

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Agresti, Thomas P.	2. Court or Organization Bankruptcy Court, Western PA.	3. Date of Report 06/30/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address U.S. Courthouse 17 South Park Row Eric, PA. 16501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Agresti, Thomas P.	06/30/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Agresti, Thomas P.	06/30/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. CITIZENS BANK (cash)	D	Interest	O	T					
3. ERIE BANK (cash)	D	Interest	O	T					
4. PNC BANK (cash)	A	Interest	J	T					
5. VACANT LAND #1, ERIE COUNTY, PA		None	M	W					
6. VACANT LAND #2, ERIE COUNTY, PA		None	M	W					
7. BROKERAGE ACCOUNT #1 (H)									
8. WELLS FARGO BANK (cash) (Y)									
9. MICROSOFT (MSFT)	B	Dividend	L	T					
10. HARTFORD LIFE INS CO VARIABLE ANNUITY (H)									
11. HARTFORD BALANCED HLS FUND (X)		None	L	T					
12. HARTFORD CAPITAL APPRECIATION (H)		None	L	T					
13. JOHN HANCOCK LIFE INS CO VARIABLE ANNUITY (H)									
14. VENTURE 20 LS GROWTH PORTFOLIO (X)		None	M	T					
15. PACIFIC LIFE VALUES VARIABLE ANNUITY (H)									
16. INVESCO V.I. EQUITY & INCOME FUND (X)		None	K	T					
17. IRA #1 (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. WELLS FARGO BANK (cash) (Y)									
19. ABBOTT LABORATORIES (ABT)	A	Dividend	J	T					
20. ACCENTURE PLC IRELAND SHARES CLASS A (ACN)	A	Dividend	J	T					
21. AFLAC INC (AFL)	A	Dividend	J	T					
22. AIR PRODUCTS & CHEMICALS INC (APD)	A	Dividend	J	T					
23. AMGEN INC (AMGN)	A	Dividend	J	T					
24. ANALOG DEVICES INC (ADI)	A	Dividend	J	T					
25. APPLE INC (AAPL)	A	Dividend	J	T					
26. AT&T INC (T) (X)	A	Dividend	J	T					
27. AUTOMATIC DATA PROCESSING (ADP)	A	Dividend	J	T					
28. BECTON DICKINSON & CO (BDX)	A	Dividend	J	T					
29. BLACKROCK INC (BLK)	A	Dividend	J	T					
30. CHEVRON CORPORATION (CVX)	A	Dividend	J	T					
31. CHUBB LTD (CB)	A	Dividend	J	T					
32. CISCO SYSTEMS INC (CSCO)	A	Dividend	J	T					
33. CLOROX COMPANY (CLX)	A	Dividend	J	T					
34. COLGATE-PALMOLIVE CO (CL)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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35. COMCAST CORP NEW CL A (CMCSA)		None	J	T	Buy	12/16/19	J		
36. EATON VANCE CORP NON VTG (EV)	A	Dividend	J	T					
37. EMERSON ELECTRIC CO (EMR)	A	Dividend	J	T					
38. EVERSOURCE ENERGY (ES)	A	Dividend	J	T					
39. EXXON MOBIL CORP (XOM)	A	Dividend	J	T					
40. FACTSET RESEARCH SYSTEMS INC (FDS)	A	Dividend	J	T					
41. GENL DYNAMICS CORP (GD)	A	Dividend	J	T					
42. L3HARRIS TECHNOLOGIES INC (LHX)	A	Dividend	J	T					
43. ILLINOIS TOOL WORKS INC (ITW)	A	Dividend	J	T					
44. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
45. JPMORGAN CHASE & CO (JPM)	A	Dividend	J	T					
46. LINDE PLC (LIN)	A	Dividend	J	T					
47. LOWES COMPANIES INC (LOW)	A	Dividend	J	T					
48. MCDONALDS CORP (MCD)	A	Dividend	J	T					
49. MEDTRONIC PLC (MDT)	A	Dividend	J	T					
50. MICROSOFT (MSFT)	A	Dividend	J	T					
51. NEXTERA ENERGY INC (NEE)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

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R =Cost (Real Estate Only)

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P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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52. NIKE INCL CLASS B (NKE)	A	Dividend	J	T					
53. NORFOLK SOUTHERN CORP (NSC)	A	Dividend	J	T					
54. NOVARTIS AG SPON ADR (NVS)	A	Dividend	J	T					
55. PAYCHEX INC (PAYX)	A	Dividend	J	T					
56. PEPSICO INCORPORATED (PEP)	A	Dividend	J	T					
57. PHILLIPS 66 (PSX)	A	Dividend	J	T					
58. POLARIS INDS INC (PII)	A	Dividend	J	T					
59. PROCTER & GAMBLE CO (PG)	A	Dividend	J	T					
60. SYSCO CORPORATION (SYYY)	A	Dividend	J	T					
61. TARGET CORP (TGT)	A	Dividend	J	T					
62. UNITED TECHNOLOGIES CORP (UTX)	A	Dividend	J	T					
63. US BANCORP NEW (USB)	A	Dividend	J	T					
64. V F CORPORATION (VFC)	A	Dividend	J	T					
65. WALMART INC (WMT)	A	Dividend	J	T					
66. WEC ENERGY GROUP INC (WEC)	A	Dividend	J	T					
67. 3M CO (MMM)	A	Dividend	J	T					
68. IRA #2 (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

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Name of Person Reporting

Agresti, Thomas P.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. WELLS FARGO BANK (cash) (Y)									
70. GENERAL ELECTRIC COMPANY (GE)	A	Dividend	J	T					
71. MICROSOFT (MSFT)	B	Dividend	L	T					
72. SHELTON INTL SELECT EQUITY FD INVESTOR CL SHARE (SISLX)	A	Dividend	L	T					
73. PACIFIC LIFE VARIABLE ANNUITY (H)									
74. AFIS ASSET ALLOCATION FUND (X)		None	M	T					
75. IRA #3 (H)									
76. WELLS FARGO BANK (cash) (Y)									
77. USB TACTICAL ALLOC FD (PWTAX) FKA FT- FRANKLIN INC. A (Y)									
78. GENERAL ELECTRIC (Y) COMPANY (GE) (Y)									
79. VANGUARD INDEX VANGUARD 500 INDEX FD ADMIRAL SHS (VFIAX) (X)	A	Dividend	J	T					
80. IRA #4 (H)									
81. WELLS FARGO BANK (cash)	A	Interest	J	T					
82. ABBOTT LABORATORIES (ABT)	A	Dividend	K	T					
83. ACCENTURE PLC IRELAND SHARES CLASS A (ACN)	A	Dividend	J	T					
84. AFLAC INC (AFL)	A	Dividend	J	T					
85. AIR PRODUCTS & CHEMICALS INC (APD)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. AMGEN INC (AMGN)	A	Dividend	J	T					
87. ANALOG DEVICES INC (ADI)	A	Dividend	J	T					
88. APPLE INC (AAPL)	A	Dividend	K	T					
89. AT&T INC (T)	A	Dividend	J	T					
90. AUTOMATIC DATA PROCESSING (ADP)	A	Dividend	K	T					
91. BECTON DICKINSON & CO (BDX)	A	Dividend	K	T					
92. BLACKROCK INC (BLK)	A	Dividend	J	T					
93. CHEVRON CORPORATION (CVX)	A	Dividend	J	T					
94. CHUBB LTD (CB)	A	Dividend	J	T					
95. CISCO SYSTEMS INC (CSCO)	A	Dividend	J	T					
96. CLOROX COMPANY (CLX)	A	Dividend	J	T					
97. COLGATE-PALMOLIVE CO (CL)	A	Dividend	J	T					
98. COMCAST CORP NEW CL A (CMCSA)		None	J	T	Buy	12/16/19	J		
99. EATON VANCE CORP NON VTG (EV)	A	Dividend	J	T					
100. EMERSON ELECTRIC CO (EMR)	A	Dividend	J	T					
101. EVERSOURCE ENERGY (ES)	A	Dividend	J	T					
102. EXXON MOBIL CORP (XOM)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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103. FACTSET RESEARCH SYSTEMS INC (FDS)	A	Dividend	J	T					
104. GENL DYNAMICS CORP (GD)	A	Dividend	J	T					
105. L3HARRIS TECHNOLOGIES INC (LHX)	A	Dividend	J	T					
106. ILLINOIS TOOL WORKS INC (ITW)	A	Dividend	K	T					
107. INTERNATIONAL BUSINESS MACHINE CORP (IBM) (Y)									
108. JOHNSON & JOHNSON (JNJ)	A	Dividend	K	T					
109. JPMORGAN CHASE & CO (JPM)	A	Dividend	K	T					
110. LINDE PLC (LIN) (X)	A	Dividend	J	T					
111. LOWES COMPANIES INC (LOW)	A	Dividend	K	T					
112. MCDONALDS CORP (MCD)	A	Dividend	J	T					
113. MEDTRONIC PLC (MDT)	A	Dividend	K	T					
114. MICROSOFT (MSFT)	A	Dividend	K	T	Sold (part)	05/17/19	J		
115. NEXTERA ENERGY INC (NEE)	A	Dividend	K	T					
116. NIKE INCL CLASS B (NKE)	A	Dividend	J	T					
117. NORFOLK SOUTHERN CORP (NSC)	A	Dividend	J	T					
118. NOVARTIS AG SPON ADR (NVS)	A	Dividend	J	T					
119. PAYCHEX INC (PAYX)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. PEPSICO INCORPORATED (PEP)	A	Dividend	J	T					
121. PHILLIPS 66 (PSX)	A	Dividend	J	T	Buy (add'l)	06/19/19	J		
122. POLARIS INDS INC (PII)	A	Dividend	J	T					
123. PRAXAIR INC (Y)									
124. PROCTER & GAMBLE CO (PG)	A	Dividend	J	T					
125. QUALCOMM INC (QCOM) (Y)									
126. SYSCO CORPORATION (SYY)	A	Dividend	J	T					
127. TARGET CORP (TGT)	A	Dividend	J	T					
128. UNITED TECHNOLOGIES CORP (UTX)	A	Dividend	J	T					
129. US BANCORP NEW (USB)	A	Dividend	J	T					
130. V F CORPORATION (VFC)	A	Dividend	J	T					
131. WALMART INC (WMT)	A	Dividend	J	T					
132. WEC ENERGY GROUP INC (WEC)	A	Dividend	J	T					
133. 3M CO (MMM)	A	Dividend	J	T					
134. IRA #5 (H)									
135. WELLS FARGO BANK (cash)	A	Interest	J	T					
136. ABBVIE INC (ABBV)	A	Dividend			Sold	02/14/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Agresti, Thomas P.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. AES CORP (AES)	A	Dividend	J	T					
138. AFLAC INC (AFL)	A	Dividend	J	T					
139. ALLEGION PLC (ALLE) (Y)									
140. ALLY FINANCIAL INC (ALLY)	A	Dividend	J	T	Buy	01/25/19	J		
141.					Buy (add'l)	10/31/19	J		
142. AMERICAN EXPRESS COMPANY (AXP)	A	Dividend	J	T					
143. AMERICAN TOWER CORP REIT (AMT)	A	Dividend			Sold	10/31/19	J	B	
144. AMERIPRISE FINANCIAL (AMP)	A	Dividend	J	T					
145. AMETEK INC NEW (AME)	A	Dividend	J	T					
146. AMGEN INC (AMGN)	A	Dividend	J	T	Buy	09/24/19	J		
147.					Buy (add'l)	10/31/19	J		
148. ANALOG DEVICES INC (ADI) (Y)									
149. ANDEAVOR (ANDV) (Y)									
150. APOGEE ENTERPRISES INC (APOG) (Y)									
151. ASSURED GUARANTY LTD (AGO)	A	Dividend	J	T					
152. AT & T INC (T)	A	Dividend	J	T	Buy (add'l)	05/13/19	J		
153. BAXTER INTERNATIONAL INC (BAX)	A	Dividend			Buy	03/18/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold	10/31/19	J		
155. BECTON DICKINSON & CO (BDX)	A	Dividend	J	T					
156. BEST BUY CO INC (BBY)	A	Dividend	J	T					
157. BIG LOTS INC (BIG) (Y)									
158. BIOGEN INC (BIIB)		None			Sold	05/13/19	J		
159. BOEING CO (BA)	A	Dividend	J	T					
160. BORG WARNER INC (BWA)		None			Sold	01/08/19	J		
161. BOSTON SCIENTIFIC CORP (BSX)		None	J	T					
162. BRADY CORP CL A (BRC) (Y)									
163. BRUNSWICK CORP (BC)	A	Dividend	J	T					
164. BURLINGTON STORES INC (BURL)		None	J	T	Buy	01/08/19	J		
165. CAPRI HOLDINGS LTD (CPRI)		None			Buy	04/05/19	J		
166.					Sold	10/25/19	J		
167. CARETRUST REIT INC (CTRE)	A	Dividend	J	T	Buy	01/14/19	J		
168. CARLISLE COMPANIES INC (CSL)	A	Dividend			Buy	03/07/19	J		
169.					Sold	09/24/19	J	A	
170. CATERPILLAR INC (CAT)		None			Sold	03/07/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Agresti, Thomas P.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. CBRE GROUP INC CLASS A SERIES A (CBG)		None			Sold	01/08/19	J	A	
172. CELANESE CORPORATION SERIES A (CE)	A	Dividend	J	T					
173. CENTENE CORP (CNC)		None			Sold	02/14/19	J	B	
174. CENTERPOINT ENERGY INC (CNP)	A	Dividend	J	T	Buy	01/14/19	J		
175. CHILDRENS PLACE INC/THE (PLCE) (Y)									
176. CIRRUS LOGIC INC (CRUS) (X)		None	J	T					
177. CITRIX SYSTEMS INC (CTXS)		None			Sold	01/14/19	J	A	
178. CMS ENERGY CORP (CMS)	A	Dividend	J	T					
179. CNX RES CORP (CNX)		None			Buy	01/14/19	J		
180.					Sold	03/18/19	J		
181. COCA-COLA EUROPEAN PARTNERS PLC (CCEP)	A	Dividend	J	T					
182. COMERICA INC (CMA)	A	Dividend			Sold	11/27/19	J		
183. COMMUNITY HEALTHCARE TR INC (CHCT)	A	Dividend	J	T	Buy	02/14/19	J		
184. CORNING INC (GLW)		None	J	T	Buy	09/24/19	J		
185. CRANE COMPANY (CR)	A	Dividend	J	T					
186. CUMMINS INC (CMI)	A	Dividend	J	T	Buy	09/24/19	J		
187.					Buy (add'l)	10/31/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. DANAHER CORP (DHR)	A	Dividend	J	T					
189. DELTA AIR LINES INC NEW (DAL)	A	Dividend			Sold	03/07/19	J	A	
190. DISNEY WALT COMPANY (DIS)	A	Dividend	J	T					
191. DOLLAR GENERAL CORP (DG)	A	Dividend			Sold	05/13/19	J	C	
192. DOLLAR TREE STORES INC (DLTR)		None	J	T					
193. DXC TECHNOLOGY (DXC) (Y)									
194. EASTGROUP PPTYS INC REIT (EGP)	A	Dividend	J	T	Buy	01/14/19	J		
195. EATON CORP PLC (ETN)	A	Dividend			Sold	10/31/19	J	A	
196. EDISON INTL (EIX)	A	Dividend	J	T					
197. EDWARDS LIFESCIENCE CORP (EW)		None	J	T					
198. EMERSON ELECTRIC CO (EMR)	A	Dividend	J	T					
199. ENERSYS INC (ENS) (Y)									
200. EQUITY LIFESTYLE PROP RIET (ELS)	A	Dividend	J	T	Buy	01/14/19	J		
201. EXELIXIS INC (EXEL)		None			Buy	02/14/19	J		
202.					Sold	10/25/19	J		
203. FACEBOOK INC CLASS A (FB)		None	J	T	Buy	11/27/19	J		
204. FIDELITY NATIONAL INFORMATION SVCS (FIS)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Agresti, Thomas P.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. FIRST TR ETF IV FIRST TR LOW DURATION MTG OPPORTUNITIES ETF (LMBS)	A	Dividend	J	T	Buy	03/18/19	J		
206.					Buy (add'l)	04/10/19	J		
207.					Sold (part)	05/13/19	J	A	
208. FLEETCOR TECHNOLOGIES INC (FLT)		None	J	T					
209. FLEXSHARES IBOXX 5YR ETF TARGET DURATION TIPS INDEX FD (TDTF)	A	Dividend	J	T	Buy	03/18/19	J		
210.					Buy (add'l)	04/10/19	J		
211. FLUOR CORP NEW (FLR)	A	Dividend	J	T	Buy	05/13/19	J		
212. FMC CORP NEW (FMC)	A	Dividend	J	T	Buy	02/14/19	J		
213. FOOT LOCKER INC (GTX)	A	Dividend			Buy	05/13/19	J		
214.					Sold	10/25/19	J		
215. GAP INC (GPS)	A	Dividend			Sold	03/07/19	J	A	
216. GLOBAL X MSCI NORWAY 30 (NORW) (Y)									
217. GLOBUS MEDICAL INC NEW CL A (GMED) (Y)									
218. L3HARRIS TECHNOLOGIES INC (LHX)	A	Dividend	J	T					
219. HAWAIIAN HOLDINGS INC (HA) (Y)									
220. HOLLYFRONTIER CORP (HFC)	A	Dividend			Buy	01/08/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting

Agresti, Thomas P.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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221.					Sold	09/24/19	J	A	
222. HOME DEPOT INC (HD) (X)	A	Dividend	J	T					
223. HONEYWELL INTERNATIONAL INC (HON)	A	Dividend			Sold	05/13/19	J	B	
224. HP INC (HPQ)	A	Dividend			Sold	10/31/19	J		
225. HUMANA INC (HUM)	A	Dividend			Sold	04/10/19	J		
226. HUNTINGTON INGALLS INDUSTRIES INC (HII)	A	Dividend	J	T	Buy	01/08/19	J		
227. ILLINOIS TOOL WORKS INC (ITW)	A	Dividend	J	T					
228. INGERSOLL-RAND PLC SHARES (IR)	A	Dividend	J	T					
229. INNOSPEC INC (IOSP)	A	Dividend	J	T					
230. INTEL CORP (INTC)	A	Dividend	J	T	Buy	09/24/19	J		
231.					Buy (add'l)	10/31/19	J		
232. INTUIT INC (INTU)	A	Dividend	J	T					
233. INVESCO TR II PFD fka POWERSHARES ETF PREFERED PORTFOLIO (Y)									
234. INVESCO BULLETSHARES 2018 fka POWERSHARES SELF ETF IND TR (Y)									
235. INVESCO BULLETSHARES 2018 HIGH YIELD CORP BD (BSJJ)	A	Dividend			Sold	03/18/19	J		
236. INVESCO EXCHANGE BULLETSHARES 2020 HIGH YIELD CORP (BSJK)	A	Dividend			Sold	01/14/19	J		
237.					Buy	02/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
238.					Sold	03/18/19	J		
239. INVESCO EXCHANGE BULLETSHARES 2021 CORP BD (BSCL)		None			Buy	03/18/19	J		
240.					Sold	04/10/19	J	A	
241. INVESCO EXCHANGE BULLETSHARES 2022 CORP BD ETF (BSCM)	A	Dividend			Sold (part)	04/10/19	J	A	
242.					Sold	05/13/19	J	A	
243. INVESCO EXCHANGE BULLETSHARES 2023 CORP BD (BSCN) (Y)									
244. INVESCO EXCHANGE BULLETSHARES 2024 CORP BD (BSCN)	A	Dividend			Sold (part)	03/18/19	J		
245.					Sold	04/10/19	J		
246. INVESCO EXCHANGE BULLETSHARES 2025 CORP BD (BSCP)	A	Dividend			Sold	03/18/19	J	A	
247. INVESCO SLF INDXD TR ETF BULLETSHARES 2021 HIGH YIELD CORP BD (BSJL)	A	Dividend			Buy	01/14/19	J		
248.					Buy (add'l)	02/14/19	J		
249.					Sold	03/18/19	J	A	
250. INVESCO TR II EMERGING MKTS SOVEREIGN DEBT (PCY)	A	Dividend			Buy	02/14/19	J		
251.					Sold	11/26/19	J	A	
252. INVESCO TR II PFD (PGX)	A	Dividend	J	T	Buy	12/19/19	J		
253. INVESCO TR II FUNDAMENTAL HIGH YIELD CORP BD (PHB)	A	Dividend	J	T	Buy	01/14/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
254.					Sold	03/18/19	J	A	
255.					Buy	05/13/19	J		
256. INVESCO TR II VAR RATE PFD (VRP)	A	Dividend			Buy	01/14/19	J		
257.					Sold	02/14/19	J	A	
258.					Buy	03/18/19	J		
259.					Sold	12/19/19	J	A	
260. ISHARES 3-7 YEAR TREASURY BD (IEI)	A	Dividend	J	T	Buy	11/26/19	J		
261. ISHARES IBONDS DEC 2022 TERM CORP (IBDN) (Y)									
262. ISHARES IBONDS DEC 2025 TERM CORP (IBDQ)		None			Sold	01/14/19	J		
263. ISHARES IBONDS DEC 2024 TERM CORP (IBDP)	A	Dividend	J	T					
264. ISHARES IBONDS DEC 2023 TERM CORP (IBDO)	A	Dividend	J	T	Sold (part)	01/14/19	J	A	
265.					Sold	02/14/19	J	A	
266.					Buy	03/18/19	J		
267.					Buy (add'l)	04/10/19	J		
268. ISHARES IBOX X & INVESTMENT GRADE CORP BD (LQD)	A	Dividend			Buy	01/14/19	J		
269.					Sold	03/18/19	J	A	
270. ISHARES INC MSCI JAPAN ETF NEW (EWJ)		None			Sold (part)	01/14/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
271.					Sold	04/10/19	J	A	
272. ISHARES MSCI BELGIUM ETF (EWK)		None			Sold	01/14/19	J		
273.					Buy	03/18/19	J		
274.					Sold	05/13/19	J		
275. ISHARES JP MORGAN USD EMERGING MARKETS BD (EMB)	A	Dividend	J	T	Sold (part)	02/14/19	J	A	
276. ISHARES MBS (MBB)	A	Dividend	J	T	Buy	11/26/19	J		
277. ISHARES MSCI SINGAPORE (EWS)	A	Dividend	J	T	Buy	01/14/19	J		
278.					Buy (add'l)	02/14/19	J		
279.					Sold (part)	03/18/19	J	A	
280.					Buy (add'l)	04/10/19	J		
281. ISHARES MSCI NETHERLANDS (EWN)	A	Dividend	J	T	Sold (part)	01/14/19	J		
282.					Buy (add'l)	02/14/19	J		
283.					Buy (add'l)	03/18/19	J		
284.					Sold (part)	04/10/19	J	A	
285.					Buy (add'l)	05/13/19	J		
286. ISHARES MSCI AUSTRALIA (EWA)	A	Dividend	J	T	Buy (add'l)	05/13/19	J		
287. ISHARES MSCI SWITZERLAND (EWL)	A	Dividend	J	T	Sold (part)	02/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
288. ISHARES MSCI HONG KONG (EWH)	A	Dividend	J	T	Buy (add'l)	03/18/19	J		
289. ISHARES MSCI FRANCE (EWQ)	A	Dividend	J	T	Buy	01/14/19	J		
290.					Sold (part)	03/18/19	J		
291.					Buy (add'l)	04/10/19	J		
292.					Sold (part)	05/13/19	J	A	
293. ISHARES MSCI GERMANY (EWG)	A	Dividend	J	T					
294. ISHARES MSCI ITALY (EWI)	A	Dividend	J	T	Buy (add'l)	01/14/19	J		
295. ISHARES MSCI NEW ZEALAND (ENZL)	A	Dividend	J	T	Buy	01/14/19	J		
296. ISHARES MSCI POLAND (EPOL)		None			Buy	01/14/19	J		
297.					Sold	02/14/19	J		
298.					Buy	03/18/19	J		
299.					Sold	04/10/19	J	A	
300. ISHARES MSCI SPAIN ETF (EWP)		None			Sold	01/14/19	J	A	
301. ISHARES MSCI SWEDEN (EWD)	A	Dividend	J	T					
302. ISHARES TR MSCI UTD KINGDOM ETF NEW (EWU)	A	Dividend	J	T	Buy (add'l)	01/14/19	J		
303.					Sold	03/18/19	J	B	
304.					Buy	04/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
305. ISHARES TR INTERMEDIATE TRM CR BD (IGIB)	A	Dividend			Buy	05/13/19	J		
306.					Sold	11/26/19	J	A	
307. KELLOGG COMPANY (K)	A	Dividend	J	T					
308. LABORATORY CORP OF AMER HLDGS (LH)		None			Sold	01/08/19	J	A	
309. LAM RESEARCH CORP (LRCX)		None			Sold	01/08/19	J	A	
310. LEAR CORP (LEA)		None			Sold	01/08/19	J	A	
311. LHC GROUP LLC (LHCG)		None			Sold	01/14/19	J	A	
312. LINDE PLC (LIN)	A	Dividend	J	T					
313. LITHIA MTRS INC CL A (LAD)	A	Dividend	J	T	Buy	01/08/19	J		
314. LOCKHEED MARTIN CORP (LMT)	A	Dividend	J	T					
315. LYONDELLBASELL INDUSTRIES AF SCA CL A (LYB)	A	Dividend	J	T	Buy	01/08/19	J		
316. L3 TECHNOLOGIES INC (LLL)	A	Dividend			Sold	04/05/19	J	A	
317. MALLINCKRODT PLC (MNK) (Y)									
318. MARSH AND MC LENNAN COMPANIES INC (MMC)	A	Dividend	J	T	Buy	03/18/19	J		
319. MASIMO CORP (MASI) (Y)									
320. MASTEC INC (MTZ)		None	J	T	Buy	01/08/19	J		
321. MASTERCARD INC CL A (MA)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
322. MC CORMICK & CO INC NON VTG (MKC)	A	Dividend			Sold	05/13/19	J	A	
323. METHODE ELECTRONICS CL A (MEI) (Y)									
324. MICROCHIP TECHNOLOGY INC (MCHP)	A	Dividend			Sold (part)	01/14/19	J	A	
325.					Sold	09/24/19	J	A	
326. MICRON TECHNOLOGY INC (MU) (Y)									
327. MONSTANTO CORP (Y)									
328. NANDSON (Y)									
329. MICROSOFT (MSFT)	A	Dividend	J	T	Buy	01/14/19	J		
330. NATIONAL RETAIL PPTYS INC (NNN)	A	Dividend	J	T	Buy	01/14/19	J		
331. NATIONAL STORAGE AFFILIATES TR (NSA) (X)	A	Dividend	J	T					
332. NETGEAR INC (NTGR) (Y)									
333. NEXPOINT RESIDENTIAL TR INC (NXRT)	A	Dividend	J	T	Buy	05/13/19	J		
334. NEXTERA ENERGY INC (NEE)	A	Dividend	J	T					
335. NORDSON CORP (NDSN) (X)	A	Dividend			Sold	05/13/19	J	A	
336. NORFOLK SOUTHERN CORP (NSC)	A	Dividend			Sold	10/31/19	J	A	
337. NORTHROP GRUMMAN CORP NEW (HOC)	A	Dividend			Sold	09/24/19	J	B	
338. OMEGA HEALTHCARE REIT INVESTORS INC (OHI)	A	Dividend			Buy	02/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
339.					Sold	05/13/19	J	A	
340. OMNICOM GROUP (OMC)	A	Dividend	J	T					
341. OSHKOSH CORP (OSK)	A	Dividend	J	T					
342. PACCAR INC (PCAR)	A	Dividend	J	T	Buy	01/08/19	J		
343. PACKAGING CORP OF AMER (PKG)	A	Dividend	J	T	Buy	05/13/19	J		
344. PARKER-HANNIFIN CORP (PH)	A	Dividend	J	T					
345. PERSPECTA INC (Y)									
346. PIMCO TRUST ETF (Y)									
347. PHILLIPS 66 (PSX)	A	Dividend	J	T	Buy	10/25/19	J		
348. PIMCO INVESTMENT GRADE CORP BD INDEX FD (CORP)	A	Dividend	J	T	Buy	04/10/19	J		
349.					Buy (add'l)	05/13/19	J		
350. PIMCO 0-5 YEAR HIGH YIELD CORP BD FD ETF (HYS)	A	Dividend			Buy	02/14/19	J		
351.					Sold (part)	03/18/19	J	A	
352.					Sold	04/10/19	J	A	
353. PINNACLE WEST CAP CORP (PNW)	A	Dividend	J	T	Buy	02/14/19	J		
354. PNC FINANCIAL SERVICES GROUP (PNC) (Y)									
355. PROSHARES SELF ETF TR BULLETSHARES 2023 (BSCM) (Y)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
356. PRAXAIR INC (Y)									
357. PROLOGIS INC (PLD)		None			Buy	01/14/19	J		
358.					Sold	02/14/19	J	A	
359. PROPETRO HOLDING CORP (PUMP) (Y)									
360. PROSHARES INVESTMENT GRADE- INTEREST RATE HEDGED (IGHG)	A	Dividend			Buy	05/13/19	J		
361.					Sold	10/22/19	J	A	
362. PS BUSINESS PKS INC CALIF (PSB) (X)	A	Dividend	J	T					
363. RAYTHEON COMPANY (RTN) (X)	A	Dividend			Sold	03/18/19	J	A	
364. REALITY INCOME CORP REIT (O)	A	Dividend	J	T	Buy	01/14/19	J		
365. REPUBLIC SVCS INC (RSG)	A	Dividend			Sold	10/31/19	J	A	
366. RESIDEO TECHNOLOGIES (REZI) (Y)									
367. REX AMERICAN RESOURCES CORP (REX) (Y)									
368. REXFORD IND REALITY INC (REXR)	A	Dividend	J	T	Buy	01/14/19	J		
369. ROCKWELL AUTOMATION INC (ROK)	A	Dividend	J	T	Buy	02/14/19	J		
370. ROSS STORES INC CALIF (ROST) (X)	A	Dividend	J	T					
371. SALESFORCE.COM (CRM)		None	J	T					
372. SIMON PROPERTY GROUP REIT INC NEW (SPG)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
373. SKYWORKS SOLUTIONS INC (SWKS)	A	Dividend	J	T	Buy	10/25/19	J		
374.					Buy (add'l)	10/31/19	J		
375. SOUTHERN COMPANY/THE (SO)	A	Dividend	J	T	Buy	09/24/19	J		
376.					Buy (add'l)	10/31/19	J		
377. SPDR BLOOMBERG BARCLAYS SHORT TERM HIGH YIELD BD ETF (SJNK)	A	Dividend			Buy	01/14/19	J		
378.					Sold	02/14/19	J	A	
379.					Buy	03/18/19	J		
380.					Sold (part)	04/10/19	J	A	
381.					Sold	05/13/19	J		
382. SPDR BLOOMBERG BARCLAYS HIGH YIELD BD ETF (JNK)	A	Dividend			Buy (add'l)	03/18/19	J		
383.					Sold	05/13/19	J		
384. SPDR BLOOMBERG BARCLAYS EMERGING MARKETS LOCAL BD ETF (EBND)	A	Dividend			Sold	02/14/19	J	A	
385. SPDR BLOOMBERG BARCLAYS INTERNATIONAL TREASURY BD ETF (BWX)	A	Dividend	J	T	Sold (part)	01/14/19	J		
386. SPDR PORTFOLIO LONG TERM CORP BD ETF (SPLB)	A	Dividend			Buy (add'l)	01/14/19	J		
387.					Sold	04/10/19	J	A	
388. SPDR FTSE INTL GOVT INFLATION PROTECTED BD ETF (WIP)	A	Dividend			Buy (add'l)	04/10/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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389.					Sold (part)	05/13/19	J	A	
390.					Sold	11/26/19	J		
391. SPDR SER TR BARCLAYS HIGH YIELD BD ETF (JNK)	A	Dividend			Buy	03/18/19	J		
392.					Buy (add'l)	04/10/19	J		
393.					Sold	05/13/19	J		
394. SSGA ACTIVE ETF TR SPDR DOBLELINE TOTAL RETURN TACTICAL (TOTL)	A	Dividend			Buy	04/10/19	J		
395.					Sold	05/13/19	J		
396. STATE STR CORP (STT)	A	Dividend	J	T	Buy	05/13/19	J		
397. STORE CAPITAL CORP (STOR)	A	Dividend	J	T	Buy	01/14/19	J		
398. STANLEY BLACK & DECKER INC (SWK)		None			Sold	01/14/19	J		
399. STRYKER CORP (SYK)	A	Dividend	J	T					
400. STURM RUGER & CO INC (RGR) (Y)									
401. SUN COMMUNITITES INC REIT (SUI)	A	Dividend	J	T	Buy	01/14/19	J		
402. SUPERNUS PHARMACEUTICALS INC (SUPN) (Y)									
403. SYSCO CORPORATION (SYY)	A	Dividend			Sold (part)	01/08/19	J	A	
404.					Sold	02/14/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
405. TAPESTRY INC (TPR)	A	Dividend			Sold	05/13/19	J		
406. TE CONNECTIVITY LTD (TEL)	A	Dividend			Sold	03/07/19	J	A	
407. T-MOBILE US INC (TMUS)		None	J	T	Buy	05/13/19	J		
408. TERRENO REALTY CORP (TRNO)	A	Dividend	J	T	Buy	01/14/19	J		
409. TETRA TECH INC NEW (TTEK) (Y)									
410. TEXAS INSTRUMENTS INC (TXN)	A	Dividend	J	T					
411. TIMKEN COMPANY (TXN)	A	Dividend	J	T					
412. TJX COS INC NEW (TJX)	A	Dividend	J	T					
413. TORCHMARK CORP (Y)									
414. TYSON FOODS INC CL A (TSN)	A	Dividend	J	T	Buy	01/08/19	J		
415. UNIFIRST CORP (UNF) (Y)									
416. UNION PACIFIC CORP (UNP)	A	Dividend	J	T	Buy	04/10/19	J		
417. UNITED TECHNOLOGIES CORP (UTX)	A	Dividend	J	T	Buy	03/07/19	J		
418. UNITEDHEALTH GROUP INC (UNH)	A	Dividend			Sold	04/10/19	J	B	
419. UNIVERSAL FOREST PRODUCTS INC (UFPI)	A	Dividend	J	T	Sold (part)	01/14/19	J	A	
420. UNIVERSAL HEALTH SVCS INC CL B (UHS)	A	Dividend	J	T	Sold (part)	04/10/19	J		
421. VALERO ENERGY CORP NEW (VLO)	A	Dividend			Sold	05/13/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
422. VANECK VECTORS TR FALLEN ANGEL HIGH YIELD ETF (ANGL)	A	Dividend	J	T	Buy	03/18/19	J		
423.					Buy (add'l)	04/10/19	J		
424. VANECK VECTORS TR INTL HIGH YIELD BD ETF (IHY)	A	Dividend			Buy	05/13/19	J		
425.					Sold	10/22/19	J		
426. VANGUARD INTERMEDIATEETF TERM CORP BD (VCIT)	A	Dividend	J	T	Sold (part)	03/18/19	J		
427.					Buy (add'l)	04/10/19	J		
428. VANGUARD MORTGAGE BACK SECURITIES ETF (VMBS)	A	Dividend	J	T	Buy	10/22/19	J		
429. VANGUARD LONG TERM CORP BD ETF (VCLT)	A	Dividend			Buy	02/14/19	J		
430.					Sold	03/18/19	J	A	
431. VANGUARD TOTAL INTL ETF BD (BNDX)	A	Dividend			Buy	01/14/19	J		
432.					Sold	03/18/19	J	A	
433. VANGUARD WHITEHALL FDS EMERGING MKT GOVT BD INDEX FD (VWOB)	A	Dividend	J	T	Buy	01/14/19	J		
434.					Sold (part)	03/18/19	J	A	
435.					Buy (add'l)	04/10/19	J		
436.					Sold (part)	05/13/19	J	A	
437. VERIZON COMMUNICATIONS COM (VZ)	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
438. VERTE PHARMACEUTICALS INC (VRTX)		None	J	T	Buy	04/10/19	J		
439. WALGREENS BOOTS ALLIANCE INC (WBA)	A	Dividend	J	T	Buy	03/07/19	J		
440.					Buy (add'l)	05/13/19	J		
441. WALMART INC (WMT)	A	Dividend			Sold	05/13/19	J	A	
442. WEC ENERGY GROUP INC (WEC)	A	Dividend			Sold	02/14/19	J	A	
443. WEX INC (WEX)		None	J	T					
444. WILLIAMS SONOMA INC (WSM)	A	Dividend	J	T					
445. WILLIS TOWERS WATSON PUB LTD CO (WLTW)	A	Dividend	J	T	Buy	05/13/19	J		
446. WISDOMTREE EMERGING ETF MARKETS LOCAL DEBT FD (ELD)	A	Dividend			Buy	03/18/19	J		
447.					Sold	04/10/19	J		
448.					Buy	05/13/19	J		
449.					Sold	11/26/19	J	A	
450. XYLEM INC (XYL)	A	Dividend	J	T	Buy	04/10/19	J		
451. ZEBRA TECHNOLOGIES CORP CL A (ZBRA)		None			Buy	05/13/19	J		
452.					Sold	09/24/19	J	A	
453. IRA #6 (H)									
454. WELLS FARGO BANK (cash) (Y)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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455. IRA #6 - HARTFORD LEADERS LIFE INS VARIABLE ANNUITY (H)									
456. FRANKLIN RISING DIVIDENS VIP (X)		None	J	T					
457. AMR GROWTH-INCOME (X)		None	J	T					
458. AMR GROWTH (X)		None	J	T					
459. FRANKLIN INCOME VIP FUND (X)		None	J	T					
460. AMR GLOBAL GROWTH &INCOME FUND (X)		None	J	T					
461. IRA #6 - LINCOLN NATL LIFE INS VARIABLE ANNUITY (H)									
462. LINCOLN GROWTH-INCOME (X)		None	K	T					
463. IRA #7 (H)									
464. WELLS FARGO BANK (cash) (Y)									
465. ABBVIE INC (ABBV)	A	Dividend			Sold	06/25/19	J		
466. ALTRIA GROUP INC (MO)	A	Dividend			Sold	02/05/19	J		
467. AMERICAN ELECTRIC POWER INC (AEP)	A	Dividend	J	T					
468. AMGEN INC (AMGN)	A	Dividend	J	T	Buy	02/06/19	J		
469. AUTOMATIC DATA PROCESSING (ADP)		None	J	T	Buy	11/11/19	J		
470. AT&T INC (T)	A	Dividend	J	T					
471. CISCO SYSTEMS INC (CSCO)	A	Dividend	J	T					

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472. COMCAST CORP NEW CL A (CMCSA)		None	J	T	Buy	12/16/19	J		
473. DIAGEO PLC SPONSORED ADR NEW (DEO)	A	Dividend	J	T					
474. DOMINION ENERGY INC (D)	A	Dividend	J	T					
475. DOVER CORP COMMON (DOV)	A	Dividend	J	T					
476. DOW INC (DOW)	A	Dividend	J	T	Spinoff (from line 477)	04/02/19	J		
477. DUPONT DE NEMOUNRS INC (DD)	A	Dividend			Sold	09/17/19	J		
478. EXXON MOBIL CORP (XOM)	A	Dividend	J	T					
479. GENL DYNAMICS CORP (GD)	A	Dividend	J	T					
480. GENUINE PARTS CO COM (GPC)	A	Dividend	J	T					
481. HASBRO INC (HAS)	A	Dividend	J	T					
482. HOME DEPOT INC (HD)	A	Dividend	J	T					
483. ILLINOIS TOOL WORKS INC (ITW)	A	Dividend	J	T					
484. INTEL CORP (INTC)	A	Dividend	J	T					
485. INTERNATIONAL BUSINESS MACHINE CORP (IBM)	A	Dividend	J	T					
486. INTERNATIONAL PAPER CO (IP) (Y)									
487. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
488. JPMORGAN CHASE & CO (JPM)	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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489. KIMBERLY-CLARK CORP (KMB)	A	Dividend	J	T					
490. LOCKHEED MARTIN CORP (LMT)	A	Dividend	J	T					
491. M & T BANK CORP (MTB)	A	Dividend	J	T					
492. MAXIM INTEGRATED PRODS INC (MXIM)	A	Dividend	J	T					
493. MCDONALDS CORP (MCD)	A	Dividend	J	T					
494. MERCK & CO INC NEW (MRK)	A	Dividend	J	T					
495. MICROSOFT (MSFT)	A	Dividend	J	T					
496. NEXETERA ENERGY INC (NEE)	A	Dividend	J	T					
497. NUCAR CORP (NUE) (Y)									
498. PEOPLE'S UNITED FINANCL (PBCT)	A	Dividend	J	T					
499. PEPSICO INCORPORATED (PEP)	A	Dividend	J	T	Buy (add'l)	06/26/19	J		
500. PFIZER INCORPORATED (PFE)	A	Dividend			Sold	08/13/19	J		
501. PROCTER & GAMBLE CO (PG)	A	Dividend	J	T					
502. PHILLIPS 66 (PSX)	A	Dividend	J	T	Buy (add'l)	06/25/19	J		
503. SOUTHERN COMPANY/THE (SO)	A	Dividend	J	T					
504. STARBUCKS CORP (SBUX)		None	J	T	Buy	08/14/19	J		
505. TARGET CORP (TGT)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
506. UNITED PARCEL SERVICE-B (UPS)	A	Dividend	J	T					
507. US BANCORP NEW (USB)	A	Dividend	J	T					
508. VERIZON COMMUNICATIONS COM (VZ)	A	Dividend	J	T	Buy (add'l)	06/26/19	J		
509. WALGREENS BOOTS ALLIANCE INC (WBA)	A	Dividend	J	T					
510. WASTE MGMT INC DEL (WM)	A	Dividend	J	T					
511. WELLTOWER INC (WELL)	A	Dividend	J	T					
512. IRA #8 (H)									
513. VANGUARD INDEX VANGUARD 500 INDEX FD ADMIRAL SHS (VFIAX) (X)	A	Dividend	J	T					
514. BROKERAGE ACCOUNT #2 (H)									
515. WELLS FARGO BANK (cash)	A	Interest	M	T					
516. ABBOTT LABORATORIES (ABT)	A	Dividend	J	T					
517. ALTRIA GROUP INC (MO)	A	Dividend	J	T					
518. AMGEN INC (AMGN)	A	Dividend	J	T					
519. APPLE INC (AAPL)	A	Dividend	J	T					
520. BOEING CO (BA)	A	Dividend			Sold	10/28/19	J		
521. CARNIVAL CORP (CCL)	A	Dividend			Sold	10/28/19	J		
522. CHUBB LTD (CB)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Agresti, Thomas P.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
523. CISCO SYSTEMS INC (CSCO)	A	Dividend	J	T					
524. DISNEY WALT COMPANY (DIS)	A	Dividend	J	T					
525. DOW INC (DOW)	A	Dividend			Spinoff (from line 527)	04/02/19	J		
526.					Sold	10/23/19	J		
527. DUPONT DE NEMOUNRS INC (DD)	A	Dividend			Sold	10/23/19	J		
528. ENERGY SELECT SECTOR SPDR (XLE)	A	Dividend			Sold	10/28/19	J		
529. EXXON MOBIL CORP (XOM)	A	Dividend	J	T					
530. GENL DYNAMICS CORP (GD)	A	Dividend	J	T					
531. HOME DEPOT INC (HD)	A	Dividend	J	T					
532. HONEYWELL INTERNATIONAL INC (HON)	A	Dividend	J	T					
533. HUNTINGTON BANCSHRES INC (HBAN)	A	Dividend	J	T					
534. INTEL CORP (INTC)	A	Dividend	J	T					
535. INVESCO FTSE RAFI US 1000 (PRF)	B	Dividend	L	T	Sold (part)	12/09/19	K	D	
536. ISHARES CORE S&P MID ETF ETF (IJH)	B	Dividend	L	T	Sold (part)	12/10/19	J	D	
537. ISHARES CORE S&P SMALLCAP (IJR)	A	Dividend	K	T					
538. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
539. JPMORGAN CHASE & CO (JPM)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
540. KEYCORP NEW (KEY)	A	Dividend	J	T					
541. LOCKHEED MARTIN CORP (LMT)	A	Dividend	K	T					
542. LOWES COMPANIES INC (LOW)	A	Dividend	J	T					
543. MCDONALDS CORP (MCD)	A	Dividend	J	T					
544. MERCK & CO INC NEW (MRK)	A	Dividend	J	T					
545. PFIZER INCORPORATED (PFE)	A	Dividend	J	T					
546. POWERSHARESFTSE RAFI US 1K (Y)									
547. REALITY INCOME CORP REIT (O)	A	Dividend	J	T					
548. SELECT SECTOR SPDR TR FINANCIAL (XLF)	A	Dividend	J	T					
549. SIMON PROPERTY GROUP REIT INC NEW (SPG)	A	Dividend			Sold	10/28/19	J		
550. SPDR S&P 500 TRUST (SPY)	B	Dividend	L	T					
551. TRUIST FINL CORP (TFC)	A	Dividend	J	T					
552. T ROWE PRICE GROUP INC (TROW)	A	Dividend	J	T					
553. TEXAS INSTRUMENTS INC (TXN)	A	Dividend	J	T					
554. TOTAL S.A. SPONS ADR (TOT)	A	Dividend	J	T					
555. TC ENERGY CORP (TRP)	A	Dividend	J	T					
556. UNITED TECHNOLOGIES CORP (Y)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
557. US BANCORP NEW (USB)	A	Dividend	J	T					
558. VALERO ENERGY CORP NEW (VLO)	A	Dividend	J	T					
559. VERIZON COMMUNICATIONS COM (VZ)	A	Dividend	J	T					
560. WELLS FARGO & CO NEW (WFC)	A	Dividend	J	T					
561. ROYAL BANK OF CANADA BD 3/15/19 (7812KBP1)		None			Redeemed	03/15/19	L	E	
562. AMERICAN FUNDS BD CL F2 (TEAFX)	B	Dividend	L	T					
563. BLACKROCK MULTI STATE MANICIPAL BD (MAPYX)	B	Dividend	L	T					
564. BLACKROCK MUN BD FD INSTL CL (MANLX)	B	Dividend	L	T					
565. DIAMOND HILL LONG-SHORT FD CL I (DHLSX)	C	Dividend	L	T	Sold (part)	12/09/19	K	D	
566. FRANKLIN GLOBAL TR INTL GROWTH FD AD CL (FNGZX)	A	Dividend	L	T					
567. MFS INT DIVERS FD CL I (MDIIX)	B	Dividend	L	T					
568. PNC INTERMEDIATE TAX EXEMPT BOND (Y)									
569. WELLS FARGO FUNDS HIGH YIELD MUNICIP BD INSTL CL (WHYIX)	B	Dividend	L	T					
570. WELLS FARGO STRATEGIC MUNICIPAL BD INST CLASS (STRIX)	B	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Agresti, Thomas P.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

L3HARRIS TECHNOLOGIES INC (LHX) was HARRIS CORP DEL (HRS).

VANGUARD INDEX VANGUARD 500 INDEX FD ADMIRAL SHS (VFIAX) was VANGUARD INDEX TRUST 500 PORTFOLIO INV FUND (VFINX).

DUPONT DE NEMOUNRS INC (DD) was DOWDUPONT INC (DWDP).

TC ENERGY CORP (TRP) was TRANSCANADA CORP (TRP).

TRUIST FINL CORP (TFC) was SUNTRUST BANKS INC (STI).

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 37-39 of the 2018 report have been in 2018 has been reported in this cycle as showing individual assets.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas P. Agresti**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544