

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Santoro, Frank J.	2. Court or Organization U. S. Bankruptcy Court, EDVA	3. Date of Report 06/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 600 Granby Street Norfolk, Virginia 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Santoro, Frank J.

Date of Report

06/11/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Tidewater Bankruptcy Bar Association	02/21/2014 to 02/22/2014	Virginia Beach, VA	To appear at professional education seminar	Food, lodging, and transportation
2.	American Bankruptcy Institute	03/13/2014 to 03/15/2014	Tampa, FL	To appear at professional education seminar	Food, lodging, and transportation
3.	Southeastern Bankruptcy Law Institute	03/19/2014 to 03/21/2014	Atlanta, GA	To appear at professional education seminar	Food, lodging, and transportation
4.	American Bankruptcy Institute	04/24/2014 to 04/26/2014	Washington, DC	To appear at professional education seminar	Food, lodging, and transportation
5.	Richmond Bankruptcy Bar Association	04/30/2014 to 05/01/2014	Richmond, VA	To appear at professional education seminar	Transportation and lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Santoro, Frank J.

Date of Report

06/11/2015

6.	Virginia Bar Association	05/09/2014 to 05/11/2014	Duck, NC	To appear at professional education seminar	Food, lodging, and transportation
7.	Northern Virginia Bankruptcy Bar Association	06/25/2014 to 06/26/2014	Falls Church, VA	To appear at professional education seminar	Food, lodging, and transportation
8.	Virginia CLE Foundation	09/18/2014 to 09/20/2014	Charlottesville, VA	To appear at professional education seminar	Lodging and transportation

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Santoro, Frank J.

Date of Report

06/11/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Santoro, Frank J.

Date of Report

06/11/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Farm, 1/2 interest, Isle of Wight County, Virginia July 03		None	N	W					
2. FSNC, LLC, 88% Membership Interest		None	N	W					
3. Geeks on Call, Convertible Preferred Stock		None	J	W					
4. Waterside Capital Corporation		None	J	T					
5. Gateway Fund Class A	A	Int./Div.			Sold	06/13/14	J	A	
6. Ivy Asset Strategy Fund Class A	D	Int./Div.	L	T					
7. New Perspective Fund Inc. Class A	A	Int./Div.	L	T					
8. Washington Mutual Inv. Fund Inc. Class A	B	Dividend	M	T					
9. Capital World Growth and Income Fund Inc.	B	Int./Div.	L	T					
10. New World Fund Inc. Class A	B	Int./Div.	L	T					
11. Pace Money Market Investments Fund Class P	A	Int./Div.	J	T					
12. Royce Premier Fund	D	Int./Div.	K	T					
13. Oakmark Equity and Income Fund Class 1	D	Int./Div.	K	T					
14. AMCAP Fund Inc., Class A	A	Int./Div.	K	T					
15. New Economy Fund Class A	A	Int./Div.	L	T					
16. JP Morgan Large Cap Growth Fund Class A	C	Int./Div.	L	T					
17. Franklin Templeton Global Bond Fund Class A	C	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Santoro, Frank J.

Date of Report

06/11/2015

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18. Loomis Sayles Strategic Income Fund	D	Int./Div.	L	T					
19. Pimco All Assets Auth A	B	Int./Div.	K	T					
20. First Eagle Global Fund Class A	D	Int./Div.	L	T					
21. American Mutual Class A	B	Int./Div.	L	T					
22. Fundamental Investors Class A	A	Int./Div.	K	T					
23. Williamsburg Davenport Fund	B	Int./Div.			Sold	03/31/14	M	E	
24. Yachtman Fund Service	D	Int./Div.	L	T					
25. Mainstay Market Field Fund Class A	A	Int./Div.			Sold	03/31/14	K	B	
26. Allianz AGIC Income & Growth Fund Class A	D	Int./Div.	K	T					
27. Prudential Jennison Mid-Cap Growth Fund, Inc. Class A	B	Int./Div.	L	T					
28. Thornburg Developing World Fund Class A	C	Int./Div.	L	T					
29. Transparent Value Directional Allocation Fund Class A	D	Int./Div.	L	T					
30. Black Rock High Yield Bond A	B	Int./Div.	K	T					
31. John Hancock Strategic Strategic Income Opp Class A	A	Int./Div.	K	T					
32. Pimco Income Fund Class A	A	Int./Div.	L	T					
33. Invesco Balanced Risk Allocation Fund A	A	Int./Div.	K	T					
34. J P Morgan Income Builder Class A	B	Int./Div.	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Santoro, Frank J.

Date of Report

06/11/2015

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35. Growth Fund of America	B	Int./Div.	M	T	Buy	04/01/14	M		
36. Davenport Equity Opps	A	Int./Div.	J	T	Buy	03/31/14	J		
37. Advisory Research ULP & Energy Income Fund Class A	A	Int./Div.	J	T	Buy	01/17/14	J		
38. Pimco Fundamental Plu AR Fund Class P	A	Int./Div.	K	T	Buy	06/13/14	K		
39.									
40.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Santoro, Frank J.

Date of Report

06/11/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

Holdings in lines 7, 8, 9, 10, 14, 15, 21, 22, 35, and 36 are mutual funds maintained at Davenport and Company

Holdings in lines 6, 11, 12, 13, 16, 17, 18, 19, 20, 24, 26, 27, 28, 29, 30, 31, 32, 33, 34, 37, and 38 are mutual funds maintained at UBS.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Santoro, Frank J.

Date of Report

06/11/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Frank J. Santoro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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