

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Magnuson, Paul A.                                                                                                                    | <b>2. Court or Organization</b><br><br>U.S. District Court, Minnesota                                                                                                                                                                                                                    | <b>3. Date of Report</b><br><br>04/14/2020                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S. District Judge, Senior                                                   | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2019<br>to<br>12/31/2019 |
| <b>7. Chambers or Office Address</b><br><br>734 Burger Federal Courts Building<br>316 North Robert Street<br>Saint Paul, MN 55101                                                                         |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u>                                |
|-----------------|-------------------------------------------------------------------|
| 1. Advisor      | International Council for Court Administrators - Advisory Council |
| 2. Director     | United States Russia Foundation                                   |
| 3. Advisor      | Dakota County Bar Association                                     |
| 4.              |                                                                   |
| 5.              |                                                                   |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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Name of Person Reporting

Magnuson, Paul A.

Date of Report

04/14/2020

## III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

### A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br><small>(yours, not spouse's)</small> |
|-------------|------------------------|-------------------------------------------------------|
| 1.          |                        |                                                       |
| 2.          |                        |                                                       |
| 3.          |                        |                                                       |
| 4.          |                        |                                                       |

### B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

## IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

|    | <u>SOURCE</u>                          | <u>DATES</u>            | <u>LOCATION</u>  | <u>PURPOSE</u>    | <u>ITEMS PAID OR PROVIDED</u> |
|----|----------------------------------------|-------------------------|------------------|-------------------|-------------------------------|
| 1. | United States Russia Foundation (USRF) | 02/06/2019 - 02/07/2019 | Longboat Key, FL | Directors Meeting | Transportation, room, meals   |
| 2. | United States Russia Foundation (USRF) | 06/17/2019 - 06/18/2019 | Riga, Latvia     | Directors Meeting | Transportation, room, meals   |
| 3. |                                        |                         |                  |                   |                               |
| 4. |                                        |                         |                  |                   |                               |
| 5. |                                        |                         |                  |                   |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Magnuson, Paul A.        | 04/14/2020     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Hartford Growth Opp Fund-A IRA                                                                                          | E                                      | Dividend                                      | M                                              | T                                         | Sold<br>(part)                                  | 12/01/19                | K                               | E                              |                                                                   |
| 2. H.B. Fuller Common Stock                                                                                                | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Hartford Balanced Fund Income/A IRA                                                                                     | A                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Hartford Growth Opp (N.Q.) (Non-IRA)                                                                                    | C                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Hartford Balanced Income (N.Q.) (Non-IRA)                                                                               | B                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Metropolitan Life Insurance Total Control Account                                                                       |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Morgan Stanley Bank N.A.                                                                                                | A                                      | Int./Div.                                     | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Fidelity Adv Strat Inc I                                                                                                | B                                      | Dividend                                      | K                                              | T                                         | Sold<br>(part)                                  | 01/07/19                | J                               |                                |                                                                   |
| 9. Franklin Rising Dividends Adv                                                                                           | A                                      | Dividend                                      | K                                              | T                                         | Sold<br>(part)                                  | 06/06/19                | J                               |                                |                                                                   |
| 10. Gabelli Small Cap Growth                                                                                               |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. Franklin Mutual Glb Disc Z                                                                                             | A                                      | Dividend                                      | K                                              | T                                         | Sold<br>(part)                                  | 06/06/19                | J                               |                                |                                                                   |
| 12. American New World F2                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Invesco Opp Value (f/k/a Oppenheimer)                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. American Small Cap World F2                                                                                            |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. Templeton Emerg Mkts Sm Cp Adv                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. Templeton Global Bd Fd Adv                                                                                             | B                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. Thornburg Inv Inc Builder I                                                                                            | B                                      | Dividend                                      | L                                              | T                                         | Sold<br>(part)                                  | 06/06/19                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Brooklyn Center Minn ISD No 2                                                                                          | B                                      | Int./Div.                              | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 19. AXA Equitable/Equitable Accumulator                                                                                    |                                        | None                                   | O                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 20. Bank of China CD (2.45%)                                                                                               | A                                      | Int./Div.                              |                                                |                                    | Sold                                      | 04/14/19         | K                        |                         |                                                            |
| 21. Bank of China CD (2.55%)                                                                                               | A                                      | Int./Div.                              |                                                |                                    | Sold                                      | 07/15/19         | K                        |                         |                                                            |
| 22. Goldman Sachs CD                                                                                                       | A                                      | Int./Div.                              | K                                              | T                                  | Buy                                       | 07/23/19         | K                        |                         |                                                            |
| 23. Putnam Ultra Sort                                                                                                      | A                                      | Int./Div.                              | K                                              | T                                  | Buy                                       | 07/23/19         | K                        |                         |                                                            |
| 24. Proshares Trust S&P                                                                                                    | A                                      | Int./Div.                              | K                                              | T                                  | Buy                                       | 06/06/19         | K                        |                         |                                                            |
| 25. Safra National Bank CD                                                                                                 | A                                      | Interest                               |                                                |                                    | Buy                                       | 07/15/19         | K                        |                         |                                                            |
| 26.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 11/18/19         | K                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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|                                 |                       |
|---------------------------------|-----------------------|
| <b>Name of Person Reporting</b> | <b>Date of Report</b> |
| <b>Magnuson, Paul A.</b>        | 04/14/2020            |

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Paul A. Magnuson**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544