

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) JORGENSEN, CINDY K.	2. Court or Organization United States District Court - Arizona	3. Date of Report 10/24/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 405 W Congress, Suite 5180 Tucson, AZ 85701-5033		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Arizona Elected Officials Retirement Plan	\$30,323.88
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Arizona Public Safety Retirement System (retired)
2. 2015	Arizona State Retirement System
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Managed IRA - SPDR Trust Series 1 (fka)	A	Dividend			Sold	01/06/15	L	E	
2. Morgan Stanley Managed IRA - Doral Bank CD San Juan PR CD	A	Interest			Redeemed	03/04/15	K	A	
3. Morgan Stanley Managed IRA - GOLDCORP INC	A	Dividend			Sold	03/04/15	K	C	
4. Morgan Stanley Managed IRA - SPDR S&P Midcap 400 ETF Trust (fka)	A	Dividend			Sold	03/04/15	L	E	
5. Morgan Stanley Managed IRA - Newmont Mining Corp (New)		None			Sold	03/04/15	J		
6. Morgan Stanley Managed IRA - iShares US Technology ETF (fka)		None			Sold	03/04/15	K	E	
7. Morgan Stanley Managed IRA - iShares US Consumer SER ETF (fka)	A	Dividend			Sold	03/04/15	J	C	
8. Morgan Stanley Managed IRA - iShares US Financials ETF (fka)		None			Sold	03/04/15	K	D	
9. Morgan Stanley Managed IRA - iShares Global Healthcare ETF (fka)		None			Sold	03/04/15	K	E	
10. Morgan Stanley Managed IRA - Vanguard Dividend Appreciation FD (fka)		None			Sold	03/04/15	L	E	
11. Morgan Stanley Managed IRA - iShares SP SmallCap 600 Index (fka)		None			Sold	03/04/15	K	E	
12. Morgan Stanley Managed IRA - Vanguard Large Cap ETF	A	Dividend	K	T	Buy	03/04/15	K		
13.		None			Buy (add'l)	03/26/15	J		
14.		None			Sold	06/16/15	J		
15.		None			Buy (add'l)	08/26/15	J		
16.		None			Sold (part)	08/31/15	K		
17.		None			Sold (part)	09/28/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000 R = \$1,000,001 - \$5,000,000 T = More than \$5,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 U = \$1,000,001 - \$5,000,000 W = More than \$5,000,000	L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000 S = Assessment V = Estimated	M = \$100,001 - \$250,000 Q = \$250,001 - \$500,000 R = Cost (Real Estate Only) V = Other	P = \$500,001 - \$1,000,000 T = Cash Market W = Estimated
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.		None			Buy (add'l)	12/03/15	J		
19. Morgan Stanley Managed IRA - Cons Staples Sel Sect SPDR FD	A	Dividend	J	T	Buy	03/04/15	J		
20.		None			Buy (add'l)	03/26/15	J		
21.		None			Sold (part)	08/26/15	J		
22.		None			Buy (add'l)	08/31/15	J		
23. Morgan Stanley Managed IRA - Cons Discret Select SPDR Fund	A	Dividend			Buy	03/04/15	J		
24.		None			Buy (add'l)	03/26/15	J		
25.		None			Buy (add'l)	03/26/15	J		
26.		None			Sold (part)	06/19/15	J		
27.		None			Sold (part)	06/19/15	J		
28.		None			Sold (part)	08/26/15	J		
29.		None			Sold	10/29/15	J		
30. Morgan Stanley Managed IRA - The Financial Sel Sect SPDR FD	A	Dividend	J	T	Buy	03/04/15	J		
31.		None			Sold	08/26/15	J		
32.		None			Buy	08/31/15	J		
33.		None			Buy (add'l)	12/03/15	J		
34. Morgan Stanley Managed IRA - IShares MSCI Australia ETF	A	Dividend			Buy	03/04/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.		None			Sold	08/26/15	J		
36. Morgan Stanley Managed IRA - IShares MSCI Japan ETF	A	Dividend	L	T	Buy	03/04/15	J		
37.		None			Buy (add'l)	03/26/15	J		
38.		None			Sold (part)	06/19/15	J		
39.		None			Buy	07/08/15	J		
40.		None			Sold (part)	7/08/15	J		
41.		None			Buy	08/26/15	J		
42.		None			Buy (add'l)	08/31/15	J		
43.		None			Buy (add'l)	12/03/15	J		
44. Morgan Stanley Managed IRA - IShares MSCI Switzerland CAPD ETF	A	Dividend			Buy	03/04/15	J		
45.		None			Sold	08/26/15	J		
46. Morgan Stanley Managed IRA - IShares US Consumer Goods ETF (fka)	A	Dividend	K	T	Sold (part)	03/04/15	J		
47.		None			Buy (add'l)	08/17/15	J		
48.		None			Buy (add'l)	08/26/15	J		
49.		None			Sold (part)	08/31/15	J		
50.		None			Buy	10/29/15	J		
51.		None			Sold (part)	12/03/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Morgan Stanley Managed IRA - iShares US Energy ETF (fka)	A	Dividend			Sold	03/04/15	L	E	
53.		None			Buy (add'l)	03/26/15	J		
54.		None			Sold (part)	06/19/15	J		
55.		None			Sold	09/28/15	J		
56. Morgan Stanley Managed IRA - iShares MSCI PAC EX-JPN ETF	A	Dividend	J	T	Buy	03/04/15	J		
57.		None			Buy (add'l)	03/26/15	J		
58.		None			Buy (add'l)	08/26/15	J		
59.		None			Sold (part)	08/31/15	J		
60.		None			Buy (add'l)	09/28/15	J		
61.		None			Sold (part)	10/23/15	J		
62. Morgan Stanley Managed IRA - iShares Select Dividend ETF	A	Dividend			Buy	03/04/15	K		
63.		None			Sold (part)	03/26/15	J		
64.		None			Sold	06/19/15	J	A	
65. Morgan Stanley Managed IRA - Vanguard FTSE Developed Mkts	A	Dividend	K	T	Buy	03/04/15	J		
66.		None			Buy (add'l)	03/26/15	J		
67.		None			Buy (add'l)	12/03/15	J		
68.		None			Buy	03/04/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69.		None			Buy (add'l)	03/26/15	J		
70.		None			Sold (part)	08/17/15	J		
71.		None			Buy (add'l)	08/31/15	J		
72.		None			Buy (add'l)	09/01/15	J		
73.		None			Buy (add'l)	12/03/15	J		
74. Morgan Stanley Managed IRA - Powershares Aero & Def PTF	A	Dividend	J	T	Buy	03/04/15	J		
75.		None			Buy (add'l)	08/31/15	J		
76.		None			Buy (add'l)	09/01/15	J		
77.		None			Sold (part)	09/28/15	J		
78.		None			Buy	12/03/15	J		
79. Morgan Stanley Managed IRA - Global X MLP & Energy INFR ETF		None			Buy	03/04/15	K		
80.		None			Sold (part)	06/19/15	J		
81.		None			Sold	08/17/15	K		
82. Morgan Stanley Managed IRA - Global X FTSE Nordic RE Region ETF	A	Dividend	J	T	Buy	03/04/15	J		
83.		None			Sold (part)	06/19/15	J		
84.		None			Buy (add'l)	09/28/15	J		
85.		None			Sold (part)	10/23/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86.	Morgan Stanley Managed IRA - iShares MSCI United Kingdom	A	Dividend	J	T	Buy	03/04/15	J	
87.			None			Buy (add'l)	03/26/15	J	
88.			None			Sold (part)	06/19/15	J	
89.			None			Buy (add'l)	09/01/15	J	
90.			None			Buy	12/03/15	J	
91.	Morgan Stanley Managed IRA - Barclays ETN & Select MLP ETN		None			Buy	03/05/15	K	
92.			None			Sold	03/26/15	K	
93.	Morgan Stanley Managed IRA - Deutsche X-Trackers MSCI EAF	A	Dividend	K	T	Buy	03/04/15	K	
94.			None			Buy (add'l)	09/01/15	J	
95.			None			Buy (add'l)	12/03/15	J	
96.	Morgan Stanley Managed IRA - iShares US Home Construct ETF	A	Dividend	J	T	Buy	03/04/15	J	
97.			None			Buy (add'l)	08/31/15	J	
98.			None			Buy (add'l)	09/01/15	J	
99.	Morgan Stanley Managed IRA - Wisdomtree Japan SM Cap Div	A	Dividend	J	T	Buy	03/04/15	J	
100.			None			Buy (add'l)	03/26/15	J	
101.			None			Sold (part)	06/19/15	J	
102.			None			Buy (add'l)	09/01/15	J	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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103. Morgan Stanley Managed IRA - Wisdomtree Japan Hedge EQ	A	Dividend	J	T	Buy	03/04/15	K		
104.		None			Sold (part)	07/08/15	J		
105.		None			Sold (part)	08/17/15	J		
106.		None			Buy (add'l)	08/26/15	J		
107.		None			Sold (part)	08/31/15	J		
108.		None			Buy (add'l)	09/01/15	J		
109.		None			Buy (add'l)	10/23/15	J		
110.		None			Buy (add'l)	10/29/15	J		
111.		None			Sold (part)	11/20/15	J		
112.		None			Sold (part)	12/03/15	K		
113. Morgan Stanley Managed IRA - Wisdomtree LG Cap Div ETF	A	Dividend	J	T	Buy	03/04/15	J		
114.		None			Buy (add'l)	03/26/15	J		
115.		None			Sold (part)	06/19/15	J		
116.		None			Sold (part)	07/08/15	J		
117.		None			Buy (add'l)	08/26/15	J		
118.		None			Buy (add'l)	09/01/15	J		
119.		None			Sold (part)	08/31/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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JORGENSEN, CINDY K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.		None			Buy	12/03/15	J		
121. Morgan Stanley Managed IRA - First Trust AMEX Biotech	A	Dividend	J	T	Buy	03/04/15	J		
122.		None			Buy (add'l)	03/26/15	J		
123.		None			Sold (part)	06/30/15	J		
124.		None			Buy (add'l)	08/26/15	J		
125.		None			Sold (part)	08/31/15	J		
126.		None			Buy (add'l)	09/01/15	J		
127.		None			Buy (add'l)	12/03/15	J		
128. Morgan Stanley Managed IRA - ISHA Hedged MSCI Germany	A	Distribution	J	T	Buy	03/04/15	J		
129.		None			Buy	06/19/15	J		
130.		None			Buy (add'l)	07/08/15	J		
131.		None			Buy (add'l)	08/31/15	J		
132.		None			Buy (add'l)	09/01/15	J		
133.		None			Sold (part)	09/28/15	J		
134.		None			Sold (part)	10/23/15	J		
135.		None			Sold (part)	12/03/15	K		
136. Morgan Stanley Managed IRA - ISHares ASIA 50 ETF	A	Dividend			Buy	03/04/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

JORGENSEN, CINDY K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.		None			Buy (add'l)	09/01/15	J		
138.		None			Sold	09/28/15	J		
139. Morgan Stanley Managed IRA - iShares MSCI ASIA Ex-Japan ETF	A	Dividend	L	T	Buy	03/04/15	K		
140.		None			Sold (part)	06/19/15	J		
141.		None			Buy (add'l)	03/26/15	J		
142.		None			Buy (add'l)	07/08/15	J		
143.		None			Sold (part)	08/17/15	J		
144.		None			Sold (part)	08/31/15	K		
145. Morgan Stanley Managed IRA - Wisdomtree Europe Hedged Equity	D	Distribution	M	T	Buy	03/04/15	L		
146.		None			Buy (add'l)	03/26/15	J		
147.		None			Buy (add'l)	07/08/15	J		
148.		None			Sold (part)	08/17/15	J		
149.		None			Buy (add'l)	08/26/15	J		
150.		None			Sold (part)	08/31/15	J		
151.		None			Buy (add'l)	09/01/15	K		
152.		None			Buy (add'l)	10/23/15	J		
153.		None			Sold (part)	11/20/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.		None			Buy (add'l)	10/29/15	J		
155.		None			Sold (part)	12/03/15	J		
156. Morgan Stanley Managed IRA - Powershares S&P 500 High Div	A	Dividend			Buy	03/04/15	J		
157.					Sold	07/08/15	J	A	
158. Morgan Stanley Managed IRA - Powershares S&P 500 X-Rate	A	Dividend	J	T	Buy	08/17/15	J		
159.		None			Sold (part)	08/31/15	J		
160.		None			Buy (add'l)	09/01/15	J		
161. Morgan Stanley Managed IRA - Powershares KBW Bank Portfolio	A	Dividend	J	T	Buy	06/19/15	J		
162.		None			Sold (part)	07/08/15	J		
163.		None			Buy (add'l)	08/31/15	J		
164.		None			Buy (add'l)	09/01/15	J		
165.		None			Buy (add'l)	12/03/15	J		
166. Morgan Stanley Managed IRA - iShares Dow Jones Us ETF		None			Buy	08/17/15	J		
167.		None			Buy (add'l)	08/26/15	J		
168.		None			Sold	08/31/15	J	A	
169. Morgan Stanley Managed IRA - Vanguard European MSCI ETF	A	Dividend	K	T	Buy (add'l)	08/26/15	J		
170.		None			Buy (add'l)	09/01/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

JORGENSEN, CINDY K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Morgan Stanley Managed IRA - S&P 500 Index Fund	A	Dividend	K	T	Buy	08/31/15	J		
172.		None			Buy (add'l)	09/28/15	J		
173.		None			Sold (part)	10/23/15	J		
174.		None			Buy (add'l)	12/03/15	J		
175. Morgan Stanley Managed IRA - iShares MSCI Italy Capped ETF		None			Buy	08/31/15	J		
176.		None			Sold	09/28/15	J		
177. Morgan Stanley Managed IRA - Powershares Europe Curr HED Lv	A	Dividend	K	T	Buy	09/28/15	J		
178.		None			Buy (add'l)	12/03/15	J		
179. Morgan Stanley Managed IRA - Market Vectors Oil Service	A	Dividend	K	T	Buy	09/28/15	J		
180.		None			Buy	12/03/15	J		
181. Morgan Stanley Managed IRA - Vanguard FTSE Europe ETF	A	Dividend	K	T	Buy	12/03/15	J		
182. Morgan Stanley Managed IRA - iShares MSCI EAFE Growth ETF	A	Dividend	K	T	Buy	12/03/15	K		
183. Morgan Stanley Bank Deposit (fka)	A	Interest	L	T					
184. Arena Pharmaceuticals		None			Sold	3/04/15	J	A	
185. Wells Fargo Bank Accounts	A	Interest	L	T					
186.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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JORGENSEN, CINDY K.	10/24/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding part VII, lines 1,4,6-11,46, and 52 marked with (fka) - these investments were previously disclosed on the 2014 report however either had a name change or were listed as a consolidated asset.

Line 10 was formerly known as Vanguard Specialized Div however the correct name is Vanguard Dividend Appreciation.

Line 183 was formerly known as Citibank Bank Deposit Program and is now called Morgan Stanley Bank Deposit.

The 2014 report listed assets known as Smith Barney or Citibank or Citigroup. These assets were transitioned to the Morgan Stanley investment platform when Morgan Stanley acquired Smith Barney in 2015.

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Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

10/24/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ CINDY K. JORGENSEN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544