

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hodge, John S.	2. Court or Organization United States Bankruptcy Court Western District of Louisiana	3. Date of Report 07/24/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Tom Stagg United States Court House 300 Fannin Street, Suite 4400 Shreveport, LA 71101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Hodge, John S.

Date of Report

07/24/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. First American Title Insurance Company	09/11/2019, 09/17/2019 and 09/18/2019	Lafayette, LA (9/11/19), Baton Rouge, LA (9/17/19) and New Orleans, LA (09/18/19)	Speaker at CLE - "Bankruptcy for Real Estate Attorneys"	mileage and hotel
2. Louisiana State University, Paul M. Hebert Law Center	10/16/2019 - 10/18/2019	Baton Rouge, LA	Speaker at CLE - "LSU Law Bankruptcy CLE"	mileage and lodging
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Hodge, John S.	07/24/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Account No. 1 (H)									
2. HSA Bank - Cash Account	A	Interest	J	T					
3. JP Morgan Equity Index R6 Fund	A	Dividend	L	T					
4. Account No. 2 (H)									
5. Capital One Cash Account	A	Interest	K	T					
6. Account No. 3 (H)									
7. Bank of America Cash Accounts	F	Interest	P1	T					
8. ISH USD HIGH YIELD CORPORATE BOND ETF SH FUND	A	Dividend	J	T	Buy (add'l)	03/25/19	J		
9.					Buy (add'l)	03/25/19	J		
10.					Buy (add'l)	06/27/19	J		
11. ISHARES RUSSELL 1000 GROWTH FUND	A	Dividend	K	T	Buy (add'l)	01/30/19	J		
12.					Buy (add'l)	01/30/19	K		
13.					Buy (add'l)	06/27/19	J		
14.					Buy (add'l)	06/27/19	J		
15. ISHARES RUSSELL 1000 VALUE FUND	B	Dividend	L	T	Buy (add'l)	01/30/19	J		
16.					Buy (add'l)	01/30/19	K		
17.					Buy (add'l)	04/17/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	06/27/19	J	A	
19.					Buy (add'l)	06/27/19	J		
20. ISHARES TR CORE MSCI EAF ETF FUND	A	Dividend	K	T	Buy	01/30/19	J		
21.					Buy (add'l)	01/30/19	J		
22.					Buy (add'l)	04/17/19	J		
23.					Buy (add'l)	06/27/19	J		
24.					Buy (add'l)	06/27/19	J		
25. ISHARES US TREASURY BOND ETF FUND	A	Dividend	K	T	Buy (add'l)	01/30/19	J		
26.					Buy (add'l)	01/30/19	K		
27.					Buy (add'l)	04/17/19	J		
28.					Sold (part)	06/27/19	J	A	
29.					Buy (add'l)	06/27/19	J		
30. VANGUARD INTRMDIATE-TERM CORPORATE BOND FUND	B	Dividend	K	T	Buy (add'l)	01/30/19	K		
31.					Buy (add'l)	01/30/19	K		
32.					Buy (add'l)	04/17/19	J		
33.					Sold (part)	06/27/19	J	A	
34.					Sold (part)	06/27/19	K	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Hodge, John S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. VANGUARD MORTGAGE-BACKED SEC FUND	A	Dividend	K	T	Buy (add'l)	06/27/19	J		
36.					Buy (add'l)	06/27/19	J		
37. VANGUARD SHORT-TERM TREASURY ETF FUND	A	Dividend	J	T	Buy (add'l)	01/30/19	J		
38.					Buy (add'l)	01/30/19	J		
39.					Buy (add'l)	04/17/19	J		
40.					Buy (add'l)	06/27/19	J		
41. VANGUARD SMALL CAP FUND	A	Dividend	K	T	Sold (part)	10/15/19	J	A	
42. VANGUARD TOTAL INTERNATL BOND ETF FUND	A	Dividend	J	T	Buy (add'l)	01/30/19	J		
43.					Buy (add'l)	01/30/19	J		
44. AIG FOCUSED DIVIDEND STRATEGY FD C	C	Dividend	M	T					
45. ALLIANZGI INCOME AND GROWTH FUND CL P	A	Dividend	K	T					
46. AMER FUNDS AMERICAN BALANCED FUND CL F2	B	Dividend	L	T	Buy (add'l)	01/28/19	K		
47.					Buy (add'l)	10/11/19	L		
48. AMERICAN FUNDAMENTAL INVESTORS CL C FD	D	Dividend	M	T					
49. AMERICAN FUNDAMENTAL INVESTORS FUND CL F2	C	Dividend	M	T	Sold (part)	10/11/19	J	A	
50. AMERICAN GROWTH FUND OF AMERICAN CL F1	E	Dividend	N	T					
51. AMERICAN SMALLCAP WORLD FUND CL C	D	Dividend	M	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. AMERICAN SMALLCAP WORLD FUND CL F1	B	Dividend	K	T					
53. BLACKROCK ADVANTAGE LRG CAP VALUE FUND CL C	A	Dividend	K	T					
54. BLACKROCK ADVANTAGE LRG CAP VALUE FUND CL A	A	Dividend	K	T					
55. BLACKROCK BALANCED CAPITAL FUND INC INSTL	A	Dividend	J	T					
56. BLACKROCK EQTY DIVIDEND FUND INSTL	A	Dividend	K	T	Buy (add'l)	01/29/19	J		
57.					Buy (add'l)	01/29/19	J		
58.					Buy (add'l)	01/30/19	J		
59.					Buy (add'l)	01/30/19	J		
60.					Buy (add'l)	04/16/19	J		
61. BLACKROCK GLOBAL ALLOCATION FD INC A	B	Dividend	L	T	Sold (part)	01/30/19	K	A	
62.					Sold (part)	01/30/19	J	A	
63. CLEARBRIDGE LARGE CAP GROWTH FUND CL I	A	Dividend	K	T	Buy (add'l)	01/29/19	J		
64.					Buy (add'l)	01/29/19	J		
65.					Buy (add'l)	01/30/19	J		
66.					Buy (add'l)	01/30/19	J		
67.					Buy (add'l)	06/26/19	J		
68.					Buy (add'l)	06/26/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. DELAWARE SMALL CAP CORE FUND CL INSTL	A	Dividend	K	T	Buy (add'l)	01/29/19	J		
70.					Buy (add'l)	01/29/19	J		
71.					Buy (add'l)	01/30/19	J		
72.					Buy (add'l)	01/30/19	J		
73.					Buy (add'l)	04/16/19	J		
74.					Buy (add'l)	06/26/19	J		
75. EDGEWOOD GROWTH FUND CL INST	A	Dividend	K	T	Buy (add'l)	01/29/19	J		
76.					Buy (add'l)	01/29/19	J		
77.					Buy (add'l)	01/30/19	J		
78.					Buy (add'l)	01/30/19	J		
79.					Redeemed (part)	06/26/19	J		
80.					Redeemed (part)	06/26/19	J		
81. INVESCO INTERNATIONAL GROWTH FUND CL Y	A	Dividend	J	T	Buy (add'l)	01/29/19	J		
82.					Buy (add'l)	01/29/19	J		
83.					Buy (add'l)	01/30/19	J		
84.					Buy (add'l)	01/30/19	J		
85.					Buy (add'l)	04/16/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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86.					Redeemed (part)	06/26/19	J		
87.					Redeemed (part)	06/26/19	J		
88. INVESCO INTERNATIONAL GROWTH FUND CL A	D	Dividend	L	T					
89. LORD ABBETT ALPHA STRATEGY FD CL F	B	Dividend	M	T					
90. MFS RESEARCH INTERNATIONAL FD CL I	A	Dividend	J	T					
91. MFS VALUE FD CL I	C	Dividend	M	T	Buy (add'l)	01/29/19	J		
92.					Buy (add'l)	01/29/19	J		
93.					Buy (add'l)	01/30/19	J		
94.					Buy (add'l)	01/30/19	J		
95.					Buy (add'l)	04/16/19	J		
96.					Redeemed (part)	06/26/19	J		
97.					Redeemed (part)	06/26/19	J		
98.					Buy (add'l)	10/11/19	J		
99.					Buy (add'l)	10/11/19	L		
100. NEUBERGER BERMAN INTL EQUITY FUND CL INSTL	A	Dividend	K	T	Buy (add'l)	06/27/19	J		
101.					Buy (add'l)	06/27/19	J		
102. OAKMARK INTL FD CL ADV	A	Dividend	K	T	Buy (add'l)	01/29/19	J		

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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103.					Buy (add'l)	01/29/19	J		
104.					Buy (add'l)	01/30/19	K		
105.					Buy (add'l)	01/30/19	J		
106.					Buy (add'l)	01/30/19	J		
107.					Buy (add'l)	04/16/19	J		
108.					Redeemed (part)	06/26/19	J		
109.					Redeemed (part)	06/26/19	J		
110.					Sold (part)	10/11/19	J	A	
111. PIMCO INVESTMENT GRADE CREDIT BOND FD CL I2	B	Dividend	K	T	Buy (add'l)	06/26/19	K		
112.					Buy (add'l)	06/26/19	J		
113. T ROWE PRICE BLUE CHIP GROWTH FUND INV CL	A	Dividend	M	T					
114. T ROWE PRICE EMERGING MARKETS STOCK FD INV CL	A	Dividend	K	T	Buy (add'l)	01/29/19	J		
115.					Buy (add'l)	01/29/19	J		
116.					Buy (add'l)	01/30/19	J		
117.					Buy (add'l)	01/30/19	J		
118.					Buy (add'l)	04/16/19	K		
119.					Redeemed (part)	06/26/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Redeemed (part)	06/26/19	J		
121. T ROWE PRICE EQUITY INDEX 500 FUND INV CL	B	Dividend	M	T					
122. T ROWE PRICE GROWTH STOCK FUND INV CL	A	Dividend	K	T					
123. T ROWE PRICE QM US SMALL CAP GROWTH EQ FD INV CL	A	Dividend	J	T					
124. TCW TOTAL RETURN BOND FD CL I	B	Dividend	K	T	Buy (add'l)	01/29/19	J		
125.					Buy (add'l)	01/29/19	J		
126.					Buy (add'l)	01/30/19	J		
127.					Buy (add'l)	01/30/19	K		
128.					Buy (add'l)	04/16/19	J		
129.					Redeemed (part)	06/26/19	J		
130.					Redeemed (part)	06/26/19	J		
131. ALTRIA GROUP INC COMPANY GUARNT GLB BOND	A	Interest	K	T					
132. BANK OF AMERICA CORP SER NOTZ BOND	B	Interest	K	T					
133. CATERPILLAR FINANCIAL SE SER NOTZ BOND	B	Interest	K	T					
134. CITIGROUP INC GLB BOND	A	Interest	K	T					
135. COMCAST CORP COMPANY GUARNT GLB BOND	A	Interest	K	T					
136. DISCOVER FINANCIAL SVS SER NOTZ BOND	B	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hodge, John S.

Date of Report

07/24/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. DOW CHEMICAL CO/THE SER NOTZ BOND	A	Interest	K	T					
138. DUKE ENERGY CORP SER NOTZ BOND	A	Interest	K	T					
139. GENERAL ELEC CAP CORP SER NOTZ BOND	A	Interest	K	T					
140. GENERAL ELEC CAP CORP SER NOTZ BOND	A	Interest	K	T					
141. GOLDMAN SACHS GROUP INC SER NOTZ BOND	B	Interest	K	T					
142. GOLDMAN SACHS GROUP INC SER NOTZ BOND	A	Interest	K	T					
143. JOHN DEERE CAPITAL CORP SER MTN BOND	B	Interest	K	T					
144. JPMORGAN CHASE & CO GLB BOND	B	Interest	K	T					
145. KRAFT FOODS GROUP INC COMPANY GUARNT GLB BOND	B	Interest	K	T					
146. MORGAN STANLEY SER GMTN GLB BOND	A	Interest	K	T					
147. NATIONAL RURAL UTIL COOP SER NOTZ BOND	B	Interest	K	T					
148. NORTHERN TRUST CORP SUBORDINATED GLB BOND	B	Interest	K	T					
149. PHILIP MORRIS INTL INC GLB BOND	B	Interest	K	T					
150. WELLS FARGO & COMPANY SUBORDINATED SER MTN BOND	B	Interest	K	T					
151. WESTPAC BANKING CORP GLB BOND	B	Interest	K	T					
152. AMERICAN CAPITAL WORLD GROWTH AND INCOME CL F2	B	Dividend			Sold	10/11/19	L	A	
153. AMERICAN GROWTH FUND OF AMERICA CL F2	B	Dividend			Sold	10/11/19	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. FRANKLIN GROWTH FD ADV	B	Dividend			Sold	01/30/19	L	A	
155.					Sold	10/11/19	K	A	
156. ALLIANZGI MID CP FD CL A	B	Dividend			Sold	01/29/19	J	A	
157. CLEARBRIDGE ALL CAP VL I VALUE FUND CL	B	Dividend			Sold	01/28/19	J	A	
158. EATON VANCE WORLDWIDE HEALTH SCI FUND CL I	B	Dividend			Sold	01/28/19	J	A	
159. TEMPLETON GROWTH FUND, INC A INC. CL A	B	Dividend			Sold	01/30/19	M	A	
160. CLEARBRIDGE AGGR GRW A GROWTH FUND A	B	Dividend			Sold	01/30/19	K	D	
161. CLEARBRIDGE ALL CAP VL C VALUE FUND CL C	B	Dividend			Sold	01/30/19	K	D	
162. SPDR BLMBRG BRCLY HIGH YIELD BOND ETF	B	Dividend			Buy (add'l)	01/30/19	J		
163.					Sold	03/21/19	J	A	
164.					Sold	03/25/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

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H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hodge, John S.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hodge, John S.

Date of Report

07/24/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John S. Hodge**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544