

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MORROW, MARGARET M.	2. Court or Organization United States District Court, CACD	3. Date of Report 08/29/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States District Court 255 E. Temple Street, Ste. 770 Los Angeles, California 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director		Ninth Circuit Historical Society
2. Trustee		Bryn Mawr College - Board of Trustees
3. Trustee		Mayfield Senior School - Board of Trustees
4. Trustee		Trust #1 (Part VII, Page __, Line __)
5. Power of Attorney		Trust (Page __ VII, Page __, Line __)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Defined Benefit Pension Payment from State of California	\$134,401.44
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Bryn Mawr College	April 28-30	Bryn Mawr, PA	Board of Trustees Meeting	Meals
2. Bryn Mawr College	September 22-24	Bryn Mawr, PA	Board of Trustees Meeting	Meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Invesco Van Kampen Capital Growth Fund-A - IRA		None	L	T					
2. Vanguard Windsor II Fund - IRA	A	Dividend	J	T					
3. BROKERAGE ACCOUNT #1 - INDIVIDUAL RETIREMENT ACCOUNT	F	Int./Div.	P1	T					
4. - Cash equivalent - Morgan Stanley									
5. - Amgen Inc. - common stock					Sold (part)	07/14/11	J	A	
6. - Sanofi-Aventis ADR - common stock					Sold	05/05/11	J	A	
7. - The Bank of New York Mellon Corporation - common stock					Sold	05/05/11	J	A	
8. - Cisco Systems Inc. - common stock					Buy (add'l)	05/05/11	J		
9.					Sold (part)	11/18/11	J	A	
10. - General Electric Company - common stock									
11. - JP Morgan Chase & Company - common stock					Buy (add'l)	01/13/11	J		
12.					Sold	05/05/11	J	B	
13. - Microsoft Corporation - common stock									
14. - Royal Dutch Shell PLC ADR - common stock					Buy (add'l)	05/05/11	J		
15.					Sold (part)	11/18/11	J	A	
16. - AT&T Inc. - common stock					Buy (add'l)	05/05/11	J		
17. - Schlumberger Ltd. - common stock					Sold (part)	05/05/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Siemens AG ADR - common stock					Sold	05/05/11	J	A	
19. - UBS AG - common stock					Sold	05/05/11	J	A	
20. - Comcast Corp CL A Special New -- common stock					Sold (part)	11/18/11	J	A	
21.					Sold (part)	12/02/11	J	A	
22. - Eaton Corp. - common stock					Sold	05/05/11	J	A	
23.					Buy	06/07/11	J		
24.					Buy	06/07/11	J		
25. - Procter & Gamble - common stock					Buy (add'l)	05/05/11	J		
26. - Monsanto Co. - common stock									
27. - Kraft Foods Inc. - common stock					Sold	01/04/11	J	A	
28. - Progress Energy Inc. - common stock					Sold	10/11/11	J	A	
29. - Vodafone Group PLC ADR - common stock					Buy (add'l)	05/05/11	J		
30.					Sold (part)	11/18/11	J	A	
31. - CVS Caremark Corp. - common stock									
32. - Kroger Co. - common stock					Sold	05/05/11	J	A	
33. - Adobe Systems Inc. - common stock					Buy	01/19/11	J		
34.					Sold	05/05/11	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Barrick Gold Corp. - common stock					Sold	05/05/11	J	A	
36. - El Paso Corp. - common stock					Sold	05/05/11	J	A	
37. - Google Inc. - common stock					Buy (add'l)	05/05/11	J		
38.					Sold (part)	11/18/11	J	A	
39. - Hewlett Packard Co. - common stock					Buy	01/19/11	J		
40.					Sold	05/05/11	J		
41. - Stericycle Inc. - common stock					Sold	05/05/11	J	A	
42. - Coca-Cola Co. - common stock					Buy	05/05/11	J		
43.					Sold (part)	05/26/11	J	A	
44. - Teva Pharmaceutical Industries Ltd. ADR - common stock					Sold	05/05/11	J	A	
45. - Texas Instruments Inc. - common stock									
46. - Amazon.com Inc. - common stock					Sold (part)	11/18/11	J	A	
47. - AON Corp. - common stock					Sold	05/05/11	J	A	
48. -Archer-Daniels-Midland Co. - common stock					Sold	05/05/11	J	A	
49. - Caterpillar Inc. - common stock					Sold	05/05/11	J	A	
50. - Charles Schwab Corp. - common stock									
51. - Chevron Corp. - common stock					Sold (part)	05/09/11	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - Cummins Inc. - common stock					Buy	09/09/11	J		
53. - Delta Airlines, Inc. - common stock					Sold	05/05/11	J	A	
54. - First Solar Inc. - common stock					Sold	04/29/11	J	A	
55. - Freeport McMoran Copper & Gold - common stock									
56. - Intel Corp. - common stock					Sold	05/05/11	J	A	
57. - Intuit Inc. - common stock					Buy	08/19/11	J		
58. - Juniper Networks Inc. - common stock									
59. - Nvidia Corporation - common stock					Sold (part)	05/17/11	J	A	
60.					Sold (part)	07/14/11	J		
61.					Sold	08/12/11	J		
62. - PNC Financial Services Group - common stock					Sold	05/05/11	J	A	
63. - Rockwell Collins Inc. - common stock					Sold	05/05/11	J	A	
64. - Suntrust Banks Inc. - common stock					Sold	05/05/11	J	A	
65. - Time Warner Inc. - common stock					Sold	05/05/11	J	A	
66. - Weatherford Int'l. Ltd. - common stock									
67. - Wells Fargo & Co. - common stock					Buy (add'l)	01/05/11	J		
68.					Sold	05/05/11	J		

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69. - Broadcom Corp - common stock									
70. - Societe Generale ADR - common stock					Sold	05/05/11	J	A	
71. - Xerox Corp. - common stock					Sold	05/05/11	J	A	
72. - Akamai Technologies Inc. - common stock									
73. - Alcatel-Lucent ADS - common stock					Sold	02/24/11	J	A	
74. - Allegheny Technologies Inc. - common stock					Sold	05/05/11	J	B	
75. - Alumina Ltd. - common stock					Sold	05/05/11	J	A	
76. - Ameren Corp. - common stock					Sold	02/03/11	J		
77. - Anadarko Petroleum Corp. - common stock					Sold (part)	05/05/11	J	A	
78.					Sold (part)	11/18/11	J	A	
79.					Sold (part)	12/02/11	J	A	
80. - Anglogold Ashanti Limited - common stock					Sold	05/05/11	J	A	
81. - Annaly Capital Mgmt. Inc. - common stock					Sold	05/05/11	J	A	
82. - Autodesk Inc. - common stock					Sold (part)	05/05/11	J	A	
83. - Bed Bath & Beyond Inc. - common stock					Sold (part)	11/18/11	J	A	
84. - Biogen Idec Inc. - common stock					Sold (part)	05/05/11	J	A	
85.					Sold (part)	11/18/11	J	A	

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86.					Sold (part)	12/02/11	J	A	
87. - Blackrock Inc. - common stock					Buy (add'l)	05/05/11	J		
88. - Brandywine Realty TR SBI - common stock					Sold	05/05/11	J	A	
89. - Broadridge Financial Solutions, Inc. - common stock					Sold	05/05/11	J	A	
90. - Cablevision Systems Corp. - common stock					Sold (part)	05/05/11	J	B	
91. - Cameco Corp. - common stock					Sold	05/05/11	J	A	
92. - Carnival Corp. - common stock					Sold	05/05/11	J	A	
93. - Celgene Corp. - common stock									
94. - Centrais Elec Bras ADR - preferred stock					Sold	05/05/11	J	A	
95. - Chubb Corp. - common stock					Sold	05/05/11	J	A	
96. - Colonial Properites TR - common stock					Sold	05/05/11	J	A	
97. - Compass Minerals Int'l. Inc. - common stock					Sold	05/05/11	J	A	
98. - Consolidated Edison Inc. - common stock					Buy (add'l)	05/05/11	J		
99. - Covidien PLC New -- common stock					Sold (part)	05/05/11	J	A	
100. - Cree Research Inc. - common stock									
101. - Dai Nippon Pctr. Ltd. Japan - common stock					Sold	05/05/11	J	A	
102. - Daiwa House Industries Ltd. ADR - common stock					Sold	05/05/11	J	A	

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103. - Dreamworks Animation SKG Inc. - common stock					Sold	03/01/11	J		
104. - Deere & Co. -- common stock					Buy	06/10/11	J		
105. - Ebay Inc. - common stock									
106. - Endurance Specialty Hldgs. Ltd. - common stock					Sold	05/05/11	J	A	
107. - Entergy Corp. - common stock					Sold	05/05/11	J		
108. - Expedia Inc. - common stock					Sold	02/25/11	J	A	
109. - Fluor Corp. - common stock									
110. - Forest Laboratories Inc. - common stock									
111. - Fujifilm Hldgs Corp. ADR - common stock					Sold	05/05/11	J	A	
112. - Genuine Parts Co. - common stock					Sold	05/05/11	J	A	
113. - Genzyme Corp. - common stock					Sold (part)	02/17/11	J	A	
114.					Sold	05/05/11	J	A	
115. - Gold Fields Ltd. ADS - common stock					Sold	05/05/11	J	A	
116. - Goldman Sachs Group Inc. - common stock					Sold	05/05/11	J	A	
117. - Great Plains Energy Inc. - common stock					Sold	05/05/11	J	A	
118. - Hachijuni Bank Ltd. ADR - common stock					Sold	05/05/11	J	A	
119. - Hasbro Inc. - common stock					Sold	05/05/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - HCP Incorporated - common stock					Sold	05/09/11	J	A	
121.					Buy	11/09/11	J		
122. - Health Care REIT Inc. - common stock									
123. - Hertz Global Holdings Inc. - common stock					Sold	05/05/11	J	B	
124. - Hess Corporation - common stock					Sold	05/05/11	J	A	
125. - Home Depot Inc. - common stock					Sold (part)	11/18/11	J	A	
126.					Sold (part)	12/02/11	J	A	
127. - Impala Platinum Hldgs Ltd. ADR - common stock					Sold	01/21/11	J	A	
128. - Ivanhoe Mines Ltd. - common stock					Sold	05/05/11	J	A	
129. - Johnson & Johnson - common stock					Buy (add'l)	05/05/11	J		
130. - Korea Electric Power Corp. ADS - common stock					Sold	05/05/11	J	A	
131. - L-3 Communications Holdings Inc. - common stock									
132. - Liberty Global Inc. - common stock					Sold	03/31/11	J	A	
133. - Liberty Media Starz Series A - common stock					Sold	05/31/11	J	A	
134. - The Directv Group Class A - common stock									
135. - Liberty Media Hldg. Capital Series A - common stock					Sold (part)	05/05/11	J	C	
136. - Liberty Media Hldg SR A Inter - common stock					Sold (part)	05/05/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - M&T Bank Corp. - common stock					Sold	05/05/11	J	A	
138. - Magna Int'l. Inc. - common stock					Sold	01/13/11	J	A	
139. - Mattel Inc. - common stock					Sold	05/05/11	J	A	
140. - Millicom Int'l. Cellular SA - common stock					Sold	05/05/11	J	A	
141. - MS&AD Ins. Group Holdings Inc. - common stock					Sold	05/05/11	J		
142. - Monster Worldwide Inc. - common stock					Sold	05/05/11	J	A	
143. - Nasdaq OMX Group Inc. - common stock									
144. - National Oilwell Varco Inc. - common stock					Sold (part)	05/05/11	J	A	
145. - Newcrest Mining Ltd. ADR - common stock					Sold	05/05/11	J	A	
146. - Newmont Mining Corp. - common stock					Sold	01/10/11	J		
147. - Nexen Inc. - common stock					Sold	05/05/11	J	A	
148. - Nippon Telegraph & Telephone ADR - common stock					Sold	05/05/11	J	A	
149. - Nisource Inc. - common stock					Sold	05/05/11	J	A	
150. - NYSE Euronext - common stock					Sold	01/31/11	J	A	
151. - Old Republic Int'l. Corp. - common stock					Sold	05/05/11	J	A	
152. - Pall Corporation - common stock									
153. - Panasonic Corp. - common stock					Sold	05/05/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Pepsico Inc. - common stock									
155. - Qualcomm Inc. - common stock					Buy	08/05/11	J		
156. - Range Resources Corp. - common stock					Sold	05/05/11	J	A	
157. - Roper Industries Inc. - common stock					Sold	05/05/11	J	A	
158. - Seagate Technology Holdings - common stock					Sold (part)	05/05/11	J	A	
159. - Sekisui House Ltd. ADR - common stock					Sold	05/05/11	J	A	
160. - Sempra Energy - common stock					Sold	05/05/11	J	A	
161. - Seven & I Holdings Co. Ltd. - common stock					Sold	05/05/11	J	A	
162. - Shiseido Ltd Spot ADR - common stock					Sold	05/05/11	J		
163. - SK Telecom Co. Ltd. - common stock					Sold	05/05/11	J	A	
164. - SPDR Gold TR Gold SHS - common stock					Buy (add'l)	05/04/11	J		
165.					Sold (part)	05/05/11	J	A	
166.					Sold (part)	11/15/11	J	A	
167.					Buy (add'l)	12/16/11	J		
168. - St. Jude Medical Inc. - common stock					Sold	05/05/11	J	A	
169. - Suncor Energy Inc. - common stock					Sold	05/05/11	J	A	
170. - Swisscom AG ADR - common stock					Sold	05/05/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Target Corporation - common stock					Sold	05/05/11	J	A	
172. - Tele & Data Systems Inc. - common stock					Sold	05/05/11	J	A	
173. - Telecom Italia SPA- common stock					Sold	05/05/11	J	A	
174. - TE Connectivity Ltd. New - common stock									
175. - Tyco International Ltd. - common stock					Sold (part)	05/05/11	J	A	See Note in Part VIII
176.					Sold (part)	11/18/11	J	A	
177. - Ultra Petroleum Corp. - common stock					Sold	05/05/11	J		
178. - Unitedhealth Group Inc. - common stock					Sold (part)	05/05/11	J	B	
179.					Sold (part)	11/18/11	J	A	
180.					Sold (part)	12/02/11	J	A	
181. - Vertex Pharmaceuticals - common stock									
182. - Wacoal Hldgs. Corp. ADR - common stock					Sold	05/05/11	J	A	
183. - Xcel Energy Inc. - common stock					Sold	05/05/11	J	A	
184. - Exxon Mobil Corporation - common stock					Sold	05/05/11	J	A	
185. - Zimmer Hldgs. Inc. - common stock					Sold	05/05/11	J	A	
186. - Zions Bancorp. - common stock					Sold	05/05/11	J	A	
187. - Sumitomo Trust & Banking Co. - ADR - common stock					Sold	05/05/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Agnico Eagle Mines Ltd. - common stock					Sold	01/05/11	J		
189. - Allstate Corporation - common stock					Sold	05/05/11	J	A	
190. - Analog Devices - common stock					Sold	05/05/11	J	A	
191. - AMC Networks Inc. CL A - common stock					Spinoff (from line 90)	06/30/11	J	A	See note in Part VIII
192. - Apache - common stock									
193. - Axis Capital Holdings Ltd. - common stock					Sold	05/05/11	J	A	
194. - Canadian Nat'l. Railway Co. - common stock					Sold	01/27/11	J	A	
195. - Carrefour SA ADR - common stock					Sold	05/05/11	J	A	
196. - Chimera Investment Corp. - common stock					Sold	05/05/11	J	A	
197. - Cliffs Natural Resources - common stock					Sold	05/05/11	J	A	
198. - Clorox Co. - common stock					Sold	05/05/11	J	A	
199. - Cognizant Tech. Solutions - common stock					Sold	05/05/11	J	B	
200. - Corning Inc. - common stock					Sold	05/05/11	J	A	
201. - Delaware Diversified Inc. - mutual fund					Sold (part)	01/13/11	K	C	
202.					Sold (part)	04/21/11	K	C	
203.					Sold (part)	08/11/11	J	A	
204.					Buy (add'l)	12/13/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Devon Energy Corp. - common stock					Sold	05/05/11	J	A	
206. - Discovery Communications Ser A - common stock					Sold	05/05/11	J	A	
207. - Dow Chemical Co. - common stock					Sold	05/05/11	J	A	
208. - Dr. Reddy's Laboratories Ltd. - common stock					Sold	02/23/11	J	A	
209. - Embraer S A ADR - common stock					Sold	05/05/11	J	A	
210. - Emerson Electric - common stock					Sold	05/05/11	J	A	
211. - FMC Technologies Inc. - common stock					Sold	05/05/11	J	A	
212. - Ford Motor Co. - common stock					Sold	05/05/11	J	A	
213. - Givaudan SA ADR - common stock					Sold	02/09/11	J	A	
214. - Greenhill & Co. Inc. - common stock					Sold	02/01/11	J		
215. - H J Heinz Co. - common stock					Buy (add'l)	05/05/11	J		
216. - Halliburton Co. - common stock					Sold (part)	05/05/11	J	A	
217.					Sold	06/05/11	J	A	
218.					Buy	08/19/11	J		
219.					Sold	11/08/11	J		
220. - Icici Bank Ltd. - common stock					Sold	01/31/11	J		
221. - International Paper Co. - common stock					Sold	05/05/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - ITC Holdings - common stock					Sold	05/05/11	J	A	
223. - JP Morgan Chase BK N A Columbus Ohio DJIA Linked CD									
224. - KAO Corp. - common stock					Sold	05/05/11	J	A	
225. - Kinross Gold Corp. - common stock					Sold	05/05/11	J		
226. - Lord Abbett Floating Rate - mutual fund					Buy (add'l)	01/13/11	K		
227.					Sold (part)	04/21/11	K	C	
228.					Sold (part)	08/10/11	J	A	
229. - Lord Abbett Sht Dur Inc A - mutual fund					Buy	08/11/11	K		
230.					Buy (add'l)	12/13/11	J		
231. - Managers Bond Fund - mutual fund					Sold	01/13/11	K	D	
232.					Buy	08/11/11	K		
233.					Buy (add'l)	12/13/11	J		
234. - Metropolitan West Hi Yld Bd M - mutual fund					Buy	12/16/11	K		
235. - Marathon Oil Co. - common stock					Sold	05/05/11	J	A	
236. - Marriott Int'l. Inc. - common stock					Sold	05/05/11	J	A	
237. - Merck & Co. - common stock					Sold	05/05/11	J	A	
238. - Metlife Inc. - common stock					Sold	05/05/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - MFA Financial Inc. - common stock					Sold	05/05/11	J	A	
240. - Microchip Technology Inc. - common stock					Sold	05/05/11	J	A	
241. - Morgan Stanley - common stock					Sold	05/05/11	J	A	
242. - Mosaic Company (The) - common stock					Sold	05/05/11	J	A	
243. - National Fuel Gas Co. - common stock					Sold	05/05/11	J	B	
244. - New York Community Bancorp Inc. - common stock					Sold	11/09/11	J		
245. - Nintendo Co. Ltd. ADR - common stock					Sold	05/05/11	J		
246. - Nokia Corp. ADR - common stock					Sold	05/05/11	J		
247. - Nucor Corp. - common stock									
248. - Occidental Petroleum Corp. - common stock					Sold	05/05/11	J	A	
249. - Omnicom Group - common stock					Sold	05/05/11	J	A	
250. - Perrigo Co. - common stock					Sold	05/05/11	J	B	
251. - PG&E Corp. - common stock					Sold	05/05/11	J	A	
252. - Pimco Total Return - mutual fund					Sold (part)	01/13/11	K	B	
253.					Sold (part)	04/21/11	K	B	
254.					Sold (part)	08/11/11	J	A	
255.					Buy (add'l)	12/13/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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MORROW, MARGARET M.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold (part)	12/22/11	J	A	
257. - Plexus Corp. - common stock					Sold	02/01/11	J	A	
258. - Regions Financial Corp. - common stock					Sold	05/05/11	J	A	
259. - Rohm Co. Ltd. ADR - common stock					Sold	05/05/11	J	A	
260. - Sandisk Corp. - common stock					Sold (part)	05/05/11	J	B	
261.					Sold (part)	11/18/11	J	A	
262. - Scana Corp. - common stock					Sold	06/10/11	J	A	
263. - Tata Motors Ltd. - common stock					Sold	02/23/11	J		
264. - TCW Total Return - mutual fund					Sold (part)	01/13/11	K		
265.					Sold (part)	04/21/11	J		
266.					Sold (part)	08/11/11	J		
267.					Buy (add'l)	12/13/11	J		
268. - Templeton Global Bd Fd Adv -					Sold (part)	01/13/11	K	D	
269.					Sold (part)	04/21/11	J	C	
270.					Sold (part)	08/11/11	J	A	
271.					Buy (add'l)	12/13/11	J		
272. - Transdigm Group Inc - common stock					Sold	05/05/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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MORROW, MARGARET M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. - Verizon Communications - common stock					Buy (add'l)	05/05/11	J		
274.					Sold (part)	11/18/11	J	A	
275. - Virtus Multi-Sector S/T Bond - mutual fund					Sold (part)	01/13/11	K	D	
276.					Sold (part)	04/21/11	K	A	
277.					Sold (part)	08/11/11	J	A	
278.					Buy (add'l)	12/13/11	J		
279. - Virtus Multi Sect Sht Trm BD 1 -					Buy (add'l)	12/13/11	J		
280. - Virtus Senior Floating Rate 1 - mutual fund					Buy	12/16/11	K		
281. - Webmd Health Corp. - common stock					Sold	05/05/11	J	A	
282. - Wolters Kluwer NV ADR - common stock					Sold	05/05/11	J	A	
283. - Yahoo Inc. - common stock					Sold	07/20/11	J	A	
284. - BANK OF AMERICA - Corp. Bond (BAA9)					Sold	04/07/11	J	A	
285. - FEDERAL HOME LOAN MTG. CORP. - Gov. Bond (1/30/14)					Sold	04/28/11	J	A	
286. - FEDERAL NAT'L MTG. ASSN. - Gov. Bond (MW41)					Buy (add'l)	01/25/11	J		
287.					Sold	04/28/11	J	A	
288. - GOLDMAN SACHS GROUP INC. - Corp. Bond (FAA9)					Sold	04/28/11	J	A	
289. - U.S. TREASURY NOTE - Gov. Bond (8BH2)					Sold	04/28/11	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - U.S. TREASURY NOTE - Gov. Bond (8FQ8)					Buy (add'l)	02/18/11	J		
291.					Sold	04/28/11	K	A	
292. - U.S. TREASURY NOTE - Gov. Bond					Sold	04/28/11	J		
293. - WACHOVIA CORP. - Corp. Bond (3AJ1)					Buy (add'l)	02/18/11	J		
294.					Sold	04/28/11	J	A	
295. - AMERICAN EXPRESS - Corp. Bond (6BA6)					Sold	04/28/11	J	A	
296. - A T & T Inc. - Corp. Bond (RAJ1)					Sold	04/28/11	J	A	
297. - CISCO SYSTEMS INC. - Corp. Bond (RAE2)					Sold	01/25/11	J	A	
298. - GOLDMAN SACHS - Corp. Bond (GMF1)					Sold	04/28/11	J	A	
299. - MERRILL LYNCH & CO. - Corp. Bond (YM40)					Sold	04/28/11	J	A	
300. - TIME WARNER CABLE - Corp. Bond (JAH1)					Sold	04/28/11	J	A	
301. - FED. NAT'L MGT. ASSN - Gov. Bond (ATL6)					Sold	01/20/11	J	A	
302. - U.S. TREASURY NOTE - Gov. Bond (8LY4)					Sold	04/28/11	J	A	
303. - U.S. TREASURY NOTE - Gov. Bond (8NT3)					Sold	04/28/11	J		
304. - U.S. TREASURY NOTE - Gov. Bond (8XL6)					Sold	04/28/11	K	A	
305. - FEDERAL NAT'L. MTG. ASSN. - Gov. Bond (MPF4)					Sold	04/28/11	J	A	
306. - JPMORGAN CHASE & Co. - Corp. Bond (HHN3)					Sold	01/19/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - VERIZON WIRELESS - Corp. Bond (SAP5)					Sold	04/28/11	J	A	
308. - COMCAST CORP. - Corp. Bond (NBA8)					Sold	04/28/11	J	A	
309. - BB&T CORP. - Corp. Bond					Sold	04/28/11	J	A	
310. - Bank Deposit Sweep Program -					Buy	01/31/11	J		
311. - ENTERPRISE PRODU - Corp. Bond (9VAP8)					Sold	04/28/11	J		
312. - Lake Tahoe Calif Uni Sch Dist Go BDS - muni bond (2LT1)			K	W	Buy	01/07/11	M		MMM personal account
313. - GENERAL ELECTRIC CAP CORP. - Corp. Bond (G4J0)					Sold	02/09/11	J	A	
314. - KRAFT FOODS INC. - Corp. Bond					Sold	04/28/11	J	A	
315. - ROYAL BANK OF SCOTLAND - Corp. Bond (XAG6)					Sold	04/28/11	J	A	
316. - SHELL INT'L. FIN. - Corp. Bond (2AQ5)					Sold	04/28/11	J		
317. - Wells Fargo Bank - Account	A	Interest	K	T	Open				personal account
318. - Union Bank - Account	A	Interest	K	T	Open				personal account
319. - Kadison, Pfaelzer, Woodard, Quinn & Rossi Inv. Ptnrship.	A	Interest	K	T	Open				personal account
320. - Activision Blizzard Inc. - common stock					Sold	05/05/11	J	A	
321. - Albemarle Corp. - common stock					Sold	05/05/11	J	A	
322. - Allianz SE ADS - common stock					Sold	05/05/11	J	A	
323. - Apple Inc. - common stock					Sold	05/05/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy	08/05/11	J		
325. - Assured Guaranty Ltd. - common stock					Sold	01/31/11	J		
326. - AstraZeneca PLC ADS - common stock									
327. - Babcock & Wilcox Company - common stock					Sold	05/05/11	J	A	
328. - Bank of America Corp. - common stock					Sold	05/05/11	J		
329. - Borg Warner Inc. - common stock					Sold	05/05/11	J	A	
330. - Bristol Myers Squibb Co. - common stock					Buy (add'l)	05/05/11	J		
331.					Sold (part)	10/11/11	J	A	
332. - Cigna CP - common stock					Sold	05/05/11	J	A	
333. - Clean Harbors - common stock					Sold	05/05/11	J	A	
334. - Colgate Palmolive Co. - common stock					Sold	05/05/11	J	A	
335. - Comcast Corp. (New) Class A - common stock					Sold	05/05/11	J	A	
336. - Crown Holdings Inc. - common stock					Sold	05/05/11	J	A	
337. - Dolby Class A common stock									
338. - Electricite De France ADR - common stock					Sold	05/05/11	J		
339. - EMC Corp. Mass - common stock					Sold	05/05/11	J	A	
340.					Buy	10/18/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - ETFS Palladium Trust - common stock					Sold	03/10/11	J	A	
342. - ETFS Platinum Trust - common stock					Sold	03/10/11	J	A	
343. - Expeditors Intl Wash Inc. - common stock					Sold	03/25/11	J	A	
344. - Finmeccanica Spa Roma - common stock					Sold	05/05/11	J	A	
345. - Gazprom O A O Spon ADR - common stock					Sold	05/05/11	J	A	
346. - Glaxosmithkline PLC ADS - common stock					Buy (add'l)	05/05/11	J		
347. - Global Payment Inc. - common stock					Sold	05/05/11	J	A	
348. - Grupo Financiero Galicia SA AD - common stock					Sold	02/17/11	J	A	
349. - Hain Celestial Group Inc. - common stock					Sold	01/10/11	J	A	
350. - HSN Inc. - common stock					Sold	05/05/11	J	A	
351. - Hudson City Bancorp Inc. - common stock					Sold	05/05/11	J		
352. - Hyatt Hotels Corp. Com - common stock					Sold	05/05/11	J	A	
353. - Immunogen Inc. - common stock									
354. - Isis Pharmaceuticals Inc. - common stock									
355. - Israel Chemicals Ltd ADR - common stock					Sold	05/03/11	J		
356. - Johnson Controls Incorporated - common stock					Sold	05/05/11	J	A	
357. - Keycorp New - common stock					Sold	05/05/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - Las Vegas Sands Corp. - common stock					Sold	01/28/11	J		
359. - Legg Mason BW Global Opp Bd F1 - mutual fund					Sold (part)	01/13/11	K	B	
360.					Sold (part)	04/21/11	J	B	
361.					Sold (part)	08/11/11	J	A	
362. - Legg Mason WA Corp BD 1 -					Buy	08/11/11	K		
363.					Buy (add'l)	12/13/11	J		
364. - Loomis Sayles Strategic Income A - mutual fund					Sold	01/13/11	K	B	
365. - MBIA Inc. - common stock					Sold	03/02/11	J		
366. - Mc Donalds Corp. - common stock									
367. - Mc Graw Hill Companies Inc. - common stock					Sold	05/05/11	J	A	
368. - McKeeson Corp. - common stock					Sold	05/05/11	J	A	
369. - MGM Resorts International - common stock					Sold	02/23/11	J		
370. - Nalco Holding Company - common stock					Sold	02/24/11	J	A	
371. - NextEra Energy Inc. - common stock					Sold	05/05/11	J	A	
372. - Novo Nordisk A/S ADR - common stock					Sold	05/05/11	J	A	
373. - Novozymes A/S Unspns ADR - common stock					Sold	05/05/11	J	A	
374. - Oracle Corp. - common stock					Sold (part)	05/05/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MORROW, MARGARET M.

Date of Report

08/29/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - Peabody Energy Corp. - common stock					Sold	05/05/11	J	A	
376. - Pfizer Inc. - common stock					Sold	05/05/11	J	A	
377. - Philip Morris International Inc. - common stock					Buy (add'l)	05/05/11	J		
378. - Phillips Van Heusen - common stock					Sold	05/05/11	J	A	
379. - PPL Corporation - common stock					Buy (add'l)	05/09/11	J		
380. - Proshares Ultrashort QQQ ETF - common stock (QID)					Sold	05/05/11	J		
381. - Proshares Ultrashort Russell20 - common stock (TWM)					Sold	05/05/11	J		
382. - Proshares Ultrashort S&P500 - common stock (SDS)					Sold	05/05/11	J		
383. - Prudential Financial Inc. - common stock					Sold	05/05/11	J	A	
384. - Putnam Diversified Income Trust A - mutual fund					Sold	01/13/11	K	A	
385. - Robert Half International Inc. - common stock					Sold	05/05/11	J	A	
386. - Seadrill Ltd - common stock					Sold	05/05/11	J		
387. - SLM Corporation - common stock					Sold	05/05/11	J	A	
388. - Sotheby's Class A - common stock					Sold	05/05/11	J	A	
389. - Statoil ASA ADR - common stock					Sold	05/05/11	J	A	
390. - Takeda Pharmaceutical Co Ltd. - common stock					Sold	05/05/11	J	A	
391. - TCW Emerging Markets Income N - mutual fund					Sold (part)	01/13/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MORROW, MARGARET M.

Date of Report

08/29/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	04/21/11	J	A	
393.					Sold (part)	08/11/11	J		
394.					Buy (add'l)	12/13/11	J		
395. - Madison Square Garden Inc. Class A - common stock					Sold	03/30/11	J	A	
396. - Pimco Emerging Local Bond A - mutual fund					Sold (part)	04/21/11	J	A	
397.					Sold (part)	08/11/11	J		
398.					Buy (add'l)	12/13/11	J		
399. - Tenaris S.A. - common stock					Sold	02/14/11	J	A	
400. - Thermo Fisher Scientific Inc. - common stock					Buy (add'l)	05/05/11	J		
401. - Toyota Motor Corp. ADR New - common stock					Sold	05/05/11	J	A	
402. - Treehouse Foods Inc. - common stock					Sold	01/06/11	J	A	
403. - United Continental Holdings Inc. - common stock					Sold	02/10/11	J	A	
404. - United States Steel Corp. - common stock					Sold	05/05/11	J	A	
405. - Valero Energy Corp. - common stock					Sold	05/05/11	J	A	
406. - Verisk Analytics - common stock					Sold	05/05/11	J	A	
407. - Visa Inc. - common stock					Buy (add'l)	05/05/11	J		
408. - Waddell & Reed Financial Inc. - common stock					Sold	05/05/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

MORROW, MARGARET M.

Date of Report

08/29/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Walt Disney Co. Holding Co. - common stock					Sold	01/04/11	J	A	
410.					Buy	01/28/11	J		
411.					Buy (add'l)	05/05/11	J		
412. - Waste Management Inc. - common stock					Sold	05/05/11	J	A	
413. - Wynn Macau Ltd Unspn ADR - common stock					Sold	02/10/11	J	A	
414. - Wynn Resorts Ltd - common stock					Sold	05/05/11	J	A	
415. - Unit AAM Covered Call & Income Strategies Closed end fund									
416. - Protective Life - common stock					Buy	01/04/11	J		
417.					Sold	05/05/11	J		
418. - Fifth Third Bancorp Ohio - common stock					Buy	01/05/11	J		
419.					Sold	05/05/11	J		
420. - Anheuser Busch Inbev SA Spon - common stock					Buy	01/06/11	J		
421. - Home Retail Group ADR - common stock					Buy	01/06/11	J		
422.					Sold	05/05/11	J	A	
423. - MF Global Holdings Ltd Com - common stock					Buy	01/11/11	J		
424.					Sold	05/05/11	J		
425. - Proshares Short 20+Treasury (TBF) - common stock					Buy	01/13/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold (part)	04/21/11	K		
427.					Sold	07/12/11	K		
428. - Blackrock Strategic Inc Opp A Prospectus - mutual fund					Buy	01/13/11	K		
429.					Sold (part)	04/21/11	J		
430.					Buy (add'l)	12/13/11	J		
431.					Sold	12/22/11	K		
432. - Goldman Sachs Strategic Inc A Prospectus - mutual fund					Buy	01/13/11	K		
433.					Sold (part)	04/21/11	J		
434.					Sold (part)	08/11/11	J		
435.					Buy (add'l)	12/13/11	J		
436. - Pimco Unconstrained Bd A - mutual fund					Buy	01/13/11	K		
437.					Sold (part)	04/21/11	J	A	
438.					Sold (part)	08/11/11	J		
439.					Sold	11/03/11	K		
440. - Virtus Senior Floating Rate A - mutual fund					Buy	01/13/11	L		
441.					Sold (part)	04/21/11	K		
442.					Sold (part)	08/10/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Virtus Multi Sector Fixed Inc A - mutual fund					Buy	01/13/11	K		
444.					Sold (part)	04/21/11	J	A	
445.					Sold (part)	08/11/11	J		
446. - Invesco Ltd - common stock					Buy	01/19/11	J		
447.					Sold	05/05/11	J		
448. - JP Morgan Chase & Co. (HHS2) - bond					Buy	01/19/11	J		
449.					Sold	04/28/11	J	A	
450. - Federal National Mortgage Ass'n (A2S0) - bond					Buy	01/20/11	J		
451.					Sold	04/28/11	J	A	
452. - Avery Dennison Corporation - common stock					Buy	02/03/11	J		
453.					Sold	05/05/11	J	A	
454. - United Technologies Corp. - common stock					Buy	02/03/11	J		
455.					Sold	05/05/11	J	A	
456. - General Electric Cap Corp. bond (2SM8)					Buy	02/10/11	J		
457.					Sold	04/28/11	J	A	
458. - Verifone Systems Inc. - common stock					Buy	02/15/11	J		
459.					Sold	05/05/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. - Interdigital Inc. - common stock					Buy	02/16/11	J		
461.					Sold	05/05/11	J		
462. - PT Telekomunikasi Indonesia - common stock					Buy	02/17/11	J		
463.					Sold	05/05/11	J	A	
464. - Continental Resources Inc. - common stock					Buy	02/18/11	J		
465.					Sold	04/14/11	J	A	
466. - East Japan Railway Co ADR - common stock					Buy	02/23/11	J		
467.					Sold	05/05/11	J		
468. - First American Financial Corp. - common stock					Buy	02/23/11	J		
469.					Sold	05/05/11	J		
470. - CME Group - common stock					Buy	02/24/11	J		
471. - EOG Resources Inc. - common stock					Buy	02/24/11	J		
472.					Sold	05/05/11	J		
473.					Buy	10/04/11	J		
474. - NetApp Inc. - common stock					Buy	02/24/11	J		
475. - Human Genome Sciences Inc. - common stock Ok					Buy	02/28/11	J		
476. - Marsh & McLennan Companies Inc. - common stock					Buy	02/28/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Sold	05/05/11	J		
478. - Norfolk Southern Corp. - common stock					Buy	02/28/11	J		
479.					Sold	05/05/11	J	A	
480. - Kirby Corporation - common stock					Buy	03/02/11	J		
481.					Sold	05/05/11	J		
482. - Boston Scientific - common stock					Buy	03/07/11	J		
483.					Sold	05/05/11	J	A	
484. - Imperial Oil Ltd Com New - common stock					Buy	03/07/11	J		
485.					Sold	05/05/11	J		
486. - Haemonetics Corp. - common stock					Buy	03/10/11	J		
487.					Sold	05/05/11	J	A	
488. - Navistar International Corp. - common stock					Buy	03/10/11	J		
489.					Sold	05/05/11	J	A	
490. - NXP Semiconductors NV - common stock					Buy	03/16/11	J		
491.					Sold	05/05/11	J	A	
492. - United Parcel Service Inc. Class B - common stock					Buy	03/16/11	J		
493. - Teekay Corp. - common stock					Buy	03/18/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 I = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000
2. Value Codes (See Columns C1 and D3)	P = \$250,001 - \$500,000 Q = \$500,001 - \$1,000,000 R = \$1,000,001 - \$5,000,000	S = \$5,000,001 - \$25,000,000 T = \$25,000,001 - \$50,000,000 U = \$50,000,001 - \$100,000,000	V = \$100,000,001 - \$500,000,000 W = \$500,000,001 - \$1,000,000,000 X = \$1,000,000,001 - \$5,000,000,000	Y = \$5,000,000,001 - \$25,000,000,000 Z = \$25,000,000,001 - \$50,000,000,000 AA = \$50,000,000,001 - \$100,000,000,000	AB = \$100,000,000,001 - \$500,000,000,000 AC = \$500,000,000,001 - \$1,000,000,000,000 AD = \$1,000,000,000,001 - \$5,000,000,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Sold	05/05/11	J		
495. - Chicago Bridge & Iron Co. N.V. - common stock					Buy	03/21/11	J		
496.					Sold	05/05/11	J		
497. - Rovi Corporation - common stock					Buy	03/21/11	J		
498.					Sold	05/05/11	J		
499. - Discover Financial Services - common stock					Buy	03/23/11	J		
500.					Sold	05/05/11	J	B	
501. - Komatsu Ltd Spon ADR New - common stock					Buy	03/28/11	J		
502.					Sold	05/05/11	J	A	
503. - Trinity Industries Inc. - common stock					Buy	04/07/11	J		
504.					Sold	05/05/11	J		
505. - Federal Home Loan Mortgage Corp. (4VC5) - bond					Buy	04/07/11	J		
506.					Sold	04/28/11	J	A	
507. - Nordstrom Inc. - common stock					Buy	04/21/11	J		
508.					Sold	05/05/11	J	A	
509. - MFF Orion FT FD - managed future					Buy	04/21/11	L		
510. - Skybridge Multi-Advisor Hedge fund					Buy	04/21/11	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511.					Buy (add'l)	12/31/11	J		
512. - Energy XXI Bermuda Ltd Com New - common stock					Buy	04/21/11	J		
513.					Sold	05/05/11	J		
514. - TW Telecom Inc. Class A - common stock					Buy	04/28/11	J		
515.					Sold	05/05/11	J	A	
516. - Wabco Holdings Inc. - common stock					Buy	05/02/11	J		
517.					Sold	05/05/11	J		
518. - BlackRock All-CP Energy Res A - mutual fund					Buy	05/04/11	K		
519.					Sold (part)	11/15/11	J		
520. - Cohen & Steers Global Realty Foc I - mutual fund					Buy	05/04/11	J		
521.					Sold (part)	11/15/11	J		
522. - Eaton Vance Commodity Strategy A - mutual fund					Buy	05/04/11	K		
523.					Sold (part)	11/15/11	J		
524. - Hartford Floating Rate I - mutual fund					Buy	12/16/11	K		
525. - ING Global Real Estate A - mutual fund					Buy	05/04/11	J		
526.					Sold	12/16/11	J		
527. - ING Global Real Estate FD I - mutual fund					Buy	12/16/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. - PIMCO Commodity Real Ret Strat A - mutual fund					Buy	05/04/11	K		
529.					Sold (part)	11/15/11	J		
530. - RS Global Natural Resources A - mutual fund					Buy	05/04/11	K		
531.					Sold (part)	11/15/11	J		
532. - Abbott Laboratories - common stock					Buy	05/05/11	J		
533. - Altria Group Inc. - common stock					Buy	05/05/11	J		
534. - BCE Inc. (New) - common stock					Buy	05/05/11	J		
535. - Centurylink Inc. - common stock					Buy	05/05/11	J		
536. - Cincinnati Financial Ohio - common stock					Buy	05/05/11	J		
537. - Citrix Systems Inc. - common stock					Buy	05/05/11	J		
538. - Conocophillips - common stock					Buy	05/05/11	J		
539. - Daiichi Sankyo Co. - common stock					Buy	03/28/11	J		
540.					Sold	05/05/11	J	A	
541. - Dominion Res Inc. (New) - common stock					Buy	05/05/11	J		
542.					Sold (part)	11/09/11	J		
543. - Duke Energy Corp. Holding Co. - common stock					Buy	05/05/11	J		
544. - Hospitality Properties TR Com SBI - common stock					Buy	05/05/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545.					Sold	06/09/11	J		
546. - Kimberly Clark Corp. - common stock					Buy	05/05/11	J		
547. - Eli Lilly & Co. - common stock					Buy	05/05/11	J		
548. - Lorillard Inc. - common stock					Buy	05/05/11	J		
549. - Natl Grid Transco PLC ADS - common stock					Buy	05/05/11	J		
550. - Reynolds American Inc. - common stock					Buy	05/05/11	J		
551. - Senior Housing Properties Trust - common stock					Buy	05/05/11	J		
552.					Sold	06/10/11	J		
553. - Southern Co. - common stock					Buy	05/05/11	J		
554. - Telefonica SA ADR - common stock					Buy	05/05/11	J		
555. - Total Fina Elf SA - common stock					Buy	05/05/11	J		
556. - Unilever PLC (New) ADS - common stock					Buy	05/05/11	J		
557. - Windstream Corp. - common stock					Buy	05/05/11	J		
558. - Columbia Select LG CP Growth Z - mutual fund					Buy	12/02/11	K		
559. - Columbia Income Opport A - mutual fund					Buy	12/13/11	J		
560.					Buy (add'l)	12/16/11	K		
561. - Invesco Developing Markets A - mutual fund					Buy	05/05/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562.					Sold (part)	11/18/11	J		
563.					Buy (add'l)	12/02/11	J		
564. - Nuveen Tradewinds Global All CP A - mutual fund					Buy	05/05/11	L		
565.					Sold (part)	07/27/11	J		
566.					Sold (part)	11/18/11	J		
567. - Royce Premier Service - mutual fund					Buy	05/05/11	K		
568.					Sold (part)	07/27/11	K		
569.					Sold (part)	11/18/11	J		
570. - Thornburg Int'l Value A - mutual fund					Buy	05/05/11	L		
571.					Sold (part)	07/27/11	J		
572.					Sold (part)	11/18/11	J		
573.					Sold (part)	12/02/11	K		
574. - Virtus Insight Emerging Markets I - mutual fund					Buy	05/05/11	J		
575.					Sold (part)	07/27/11	J	A	
576.					Sold (part)	11/18/11	J		
577.					Buy (add'l)	12/02/11	J		
578. - Sanofi CVR RTS - common stock					Buy	05/05/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Sold	05/10/11	J	A	
580. - Nike Inc. B - common stock					Buy	06/07/11	J		
581. - Cameron Intl Corp - common stock					Buy	06/23/11	J		
582. - Duff & Phelps Global Equity - common stock					Buy	07/26/11	K		
583.									
584.									
585. BROKERAGE ACCOUNT #2 - TRUST #1	E	Int./Div.	P1	T					
586. - Cash equivalent - Morgan Stanley'									
587. - Ameren Corp. - common stock					Sold	02/03/11	K		
588. - Sanofi-Aventis ADR - common stock					Sold	02/10/11	J	A	
589. - Eaton Corp. - common stock					Sold	02/10/11	J	A	
590.					Buy	06/07/11	J		
591. - General Electric Inc. - common stock					Sold (part)	02/10/11	J		
592. - Genuine Parts Co. - common stock					Sold	02/10/11	J	A	
593. - JP Morgan Chase & Co. - common stock					Buy (add'l)	01/13/11	J		
594.					Sold	02/10/11	J	A	
595. - Pepsico Inc. - common stock					Buy (add'l)	10/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. - Royal Dutch Shell PLC - common stock					Buy (add'l)	02/10/11	J		
597.					Buy (add'l)	05/23/11	J		
598.					Sold (part)	11/18/11	J	A	
599. - AMC Networks Inc CL A - common stock					Spinoff (from line 662)	06/30/11	J	A	See Note in Part VIII
600. - AT&T - common stock					Buy (add'l)	02/10/11	J		
601.					Buy (add'l)	05/23/11	J		
602. - Schlumberger Ltd. - common stock					Sold (part)	02/10/11	J	A	
603. - Siemens AG ADR - common stock					Sold	02/10/11	J	A	
604. - UBS AG-CHF - common stock					Sold	01/10/11	J	A	
605. - LOS GATOS CALIF JT UN HIGH SCH - Muni. Bond (5PS2)					Sold	04/20/11	K	A	
606. - CALIF. ST. ECONOMY RECY - Muni. Bond (JLP4)					Sold	04/20/11	K	A	
607. - LOS ANGELES CALIF CCD G/O REF - Muni. Bond (CEP3)					Sold	04/20/11	K		
608. - LOS ANGELES CNTY CALIF MTA - Muni. Bond (2B43)					Sold	04/20/11	K	A	
609. - SAN DIEGO CALIF. CMNTY COLL. - Muni. Bond (2DF5)					Sold	04/20/11	K		
610. - SIMI VY CALIF SCH - Muni. Bond (4AR6)					Sold	04/20/11	J		
611. -GROSSMONT-CUYAMACA CALIF. CMNTY. - Muni. Bond (7CSO)					Sold	04/20/11	K	A	
612. - RIVERSIDE CNTY. PALM DESERT CA - Muni. Bond (GBA7)					Sold	04/20/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. - CA. ST. UNIV TRUSTEES S/W REV SER-A - Muni. Bond					Sold	04/20/11	K		
614. - OREGON ST. GENL OBLIG SER-A - Muni. Bond					Sold	04/20/11	K	B	
615. - CA. ST. DEPT WTR RES CENT VLY - Muni. Bond					Sold	04/20/11	K	A	
616. - CA. EDL FACS AUTH USC - Muni. Bond					Sold	04/20/11	K		
617. - Bank of New York Mellon Corp. - common stock					Sold	02/10/11	J	A	
618. - Procter & Gamble - common stock									
619. - Monsanto Company - common stock									
620. Aegon NV ADR -- common stock					Sold		J	A	See Note in Part VIII
621. - Praxair Inc. - common stock									
622. - Kraft Foods - common stock					Sold	01/04/11	J	A	
623. - Nisource Inc. - common stock					Sold	02/10/11	J	A	
624. - Progress Energy Inc. - common stock					Sold	10/11/11	J	A	
625. - Teva Pharmaceutical Inds. Ltd. ADR - common stock					Sold	02/10/11	J	A	
626. - Vodafone Group PLC ADR - common stock					Buy (add'l)	02/10/11	J		
627.					Buy (add'l)	05/23/11	J		
628.					Sold (part)	12/02/11	J	A	
629. - Brandywine Realty Trust - common stock					Sold	02/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. - CVS Caremark Corporation - common stock									
631. - Kroger Co. - common stock					Sold	02/10/11	J	A	
632. - Broadridge Financial Solutions - common stock					Sold	02/10/11	J	A	
633. - Barrick Gold Corp. CAD - common stock					Sold	02/10/11	J	A	
634. - Colonial PPTYS Trust SBI - common stock					Sold	02/10/11	J		
635. - El Paso Corporation - common stock					Sold	02/10/11	J	A	
636. - Health Care REIT - common stock									
637. - Hewlett Packard Co. - common stock					Buy	01/19/11	J		
638.					Sold	02/10/11	J	A	
639. - Coca Cola Company - common stock					Buy (add'l)	02/10/11	J		
640. - Coca Cola Enterprises Inc. - common stock					Buy (add'l)	05/23/11	J		
641. - Goldman Sachs Group Inc. - common stock					Sold	02/10/11	J	A	
642. - Google Inc. - common stock					Sold (part)	11/18/11	J	A	
643. - HCP Inc. - common stock					Sold (part)	05/09/11	J	A	
644.					Sold	07/26/11	J	A	
645.					Buy	11/09/11	J		
646. - Juniper Networks Inc. - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. - NYSE Euronext - common stock					Sold	01/31/11	J	A	
648. - Qualcomm Inc. - common stock					Buy	07/29/11	J		
649. - Schwab Charles Corporation - common stock									
650. - Time Warner Inc. - common stock									
651. - Xerox Corp. - common stock					Sold	02/10/11	J	A	
652. - Amazon.com Inc. - common stock					Buy (add'l)	05/23/11	J		
653.					Sold (part)	07/26/11	J	A	
654.					Sold (part)	10/04/11	J	A	
655.					Sold (part)	12/02/11	J	A	
656. - Amgen Inc. - common stock									
657. - Anadarko Petroleum Corporation - common stock					Sold (part)	11/18/11	J	A	
658.					Sold (part)	12/02/11	J	A	
659. - Annaly Capital Management Inc. - common stock					Sold	02/10/11	J	A	
660. - AON Corporation - common stock					Sold	02/10/11	J	A	
661. - Archer-Daniels Midland Co. - common stock					Sold	02/10/11	J	A	
662. - Cablevision Systems Corporation - common stock									
663. - Caterpillar Inc. - common stock					Sold	02/10/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664. - Celgene Corporation - common stock									
665. - Chevron Corporation - common stock					Sold (part)	03/03/11	J	A	
666.					Sold (part)	05/09/11	J	A	
667. - Cintas Corporation - common stock									
668. - Cisco Systems Inc. - common stock					Buy (add'l)	05/23/11	J		
669. - Covidien Ltd. - common stock									
670. - Delta Airlines Inc. - common stock					Sold	02/10/11	J	A	
671. - Dreamworks Animation Inc. - common stock					Sold	02/10/11	J		
672. - Endurance Specialty Hldgs. - common stock					Sold	02/10/11	J	A	
673. - EOG Resources Inc. - common stock					Buy	10/04/11	J		
674. - Freeport McMoran Copper & Gold - common stock									
675. - Genzyme Corporation - common stock					Buy	02/10/11	J		
676.					Sold	02/17/11	J	A	
677. - Great Plains Energy Inc. - common stock					Sold	02/10/11	J	A	
678. - Hasbro Inc. - common stock					Sold	02/10/11	J	A	
679. - Hertz Global Holdings Inc. - common stock					Sold	02/10/11	J	B	
680. - Ivanhoe Mines Ltd. CAD - common stock					Sold	02/10/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681.									
682. - Lord Abbett High Yield Muni Bond Fund - mutual fund					Buy (add'l)	06/02/11	J		
683. - Mattel Inc. - common stock					Sold	02/10/11	J	A	
684. - Microsoft Corporation - common stock					Buy (add'l)	05/23/11	J		
685. - Millicom International Cellular - common stock					Sold	02/10/11	J	A	
686. - NASDAQ OMX Group, Inc. - common stock									
687. - Old Republic International Corp. - common stock					Sold	02/10/11	J		
688. - PNC Financial Services Group - common stock					Sold	02/10/11	J	A	
689. - Rockwell Collins Inc. - common stock					Sold	02/10/11	J	A	
690. - Sempra Energy - common stock					Sold	02/10/11	J	A	
691. - SPDR Gold Trust - ETF					Sold	02/10/11	J	A	
692.					Buy	06/01/11	J		
693. - Suntrust Banks Inc. - common stock					Sold	02/10/11	J	A	
694. - Telephone & Data Systems Inc. - common stock					Sold	02/10/11	J		
695. - Texas Instruments Inc. - common stock									
696. - Time Warner Cable - common stock					Sold	02/10/11	J	A	
697. - Ultra Petroleum Corporation - common stock					Sold	02/10/11	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. - Weatherford International Ltd. - common stock					Buy (add'l)	05/23/11	J		
699. - Wells Fargo & Company - common stock					Buy (add'l)	01/05/11	J		
700.					Sold	02/10/11	J	A	
701. - Xcel Energy Inc. - common stock					Sold	02/10/11	J	A	
702. - Societe Generale Spon ADR - common stock					Sold	02/10/11	J	A	
703. - Adobe Systems - common stock					Buy	01/19/11	J		
704.					Sold	02/10/11	J		
705. - Anglogold Ashanti Limited - common stock					Sold	02/10/11	J	A	
706. - Compass Minerals Int'l. Inc. - common stock					Sold	02/10/11	J	A	
707. - Consolidated Edison Inc. - common stock									
708. - Entergy Corp. - common stock					Sold	02/10/11	J		
709. - Fluor Corp. - common stock									
710. - Hess Corporation - common stock					Sold	02/10/11	J	A	
711. - Newmont Mining Corp. - common stock					Sold	01/10/11	J	A	
712. - Nippon Telegraph & Telephone ADS - common stock					Sold	02/10/11	J	A	
713. - Range Resources Corp. - common stock					Sold	02/10/11	J		
714. - St. Jude Medical Inc. - common stock					Sold	02/10/11	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. - Exxon Mobil Corp. - common stock					Sold	02/10/11	J	A	
716. - Zimmer Holdings Inc. - common stock					Sold	02/10/11	J		
717. - Zions Bancorp - common stock					Sold	02/10/11	J	A	
718. - Akamai Technologies Inc. - common stock					Buy (add'l)	07/29/11	J		
719. - Alcatel - Lucent ADS - common stock					Sold	02/10/11	J	A	
720. - Allegheny Technologies Inc. - common stock					Sold	02/10/11	J	A	
721. - Alumina Ltd. - common stock					Sold	02/10/11	J	A	
722. - Autodesk Delaware - common stock									
723. - Bed Bath & Beyond - common stock									
724. - Biogen Idec Inc. - common stock					Buy (add'l)	05/23/11	J		
725.					Sold (part)	07/26/11	J	A	
726.					Sold (part)	11/18/11	J	A	
727.					Sold (part)	12/02/11	J	A	
728. - Blackrock Inc. - common stock									
729. - Broadcom Corp. - common stock									
730. - Cameco Corp. - common stock					Sold	02/10/11	J	A	
731. - Carnival Corp. - common stock					Sold	02/10/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732. - Chubb Corp. - common stock					Sold	02/10/11	J	A	
733. - Comcast Corp. CL A Special New - common stock (CMCSK)					Buy (add'l)	05/23/11	J		
734.					Sold (part)	11/18/11	J	A	
735.					Sold (part)	12/02/11	J	A	
736. - Cree Research Inc. - common stock									
737. - Dai Nippon Prtg. Ltd. Japan - common stock					Sold	02/10/11	J	A	
738. - Daiwa House Industry Co. Ltd. ADR - common stock					Sold	02/10/11	J	A	
739. - Dolby Class A common stock (formerly Dolby Labs Inc.)									
740. - Ebay Inc. - common stock					Sold (part)	12/02/11	J	A	
741. - Expedia Inc. - common stock					Sold	02/10/11	J	B	
742. - First Solar Inc. - common stock					Sold	04/29/11	J	A	
743. - Forest Laboratories Inc. - common stock									
744. - FujiFilm Hldgs Corp. ADR - common stock					Sold	02/10/11	J	A	
745. - Gold Fields Ltd. ADR - common stock					Sold	02/10/11	J	A	
746. - Hachijuni Bank Ltd ADR - common stock					Sold	02/10/11	J	A	
747. - Home Depot Inc. - common stock					Sold (part)	11/18/11	J	A	
748.					Sold (part)	12/02/11	J	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. - Impala Platinum Hldgs Ltd. ADR - common stock					Sold	01/21/11	J	A	
750. - Intel Corp. - common stock					Sold	02/10/11	J	A	
751. - Johnson & Johnson - common stock					Buy (add'l)	02/10/11	J		
752. - Korea Electric Power Corp. ADS - common stock					Sold	02/10/11	J	A	
753. - L-3 Communications Hldgs Inc. - common stock									
754. - Liberty Global Inc. - common stock					Sold	04/04/11	J	A	
755. - Liberty Media Starz Series A - common stock					Sold	06/01/11	J	A	
756. - Lorillard Inc.-- common stock									
757. - The Directv Group Class A - common stock									
758. - Liberty Media Hldg Series A Interactive - common stock									
759. - Liberty Media Corp. Hldg Capital Series A - common stock									
760. - M&T Bancorp - common stock					Sold	02/10/11	J	A	
761. - Magna Int'l. Inc. - common stock					Sold	01/13/11	J	A	
762. - MS&AD Ins. Group Holdings Inc. - common stock					Sold	02/10/11	J	A	
763. - Monster Worldwide Inc. - common stock					Sold	02/10/11	J	A	
764. - National Oilwell Varco Inc. - common stock					Buy (add'l)	02/07/11	J		
765.					Sold (part)	02/10/11	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766. - Newcrest Mining Ltd. ADR - common stock					Sold	02/10/11	J	A	
767. - Nexen Inc. - common stock					Sold	02/10/11	J	A	
768. - Nvidia Corporation - common stock					Sold	08/12/11	J	A	
769. - Pall Corporation - common stock					Sold (part)	11/18/11	J	A	
770. - Panasonic Corp. ADR - common stock					Sold	02/10/11	J		
771. - Roper Industries Inc. - common stock					Sold	02/10/11	J	A	
772. - Seagate Technology Hldgs. - common stock					Sold (part)	11/18/11	J	A	
773. - Sekisui House Ltd. ADR - common stock					Sold	02/10/11	K	A	
774. - Seven & I Hldgs Co. Ltd. ADR - common stock					Sold	02/10/11	J	A	
775. - Shiseido Ltd. ADR - common stock					Sold	02/10/11	J		
776. - SK Telecom Co. Ltd. - common stock					Sold	02/10/11	J	A	
777. - Stericycle Inc. - common stock					Sold	02/10/11	J	A	
778. - Sumitomo TR & BK Co. ADR - common stock					Sold	02/10/11	J	A	
779. - Suncor Energy Inc. - common stock					Sold	02/10/11	J	A	
780. - Swisscom AG ADR - common stock					Sold	02/10/11	J	A	
781. - Target Corporation - common stock					Sold	02/10/11	J	A	
782. - Telecom Italia SpA SVGS SH - common stock					Sold	02/10/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783. - TE Connectivity Ltd. New - common stock									
784. - Tyco International Ltd. - common stock					Sold (part)	02/10/11	J	A	See Note in Part VIII
785. - Unitedhealth Group Inc. - common stock					Sold (part)	02/10/11	J	A	
786.					Buy (add'l)	05/23/11	J		
787.					Sold (part)	12/02/11	J	A	
788. - Vertex Pharmaceuticals - common stock									
789. - Wacoal Hldgs. Corp. ADR - common stock					Sold	02/10/11	J	A	
790. - Agnico Eagle Miles Ltd. - common stock					Sold	01/06/11	J		
791. - Allstate Corporation - common sstock					Sold	02/10/11	J	A	
792. - Analog Devices Inc. - common stock					Sold	02/10/11	J	A	
793. - Apache Corporation - common stock									
794. - Axis Capital Holdings Ltd. - common stock					Sold	02/10/11	J	A	
795. - Canadian National Railway Co. - common stock					Sold	01/27/11	J	A	
796. - Carrefour SA ADR - common stock					Sold	02/10/11	J	A	
797. - Chimera Investment Corporation - common stock					Sold	02/10/11	J	A	
798. - Cliffs Natural Resources Inc. - common stock					Sold	02/10/11	J	A	
799. - Clorox Co. DE - common stock					Sold	02/10/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800. - Cognizant Tech. Solutions - common stock					Sold	02/10/11	J	B	
801. - Corning Inc. - common stock					Sold	02/10/11	J	A	
802. - Delaware Tx-Fr USA Intermed A - mutual fund					Buy (add'l)	06/02/11	J		
803. - Devon Energy Corporation - common stock					Sold	02/10/11	J	A	
804. - Discovery Communications Ser A - common stock					Sold	02/10/11	J	A	
805. - Dow Chemical Co. - common stock					Sold	02/10/11	J	B	
806. - Dr. Reddy's Laboratories Ltd. - common stock					Sold	02/10/11	J	A	
807. - DWS Intermediate Tax/Amt Fr A - mutual fund					Buy (add'l)	06/01/11	J		
808. - Embraer S A ADR - common stock					Sold	02/10/11	J	A	
809. - Emerson Electric Company - common stock					Sold	02/10/11	J	A	
810. - EV Hi Yld Muni Income A - mutual fund									
811. - FMC Technologies Inc. - common stock					Sold	02/10/11	J	A	
812. - Ford Motor Company - common stock					Sold	02/10/11	J	A	
813. - Givaudan SA ADR - common stock					Sold	02/10/11	J	A	
814. - Greenhill & Company Inc. - common stock					Sold	02/02/11	J		
815. - H. J. Heinz Company - common stock					Buy (add'l)	02/10/11	J		
816.					Buy (add'l)	05/23/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817. - Halliburton Company - common stock					Sold	02/10/11	J	A	
818.					Buy	08/19/11	J		
819.					Sold	11/08/11	J		
820. - Icici Bank Ltd. - common stock					Sold	01/31/11	J		
821. - Intuit Inc. - common stock					Buy	08/19/11	J		
822. - KAO Corporation ADR - common stock					Sold	02/10/11	J	A	
823. - Kinross Gold Corporation - common stock					Sold	02/10/11	J	A	
824. - Marathon Oil Company - common stock					Sold	02/10/11	J	A	
825. - Marriott Int'l Inc. - common stock					Sold	02/10/11	J	A	
826. - Merck & Co. Inc. - common stock					Sold	02/10/11	J	A	
827. - Metlife Inc. - common stock					Sold	02/10/11	J	A	
828. - MFA Financial Inc. - common stock					Sold	02/10/11	J	A	
829. - Polaris LP (formerly MGD FUT HV Class A) - common stock					Sold	05/13/11	K	B	
830. - MGD FUT LV Class A - common stock					Sold	05/13/11	K		
831. - Microchip Technology Inc. - common stock					Sold	02/10/11	J	A	
832. - Morgan Stanley - common stock					Sold	02/10/11	J	A	
833. - Mosaic Company (The) - common stock					Sold	02/10/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834. - National Fuel Gas Co. - common stock					Sold	02/10/11	J	A	
835. - New York Community Bancorp Inc. - common stock					Sold	11/09/11	J	A	
836. - Nintendo Co. Ltd. ADR - common stock					Sold	02/10/11	J	A	
837. - Nokia Corporation ADR - common stock					Sold	02/10/11	J		
838. - Nucor Corporation - common stock									
839. - Occidental Petroleum Corp. DE - common stock					Sold	02/10/11	J	A	
840. - Omnicon Group - common stock					Sold	02/10/11	J	A	
841. - Perrigo Company - common stock					Sold	02/10/11	J	A	
842. - PG&E Corporation - common stock					Sold	02/10/11	J	A	
843. - Plexus Corporation - common stock					Sold	02/01/11	J	A	
844. - Regions Financial Corporation - common stock					Sold	02/10/11	J	A	
845. - Rohm Co. Ltd. ADR - common stock					Sold	02/10/11	J	A	
846. - Sandisk Corporation - common stock					Sold (part)	11/18/11	J	A	
847. - Scana Corporation - common stock					Sold	06/10/11	J	A	
848. - State Street Corporation - common stock					Sold	02/10/11	J	A	
849. - Tata Motors Ltd. - common stock					Sold	02/10/11	J		
850. - Transdigm Group Inc. - common stock					Sold	02/10/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851. - Verizon Communications - common stock					Buy (add'l)	02/10/11	J		
852.					Buy (add'l)	05/23/11	J		
853.					Sold (part)	12/02/11	J	A	
854. - Webmd Health Corporation - common stock					Sold	02/10/11	J	A	
855. - Western Asst GLB CP DEF OPP FD - common stock									
856. - Wolters Kluwer NV ADR - common stock					Sold	02/10/11	J	A	
857. - Yahoo Inc. - common stock					Sold	07/26/11	J	A	
858. - Activision Blizzard Inc. - common stock					Sold	02/10/11	J		
859. - Albemarle Corporation - common stock					Sold	02/10/11	J	A	
860. - Allianz SE ADS - common stock					Sold	02/10/11	J	A	
861. - Anheuser Busch Inbev SA Spon - common stock									
862. - Apple Inc. - common stock					Sold	02/10/11	J	A	
863.					Buy	08/05/11	J		
864. - Assured Guaranty Ltd - common stock					Sold	01/31/11	J	A	
865. - Astrazeneca PLC ADS - common stock					Buy (add'l)	05/23/11	J		
866. - Babcock & Wilcox Company - common stock					Sold	02/10/11	J		
867. - Bank of America Corp. - common stock					Sold	02/10/11	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
868. - Blackrock National Muni A - mutual fund					Buy (add'l)	06/02/11	K		
869. - Borg Warner Inc. - common stock					Sold	02/10/11	J	A	
870. - Bristol Myers Squibb Co. - common stock					Buy (add'l)	02/10/11	J		
871.					Buy (add'l)	05/23/11	J		
872.					Sold (part)	10/11/11	J	A	
873. - Cigna CP - common stock					Sold	02/10/11	J	A	
874. - Citrix Systems Inc. - common stock									
875. - Clean Harbors - common stock					Sold	02/10/11	J	A	
876. - Clearbridge Energy MLP FD Inc. - common stock									
877. - Colgate Palmolive Co. - common stock					Sold	02/10/11	J	A	
878. - Comcast Corp (New) Class A common stock					Sold	02/10/11	J	A	
879. - Crown Holdings Inc. - common stock					Sold	02/10/11	J	A	
880. - Cummins Inc. - common stock					Buy	09/09/11	J		
881. - Electricite de France ADR - common stock					Sold	02/10/11	J		
882. - EMC Corp Mass - common stock					Sold	02/10/11	J	A	
883.					Buy	10/18/11	J		
884. - ETFS Palladium Trust - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000 M = \$100,001 - \$250,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

885. - ETFS Platinum Trust - common stock									
886. - Expeditors Intl Wash Inc. - common stock					Sold	02/10/11	J	A	
887. - Finmeccanica SpA Roma - common stock					Sold	02/10/11	J	A	
888. - Gazprom O A O Spon ADR - common stock					Sold	02/10/11	J	A	
889. - Glaxosmithkline PLC ADS - common stock					Buy (add'l)	05/23/11	J		
890. - Global Payment Inc - common stock					Sold	02/10/11	J	A	
891. - Grupo Financiero Galicia SA AD - common stock					Sold	02/10/11	J	A	
892. - Hain Celestial Group Inc. - common stock					Sold	01/11/11	J	A	
893. - HSN Inc. - common stock					Sold	02/10/11	J	A	
894. - Hudson City Bancorp Inc. - common stock					Sold	02/10/11	J		
895. - Hyatt Hotels Corp. - common stock					Sold	02/10/11	J	A	
896. - Immunogen Inc. - common stock									
897. - International Paper Co. - common stock					Sold	02/10/11	J	A	
898. - Isis Pharmaceutical Inc. - common stock									
899. - Israel Chemicals Ltd ADR - common stock					Sold	02/10/11	J		
900. - ITC Holdings - common stock					Sold	02/10/11	J	A	
901. - Johnson Controls Incorporated - common stock					Sold	02/10/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
902. - Kayne and Midstream/Energy Fund Inc. - common stock									
903. - Keycorp New - common stock					Sold	02/10/11	J	A	
904. - Las Vegas Sands Corporation - common stock					Sold	01/28/11	J		
905. - Madison Square Garden Inc. Class A common stock					Sold	03/30/11	J	A	
906. - MBIA Inc. - common stock					Sold	02/10/11	J		
907. - Mc Donalds Corp. - common stock									
908. - McGraw Hill Companies Inc. - common stock					Sold	02/10/11	J	A	
909. - McKesson Corporation - common stock					Sold	02/10/11	J	A	
910. - MFS Muni High Income A - mutual fund					Buy (add'l)	06/02/11	J		
911. - Nalco Holding Company - common stock					Sold	02/10/11	J	A	
912. - Nextera Energy Inc. - common stock					Sold	02/10/11	J	A	
913. - Novo Nordisk A/S ADR - common stock					Sold	02/10/11	J	A	
914. - Novozymes A/S Unspns APR - common stock					Sold	02/10/11	J	A	
915. - Oracle Corp. - common stock									
916. - Peabody Energy Corp. - common stock					Sold	02/10/11	J	A	
917. - Pfizer Inc. - common stock					Sold	02/10/11	J	A	
918. - Philip Morris Int'l Inc. - common stock					Sold	01/13/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
919.					Buy	02/10/11	J		
920.					Buy (add'l)	05/23/11	J		
921. - Phillips Van Heusen - common stock					Sold	02/10/11	J	A	
922. - Legg Mason WA Intrm Muni Inst. - mutual fund					Buy (add'l)	06/02/11	K		
923. - Pioneer High Income Muni A - mutual fund					Buy (add'l)	06/02/11	J		
924. - PPL Corporation - common stock					Sold	02/10/11	J		
925.					Buy	05/09/11	J		
926.					Buy (add'l)	06/09/11	J		
927. - Proshares Ultrashort QQQ ETF (QID) - common stock					Sold	02/10/11	J		
928. - Proshares Ultrashort Russell20 (TWM) - common stock					Sold	02/10/11	J		
929. - Proshares Ultrashort S&P500 (SDS) - common stock					Sold	02/10/11	J		
930. - Prudential Financial Inc. - common stock					Sold	02/10/11	J	A	
931. - Robert Half Int. - common stock					Sold	02/10/11	J	A	
932. - Seadrill Ltd - common stock					Sold	02/10/11	J	A	
933. - SLM Corporation - common stock					Sold	02/10/11	J	A	
934. - Sotheby's Class A - common stock					Sold	02/10/11	J	A	
935. - Statoil ASA ADR - common stock					Sold	02/10/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
MORROW, MARGARET M.	08/29/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
936. - Takeda Pharmaceutical Co. LTD - common stock					Sold	02/10/11	J	A	
937. - Tenaris S.A. - common stock					Sold	02/08/11	J	A	
938. - Thermo Fisher Scientific Inc. - common stock									
939. - Toyota Motor CP ADR New - common stock					Sold	02/10/11	J	A	
940. - Treehouse Foods Inc. - common stock					Sold	01/05/11	J	A	
941. - United Continental Holdings Inc. - common stock					Sold	02/10/11	J	A	
942. - United States Steel Corp. (New) - common stock					Sold	02/10/11	J	A	
943. - Valero Energy Corp. Delaware New - common stock					Sold	02/10/11	J	A	
944. - Verisk Analytics Class A common stock					Sold	02/10/11	J	A	
945. - Visa Inc. Class A common stock					Sold (part)	12/02/11	J	A	
946. - Waddell & Reed Financial Inc. Class A common stock					Sold	02/10/11	J	A	
947. - Walt Disney Co. Holding Co. - common stock					Sold	01/04/11	J	A	
948.					Buy	01/28/11	J		
949.					Buy (add'l)	02/10/11	J		
950.					Buy (add'l)	05/23/11	J		
951. - Waste Management Inc. (Dela.) - common stock					Sold	02/10/11	J	A	
952. - Wynn Macau Ltd Unspn ADR - common stock					Sold	02/10/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
953. - Wynn Resorts Ltd - common stock					Sold	02/10/11	J	A	
954. - Protective Life CP - common stock					Buy	01/04/11	J		
955.					Sold	02/10/11	J	A	
956. - Fifth 3rd Bancorp Ohio - common stock					Buy	01/05/11	J		
957.					Sold	02/10/11	J	A	
958. - Home Retail Group ADR - common stock					Buy	01/06/11	J		
959.					Sold	02/10/11	J	A	
960. - MF Global Holdings Ltd Com - common stock					Buy	01/11/11	J		
961.					Sold	02/10/11	J		
962. - MGM Resorts International - common stock					Buy	01/11/11	J		
963.					Sold	02/10/11	J		
964. - Invesco Ltd - common stock					Buy	01/19/11	J		
965.					Sold	02/10/11	J	A	
966. - Avery Dennison Corporation - common stock					Buy	02/03/11	J		
967. - United Technologies Corp. - common stock					Buy	02/03/11	J		
968.					Sold	02/10/11	J	A	
969. - Abbott Laboratories - common stock					Buy	02/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	T ~Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
970.					Buy (add'l)	06/10/11	J		
971. - Altria Group Inc. - common stock					Buy	02/10/11	J		
972. - BCE Inc. (New) - common stock					Buy	02/10/11	J		
973.					Buy (add'l)	05/23/11	J		
974. - Centurylink Inc. - common stock					Buy	02/10/11	J		
975. - Cincinnati Financial Ohio - common stock					Buy	02/10/11	J		
976. - Conocophillips - common stock					Buy	02/10/11	J		
977. - Dominion Res Inc. (New) - common stock					Buy	02/10/11	J		
978.					Buy (add'l)	05/23/11	J		
979.					Sold (part)	11/09/11	J	A	
980. - Duke Energy Corp Holding Co. - common stock					Buy	02/10/11	J		
981. - Hospitality Properties Trust Com SBI - common stock					Buy	02/10/11	J		
982.					Sold	06/09/11	J		
983. - Kimberly Clark Corp. - common stock					Buy	02/10/11	J		
984.					Buy (add'l)	05/23/11	J		
985. - Eli Lilly & Co. - common stock					Buy	02/10/11	J		
986.					Buy (add'l)	05/23/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
987. - National Grid Transco PLC ADS - common stock					Buy	02/10/11	J		
988. - Nationwide Health Properties - common stock					Buy	02/10/11	J		
989.					Sold	03/03/11	J	A	
990. - Reynolds American Inc. - common stock					Buy	02/10/11	J		
991. - Windstream Corp. - common stock					Buy	02/10/11	J		
992. - Unilever PLC (New) ADS - common stock					Buy	02/10/11	J		
993. - Total Fina Elf SA - common stock					Buy	02/10/11	J		
994. - Telefonica SA ADR - common stock					Buy	02/10/11	J		
995. - Southern Co. - common stock					Buy	02/10/11	J		
996.					Buy (add'l)	05/23/11	J		
997. - Senior Housing Properties Trust SBI - common stock					Buy	02/10/11	J		
998.					Sold	06/10/11	J	A	
999. - Columbia Select LG CP Growth Z -					Buy	12/02/11	K		
1000. - Invesco Developing Markets A - mutual fund					Buy	02/10/11	J		
1001.					Buy (add'l)	05/23/11	J		
1002. - Nuveen Tradewinds Global All CP A - mutual fund					Buy	02/10/11	L		
1003.					Buy (add'l)	05/23/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1004. - Royce Premier Service - mutual fund					Buy	02/10/11	K		
1005.					Buy (add'l)	05/23/11	K		
1006. - Thornburg Int'l Value A - mutual fund					Buy	02/10/11	L		
1007.					Buy (add'l)	05/23/11	K		
1008. - Virtus Insight Emerging Markets I - mutual fund					Buy	02/10/11	J		
1009.					Buy (add'l)	05/23/11	J		
1010. - NetApp Inc. - common stock					Buy	02/24/11	J		
1011. - CME Group Inc. - common stock					Buy	02/25/11	J		
1012. - Human Genome Sciences Inc. - common stock					Buy	03/01/11	J		
1013. - United Parcel Service Inc. Class B - common stock					Buy	03/16/11	J		
1014. - Pikeville KY Hospital Impt Rev bond (PAN2)					Buy	04/14/11	J		
1015.					Sold	04/20/11	J		
1016. - Blackrock All CP Energy Res A - mutual fund					Buy	06/01/11	K		
1017. - Cohen & Steers Glb Rlty Foc A - mutual fund					Buy	06/01/11	J		
1018. - Eaton Vance Commodity Strat A - mutual fund					Buy	06/01/11	K		
1019. - Columbia Income Opport A - mutual fund					Buy	12/16/11	J		
1020. - ING Global Real Estate A - mutual fund					Buy	06/01/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1021. - ING Global Real Estate FD I - mutual fund					Buy	12/16/11	J		
1022. - Hartford Floating Rate I - mutual fund					Buy	12/16/11	J		
1023. - Pimco Comm Real Ret Strat A - mutual fund					Buy	06/01/11	K		
1024. - Metropolitan West HI YLD Bd M - mutual fund					Buy	12/16/11	J		
1025. - RS Global Natural Res A - mutual fund					Buy	06/01/11	K		
1026. -- Virtus Senior Floating Rate I - mutual fund					Buy	12/16/11	J		
1027. - Nike Inc. B - common stock					Buy	06/07/11	J		
1028. - Deere & Co. - common stock					Buy	06/10/11	J		
1029. - Cameron International Corp. - common stock					Buy	06/23/11	J		
1030.									
1031.									
1032. POWER OF ATTORNEY - TRUST	G	Int./Div.	P1	T					See note in Part VIII
1033. - Highmark CA Tax Free Money Market			L	T					
1034. - Edison International - common stock	A	Dividend	J	T					
1035. - Exelon Corp. - common stock	A	Dividend	J	T					
1036. - Marathon Oil Corp. - common stock	A	Dividend	K	T					
1037. - Marathon Petroleum Corp - common stock	A	Dividend	J	T	Spinoff (from line 1036)				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1038. - United States Steel Corp. - common stock	A	Dividend	J	T					
1039. - Salinas Calif Un High School Dist - muni. bond (6CY4)			M	T	Redeemed	06/01/11	M	C	
1040. - California St Economic Recovery - muni. bond (JLP4)	D	Interest	M	T	Redeemed	07/01/11	L		
1041. - California St Economic Recovery - muni. bond (JLT6)	A	Interest	J	T	Redeemed	07/01/11	J		
1042. - Lake Elsinore CA Pub Fing - muni. bond (2DM4)	C	Interest	M	T	Redeemed	09/01/11	L		
1043. - California St Various Purp - muni. bond (PYM8)	D	Interest	N		Redeemed	12/01/11	N		
1044. - Vallejo City Calif. Uni School Dist. - muni. bond (1BT3)			M	W					
1045. - California St Economic Recovery - muni. bond (JFZ9)	D	Interest	M	W					
1046. - Sacramento Cnty Calif. Arpt Sys - muni. bond (7HK1)	C	Interest	M	W					
1047. - Twin Rivers Calif Uni Sch. Dist - muni bond (UAA5)			N	W					
1048. - Loma Linda Calif Redev Agy Tax - muni. bond (4CE6)	C	Interest	M	W					
1049. - California State GO Ref Bds Series - muni. bond (PR95)	A	Interest	K	W					
1050. - Santa Clara Calif Redev Agy Tax - muni. bond (3EV9)			M	W					
1051. - Madera Calif Redev Agy Tax Rev - muni. bond (4BW6)	C	Interest	M	W					
1052. - North City West Calif Sch Facs Fing - muni. bond (0DK2)	D	Interest	M	W					
1053. - San Jacinto Calif Uni Sch Dist - muni. bond (2CV0)	D	Interest	N	W					
1054. - San Diego Calif Uni Sch Dist - muni bond (5WG5)	D	Interest	N	W	Buy	06/07/11	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1055. - Poway Calif. Uni Sch Dist Pub Fing - muni. bond (RAC1)			L	W					
1056. - Calaveras Uni Sch Dist Calif. G O - muni. bond (4EJ5)	D	Interest	N	W					
1057. - California St G O Bds Ser. 2008 - muni. bond (AZ80)	A	Interest	L	W					
1058. - Oakland Calif Uni Sch Dist Alameda - muni. bond (5RK5)	D	Interest	N	W					
1059. - Calif State Univ Red (CBJ2) - bond			M	W	Buy	12/12/11	M		
1060. - Modesto Calif IRR Dist CTFS Partn Cops -			M	W	Buy	10/04/11	M		
1061. - Mojave Calif Uni Sch Dist Sch Facs - muni. bond (5AL0)	D	Interest	N	W					
1062. - Los Angeles Calif Uni Sch Dist GO - muni. bond (6QM7)	D	Interest	M	W					
1063. - Taft Calif City Sch Dist Go Ref - muni bond			M	W	Buy	07/13/11	M		
1064. - General Electric Capital Corp - Corp bond			M	W	Buy	12/15/11	M		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII - INVESTMENTS AND TRUSTS

BROKERAGE ACCOUNT #1:

Page 14, Line 174: On March 17, 2011, Tyco Electronics Ltd. changed its name to Tyco Connectivity Ltd. New.

BROKERAGE ACCOUNT #2 - TRUST #1:

Page 40, Line 620: On May 21, 2010 Aegon NV ADR was sold, however, it was inadvertently omitted from the prior report of 2010.

Page 50, Line 783: On March 17, 2011, Tyco Electronics Ltd. changed its name to Tyco Connectivity Ltd. New.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **MARGARET M. MORROW**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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