

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ball, Franklin K.	2. Court or Organization U.S. District Court - Mississippi Southern District	3. Date of Report 07/27/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address U.S. District Court Jackson Federal Courthouse 501 East Court Street, Suite 5.150 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

Name of Person Reporting	Date of Report
Ball, Franklin K.	07/27/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Checking Account #1	A	Interest	K	T					
2. Bankplus Checking Account #1	A	Interest	J	T					
3. Charles Schwab Investment Account (IRA) #1		None							
4. -Cash Money Market	A	Int./Div.	J	T					
5. 25% interest in Brier Creek Investments, LLC (Holds Real Estate in MS	E	Distribution	N	W					
6. Mortgage Company Escrow Accounts for Insurance and Taxes		None	J	W					
7. Stephen's Investment Account #1		None							
8. - American Funds Growth Fund of America Class A	A	Dividend	J	T	Buy (add'l)	12/11/19	J		
9. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T	Buy (add'l)	12/11/19	J		
10. - American Funds New Perspective Fund Class A (ANWPX)	A	Dividend	J	T	Buy	12/11/19	J		
11. Stephen's Investment Account #2		None							
12. - American Funds Growth Fund of America Class A	A	Dividend	K	T	Buy (add'l)	12/11/19	J		
13. -American Funds New Perspective Fund Class A	A	Dividend	J	T	Buy (add'l)	12/11/19	J		
14. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T	Buy	12/11/19	J		
15. Stephen's Investment Account #3		None							
16. - AMerican Funds Growth Fund of America Class A	A	Dividend	K	T	Buy (add'l)	12/11/19	J		
17. - American Funds New Perspective Fund Class A	A	Dividend	K	T	Buy (add'l)	12/11/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - American Funds Washington Mutual Fund Class A	A	Dividend	K	T	Buy (add'l)	12/11/19	J		
19. Stephen's Investment Account #4		None							
20. - American Funds Growth Fund of America Class A	A	Dividend	K	T	Buy (add'l)	12/11/19	J		
21. - Americna Funds New Perspective Fund Class A	A	Dividend	K	T	Buy (add'l)	12/11/19	J		
22. - American Funds Washington Mutula Fund Class A	A	Dividend	K	T	Buy (add'l)	12/11/19	J		
23. Stephen's Investment Account #5		None							
24. - American Funds Growth Fund of America Class A	A	Dividend	K	T	Buy (add'l)	12/11/19	J		
25. - American Funds New Perspective Fund Class A	A	Dividend	K	T	Buy (add'l)	12/11/19	J		
26. - American Funds Washington Mutual Fund Class A	A	Dividend	K	T	Buy (add'l)	12/11/19	J		
27. Denbury Onshore Minerals located in Madison County, MS	B	Royalty	J	W					
28. Bancorp South Checking Account #1	A	Interest	J	T					
29. Thiugpen Group Fidelity Financial Advisors - Rollover IRA		None							
30. - Fidelity Govt Cash Reserve (FDRXX)	A	Dividend	J	T					
31. - Ishares Trest Edge Invt Grade (IGEB)	A	Dividend			Sold (part)	01/24/19	J	A	
32.					Sold (part)	04/15/19	J	A	
33.					Sold	07/09/19	J	A	
34. - Ishares Edge MSCI USA Quality Factor ETF (QUAL)	A	Dividend	J	T	Buy (add'l)	04/15/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	10/07/19	J		
36. - Ishares TR Edge US Fixd Inm (FIBR)	A	Dividend			Sold	04/15/19	J	A	
37. - Ishares Edge MSCI Mutifactor USA Smal CAP ETF (SMLF)	A	Dividend	J	T	Sold (part)	10/07/19	J	A	
38. - Ishares Edge MSCI Mutifactor International ETF (INTF)	A	Dividend	J	T					
39. -Ishares Inc MLT FCTR Emg MKT (EMGF)	A	Dividend	J	T					
40. -Ishares Edge MSCI USA Momentum Factor ETF (MTUM)	A	Dividend	J	T	Sold (part)	04/15/19	J	A	
41. - Ishares Edge MSCI USA Value Factor ETF (VLUE)	A	Dividend	J	T					
42. - Ishares US ETF TR SHT Mat BD ETF (NEAR)	A	Dividend			Sold (part)	01/24/19	J	A	
43.					Sold (part)	04/15/19	J	A	
44.					Sold	10/07/19	J	A	
45. - Ishares TR US Treas BD ETF (GOVT)	A	Dividend	J	T	Buy (add'l)	01/24/19	J		
46.					Buy (add'l)	04/15/19	J		
47.					Buy (add'l)	07/09/19	J		
48. - Ishares TR JP MOR EM MK ETF (EMB)	A	Dividend			Sold	07/09/19	J	A	
49. -Ishares Inc MSCI USA Equal Weighted ETF Index FD (EUSA)	A	Dividend	J	T	Buy (add'l)	10/07/19	J		
50. -Ishares TR MBS ETF (MBB)	A	Dividend	J	T	Buy	07/09/19	J		
51. Stevens Investments Acct #6		None							

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. - American Funds - Washington Mutual Fund	A	Dividend	K	T	Buy (add'l)	12/11/19	J		
53. - American Funds - Growth Fund of America	A	Dividend	K	T	Buy (add'l)	12/11/19	J		
54. - American Funds - New Perspective Fund	A	Dividend	K	T	Buy (add'l)	12/11/19	J		
55. Bancorp South Ban - Checking account #2	A	Interest	J	T					
56. Trustmark Checking Account #1	A	Interest	J	T					
57. BankPlus Chekcing Account #2	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Franklin K. Ball**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544