

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Friedman, Paul L.	2. Court or Organization U.S. District Court	3. Date of Report 07/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse, Rm. 6012 333 Constitution Ave. N.W. Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|-------------------------|--|
| 1. Member and Secretary | American Law Institute: Member of the Council since 1998; member of the Executive Committee since 2001; Secretary since 2013 |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Friedman, Paul L.

Date of Report

07/13/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Retirement Income Pension Plan Administered by State Street Retiree Service - Pension Plan	\$22,509.00
2. 2019	Charles Schwab & Co., Inc., IRA Rollover Account - Required Minimum Distribution	\$176,600.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	- Retirement Plan Distribution
2. 2019	Charles Schwab & Co., Inc., IRA Rollover Account - Required Minimum Distribution
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Law Institute	01/16-01/18/2019	Philadelphia, PA	Meeting of ALI Council	Transportation, hotel, meals
2. American Bar Association	03/06-03/08/2019	New Orleans, LA	National Institute on White Collar Crime	Transportation, hotel, meals
3. American Law Institute	05/19-05/22/2019	Washington, DC	Annual Meeting	Transportation, meals
4. American Law Institute	10/15-10/18/2019	New York, NY	Meeting of ALI Council	Transportation, hotel, meals
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Friedman, Paul L.

Date of Report

07/13/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		Annual Banquet	\$400.00
2.		Concert and Banquet sponsored by the David Lynch Fdn	\$750.00
3.		Environmental Law Institute Annual Awards Dinner	\$500.00
4.		Frederick Douglass Awards Dinner	\$450.00
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Friedman, Paul L.

Date of Report

07/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT (H)									
2. -Gabelli Small Cap Fund	E	Dividend	L	T					
3. -Gabelli Asset Fund	B	Dividend	K	T					
4. -iShares Cohen & Steers Realty Majors Index Fund	B	Dividend	L	T					
5. -iShares Russell 1000 Growth Index Fund	B	Dividend	M	T					
6. -iShares Russell 2000 Growth Index Fund	A	Dividend	M	T					
7. -iShares Russell 2000 Value Index Fund	B	Dividend	L	T					
8. -Brown Capital Mgmt Small Company Fund	B	Dividend	K	T					
9. -SPDR S&P Dividend Fund	B	Dividend	L	T					
10. -Vanguard Long Term Tax Exempt Fund	A	Dividend	K	T					
11. -Vanguard Minicpal Bond Tax Exempt Fund	B	Dividend	L	T	Buy (add'l)	04/15/19	K		
12.					Buy (add'l)	07/15/19	J		
13.					Buy (add'l)	12/18/19	K		
14. -SPDR Nuveen Bloomberg Barclays Short Term Tax Exempt Fund	A	Dividend	K	T					
15. -Vanguard FTSE Developed Markets Fund	A	Dividend	K	T	Buy	12/23/19	K		
16. -iShares MSCI EAFE Fund	C	Dividend	L	T					
17. -iShares Russell 1000 Index Fund	A	Dividend	K	T	Buy	12/24/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

Friedman, Paul L.

Date of Report

07/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -iShares Russell MidCap Index Fund	A	Dividend	J	T	Buy	12/24/19	J		
19. IRA ACCOUNT #1 (H)									
20. -Longleaf Partners Small Cap Fund	A	Dividend			Sold (part)	03/12/19	K	D	
21.					Sold	04/12/19	M	A	
22. -Schwab Money Market Fund	A	Interest	J	T					
23. -American Funds EuroPacific Growth Fund	B	Dividend	L	T					
24. -T. Rowe Price Mid Cap Growth Fund	D	Dividend	M	T	Sold (part)	04/11/19	L	E	
25. -Dodge & Cox Stock Fund	E	Dividend	M	T	Sold (part)	03/12/19	K	D	
26.					Sold (part)	12/16/19	M	E	
27. -First Eagle Global Fund	D	Dividend	M	T					
28. -Loomis Sayles Investment Gr Bond Fund	D	Dividend	M	T					
29. -T. Rowe Price Capital Appreciation Fund	D	Dividend	M	T	Buy (add'l)	03/12/19	K		
30. -Vanguard PRIMECAP Core Fund	E	Dividend	M	T	Sold (part)	12/16/19	L	E	
31. -PRIMECAP Odyssey Stock Fund	A	Dividend	J	T					
32. -T. Rowe Price Growth Stock Fund	C	Dividend	L	T	Sold (part)	12/16/19	L	E	
33. -SPDR S&P Dividend Fund	C	Dividend	M	T					
34. -Dodge & Cox Income Fund	D	Dividend	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

Friedman, Paul L.

Date of Report

07/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Vanguard Corporate Bond Fund	C	Dividend	M	T	Buy (add'l)	03/12/19	K		
36.					Buy (add'l)	07/11/19	J		
37.					Buy (add'l)	12/18/19	L		
38.					Buy (add'l)	12/27/19	J		
39. -Vanguard Dividend Appreciation Fund	D	Dividend	N	T					
40. -American Express CD	B	Interest			Matured	11/29/19	L	A	
41. -Goldman Sachs Bank CD	B	Interest	K	T					
42. -Synchrony Bank CD	B	Interest	L	T					
43. -JP Morgan Equity Income Fund	D	Dividend	N	T					
44. -Schwab US Dividend Fund	D	Dividend	M	T					
45. -American Funds New World Fund	B	Dividend	L	T					
46. -JPMorgan Chase Bank CD	B	Interest	M	T					
47. -iShares S&P Small Cap 600 Fund	A	Dividend	L	T					
48. -SPDR S&P 600 Small Cap Fund	B	Dividend	L	T					
49. -Vanguard REIT Fund	C	Dividend	M	T					
50. -Vanguard Small Cap Fund	A	Dividend	J	T					
51. -Discover Bank CD	C	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

Friedman, Paul L.

Date of Report

07/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -US Treasury STRIP		None	M	T	Buy (add'l)	12/18/19	K		
53. -US Treasury STRIP		None			Matured	11/15/19	L	C	
54. -US Treasury STRIP		None	L	T					
55. -US Treasury STRIP		None	K	T					
56. -US Treasury STRIP		None	L	T	Buy (add'l)	12/18/19	K		
57. -US Treasury STRIP		None	M	T	Buy (add'l)	12/18/19	L		
58. -Vanguard International Growth Fund	A	Dividend	L	T					
59. -PRIMECAP Odyssey Aggressive Growth Stock Fund	D	Dividend	M	T	Buy	04/11/19	L		
60. -WisdomTree US MidCap Dividend Fund	A	Dividend	M	T	Buy	04/11/19	L		
61. IRA ACCOUNT #2 (H)									
62. -Schwab Money Market Fund	A	Interest	J	T					
63. -T. Rowe Price Mid Cap Growth Fund	D	Dividend	M	T	Sold (part)	12/16/19	J	C	
64. -T. Rowe Price Growth Stock Fund	D	Dividend	M	T	Sold (part)	03/13/19	J	B	
65.					Sold (part)	12/19/19	K	E	
66. -American Funds EuroPacific Growth Fund	A	Dividend	K	T					
67. -Brown Capital Mgmt Small Company Fund	C	Dividend	L	T					
68. -American Funds New World Fund	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

Friedman, Paul L.

Date of Report

07/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. -First Eagle Global Fund	C	Dividend	L	T					
70. -SPDR S&P Dividend Fund	C	Dividend	M	T					
71. -Dodge & Cox Income Fund	C	Dividend	L	T					
72. -Loomis Sayles Bond Fund	B	Dividend			Sold	03/13/19	L	A	
73. -Vanguard Small Cap Fund	B	Dividend	L	T					
74. -Vanguard Corporate Bond Fund	B	Dividend	L	T					
75. -Goldman Sachs Bank CD	A	Interest			Matured	08/15/19	K	A	
76. -Synchrony Bank CD	A	Interest	K	T					
77. -PRIMECAP Odyssey Stock Fund	A	Dividend	J	T					
78. -Schwab US Dividend Fund	C	Dividend	M	T					
79. -JPMorgan Chase Bank CD	A	Interest	K	T					
80. -Capital One Bank CD	A	Interest	K	T					
81. -Discover Bank CD	A	Interest	K	T					
82. -US Treasury STRIP		None	L	T					
83. -US Treasury STRIP		None	L	T	Buy (add'l)	12/18/19	J		
84. -US Treasury STRIP		None	L	T	Buy (add'l)	08/19/19	J		
85.					Buy (add'l)	12/18/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. -US Treasury STRIP		None			Buy	08/19/19	K		
87.					Matured	11/15/19	K	A	
88. -Loomis Sayles Investment Grade Bond Fd	B	Dividend	L	T	Buy	03/12/19	L		
89. MONEY MARKET ACCOUNTS (H)									
90. T. Rowe Price Tax Exempt Fd	B	Interest	L	T					
91. Schwab US Treasury Money Fd	A	Interest	K	T					
92. BROKERAGE ACCOUNT: Tax Exempt (H)									
93. -District of Columbia Revenue Bond	B	Interest	K	T					
94. -District of Columbia Revenue Bond	B	Interest	L	T					
95. -District of Columbia Revenue Bond	B	Interest	K	T					
96. -Maryland State General Obligation Bond	A	Interest	L	T					
97. -Maryland State General Obligation Bond	A	Interest	L	T					
98. STOCK WARRANTS (H)									
99. -Scios Nova Inc		None	J	T					
100. LIFE INSURANCE (H)									
101. Security Mutual Life Ins. Co. of NY Universal Life Policy	C	Dividend	M	T					
102.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.									
104.									
105.									
106.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Friedman, Paul L.

Date of Report

07/13/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 99: Scios Nova Inc stock warrants. Four Contingent Payment Rights issued to former limited partners of Nova Technology Limited Partnership in 1993.

Part VII, Line 101: Security Mutual Life Ins. Co. Of NY, Universal Life Policy. No underlying investment options.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Friedman, Paul L.

Date of Report

07/13/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Paul L. Friedman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544