

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ST. EVE, AMY J.	2. Court or Organization U.S. Circuit Court, Seventh Circuit	3. Date of Report 6/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 219 South Dearborn Street Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	Northwestern Law School
2. Board Member	Federal Bar Association -- Chicago Chapter
3. Member	Public Safety Commissioner
4. Board Member	Posse Foundation Chicago
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Northwestern Law School (teaching)	\$2,000.00
2. 2019	Thompson Reuters (payment for editing chapters in a treatise)	\$3,618.00
3. 2019	Loyola School of Law (teaching)	\$3,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Northwestern Medical Faculty Foundation
2. 2019	Interventional Consultants, LLC
3. 2019	U.S. Government - Department of Veteran's Affairs
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				
6.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Fidelity Ginnie Mae Mutual Fund	A	Dividend	K	T					
2. Fidelity Magellan Mutual Fund (monthly purchase of \$250)	D	Dividend	M	T					
3. Fidelity Low Priced Stock Mutual Fd. (monthly purchase \$250)	E	Dividend	M	T					
4. Fidelity Municipal Money Market Account	A	Interest	K	T					
5. Chase (Cash Accounts)	B	Interest	N	T					
6. Abbott Laboratories Common Stock	B	Dividend	L	T					
7. Fidelity Contrafund (Monthly purchase of \$250)	D	Dividend	M	T					
8. Fidelity Select Biotech		None	J	T					
9. McDonalds Corp. CS	A	Dividend	K	T					
10. Ameriprise Insured Money Market	A	Interest	J	T					
11. Fidelity Strategic Dividend/Income	C	Dividend	K	T					
12. 529 Unique College Investing Plan (age based)(See Note 1 In Part VIII)	D	Interest	O	T					
13. Fidelity Health Care Services	E	Dividend	L	T					
14. Fidelity Balanced	B	Dividend	J	T					
15. Fidelity New Markets Income Mutual Fund		None			Sold	03/15/19	J		
16. Bayer	D	Dividend	K	T					
17. Manchester United		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Invesco American Franchise Mutual Fund	A	Dividend	K	T					
19. Invesco European Growth Fund	A	Dividend	J	T					
20. Facebook		None	K	T	Sold (part)	10/22/19	J	D	
21. Palo Alto Networks		None	K	T					
22. Chevron	A	Dividend	K	T					
23. JP Morgan	C	Dividend	M	T	Buy (add'l)	10/23/19	J		
24. Pfizer	A	Dividend			Sold	09/27/19	J	B	
25. AbbVie	A	Dividend			Sold	06/27/19	J	C	
26. Lennar	A	Dividend	K	T					
27. Post		None	K	T					
28. Nutrien	A	Dividend			Sold	12/16/19	J	A	
29. Union Pacific Railroad	A	Dividend	K	T					
30. Halliburton		None			Sold	02/08/19	J	A	
31. Fidelity Gov't Cash Reserves	A	Interest	L	T					
32. Alphabet Inc. Cap Stk Class A	B	Dividend	L	T					
33. Amazon		None	M	T					
34. Box Inc.		None			Sold	06/27/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Apple Inc.	B	Dividend	M	T					
36. IXG	A	Dividend			Sold	04/15/19	J		
37. Berkshire Hathaway Inc. B		None	M	T					
38. Clorox Co.	A	Dividend	J	T					
39. Goldman Sachs Group Inc.	B	Dividend	K	T					
40. Starbucks Corp.	A	Dividend	K	T					
41. Visa Inc.	B	Dividend	L	T					
42. Select Sector Spdr Tr Health Care	B	Dividend	M	T					
43. Vanguard Target 2030 (See Note 2in Part VIII)	C	Dividend	N	T					
44. Vanguard Index FDS/Vanguard Growth ETF	C	Dividend	N	T	Buy (add'l)	02/28/19	J		
45.					Buy (add'l)	07/30/19	J		
46. Vanguard Index FDS/Vanguard Small Cap Fund	B	Dividend	M	T					
47. Vanguard Specialized Funds Div App ETF	C	Dividend	M	T	Buy (add'l)	10/23/19	J		
48. Morgan Stanley CD	A	Interest	K	T					
49. Vanguard Index Funds High Dividend Yield	B	Dividend	L	T	Sold (part)	02/28/19	J		
50.					Sold (part)	10/17/19	J		
51. Amgen Inc.	A	Dividend	J	T					

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52. EOG Energy	A	Dividend			Sold	02/18/19	J		
53. Freeport McMoran	A	Dividend			Sold	10/15/19	J		
54. JP Morgan Growth & Income Fund	B	Dividend	J	T	Sold (part)	10/15/19	J		
55. PNC Financial	A	Dividend	J	T					
56. Pioneer National Resources	A	Dividend			Sold	10/15/19	J		
57. Undor Armour		None			Sold	03/05/19	J		
58. Fidelity Cash Reserves	A	Interest	L	T					
59. SPDR S&P Dividend ETF	A	Dividend	J	T					
60. Vanguard Whitehall FDS High Dividend 30K	C	Dividend	M	T					
61. Vanguard Index Funds Vanguard Value EFT	A	Dividend	J	T	Sold (part)	08/06/19	J		
62.					Sold (part)	08/22/19	J		
63. Vanguard Bond Index Total Bond Market ETF	A	Dividend	J	T					
64. Ishares Core US Aggregate Bond ETF		None	J	T					
65. Fidelity Gov Money Market	A	Interest	J	T					
66. Tetro-Westwood Mighty Mites	A	Dividend	J	T					
67. Citigroup	A	Dividend	K	T					
68. Walt Disney Company	A	Dividend	K	T	Buy (add'l)	02/12/19	J		

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69. Microsoft	A	Dividend	K	T					
70. Nike Inc.		None	J	T					
71. Paypal Hldgs Inc.		None	J	T					
72. Salesforce.com, Inc.		None	J	T					
73. Slack		None			Buy	06/20/19	J		
74.					Sold	09/27/19	J		
75. Blackrock Munciple Fund`	A	Interest	K	T	Buy	03/04/19	K		
76. Bunge Limited		None	J	T	Buy	12/27/19	J		
77. Marvel Entertainment		None	J	T	Buy	12/16/19	J		
78. Federated Prime Obligation Money Market	A	Interest	L	T	Buy	03/05/19	L		
79. Vanguard ETF Small Cap Fund	A	Dividend	J	T	Buy	12/27/19	J		
80. State Street Corp		None	K	T	Buy	03/04/19	J		
81. Goldman Sachs CD	A	Interest	K	T	Buy	03/04/19	K		
82. Etsy Inc.		None	J	T	Buy	12/27/19	J		
83. Centene Corp.	A	Dividend	J	T	Buy	03/05/19	J		
84.					Buy (add'l)	04/05/19	J		
85. Boeing	A	Dividend			Buy	02/15/19	K		

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86.					Buy (add'l)	02/28/19	K		
87.					Sold (part)	07/30/19	K		
88.					Sold	08/06/19	J		
89. Ecolab Inc.		None			Buy	07/30/19	J		
90.					Sold	11/11/19	J		
91. Zoetis Inc.	A	Dividend	J	T	Buy	08/06/19	J		
92.					Buy (add'l)	08/22/19	J		
93. Scotts Miracle-Gro Co	A	Dividend	J	T	Buy	08/06/19	J		
94.					Buy (add'l)	08/22/19	J		
95.					Buy (add'l)	11/11/19	J		
96. ServiceMaster Global Holdings, Inc.		None			Buy	02/28/19	J		
97.					Sold	10/22/19	J		
98. Hilton Grand Vacations Inc.		None	J	T	Buy	02/28/19	J		
99. Hilton Worldwide Holdings Inc.	A	Dividend	J	T	Buy	02/28/19	J		
100. Park Hotels & Resorts	A	Dividend			Buy	02/28/19	J		
101.					Sold	08/22/19	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Notes

- 1. We made monthly contributions to these Fidelity 529 Education Plans. We contributed a total of \$2,000 monthly in 2019.
- 2. My spouse's employer makes automatic contributions to this fund as part of his retirement.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ AMY J. ST. EVE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544