

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Furman, Jesse M.	2. Court or Organization U.S. District Court, NY-S	3. Date of Report 08/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Thurgood Marshall United States Courthouse 40 Centre Street, Room 2202 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Co-Executor	Estate #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Flagstar Bank	Mortgage on rental property, Providence, RI (pt.VII, line 18)	M
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MF Est LLC	H1	Dividend	P1	U					
2. MF Est L.P.	D	Int./Div.	N	U					
3. Monroe Avenue Associates, LLC	D	Dividend	J	U					
4. Ashland Greenup LLC	A	Dividend	J	U					
5. AFMB Russell, LLC	D	Dividend	J	U					
6. Kim Fur Retail Holdings LLC	D	Dividend	P1	U					
7. Southgate Associates L.P.	A	Dividend	J	U					
8. Peco Rho LLC	A	Dividend	M	U					
9. MJS Ponce II LP	A	Dividend	J	U					
10. MJS Ponce III LP	A	Dividend	J	U					
11. MFB Ashland Parcel 5 LLC	B	Dividend	J	U					
12. 319 Stanhope LLC	E	Dividend	M	U					
13. Rho Capital Partners 1999 L.P	A	Dividend	M	U					
14. Jay Furman Family LLC	A	Dividend	L	U					
15. Jay Furman Investors LLC	D	Dividend	L	U					
16. Akiva Armon, Personal Loan - Note Receivable		None	L	T					
17. Christina Ponsa, Personal Loan - Note Receivable		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Rental Property, Providence RI (2011) \$305,000	E	Rent	N	R					
19. Vanguard 500 Index Fund	D	Dividend	N	T					
20. Vanguard Total Bond Mkt Index Fund	B	Dividend	L	T					
21. Vanguard Prime Money Mkt Fund	E	Dividend	O	T	Sold (part)	10/04/19	P1	A	
22. Vanguard European Stock Index Adm	F	Dividend	P1	T					
23. Vanguard 500 Index Fund (IRA #1)	B	Dividend	L	T					
24. Vanguard 500 Index Admiral (IRA #2)	A	Dividend	L	T					
25. JP Morgan Cash Equivalent (Inherited IRA #1)	A	Interest	M	T					
26. Citibank Cash Equivalent Bank Accounts	B	Interest	P1	T					
27. TD Ameritrade (H)									
28. - TD Ameritrade Insured Deposit Account	C	Interest	P1	T					
29. -Vanguard FTSE SMCAP ETF	E	Dividend	O	T					
30. -Vanguard All wrld Ex US ETF	E	Dividend	O	T					
31. JP Morgan Chase Bank Account	A	Interest	N	T					
32. Morgan Stanley Private Bank NA Money Market Fund	A	Int./Div.	J	T					
33. iShares MSCI Emerging Mkts ETF	B	Dividend	L	T					
34. Vanguard Long Term Bond Index Fund (Retirement)	D	Dividend	M	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Vanguard Intermediate - Term Bond Index Fund (Retirement)	A	Dividend	M	T					
36. Vanguard Extended Market Index Fund (Retirement)	A	Dividend	M	T					
37. Vanguard 500 Inst Index Fund (Retirement)	D	Dividend	M	T					
38. Mass Mutual Financial Group Guaranteed Cash Account (Retirement)	A	Interest	K	T					
39. Blackrock Bond Index Fund (Retirement)	A	Dividend	K	T					
40. Mass Mutual S&P 500 Index Fund (Retirement)	B	Dividend	L	T					
41. Mass Mutual Mid Cap Index Fund (Retirement)	A	Dividend	K	T					
42. Mass Mutual Rsk 2000 Small Cap Index Fund (Retirement)	A	Dividend	K	T					
43. Mass Mutual MSCI EAFE International Index Fund (Retirement)	B	Dividend	K	T					
44. MFB IV, Personal Loan - Note Receivable (X)		None	P1	T					
45. Estate #1 (H) (X)									
46. -Citibank (X)	A	Interest							
47. -Deutsche Bank Muni Account (X)	F	Interest			Sold	06/30/19	P1	E	
48. -Deutsche Bank Parametric Account (X)	E	Dividend			Sold	06/30/19	P1	C	
49. -Deutsche Bank SMID Account (X)	C	Dividend			Sold	06/30/19	N	A	
50. -Deutsche Bank Main Account (X)	E	Dividend			Sold	06/30/19	O	A	
51. -Greycroft Managers II, LLC (X)	A	Int./Div.	M	U					

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52. -Greycroft Managers III, LLC (X)	A	Int./Div.	M	U					
53. -Greycroft Growth, LLC (X)	A	Int./Div.	M	U					
54. -GS Taxable Fixed Income Managers (X)	A	Distribution	J	U					
55. -Greycroft Partners Annex Fund, L.P. (X)	E	Distribution	M	U					
56. -Greycroft Partners II, L.P. (X)	A	Distribution	N	U					
57. -Greycroft Partners III, L.P. (X)	F	Distribution	O	U					
58. -Greycroft Growth, L.P. (X)	E	Distribution	O	U					
59. -Frontier Payments LLC (X)	C	Distribution	J	U					
60. -Hunting Lane SPV I, LLC (X)	D	Distribution	J	U					
61. -Rho Ventures IV (QP), L.P. (X)	A	Distribution	L	U					
62. -Sterling American IV-B, LP (X)	A	Distribution	J	U					
63. -Property 1, NY, NY (2019) (X)		None	P1	Q					
64. -Property 2, NY, NY (X)		None			Sold	11/01/19	P1	A	
65. -Property 3, NY, NY (2019) (X)		None	N	Q					
66. -JPM Estate Account (X)	A	Interest	O	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The 2019 K-1 statements for MF Est. L.P, Monroe Avenue Associates LLC, Ashland Greenup LLC, AFMB Russell LLC, KIM-FUR Retail Holdings LLC, Soughtghate Associates LP, Peco Rho LLC, MJS Ponce II LP, MJS Ponce III LP, MFB Ashland and Parcel 5 LLC, Stanhope LLC, Rho Capital Partners 1999 L.P., Jay Furman Investors LLC, and Jay Furman Family LLC are not yet available; accordingly, the 2018 K-1 statements were used.

2019 K-1 Statement for Hunting Lane SPV I, LLC and Frontier Payments, LLC are not yet available; accordingly estimates were used.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jesse M. Furman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544