

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Webber, E. Richard	2. Court or Organization Eastern District of Missouri	3. Date of Report 05/06/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court 111 S. Tenth St., Suite 8 South St. Louis, MO 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner (see VIII)	Eureka Land Company (see VIII)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Missouri State Judicial Retirement	\$71,718.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	David C. Pratt	St. Louis Cardinals Baseball Tickets	\$6,000.00
2.	David C. Pratt	Trip to Antigua on Yacht, 1-14-18 to 1-21-18 (see Part VIII).	\$4,250.00
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. U.S. Savings Bonds	A	Interest	J	T					
2. Savings Acct U.S. Bank	A	Interest	K	T					
3. 1/2 interest in real estate / Scotland County, Missouri	E	Inheritance	N	W					
4. Bank of Kirksville CD	A	Interest	K	T					
5. Bank of Kirksville CDs - 1/2 Interest (grouped)	A	Interest			Sold	07/15/18	J		
6. Alcoa Aluminum (Common Stock)	A	Dividend	J	T	Sold (part)	01/24/18	J	A	
7. Alcoa Aluminum (Common Stock)	A	Dividend	J	T	Sold (part)	01/25/18	J	A	
8. Amazon	A	Dividend	L	T	Sold (part)	10/25/18	J	D	
9. Amazon	A	Dividend	L	T	Buy (add'l)	03/08/18	J		
10. Amazon	A	Dividend	L	T	Buy (add'l)	03/09/18	J		
11. Amazon	A	Dividend	L	T	Buy (add'l)	07/23/18	K		
12. Amazon	A	Dividend	L	T	Buy (add'l)	07/25/18	J		
13. Amazon	A	Dividend	L	T	Buy (add'l)	08/27/18	J		
14. Amazon	A	Dividend	L	T	Buy (add'l)	01/30/18	J		
15. Amazon	A	Dividend	L	T	Buy (add'l)	05/16/18	J		
16. Amazon	A	Dividend	L	T	Buy (add'l)	06/12/18	J		
17. Amazon	A	Dividend	L	T	Buy (add'l)	07/17/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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18. American Tower	A	Dividend			Sold	06/12/18	J	A	
19. Apple (Common Stock)	A	Dividend			Buy (add'l)	07/30/18	K		
20. Apple (Common Stock)	A	Dividend			Sold	10/25/18	K	C	
21. Apple (Common Stock)	A	Dividend	K	T	Buy (add'l)	11/30/18	K		
22. Arconic (Common Stock)	A	Dividend			Sold (part)	01/24/18	J	A	
23. Arconic (Common Stock)	A	Dividend			Sold	01/25/18	J	C	
24. AT&T (Common Stock)	A	Dividend	J	T					
25. Bank of New York Mellon (Common Stock)	A	Dividend	K	T	Buy (add'l)	03/20/18	J		
26. Bank of New York Mellon (Common Stock)	A	Dividend	K	T	Buy (add'l)	03/26/18	J		
27. Berkshire Hathaway (Common Stock - Class B)	A	Dividend			Sold	10/25/18	K	E	
28. Ford Motor Company (Common Stock)	A	Dividend	K	T	Sold (part)	01/30/18	J	A	
29. Ford Motor Company (Common Stock)	A	Dividend	K	T	Buy	03/20/18	J		
30. Ford Motor Company (Common Stock)	A	Dividend	K	T	Sold (part)	07/30/18	K	B	
31. Ford Motor Company (Common Stock)	A	Dividend	K	T	Buy	09/07/18	K		
32. General Electric (Common Stock)	A	Dividend			Buy (add'l)	03/28/18	J		
33. General Electric (Common Stock)	A	Dividend			Buy (add'l)	01/30/18	J		
34. General Electric (Common Stock)	A	Dividend			Sold	12/13/18	K	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Global X Copper	A	Dividend			Sold	05/16/18	J	A	
36. Lowes Companies (Common Stock)	A	Dividend	K	T	Buy (add'l)	01/24/18	J		
37. Lowes Companies (Common Stock)	A	Dividend	K	T	Buy	07/17/18	J		
38. McDonald's (Common Stock)	A	Dividend	K	T	Sold (part)	07/17/18	K	E	
39. McDonald's (Common Stock)	A	Dividend	K	T	Buy (add'l)	10/29/18	K		
40. Microsoft (Common Stock)	A	Dividend	K	T	Buy (add'l)	07/30/18	J		
41. Proctor and Gamble	A	Dividend			Sold	01/24/18	J	A	
42. Wal-Mart	A	Dividend	L	T	Buy (add'l)	01/30/18	J		
43. Wells Fargo Company (Common Stock)	A	Dividend	K	T					
44. Yum Brands (Common Stock)	A	Dividend	K	T					
45. Centene Co (Common Stock)	A	Dividend	K	T	Buy (add'l)	07/30/18	J		
46. Danaher Corp.	A	Dividend			Sold	06/12/18	J	A	
47. Home Depot (Common Stock)	A	Dividend			Sold	07/11/18	J	B	
48. Franklin Templeton US Gov't Sec. Fund- Class A	C	Dividend			Sold	04/09/18	L	E	
49. John Hancock Annuity Lifestyle Growth	C	Dividend	K	T					
50. Transamerica Life Ins. Co. Whole Life Insurance Policy	A	Interest	K	T					
51. State Farm Whole Life (64)	A	Dividend	J	T					

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52. State Farm Whole Life (67)	A	Dividend	J	T					
53. Lincoln Financial Insurance (whole life)	A	Dividend	J	T					
54. Blanchard (gold) (See VIII)	A	Dividend	J	T	Buy (add'l)	01/01/18	J		
55. Stifel American Funds ICAA (Mutual Fund)	A	Dividend			Sold	03/07/18	J	C	
56. Stifel American Funds AmCap	A	Dividend	J	T	Buy	03/07/18	J		
57. Stifel American Funds New Economy	A	Dividend	J	T	Buy	03/07/18	J		
58. Alger Capital Appreciations Fund Mutual Fund	A	Dividend	J	T	Sold (part)	09/13/18	J	A	
59. FMI International Mutual Fund	A	Dividend	J	T	Sold (part)	09/13/18	J	B	
60. FMI International Mutual Fund	A	Distribution	J	T	Buy	03/12/18	J		
61. FMI International Mutual Fund	A	Dividend	J	T	Sold (part)	07/25/18	J	A	
62. FMI International Mutual Fund	A	Dividend	J	T	Sold (part)	07/29/18	J	A	
63. Glenmeade Large Cap Growth Mutual Fund	A	Dividend	J	T					
64. Pimco Income Fund International (Bond Fund)	A	Interest			Sold	03/06/18	K	B	
65. T Rowe Price Global Technology Mutual Fund	A	Dividend			Sold	10/11/18	K	D	
66. T Rowe Price International Discovery	A	Dividend	J	T					
67. T Rowe Price QM Small Cap Growth Mutual Fund	A	Dividend	K	T	Sold (part)	09/13/18	J	C	
68. T Rowe Price QM Small Cap Growth Mutual Fund	A	Dividend	K	T	Sold (part)	09/30/18	J	A	

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69. T Rowe Price QM Small Cap Growth Mutual Fund	A	Dividend	K	T	Sold (part)	10/12/18	J	A	
70. AIG Focused Strategy (formerly SunAmerica)	A	Dividend			Sold (part)	07/25/18	J	B	
71. AIG Focused Strategy (formerly SunAmerica)	A	Dividend			Sold (part)	08/24/18	J	A	
72. AIG Focused Strategy (formerly SunAmerica)	A	Dividend			Sold	09/13/18	K	D	
73. Victory Sycamore Establishment Value Fund (Mutual Fund)	A	Dividend			Sold	03/06/18	K	C	
74. Eaton Vance Atlanta Capital SMID Cap Fund (Mutual Fund)	B	Interest	K	T					
75. Fidelity Advisor Materials Fd Class A	A	Dividend			Buy (add'l)	03/12/18	J		
76. Fidelity Advisor Materials Fd Class A	A	Dividend			Sold	09/13/18	J	A	
77. Franklin Templeton Tax Free Inc. (Mutual Fund)	A	Interest			Sold	03/27/18	J	A	
78. Mairs and Power Growth Fund (Mutual Fund)	A	Dividend			Sold (part)	03/06/18	J	A	
79. Mairs and Power Growth Fund (Mutual Fund)	A	Dividend			Sold	08/24/18	J	A	
80. Nuveen All American Muni Bond Fund	A	Int./Div.	K	T	Buy (add'l)	04/26/18	K		
81. Nuveen All American Muni Bond Fund	A	Int./Div.	K	T	Sold (part)	09/13/18	K	B	
82. Nuveen All American Muni Bond Fund	A	Int./Div.	K	T	Buy (add'l)	09/18/18	J		
83. Parmassers Endeavor Fund	A	Dividend			Sold	09/13/18	J	B	
84. Fidelity OTC Portfolio	A	Dividend	J	T	Buy (add'l)	03/12/18	J		
85. Fidelity OTC Portfolio	A	Dividend	J	T	Buy (add'l)	09/13/18	J		

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86. Fidelity OTC Portfolio	A	Dividend	J	T	Buy (add'l)	09/18/18	J		
87. Fidelity OTC Portfolio	A	Dividend	J	T	Sold (part)	12/12/18	J	A	
88. American Balanced Fund (Mutual Fund)	A	Int./Div.	J	T					
89. Pimco Foreign Bond Fund	A	Interest			Sold	03/06/18	J	A	
90. Fidelity Real Estate Investment Portfolio (Mutual Fund)	A	Dividend			Sold	03/06/18	J	A	
91. Western Asset Managed Muni C (Legg Mason) (Mutual Fund)	A	Interest	K	T					
92. Western Asset Short Duration Muni C (S&W 1/2 interest) (Legg Mason)	A	Interest	J	T					
93. Legg Mason - Western Asset Managed Muni C (S&W 1/2 interest)	A	Interest	K	T					
94. EuroPacific Fd GWA 529 (Mutual Fund)	A	Dividend	K	T					
95. American Fund AMCAP GWA 529 (Mutual Fund)	A	Dividend	K	T					
96. EuroPacific Fd IWM 529 (Mutual Fund)	A	Dividend	K	T					
97. American Fund AMCAP IWM 529 (Mutual Fund)	A	Dividend	K	T					
98. Alibaba	A	Dividend	K	T	Buy	10/16/18	K		
99. Alibaba	A	Dividend	K	T	Buy	10/18/18	J		
100. BAIDU	A	Dividend	J	T	Buy	12/06/18	J		
101. Buffalo Discovery	A	Dividend			Buy	03/09/18	J		
102. Buffalo Discovery	A	Dividend			Sold	07/13/18	J	A	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. Enterprise Products Partners	A	Dividend	J	T	Buy	11/29/18	J		
104. Fidelity OTC Portfolio	A	Dividend	J	T	Buy	09/18/18	J		
105. Fidelity Advisor Medical	A	Dividend			Buy	03/09/18	J		
106. Fidelity Advisor Medical	A	Dividend			Sold	07/13/18	J	A	
107. Fidelity Advisor Focus Technology	A	Dividend	J	T	Buy	09/18/18	J		
108. Hanes Brand (Common Stock) (See VIII)	A	Dividend			Sold	11/16/18	J	A	
109. Ivy Emerging Market Equity Fd.	A	Dividend			Buy	03/09/18	J		
110. Ivy Emerging Market Equity Fd.	A	Dividend			Buy (add'l)	03/12/18	J		
111. Ivy Emerging Market Equity Fd.	A	Dividend			Sold (part)	07/13/18	J	A	
112. Ivy Emerging Market Equity Fd.	A	Dividend			Sold	08/24/18	J	A	
113. MSIF International Growth Portfolio Fd.	A	Dividend	J	T	Buy	09/17/18	J		
114. MSIF International Opportunity Fund	A	Dividend	J	T	Buy	09/17/18	J		
115. MSIF International Opportunity Fund	A	Dividend	J	T	Buy	09/18/18	J		
116. T Rowe Price Blue Chip Growth	A	Dividend	J	T	Buy	09/18/18	J		
117. Vanguard Long-Term International	A	Interest			Buy	03/09/18	K		
118. Vanguard Long-Term International	A	Interest			Sold	08/23/18	K	A	
119. Virtis Kar Small Cap Growth	A	Dividend	J	T	Buy	03/09/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Virtis Kar Small Cap Growth	A	Dividend	J	T	Buy (add'l)	09/01/18	J		
121. Virtis Kar Small Cap Growth	A	Dividend	J	T	Buy (add'l)	09/18/18	J		
122. Virtis Kar Small Cap Growth	A	Dividend	J	T	Buy (add'l)	09/26/18	J		
123.									
124.									
125.									
126.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Webber, E. Richard

Date of Report

05/06/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Personal Information: Name - Ernest R. Webber, Jr. (a/k/a E. Richard Webber)

Section I: Partner, Eureka Land Company - Approximately thirty-five years ago, I and four other men purchased [REDACTED] of low productive land by each contributing \$3000.00. It is used for hunting and farming. The annual income to me is around \$500.00. There are no officers. There is a small old barn on the premises. I have no leadership role among the five owners.

Blanchard (gold) - This is a monthly purchase.

I purchased Hanes Brand (Common Stock) on July 24, 2017, and sold it on November 16, 2018. I mistakenly did not include it on my 2017 report.

Part V. Gifts: I mistakenly reported on my 2017 report a trip to Antigua given to me by David C. Pratt. It actually occurred in 2018 so I have included it on this report as well.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Webber, E. Richard

Date of Report

05/06/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **E. Richard Webber**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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