

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alston, Christopher M.	2. Court or Organization U.S. Bankruptcy Court, Western District of Washington	3. Date of Report 5/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 700 Stewart Street, Suite 6301 Seattle, WA 98101		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Center for Children and Youth Justice
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association - Business Law Section	March 27 - 30, 2019	Vancouver, BC	Business Bankruptcy Committee Meeting	Transportation, meals, hotel, registration
2. American Bar Association - Business Law Section	September 11 -14, 2019	Washington, D.C.	Business Law Section Annual Meeting	Transportation, meals, hotel, registration
3. American Bar Association - Business Law Section	October 29 - November 2, 2019	Washington, D.C	Business Bankruptcy Committee Meeting	Transportation, meals, hotel, registration
4. National Conference of Bankruptcy Judges	October 29 - November 2, 2019	Washington, D.C	Judges conference	Hotel
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Seattle Preparatory Academy	Tuition	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. iShares Currency Hedged MSCI EAFE (HEFA) Exchange-Traded Fund	B	Dividend	K	T	Buy (add'l)	11/14/19	J		
2. Fidelity® Government Cash Reserves (FDRXX) Money Market Fund	A	Dividend	J	T					
3. American Beacon Bridgeway Lg Cp Val Inst (BRLVX) Open-End Fund	B	Dividend	K	T	Sold (part)	11/11/19	J	C	
4. American Funds Capital World Bond F2 (BFWFX) Open-End Fund	A	Dividend	K	T	Sold	03/12/19	K	A	
5.					Buy	04/22/19	K		
6.					Buy (add'l)	04/24/19	K		
7. American Funds Europacific Growth F2 (AEPFX) Open-End Fund	B	Dividend	K	T	Sold (part)	11/11/19	J	B	
8. Dodge & Cox Income (DODIX) Open-End Fund	A	Dividend	K	T					
9. Fidelity® Contrafund® (FCNTX) Open-End Fund	A	Dividend	K	T	Sold (part)	11/11/19	J	A	
10. Fidelity® Interm Muni Inc (FLTMX) Open-End Fund	A	Dividend	K	T	Buy (add'l)	03/12/19	J		
11. Loomis Sayles Bond Instl (LSBDX) Open-End Fund	B	Dividend	K	T	Sold	03/12/19	J	A	
12.					Buy	04/22/19	K		
13.					Sold (part)	11/11/19	J		
14. Loomis Sayles Bond Retail (LSBRX) Open-End Fund	A	Dividend			Sold	03/12/19	J		
15. Merger Institutional (MERIX) Open-End Fund	A	Dividend	K	T	Sold (part)	03/12/19	K	A	
16.					Buy (add'l)	04/22/19	K		
17.					Buy (add'l)	11/11/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Merger Investor (MERFX) Open-End Fund		None			Sold	03/12/19	J	A	
19. Metropolitan West Total Return Bd I (MWTIX) Open-End Fund	B	Dividend	L	T	Sold (part)	03/12/19	K		
20.					Buy (add'l)	11/11/19	K		
21. Metropolitan West Total Return Bd M (MWTRX) Open-End Fund	A	Dividend	J	T	Sold (part)	11/11/19	J	A	
22. Northern Small Cap Value (NOSGX) Open-End Fund	B	Dividend	K	T	Buy (add'l)	11/11/19	J		
23. PIMCO CommoditiesPLUS® Strategy Instl (PCLIX) Open-End Fund	A	Dividend	K	T					
24. PIMCO Commodity Real Ret Strat Instl (PCRIX) Open-End Fund	A	Dividend	J	T					
25. Royce Total Return Invmt (RYTRX) Open-End Fund	B	Dividend	J	T					
26. T. Rowe Price High Yield Adv (PAHIX) Open-End Fund	A	Dividend			Sold	03/12/19	J		
27. T. Rowe Price International Discovery (PRIDX) Open-End Fund	A	Dividend	K	T	Buy (add'l)	03/12/19	J		
28. T. Rowe Price Real Estate (TRREX) Open-End Fund		None			Sold	03/12/19	K	D	
29. Templeton Global Bond Adv (TGBAX) Open-End Fund	C	Dividend	K	T	Buy	03/12/19	K		
30.					Sold	03/12/19	K	A	
31.					Buy (add'l)	11/11/19	J		
32. Vanguard Inflation-Protected Secs Inv (VIPSX) Open-End Fund	A	Dividend	J	T					
33. Berkshire Hathaway Inc B (BRK.B) Stock		None	K	T	Sold (part)	11/11/19	J	B	
34. CA Scholarshare 529 Plan Passive Age-Based Portfolio 13-14 (X)		None	J	T					

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35. CA Scholarshare 529 Plan Passive Diversified Equity Portfolio		None	J	T					
36. iPath® S&P 500 VIX ST Futures™ ETN (VXX)		None	J	T	Buy (add'l)	03/12/19	J		
37.					Buy (add'l)	03/12/19	J		
38. American Beacon Bridgeway Lg Cp Val Y (BWLYX) Open-End Fund		None			Sold	11/11/19	J	A	
39. iShares 0-5 Year TIPS Bond ETF (STIP)	A	Dividend	J	T					
40. iShares Russell 2000 Growth ETF (IWO)	A	Dividend			Buy (add'l)	03/12/19	J		
41.					Sold	11/11/19	K	C	
42. PIMCO Short-Term Instl (PTSHX) Open-End Fund	A	Dividend	K	T					
43. Prudential High-Yield Z (PHYZX) Open-End Fund	B	Dividend	K	T	Buy (add'l)	03/12/19	J		
44.					Sold (part)	11/11/19	J		
45. Vanguard Global ex-US Real Est ETF (VNQI)	C	Dividend	K	T	Sold (part)	11/11/19	J	A	
46. Fidelity® International Index (FSPSX) Open-End Fund	A	Dividend	J	T	Sold (part)	09/24/19	J	A	
47.					Sold	11/11/19	J		
48. T. Rowe Price Overseas Stock (TROXS) Open-End Fund	A	Dividend	K	T	Buy (add'l)	03/12/19	J		
49. Goldman Sachs Em Mkts Eq Insights Intl (GERIX) Open-End Fund	A	Dividend	K	T	Sold (part)	03/12/19	K		
50.					Sold (part)	11/11/19	J		
51. T. Rowe Price Emerging Markets Stock (PRMSX) Open-End Fund	A	Dividend	K	T	Buy (add'l)	03/12/19	J		

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52.					Buy (add'l)	11/11/19	J		
53. Pioneer Short Term Income Y (PSHYX) Open-End Fund	B	Dividend	L	T	Buy (add'l)	03/12/19	J		
54.					Buy (add'l)	11/01/19	J		
55.					Buy (add'l)	11/01/19	K		
56.					Sold (part)	11/11/19	J	A	
57.					Sold (part)	11/11/19	J		
58. Fidelity® Large Cap Growth Idx (FSPGX) (X) Open-End Fund	A	Dividend	K	T	Sold (part)	03/12/19	J	D	
59.					Sold (part)	11/11/19	K		
60. T. Rowe Price Blue Chip Growth (TRBCX) Open-End Fund	A	Dividend	K	T					
61. Cohen & Steers Real Estate Securities I (CSDIX)	B	Dividend	K	T	Buy	03/12/19	K		
62. Fidelity® 500 Index (FXAIX)	A	Dividend	K	T	Buy	11/11/19	J		
63.					Buy	11/11/19	K		
64. Fidelity® Small Cap Growth Index (FECGX)	A	Dividend	K	T	Buy	11/11/19	K		
65. PIMCO CommoditiesPLUS® Strategy A (PCLAX)	A	Dividend	J	T	Buy	11/11/19	J		
66. Principal Real Estate Securities Inst (PIREX)	A	Dividend	K	T	Buy	03/12/19	K		
67.					Sold (part)	11/11/19	J	A	
68. Vanguard Inflation-Protected Secs Adm (VAIPX)	A	Dividend	J	T	Buy	03/12/19	J		

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3 Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Row 34: "CA ScholarShare 529 Plan Passive Age-Based Portfolio 13-14" was listed in the 2018 Financial Disclosure as "CA ScholarShare 529 Plan Passive Age-Based Portfolio 11-12." This investment is an age-based portfolio which is automatically exchanged by the ScholarShare Program in conjunction with the beneficiary's birthday.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christopher M. Alston**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544