 20+ YR TREASU BOND ETF (TLT)	A	Dividend	J	T	Buy	04/23/19	J		

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Name of Person Reporting HART, JACOB P.	Date of Report 07/29/2020
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

HART, JACOB P.

Date of Report

07/29/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JACOB P. HART

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544