

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) RASURE, DANA L.	2. Court or Organization U.S. BANKR. COURT, N.D. OF OK	3. Date of Report 04/21/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge-full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address THE FEDERAL BUILDING 224 SOUTH BOULDER AVENUE TULSA, OKLAHOMA 74103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Revocable Trust #1
2. Trustee	Revocable Trust #2
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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RASURE, DANA L.	04/21/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch - cash, money accounts	A	Interest	L	T					
2. Invesco International Mutual Fund	A	Dividend	K	T					
3. Invesco Oppenheimer Global Fund A Mutual Fund (IRA)	A	Dividend	K	T					
4. Invesco Oppenheimer Global Fund A Mutual Fund (IRA)	A	Dividend	K	T					
5. Mass Mutual Whole Life Ins. (856)	D	Dividend	M	T					
6. Mass Mutual Whole Life Ins. (90)	D	Dividend	N	T					
7. Mass Mutual Whole Life Ins. (43)	D	Dividend	N	T					
8. Royal Dutch Shell - Spons ADR B	B	Dividend	K	T					
9. Royal DutchShell - Spons ADR A	B	Dividend	K	T					
10. MFS Growth Mutual Fund, A class shares	A	Dividend	K	T					
11. Invesco Oppenheimer Global Opportunities Fund A		None	L	T					
12. Invesco Oppenheimer Int'l Equity Fund A fka Opp Int'l Fund A	A	Dividend	K	T	Buy (add'l)	02/15/19	K		
13. Invesco Oppenheimer Developing Markets Fund A	A	Dividend	K	T					
14. Invesco Oppenheimer Intl. Small Mid Co. Fund A	A	Dividend	L	T	Sold (part)	02/15/19	K		
15. Invesco Oppenheimer Global Opportunities Fund A		None	L	T					
16. Invesco Oppenheimer Developing Markets Fund A	A	Dividend	J	T					
17. Invesco Oppenheimer Int'l. Small Mid Co. Fund A	A	Dividend	L	T	Sold (part)	02/13/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. Amer Fund Wash Mut Investors Fund	B	Dividend	M	T					
19. UBS Fin Serv (cash, money accounts)	A	Interest	J	T					
20. UBS Fin Serv (cash & equiv)	A	Interest	J	T					
21. Johnson Cnty KS Uni Sch Dist (4.0%)	A	Interest	J	T					
22. American Fund: Capital Inc. Builder Fund A	A	Dividend	J	T					
23. Davis Fin. Fd. Cl.	A	Dividend	J	T					
24. American Fund: New Perspective Fd Cl. A	A	Dividend	J	T					
25. Putnam Global Nat'l Res A (fka Putnam Global Res Fd A)	A	Dividend	J	T					
26. Invesco Am. Franchise Fd CL A		None	K	T					
27. Invesco Oppenheimer Int'l Growth Fund A	A	Dividend	K	T					
28. Invesco Oppenheimer Int'l Equity Fund A fka Opp Int'l Value Fund	A	Dividend	K	T	Buy (add'l)	02/13/19	K		
29. UBS Fin Serv FHR 2693 MD-Gov Collateralized Mortgage Obligation	A	Dividend	J	T					
30. Fidelity Trend Fund fka Fidelity Trend	A	Dividend	K	T	Sold (part)	10/23/19	K	B	
31. DRRB Nebraska, LLC -- Property located in Ord, NE	E	Rent	P1	U					
32. BOK Fin. Securities Accts fka BOSCO, Inc. (BOKF)	E	Interest	P1	T					
33. DRRB Nebraska, LLC cash, money accounts at Bank of Oklahoma		None	J	T					
34. RBDR Idaho, LLC cash, money accounts at Bank of Oklahoma		None	J	T					

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35. Bank of Oklahoma cash equivalent account	A	Interest	L	T					
36. Wells Fargo Advisors cash/sweep balance acct #1	A	Interest	J	T					
37. Wells Fargo Advisors cash/sweep balance acct #2	E	Interest	P1	T					
38. Wells Fargo Advisors cash/sweep balance acct #3	A	Interest	K	T					
39. First Trust Value Line Target Safely (Unit Investm Tr)	A	Dividend	K	T	Buy	10/23/19	K		
40. ABBOTT LABORATORIES	A	Dividend	K	T					
41. ALPHABET INC		None	K	T	Buy (add'l)	05/20/19	K		
42. AMAZON COM INC		None	K	T					
43. AMERICAN TOWER REIT COM	A	Dividend	K	T					
44. AMERICAN WATER WORKS CO INC		None	K	T					
45. APPLE INC	A	Dividend	K	T					
46. ASTRAZENECA PLC		None	K	T	Buy	10/29/19	K		
47. BALL CORP	A	Dividend			Sold (part)	10/04/19	J	C	
48.					Sold	11/18/19	J	B	
49. CHEVRON CORP	A	Dividend			Buy	05/20/19	K		
50.					Sold	10/04/19	K	A	
51. CHURCH & DWIGHT INC	A	Dividend	K	T					

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52. CISCO SYS INC	A	Dividend	J	T					
53. CLOROX COMPANY	A	Dividend	J		Sold	05/02/19	K	A	
54. COCO-COLA EUROPEAN PARTNERS PLC	A	Dividend	J	T					
55. COSTCO WHSL CORP	A	Dividend	K	T	Buy	10/23/19	K		
56. ELI LILLY & CO	A	Dividend			Sold	10/23/19	K	A	
57. ESTEE LAUDER CO INC CL A	A	Dividend	K	T					
58. FIDELITY ADV EMERG MKTS (X)	A	Dividend			Sold	05/20/19	K	A	
59. FIRST ENERGY CORP	B	Dividend	K	T					
60. FIRST TR DORSEY ETF	A	Dividend	K	T	Buy	05/20/19	K		
61. FIRST TR ENHANCED ETF		None			Sold	01/09/19	L	A	
62. FIRST TR FD IV ETF NORTH AMERICAN ENERGY	A	Dividend	K	T	Buy	11/20/19	K		
63. HELEN OF TROY LIMITED		None			Sold	01/30/19	K	A	
64. HOME DEPOT INC	A	Dividend	J	T	Buy (add'l)	05/20/19	J		
65. INVESCO TR II S&P ULTRA (f/k/a Oppenheimer S&P ETF)	A	Dividend	K	T					
66. I SHARES EMERG MKTS ETF		None			Buy	01/10/19	K		
67.					Sold	05/14/19	K	A	
68. JACK HENRY & ASSOC INC	A	Dividend	K	T	Buy	1/7/19	K		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. MARSH & MCLENNAN CO INC	A	Dividend	K	T					
70. MICROSOFT CORP	A	Dividend	K	T	Buy (add'l)	05/20/19	J		
71. ONEOK INC	B	Dividend	J	T	Buy (add'l)	05/20/19	J		
72. PFIZER INC	A	Dividend			Sold	05/15/19	K	A	
73. PROGRESSIVE CORP OHIO	A	Dividend	K	T	Buy	01/07/19	K		
74. STEELPATH MLP FDS TR	B	Dividend			Buy	02/04/19	K		
75.					Buy (add'l)	05/20/19	J		
76.					Sold	11/21/19	K	A	
77. TAIWAN SMCNDCTR MFG CO LOTD ADR	A	Dividend	K	T					
78. THERMO FISHER SCIENTIFIC INC	A	Dividend	K	T					
79. VERIZON COMMUNICATIONS COM	A	Dividend	K	T					
80. WEC ENERGY GROUP INC	A	Dividend	K	T	Buy	10/29/19	K		
81. WESTERN DIGITAL CORP		None	K	T	Buy	10/29/19	K		
82. XILINX INC	A	Dividend			Sold	10/04/19	K	B	
83. YUM BRANDS INC	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re: Part VII. All assets of the two revocable trusts described in Part I are included in Part VII.

Re: Part VII, Lines 3-4, 11-17, and 27-28: In 2019, Invesco Ltd. acquired Oppenheimer Funds, Inc. and Oppenheimer Funds were reorganized into a new Invesco fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DANA L. RASURE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544