AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Quattlebaum, Jr, Arthur M.	United States Fourth Circuit Court of Appeals	03/30/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2019 **to** 12/31/2019
7. Chambers or Office Address		
Clement Haynesworth Federal Building 300 East Washington Street Greenville, SC, 29601		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Shareholder	Enveritas
2.	Director	Greater Greenville YMCA
3.	Director	Christ Church Episcopal School (no longer a Director)
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	George Mason University	May 28-May 31, 2019	Lisbon, Portugal	Non-FJC educational seminar	Transportation, meals,lodging
2.	George Mason University	April 25-28, 2019	Washington, DC	Inaugural Antonin Scalia Forum	Transportation, meals,lodging
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Quattlebaum, Jr, Arthur M.	03/30/2021

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Future Scholar 529 Plan (H)									
2. -Columbia Conservative E Agg Track	B	Interest	L	T					
3. Future Scholar 529 Plan (H)									
4. -Columbia Bank Deposit 529 E	A	Interest	M	T					
5. Future Scholar 529 Plan (H)									
6. -Columbia Bank Deposit Plan	A	Interest	M	T					
7. AT&T, Inc.	A	Dividend	J	T					
8. LQ Janus Henderson IRA (H) Account (H)									
9. -Janus Henderson Research D Shr	C	Dividend	L	T					
10. -Foster Victor IRA (H)									
11. -JP Morgan Divers Ret US Mid Cp Eq ETF	D	Dividend	M	T	Sold (part)	09/13/19	J	A	
12. -JP Morgan Diversified Return US Eq ETF	D	Dividend	M	T					
13. -Schwab US Large-Cap Value ETF	D	Dividend	M	T					
14. -Schwab US Large-Cap Growth ETF	A	Dividend	J	T					
15. -American Funds New World F1	D	Dividend	M	T	Sold (part)	02/26/19	J	A	
16. -JP Morgan Divers Ret US Small Cap Eq ETF	B	Dividend	M	T					
17. -Prudential Short-Term Corporate Bd Z	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
Quattlebaum, Jr, Arthur M.	03/30/2021

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18. -MFS International New Discovery A	C	Dividend	M	T					
19. -Ishares Edge Min VolEAFE ETF	B	Dividend	K	T					
20. -PPL Corporation	B	Dividend	K	T					
21. -Genuine Parts Co	C	Dividend	M	T	Buy (add'l)	06/24/19	L		
22. -Altria Group Inc	D	Dividend	M	T	Buy (add'l)	06/24/19	L		
23. -Alliant Energy Corp	A	Dividend	J	T	Sold (part)	06/24/19	K	D	
24. -Compass Minerals Int	A	Dividend	J	T	Sold (part)	06/24/19	K	A	
25. -Lamar Advertising Co REIT	A	Dividend	J	T	Sold (part)	06/24/19	L	A	
26.					Sold (part)	08/22/19	J	A	
27. -Pepsico Incorporated	B	Dividend	K	T	Sold (part)	06/24/19	K	C	
28. -Emerson Electric Co	B	Dividend	L	T					
29. -Verizon Communication	B	Dividend	K	T	Sold (part)	06/24/19	K	C	
30. -Amer Electric Power Co	C	Dividend	L	T					
31. -Southern Company	B	Dividend	K	T	Sold (part)	06/24/19	K	D	
32. -Hanesbrands Inc	A	Dividend	J	T	Sold (part)	06/24/19	L	A	
33. -Wells Fargo Bank	B	Dividend	K	T	Sold (part)	06/24/19	K	A	
34. -Truist Financial Corp (F/K/A BB&T Corporation)	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35. -Amgen Inc	C	Dividend	M	T	Buy (add'l)	06/24/19	L		
36. -Johnson & Johnson	C	Dividend	M	T	Buy (add'l)	06/24/19	L		
37. -Ventas Inc REIT	A	Dividend	J	T	Sold (part)	06/24/19	L	E	
38. -Welltower REIT	D	Dividend	M	T	Buy (add'l)	06/24/19	K		
39. -Procter & Gamble	B	Dividend	L	T	Sold (part)	06/24/19	L	E	
40. -Duke Energy Corp	A	Dividend	J	T	Sold (part)	06/24/19	L	D	
41. -Pfizer Incorporated	B	Dividend	L	T					
42. -PIMCO Income Class A	C	Dividend	L	T					
43. -Phillip Morris Intl	C	Dividend	K	T	Sold (part)	06/24/19	K	A	
44. -Dominion Energy Inc		None			Sold	05/01/19	L	D	
45. -General Mills	B	Dividend	K	T	Sold (part)	06/24/19	K	C	
46. -Enbridge Inc	C	Dividend	L	T	Sold (part)	06/24/19	L	C	
47. -Realty Incm Corp REIT	C	Dividend	M	T	Buy (add'l)	09/13/19	J		
48. -Loomis Sayles Core Plus Bond Fund Cl A	C	Dividend	M	T					
49. -T. Rowe Price Real Estate Fund Inv	C	Dividend	L	T					
50. -United Parcel Srvc	B	Dividend	K	T	Sold (part)	06/24/19	K	A	
51.					Sold (part)	09/13/19	J	B	

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. -Oakmark Intl Fund Inv	C	Dividend	M	T					
53. -T. Rowe Price Blue Chip Growth Fd Inv	C	Dividend	M	T					
54. -T Rowe Price Oversease Stock Fund Inv	C	Dividend	M	T					
55. -Schwab Bank Cash Sweep	A	Interest	M	T					
56. -PIMCO Total Return Fund Cl A	C	Dividend	K	T					
57. -Broadcom Inc	D	Dividend	M	T	Buy	06/24/19	M		
58. -Keurig Dr Pepper Inc	C	Dividend	M	T	Buy	06/24/19	M		
59. -Metlife Inc	B	Dividend	K	T	Buy	06/24/19	K		
60. -Valero Energy Corp	D	Dividend	M	T	Buy	06/24/19	M		
61. FVWA Taxable Account (H)									
62. -Fidelity Adv LTD Term Muni INCM Fd A	C	Dividend	K	T	Sold (part)	01/02/19	J	A	
63.					Sold (part)	06/06/19	J	A	
64. -JP Morgan Diversified Return US Mid	A	Dividend	K	T	Sold (part)	06/06/19	J	A	
65. -JP Morgan Diversified Return US Small	A	Dividend	K	T	Sold (part)	06/06/19	J	A	
66. -JP Morgan Diversified Return US Equity	B	Dividend	K	T	Sold (part)	06/06/19	J	A	
67.					Sold (part)	08/22/19	J	A	
68. -MFS Intl New Discovery A	B	Dividend	K	T	Sold (part)	06/06/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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69. -Nuveen High Yield Muni Bond Fund Cl A	B	Dividend	K	T	Sold (part)	06/06/19	J	A	
70. -American Fund New World Fd Cl F1	A	Dividend	K	T	Sold (part)	06/06/19	J	A	
71.					Sold (part)	08/22/19	J	A	
72. -Oakmark Intl Fd Inv		None			Sold (part)	06/06/19	J	A	
73.					Sold	11/19/19	K	A	
74. -PIMCO Income Cl A	C	Dividend	K	T	Sold (part)	01/02/19	J	A	
75.					Sold (part)	06/06/19	J	A	
76. -T. Rowe Price Emerging Mkts Bond Fd Inv		None			Sold	01/02/19	K	A	
77. -T. Rowe Price Tax Free Short Interim Inv	C	Dividend	K	T	Sold (part)	01/02/19	J	A	
78.					Sold (part)	06/06/19	J	A	
79. -Schwab US Large Cap Growth ETF		None			Sold	01/02/19	K	A	
80. -Schwab US Large Cap Value ETF	B	Dividend	K	T	Sold (part)	06/06/19	J	A	
81. -Deutsche Managed Muni Bd Cl S	C	Dividend	K	T	Sold (part)	06/06/19	J	A	
82. -Schwab Value Advantage Money Fund	C	Dividend	M	T	Sold (part)	05/08/19	K	A	
83.					Sold (part)	12/23/19	K	A	
84. -T. Rowe Price Overseas Stock Fund Inv	B	Dividend	K	T	Sold (part)	06/06/19	J	A	
85. -T. Rowe Price Real Estate Fund Inv		None			Sold (part)	06/06/19	J	A	

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86.					Sold	11/19/19	J	B	
87. -Schwab Bank Cash Sweep	A	Dividend	K	T					
88. -Ishares Edge MSCI Min Vol EAFE ETF	A	Dividend	J	T	Sold (part)	06/06/19	J	A	
89.					Sold (part)	08/22/19	J	A	
90. -T. Rowe Price Blue Chip Growth Fund Inv	B	Dividend	K	T	Buy	01/02/19	K		
91.					Sold (part)	06/06/19	J	A	
92.					Sold (part)	08/22/19	J	A	
93. -PIMCO Total Return Fund	B	Dividend	K	T	Buy	01/02/19	K		
94. -Ishares Core US REIT ETF	A	Dividend	J	T	Buy	11/19/19	J		
95. -Ishares Edge MSCI Intl QLT FCTR ETF	A	Dividend	K	T	Buy	11/19/19	K		
96.									
97.									

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Quattlebaum, Jr, Arthur M.	03/30/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. The Foster Victor IRA and FVWA Taxable Account listed in lines 10-95 reflect the information about that account as of 12/31/2019. The amounts in the IRA have since been reinvested, along with the proceeds from other retirement funds associated with my old firm into the FVWA IRA and FVWA Taxable Account described in section VII. Therefore, the value codes that were in the prior FDR have been deleted in this updated FDR.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Arthur M. Quattlebaum, Jr

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544