

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Slomsky, Joel H.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 5/5/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 601 Market Street Philadelphia, Pennsylvania 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Dean's Distinguished Fellow	Villanova University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2019	Joel Harvey Slomsky Individual Retirement Account (IRA), control
2.	
3.	

Page 2 of 8

Slomsky, Joel H.

5/5/2020

☐ NONE (No reportable non-investment income.)

☐ NONE (No reportable non-investment income.)

☐ NONE (*No reportable reimbursements.*)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	New York Intellectual Property Lawyers Assn.	3/22-23/2019	New York, New York	Annual Event to Honor Federal Judges	Hotel, Food, Transportation, Seminar
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Slomsky, Joel H.

Date of Report

5/5/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Slomsky, Joel H.

Date of Report

5/5/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent , or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. --Vanguard Wellington Fund	D	Dividend	M	T					
3. --Verizon Communications Inc. (Common Stock)	B	Dividend	K	T					
4. --Franklin Income Fund	C	Dividend	L	T					
5. --iShares Preferred Stock Index Fund	C	Dividend	L	T					
6. --AT&T Inc. Common Stock	C	Dividend	L	T					
7. --Federated Prime Cash Obligations Fund	A	Dividend	L	T					
8. --Federated Prime Cash Obligations Fund					Distributed (part)	01/08/19	L		
9. --Wilshire Reit (ETF)	A	Dividend	K	T					
10. --Vanguard Wellsley Fund	C	Dividend	M	T					
11. --Comcast (Common Stock)	A	Dividend	K	T					
12. --Bickel Bank Miami Fla. (CD)	B	Interest			Redeemed	08/30/19	L	A	
13. --Wells Fargo Bank (CD)	B	Interest			Redeemed	06/28/19	M	A	
14. --Discover Bank (CD)	A	Interest			Redeemed	01/07/19	L	A	
15. --American Express Federal Savings Bank (CD)	A	Interest			Redeemed	09/12/19	L	A	
16. --Goldman Sachs Bank USA NY (CD)	A	Interest			Redeemed	03/13/19	L	A	
17. --Capital One Bank USA Nat'l Association (CD)	C	Interest			Redeemed	10/04/19	M	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Slomsky, Joel H.

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5/5/2020

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18. --Ally Bank Midvale Utah (CD)	B	Interest			Redeemed	11/04/19	L	A	
19. --Morgan Stanley Bank NA Utah (CD)	B	Interest			Redeemed	11/12/19	L	A	
20. --Morgan Stanley Bank NA Utah (CD)	B	Interest			Redeemed	12/23/19	L	A	
21. --Goldman Sachs Bank USA (CD)	B	Interest			Redeemed	07/31/19	L	A	
22. --Bank America NA Charlotte NC (CD)	C	Interest	M	T					
23. --BMW Bank North America Salt Lake (CD)	A	Interest	K	T	Buy	01/11/19	K		
24. --TIAA FSB Jacksonville Fla (CD)	A	Interest	L	T	Buy	04/17/19	L		
25. --Ally Bank (CD)	A	Interest	L	T	Buy	07/11/19	L		
26. --Morgan Stanley Pvt Bank Purchase (CD)	A	Interest	L	T	Buy	07/11/19	L		
27. -- Capital One Nat'l Assn. Va (CD)	A	Interest	L	T	Buy	08/07/19	L		
28. -- Goldman Sachs Bank USA (CD)	A	Interest	M	T	Buy	09/11/19	M		
29. --Sallie Mae Bank Salt Lake City Utah (CD)	A	Interest	L	T	Buy	09/18/19	L		
30. -- Wells Fargo Bank Nat'l Assn (CD)	A	Interest	M	T	Buy	10/18/19	M		
31. -- Sallie Mae Bank Salt Lake City Utah (CD)	A	Interest	M	T	Buy	11/13/19	M		
32. --Morgan Stanley Bank NA (CD)	A	Interest	L	T	Buy	11/20/19	L		
33. Flexible Annuity Plan									
34. --Mutual of America Conservative Allocation Fund		None	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Slomsky, Joel H.

Date of Report

5/5/2020

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35. --Mutual of America Moderate Allocation Fund		None	K	T					
36. --Mutual of America 2020 Retirement Fund		None	K	T					
37. --Mutual of America General Account	A	Interest	L	T					
38. Tax Deferred Annuity									
39. --Mutual of America Conservative Allocation Fund		None	M	T					
40. --Mutual of America Interest Accumulation Account	D	Interest	N	T					
41. Citizens Bank Checking Account		None	K	T					
42. PNC Bank Checking Account	A	Interest	J	T					
43. PNC Bank Money Market Account	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Slomsky, Joel H.

Date of Report

5/5/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. For the Flexible Annuity Plan and the Tax Deferred Annuity holdings listed in Part VII with no income, "none" was listed because the statements do not provide the income attributable to those assets.

2. IRA #1 in this Financial Disclosure Report was IRA #2 in the 2018 Report. IRA #1 in the 2018 Report was closed in 2018 and therefore the title "IRA #1" is being given in this Report to IRA #2 in the 2018 Report.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Slomsky, Joel H.

Date of Report

5/5/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joel H. Slomsky**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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