

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kenney, Sr., Chad F.	2. Court or Organization U.S. District Court - Eastern District of Pennsylvania	3. Date of Report 01/29/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 601 Market Street Philadelphia, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2003	Commonwealth of Pennsylvania (defined benefit pension plan)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Commonwealth of Pennsylvania (pension)	\$76,197.72
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Department of Education, Federal Loan Servicing	Student Loans	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. INDIVIDUAL HOLDINGS (H)									
2. Citizen's Bank (cash)	A	Interest	J	T					
3. Fidelity 500 Index Premium (FUSVX) (cash eq.)	A	Int./Div.	K	T					
4. IRA #1 (H)									
5. FIDELITY GOVERNMENT CASH RESERVES (FDRXX) (CASH EQUIV)	A	Dividend	J	T					
6. DELAWARE DIVERSIFIED INCOME FD CL A (DPDFX) (X)	A	Dividend			Sold	09/19/19	J	A	
7. PIMCO ETF TRUST ENHANCED SHORT (MINT)	B	Dividend	L	T	Buy	01/28/19	K		
8.					Buy (add'l)	09/20/19	L		
9. ALPHABET INC CAP STK CL A (GOOGL)		None			Buy (add'l)	02/14/19	J		
10.					Sold (part)	05/17/19	J		
11.					Sold	09/09/19	J		
12. AMGEN INC (AMGN)	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
13. APPLE INC (AAPL)	A	Dividend	K	T	Buy (add'l)	02/14/19	J		
14. BECTON DICKINSON CO (BDX)	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
15. BERKSHIRE HATHAWAY INC DEL CL B NEW (BRKB)		None	J	T	Buy (add'l)	02/14/19	J		
16. BEST BUY INC (BBY)	A	Dividend	K	T	Buy (add'l)	02/14/19	J		
17. BOEING CO (BA)	A	Dividend	J	T	Buy (add'l)	02/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18.					Sold (part)	05/16/19	J		
19. BOOKING HLDGS INC COM (BKNG)		None	J	T	Buy	03/04/19	J		
20. BROADRIDGE FINANCIAL SOLUTIONS LLC (BR)	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
21. BUNZL SPON ADR EACH REP 1 ORD (BZLFY)	A	Dividend	J	T	Buy	12/05/19	J		
22. CISCO SYS INC COM (CSCO)	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
23.					Sold (part)	05/16/19	J		
24. CUMMINS INC (CMI)	A	Dividend			Buy (add'l)	02/14/19	J		
25.					Sold (part)	05/16/19	J	A	
26.					Sold	12/05/19	J	B	
27. DANAHER CORP COM (DHR)	A	Dividend	K	T	Buy (add'l)	02/14/19	J		
28. DISCOVER FINL SVCS (DFS)	A	Dividend	K	T	Buy (add'l)	02/14/19	J		
29. DOLLAR TREE INC COM (DLTR)		None	J	T	Buy (add'l)	02/14/19	J		
30. EOG RESOURCES INC (EOG)	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
31. ECOLAB INC (ECL)	A	Dividend	K	T	Buy (add'l)	02/14/19	J		
32. EXXON MOBIL CORP (XOM)		None			Buy (add'l)	02/14/19	J		
33.					Sold	05/08/19	J	A	
34. FEDEX CORP COM (FDX)	A	Dividend	J	T	Buy	01/18/19	J		

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35.					Buy (add'l)	02/14/19	J		
36. FORTIVE CORP COM (FTV)	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
37. NEXTERA ENERGY INC COM (NEE)	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
38. NORTHERN TR CORP (NTRS)	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
39. NUTRIEN LTD COM (NTR)	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
40. S&P GLOBAL INC COM (SPGI)	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
41. SS&C TECHNOLOGIES HLDGS INC COM (SSNC)	A	Dividend	J	T	Buy	09/09/19	J		
42. SCHLUMBERGER LIMITED COM (SLB)	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
43. TOTAL SA SPON ADS EA REP 1 ORD SHS (TOT)	A	Dividend	J	T	Buy	05/08/19	J		
44. ULTA BEAUTY INC COM (ULTA)		None	J	T	Buy (add'l)	02/14/19	J		
45. WALMART INC COM (WMT)	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
46.					Sold (part)	05/17/19	J	A	
47. SIMON PPTY GRP INC (SPG)	A	Dividend	J	T	Buy	11/13/19	J		
48. TANGER FACTORY OUTLET CTRS INC (SKT)		None			Buy (add'l)	02/14/19	J		
49.					Sold	11/13/19	J	B	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Chad F. Kenney, Sr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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