

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McConnell Jr, John J.	2. Court or Organization District of Rhode Island	3. Date of Report 5/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States District Court District of Rhode Island One Exchange Terrace Providence, RI 02903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Officer and Board Member	Crossroads RI
2. Member	Marthas Vineyard Colonial Inn LLC
3. Board Member	Institute for the Study & Practice of NonViolence
4. Emeritus Board Member	Trinity Repertory Company
5. Custodian	Alliance Capital 529 College Fund #2
6. Trustee	Family Trust #1
7. Board Member	Downtown Providence Parks Conservancy
8. Chair, Selection Committee	Hassenfeld Family Foundation, RI Public Interest Service Fellowship
9. Board Member	Federal Judges' Association
10. Member	██████ LLC

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/30/2003	Ness Motley, P.A. (n/k/a MRRM, P.A.) Employment and Compensation Agreement (see Section VIII for further details)

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2. 5/13/2011	Assignment of Certain Rights in MRRM, P.A. (see Section VIII for further details)
3. 5/13/2011	Assignment of Membership Interests in Motely Rice LLC and Mutual Release (see Section VIII for further details)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/2019	Deferred Compensation, MRRM PA	\$2,456,716.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. American Express	Credit Card	K
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Brokerage Account #1 (H)									
2. --Wells Fargo Money Fund	B	Interest	N	T					
3. --3M Co	B	Dividend			Buy	04/05/19	L		
4.					Sold	12/16/19	L		
5. --Affiliated Managers Group	B	Dividend			Sold	09/27/19	M		
6. --Analog Devices Inc	B	Dividend	L	T	Buy	04/15/19	L		
7. --Apple Inc	C	Dividend	M	T	Sold (part)	09/26/19	K	E	
8. --Applied Materials Inc	C	Dividend	N	T					
9. --BlackRock Inc	A	Dividend	K	T	Buy	09/27/19	K		
10. --CBOE Holdings Inc	C	Dividend	M	T					
11. --CME Group	D	Dividend	M	T	Sold (part)	09/26/19	L	E	
12. --EFT Managers TR (formerly PureFunds ISE Cyber)	A	Dividend	L	T					
13. --Fedex Corp	B	Dividend	L	T					
14. --Fireeye Inc		None			Sold	06/03/19	J		
15. --First Trust NYSE Arca Biotechnology Index Fund		None	K	T	Sold (part)	09/26/19	J	B	
16. --Global X	A	Dividend	K	T	Sold (part)	09/26/19	J	A	
17. --Hologic Inc		None	L	T	Buy (add'l)	04/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	11/26/19	K	B	
19. --Illinois Tool Works Inc	B	Dividend	M	T	Buy	02/19/19	L		
20. --Intel Corp	C	Dividend	M	T					
21. --Intuitive Surgical Inc		None	K	T	Buy	04/18/19	K		
22. --Invesco Golden Dragon China ETF (formerly Powershares)	A	Dividend	L	T	Sold (part)	09/26/19	K	A	
23. --Invesco Tr II		None	L	T					
24. --Invesco Ltd	A	Dividend	K	T	Buy	09/27/19	K		
25. --IQVIA Hldgs Inc		None	M	T					
26. --Ishares NASDAQ	A	Dividend	K	T					
27. --Ishares TRMSCI Emerging Mkts Index Fd	B	Dividend	L	T					
28. --Ishares TR Russell 2000 Index FD	C	Distribution	M	T					
29. --Ishares Transportation Average ETF	B	Dividend	M	T					
30. --Ishares US Broker Dealers	A	Dividend	K	T					
31. --Johnson & Johnson	B	Dividend	L	T					
32. --Lam Research Corp	A	Dividend	L	T					
33. --Medpace Holdings Inc		None	K	T	Buy	11/26/19	K		
34. --Morgan Stanley	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. --Qualcomm Inc	D	Dividend	N	T					
36. --Schwab Charles Corp New	B	Dividend	L	T					
37. --SPDR Gold Trust		None	L	T					
38. --SPDR S&P Biotech ET	A	Dividend	M	T	Sold (part)	09/26/19	K	E	
39. --SPDR S&P Midcap 400 Trust Series N	C	Dividend	N	T					
40. --SPDR S&P 500 Trust	D	Dividend	N	T					
41. --SPDR Ser Tr S&P 600 Small Cap	C	Dividend	M	T					
42. --SPDR S&P Semiconductor ETF	A	Dividend	M	T					
43. --Stryker Corp	B	Dividend	M	T	Sold (part)	09/26/19	K	E	
44. --Thermo Fisher Scientific Inc	A	Dividend	M	T					
45. --Vanguard Mid Cap ETF	A	Dividend	K	T					
46. --Vanguard S&P 500	C	Dividend	N	T					
47. --Wisdomtree Investments	A	Dividend			Sold	09/27/19	K		
48. --Xilinx Inc	D	Dividend	O	T					
49.									
50. Family Trust #1 (H)									
51. --Wells Fargo Money Fund	D	Interest	O	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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52. --3M Co	B	Dividend			Buy	04/05/19	L		
53.					Sold	12/16/19	L		
54. --Affiliated Managers Group	B	Dividend			Sold	09/27/19	M		
55. --Analog Devices Inc	B	Dividend	M	T	Buy	04/15/19	L		
56.					Buy (add'l)	07/02/19	K		
57. --Apple Inc	C	Dividend	M	T	Sold (part)	09/26/19	K	E	
58. --Applied Materials Inc	C	Dividend	N	T					
59. --ASML Holding NV	A	Dividend	L	T	Buy	07/02/19	K		
60. --BlackRock Inc	C	Dividend	M	T	Buy	04/15/19	M		
61.					Buy (add'l)	09/27/19	K		
62. --CBOE Holdings Inc	C	Dividend	M	T					
63. --CME Group	D	Dividend	M	T	Sold (part)	09/26/19	L	E	
64. --EFT Managers TR (formerly PureFunds ISE Cyber)	A	Dividend	L	T					
65. --Fedex Corp	B	Dividend	L	T					
66. --First Trust NYSE Arca Biotechnology Index Fund		None	K	T	Sold (part)	09/26/19	J	B	
67. --GlobalX	A	Dividend	K	T	Sold (part)	09/26/19	J	A	
68. --Hologic Inc		None	M	T	Buy (add'l)	04/10/19	K		

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69. --Illinois Tool Works Inc	B	Dividend	M	T	Buy	02/19/19	L		
70. --Integra LifeSciences Holdings Corp		None	K	T	Buy	07/02/19	K		
71. --Intel Corp	C	Dividend	M	T					
72. --Intuitive Surgical Inc		None	L	T	Buy	04/18/19	K		
73.					Buy (add'l)	07/02/19	K		
74. --Invesco Golden Dragon China (formerly Powershares)	A	Dividend	L	T	Sold (part)	09/26/19	K	A	
75. --Invesco Ltd	A	Dividend	K	T	Buy	09/27/19	K		
76. --Invesco Small Cap Health Care		None	L	T	Buy	07/02/19	K		
77. --Invesco Tr II	A	Dividend	L	T					
78. --IQVIA Hldgs I(nc		None	N	T	Buy (add'l)	10/17/19	L		
79. --Ishares NASDAQ	A	Dividend	K	T					
80. --ISHRS Russell 2000 Index	C	Dividend	N	T					
81. --Ishares TR MSCI Emergin Mkts Index Fd	A	Dividend	K	T					
82. --Ishares Transportation Average ETF	B	Dividend	M	T					
83. --Ishares US Broker Dealers	A	Dividend	K	T					
84. --Johnson & Johnson	B	Dividend	L	T					
85. --Lam Research Corp	A	Dividend	L	T					

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86. --Medpace Holdings Inc		None	L	T	Buy	07/02/19	K		
87. --Morgan Stanley	B	Dividend	L	T					
88. --Qualcomm Inc	D	Dividend	N	T					
89. --Schwab Charles Corp New	B	Distribution	L	T					
90. --SPDR Gold Trust		None	L	T					
91. --SPDR S&P 500 Trust	D	Dividend	N	T					
92. --SPDR S&P Biotech	A	Dividend	M	T	Sold (part)	09/26/19	K	D	
93. --SPDR S&P Midcap 400	C	Dividend	N	T					
94. --SPDR Ser Tr S&P 600 Small Cap	C	Dividend	M	T					
95. --SPDR S&P Semiconductor ETF	A	Dividend	M	T					
96. --Stryker	B	Dividend	M	T	Sold (part)	09/26/19	K	E	
97. --Thermo Fisher Scientific Inc	A	Dividend	M	T					
98. --Vanguard Mid Cap ETF	A	Dividend	K	T					
99. --Vanguard S&P 500 ETF	D	Dividend	N	T					
100. --Wisdomtree Investments	A	Dividend			Sold	09/27/19	K		
101. --Xilinx Inc	D	Dividend	N	T					
102.									

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103. IRA #1 (H)									
104. --Wells Fargo Cash	A	Interest	K	T					
105. --Affiliated Managers Group	A	Dividend			Sold	09/27/19	J		
106. --Analog Devices	A	Dividend	J	T	Buy	04/15/19	J		
107. --Apple Inc	A	Dividend	J	T	Sold (part)	09/26/19	J	B	
108. --Applied Materials Inc	A	Dividend	J	T					
109. --BlackRock Inc	A	Dividend	J	T	Buy	04/15/19	J		
110.					Buy (add'l)	09/27/19	J		
111. --CBOE Holdings Inc	A	Dividend	J	T					
112. --Celgene Corp		None			Sold	09/26/19	J		
113. --CME Group	A	Dividend	J	T	Sold (part)	09/26/19	J	A	
114. --Danaher Corp	A	Dividend	J	T	Sold (part)	09/26/19	J	A	
115. --Fedex Corp	A	Dividend	J	T					
116. --Global X Funds ET	A	Dividend	J	T	Sold (part)	09/26/19	J	A	
117. --Hologic Inc		None	J	T					
118. --Illinois Tool Works Inc	A	Dividend	J	T	Buy	02/19/19	J		
119. --Intel Corp	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. --Invesco Golden Dragon China (formerly Powershares)	A	Dividend	J	T	Sold (part)	09/26/19	J	A	
121. --Invesco Ltd	A	Dividend	J	T	Buy	09/27/19	J		
122. --Invesco S&P 500 Equal Wgtd Index Fd (formerly Guggenheim)	A	Dividend	J	T					
123. --IQVIA Hldgs Inc		None	J	T					
124. --Ishares Core MSCI Emerging Markets ETF	A	Dividend	J	T					
125. --Ishares Russell Microcap Index Fund	A	Dividend	J	T					
126. --Ishares NASDAQ Biotech	A	Dividend	J	T					
127. --iShares Transportation Average ETF	A	Dividend	J	T					
128. --Ishares US Broker Dealers	A	Dividend	J	T					
129. --Johnson & Johnson	A	Dividend	J	T					
130. --Lam Research Corp	A	Dividend	J	T					
131. --Medpace Holdings Inc		None	J	T	Buy	11/26/19	J		
132. --Morgan Stanley	A	Dividend	J	T					
133. --Qualcomm Inc	A	Dividend	J	T					
134. --SPDR S&P Biotech	A	Dividend	J	T	Sold (part)	09/26/19	J	A	
135. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T					
136. --Stryker	A	Dividend	J	T	Sold (part)	09/26/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Thermo Fisher Scientific Inc	A	Dividend	J	T					
138. --Vanguard Mid Cap	A	Dividend	J	T					
139. --Vanguard MSCI ETF Emerging	A	Dividend	J	T					
140. --Vanguard Russell 2000 Growth	A	Dividend	J	T					
141. --Vanguard Russell 2000 Value		None			Sold	04/15/19	J	C	
142. --Vanguard S&P 500	A	Dividend	J	T					
143. --Walmart	A	Dividend	J	T					
144. --Wisdomtree Investments		None			Sold	09/30/19	J		
145.									
146. IRA #2 (H)									
147. --Wells Fargo Money Fund	A	Interest	K	T					
148. --Affiliated Managers Group	A	Dividend			Sold	09/27/19	J		
149. --Analog Devices	A	Dividend	J	T	Buy	04/15/19	J		
150. --Apple Inc	A	Dividend	J	T	Sold (part)	09/26/19	J	B	
151. --Applied Materials	A	Dividend	J	T					
152. --BlackRock Inc	A	Dividend	J	T	Buy	04/15/19	J		
153.					Buy (add'l)	09/27/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. --CBOE Holdings Inc	A	Dividend	J	T					
155. --Celgene Corp		None			Sold	09/26/19	J		
156. --CME Group	A	Dividend	J	T	Sold (part)	09/26/19	J	B	
157. --Danaher Corp	A	Dividend	J	T	Sold (part)	09/26/19	J	A	
158. --Fedex Corp	A	Dividend	J	T					
159. --Global X	A	Dividend	J	T	Sold (part)	09/26/19	J	A	
160. --Hologic inc		None	J	T					
161. --Illinois Tool Works Inc	A	Dividend	J	T	Buy	02/19/19	J		
162. --Intel Corp	A	Dividend	J	T					
163. --Invesco Golden Dragon China (formerly Powershares)	A	Dividend	J	T	Sold (part)	09/26/19	J	A	
164. --Invesco Ltd	A	Dividend	J	T	Buy	09/27/19	J		
165. --Invesco TR S&P 500 Equal Weight (formerly Guggenheim)	A	Dividend	J	T					
166. --IQVIA Hldgs Inc		None	J	T					
167. --Ishares Core MSCI Emerging Markets ETF	A	Dividend	J	T					
168. --Ishares NASDAQ	A	Dividend	J	T					
169. --Ishares Russell Microcap Index Fund	A	Dividend	J	T					
170. --Ishares Transportation Average ETF	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Ishares US Brokers Dealers	A	Dividend	J	T					
172. --Johnson & Johnson	A	Dividend	J	T					
173. --Lam Research Corp	A	Dividend	J	T					
174. --Medpace Holdings Inc		None	J	T	Buy	11/26/19	J		
175. --Morgan Stanley	A	Dividend	J	T					
176. --Qualcomm Inc	A	Dividend	J	T					
177. --SPDR S&P Biotech	A	Dividend	J	T	Sold (part)	09/26/19	J	A	
178. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T					
179. --Stryker Corp	A	Dividend	J	T	Sold (part)	09/26/19	J	A	
180. --Thermo Fisher Scientific	A	Dividend	J	T					
181. --Vanguard Mid Cap	A	Dividend	J	T					
182. --Vanguard MSCI EAFE	A	Dividend	J	T					
183. --Vanguard Russell 2000 Growth	A	Dividend	J	T					
184. --Vanguard Russell 2000 Value	A	Dividend			Sold	04/15/19	J	C	
185. --Vanguard S&P 500	A	Dividend	J	T					
186. --Walmart	A	Dividend	J	T					
187. --Wisdomtree Investments	A	Dividend			Sold	09/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.									
189. IRA #3 (H)									
190. --Wells Fargo Money Fund	A	Interest	N	T					
191. --3M Co	A	Dividend			Buy	04/05/19	K		
192.					Sold	12/23/19	K		
193. --Affiliated Managers Group	A	Dividend			Sold	09/27/19	K		
194. --Analog Devices	A	Dividend	K	T	Buy	04/15/19	K		
195. --Apple Inc	B	Dividend	M	T	Sold (part)	08/30/19	L	E	
196.					Sold (part)	09/26/19	K	E	
197. --Applied Materials Inc	A	Dividend	L	T					
198. --BlackRock Inc	A	Dividend	K	T	Buy	04/15/19	K		
199.					Buy (add'l)	09/27/19	J		
200. --CBOE Holdings Inc	A	Dividend	L	T					
201. --CME Group	B	Dividend	L	T	Sold (part)	09/26/19	K	E	
202. --EFT Managers Tr	A	Dividend			Sold	08/30/19	K	C	
203. --Fedex Corp	A	Dividend	K	T					
204. --Global X	A	Dividend	K	T	Sold (part)	09/26/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. --Hologic Inc		None	K	T	Sold (part)	08/30/19	K	B	
206. --Illinois Tool Works Inc	A	Dividend	K	T	Buy	02/19/19	K		
207. --Intel Corp	B	Dividend	L	T					
208. --Intuitive Surgical Inc		None	J	T	Buy	04/18/19	J		
209. --Invesco Golden Dragon China (formerly Powershares)	A	Dividend	K	T	Sold (part)	09/26/19	J	A	
210. --Invesco Ltd	A	Dividend	J	T	Buy	09/27/19	J		
211. --Invesco Small Cap Health Care		None	K	T					
212. --Invesco TR S&P 500 Equal Weight (formerly Guggenheim)	B	Dividend	M	T	Sold (part)	08/30/19	K	E	
213. --IQVIA Hldgs Inc		None	L	T	Buy (add'l)	10/30/19	K		
214. --iShares Transportation Average ETF	A	Dividend	L	T					
215. --Johnson & Johnson	B	Dividend	K	T					
216. --Lam Research Corp	A	Dividend	L	T					
217. --Medpace Holdings Inc		None	K	T	Buy	11/26/19	K		
218. --Morgan Stanley	B	Dividend	L	T					
219. --Qualcomm Inc	B	Dividend	L	T					
220. --SPDR S&P Bank ETF	A	Dividend	K	T					
221. --SPDR S&P Biotech ET	A	Dividend	L	T	Sold (part)	08/30/19	K	E	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Sold (part)	09/26/19	K	D	
223. --SPDR S&P Semiconductor ETF	A	Dividend	L	T					
224. --Stryker Corp	A	Dividend	L	T	Sold (part)	09/26/19	K	D	
225. --Thermo Fisher Scientific	A	Dividend	M	T	Buy (add'l)	08/30/19	K		
226. --Vanguard MSCI Emerging Markets	B	Dividend	K	T					
227. --Vanguard Russell 2000 Growth	A	Dividend	M	T	Sold (part)	08/30/19	K	E	
228. --Vanguard Russel 2000 Value	B	Dividend	M	T					
229. --Vanguard S&P 500	C	Dividend	M	T	Sold (part)	08/30/19	K	E	
230. --Wisdomtree Investments	A	Dividend			Sold	09/30/19	J		
231. --WisdomTree Floating Rate Treasury Fund	A	Interest	M	T	Buy	08/30/19	M		
232. --Xilinx Inc	A	Dividend	L	T					
233.									
234. Amex Savings	D	Interest	O	T					
235. Bank RI Accounts #1	A	Interest	J	T					
236. Bank RI Accounts #2	A	Interest	L	T					
237. Bank RI Accounts #3	A	Interest	K	T					
238. USAA Accounts #1	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.									
240. Alliance Capital 529 College Fund #2 (H)									
241. --CBF Portfolio 1996-98 ALT RA	A	Int./Div.	K	T					
242.									
243. Catalist LLC	A	Interest	K	U					
244. DSF Capital Partners IV LP	A	Distribution			Distributed	11/15/19	J		
245.									
246. MetLife Universal Life		None	N	T					
247. Sun Life Universal Life #1		None	O	T					
248. Sun Life Universal Life #2		None	O	T					
249. Sun Life Universal Life #3		None	O	T					
250.									
251. U.S. Savings Bonds	A	Interest	J	T					
252.									
253. Martha's Vineyard Colonial Inn LLC	F	Distribution	P1	U					
254.									
255. ████████ LLC, Edgartown, MA (X)	A	Rent	J	U					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.									
257. Brokerage # 2 (H)									
258. --Ameritrade Insured Deposit Account	A	Interest	K	T					
259. --Activision Blizzard Inc		None	J	T	Buy	05/29/19	J		
260. --Amazon.com Inc		None	K	T	Buy (add'l)	05/01/19	J		
261. --Annaly Capital Management Inc	B	Dividend	K	T	Buy (add'l)	05/13/19	J		
262.					Buy (add'l)	05/17/19	J		
263. --AT&T Corp NFSC	A	Dividend	J	T					
264. --Cardinal Health Inc	A	Dividend	J	T	Sold (part)	04/12/19	J		
265. --Coca Cola	A	Dividend	J	T					
266. --Electronic Arts Inc		None	J	T	Buy	05/29/19	J		
267. --Exelon Corp	A	Dividend	J	T					
268. --Exxon Mobil	A	Dividend	J	T	Buy (add'l)	05/13/19	J		
269. --Facebook Inc		None			Sold (part)	03/27/19	J		
270.					Sold (part)	04/02/19	J		
271.					Sold	04/04/19	J	A	
272. --Ford Motor Co	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. --General Mills Inc	A	Dividend	J	T	Sold (part)	02/25/19	J		
274. --Intel Corp	A	Dividend	J	T	Sold (part)	04/03/19	J	A	
275. --iShares Core S&P 500 ETF	A	Dividend	K	T	Sold (part)	02/25/19	J	A	
276.					Buy (add'l)	05/13/19	J		
277.					Sold (part)	05/29/19	J	B	
278. --JPMorgan Chase & Co	A	Dividend			Sold (part)	04/11/19	J	B	
279.					Sold (part)	04/12/19	J	B	
280.					Sold	04/12/19	J	A	
281. --Kinder Morgan Inc	A	Dividend	J	T					
282. --Microsoft Corp	A	Dividend	J	T	Sold (part)	02/25/19	J	A	
283. --New York Community Bancorp Inc	A	Dividend	J	T					
284. --Pfizer Inc	A	Dividend	K	T	Sold (part)	02/25/19	J	B	
285.					Buy (add'l)	04/16/19	J		
286.					Buy (add'l)	04/18/19	J		
287. --Procter&Gamble	A	Dividend	J	T					
288. --Norton Life Lock formerly Symantec Corp	A	Dividend	J	T					
289. --Take-Two Interactive Software Inc		None	J	T	Buy	05/29/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. --Verizon	A	Dividend	J	T					
291. --Waste Management Inc	A	Dividend	J	T					
292.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II Agreements

- A) Ness Motley, P.A. Employment and Compensation Agreement, dated January 30, 2003 by and between Ness Motley, P.A. (f/k/a Ness, Motley, Loadholt, Richardson & Poole, Professional Association) and its attorney signatories, setting forth the disbursement of net income received by the firm as compensation for services previously rendered.
- B) Assignment of Certain Rights in MRRM, P.A., dated May 13, 2011 by and between MRRM, PA and John J. McConnell, Jr. setting forth the assignment of and payment for Other Rights not covered under the January 30, 2003 agreement above.
- C) Assignment of Membership Interests in Motley Rice LLC and Mutual Release, dated May 13, 2011 by and between John J. McConnell, Jr and Motley Rice LLC setting forth the assignment of and payment for membership interests and mutual release of certain liabilities upon resignation of employment by John J. McConnell, Jr.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John J. McConnell Jr**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544