

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hodge, John S.	2. Court or Organization United States Bankruptcy Court Western District of Louisiana	3. Date of Report 01/02/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2019
7. Chambers or Office Address Tom Stagg United States Court House 300 Fannin Street, Suite 4400 Shreveport, LA 71101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Vice President and shareholder (ended 07/31/2018)	Wiener, Weiss & Madison, P.C.
2. Vice President and member (ended 07/31/2018)	TitleSite, L.C.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2001	Wiener, Weiss & Madison, P.C. - Employment agreement with former law firm. See Section VIII.
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Wiener, Weiss & Madison, P.C., compensation for legal services rendered	\$442,750.00
2. 2017	Wiener, Weiss & Madison, P.C., compensation for legal services rendered	\$574,550.00
3. 2018	Wiener, Weiss & Madison, P.C., compensation for legal services rendered	\$56,000.00
4. 2019	No reportable non-investment income	\$0.00

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT	EXEMPT	EXEMPT	EXEMPT	EXEMPT
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT	EXEMPT	
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Account No. 1 (H)									
2. HSA Bank - Cash Account	A	Interest	J	T	Exempt				
3. JP Morgan Equity Index R6 Fund	B	Dividend	L	T	Exempt				
4. Account No. 2 (H)									
5. Capital One Cash Account	A	Interest	K	T	Exempt				
6. Account No. 3 (H)									
7. Bank of America Cash Accounts	G	Interest	P1	T	Exempt				
8. ISH USD HIGH YIELD CORPORATE BOND ETF SH FUND	A	Dividend	J	T	Exempt				
9. ISHARES RUSSELL 1000 GROWTH FUND	B	Dividend	K	T	Exempt				
10. ISHARES RUSSELL 1000 VALUE FUND	C	Dividend	L	T	Exempt				
11. ISHARES TR CORE MSCI EAF ETF FUND	B	Dividend	K	T	Exempt				
12. ISHARES US TREASURY BOND ETF FUND	B	Dividend	K	T	Exempt				
13. VANGUARD INTRMDIATE-TERM CORPORATE BOND FUND	C	Dividend	K	T	Exempt				
14. VANGUARD MORTGAGE-BACKED SEC FUND	B	Dividend	K	T	Exempt				
15. VANGUARD SHORT-TERM TREASURY ETF FUND	A	Dividend	J	T	Exempt				
16. VANGUARD SMALL CAP FUND	B	Dividend	K	T	Exempt				
17. VANGUARD TOTAL INTERNATL BOND ETF FUND	A	Dividend	J	T	Exempt				

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. AIG FOCUSED DIVIDEND STRATEGY FD C	D	Dividend	M	T	Exempt				
19. ALLIANZGI INCOME AND GROWTH FUND CL P	B	Dividend	K	T	Exempt				
20. AMER FUNDS AMERICAN BALANCED FUND CL F2	C	Dividend	L	T	Exempt				
21. AMERICAN FUNDAMENTAL INVESTORS CL C FD	B	Dividend	M	T	Exempt				
22. AMERICAN FUNDAMENTAL INVESTORS FUND CL F2	D	Dividend	M	T	Exempt				
23. AMERICAN GROWTH FUND OF AMERICAN CL F1	D	Dividend	N	T	Exempt				
24. AMERICAN SMALLCAP WORLD FUND CL C	A	Dividend	M	T	Exempt				
25. AMERICAN SMALLCAP WORLD FUND CL F1	A	Dividend	K	T	Exempt				
26. BLACKROCK ADVANTAGE LRG CAP VALUE FUND CL C	A	Dividend	K	T	Exempt				
27. BLACKROCK ADVANTAGE LRG CAP VALUE FUND CL A	A	Dividend	K	T	Exempt				
28. BLACKROCK BALANCED CAPITAL FUND INC INSTL	A	Dividend	J	T	Exempt				
29. BLACKROCK EQTY DIVIDEND FUND INSTL	B	Dividend	K	T	Exempt				
30. BLACKROCK GLOBAL ALLOCATION FD INC A	B	Dividend	L	T	Exempt				
31. CLEARBRIDGE LARGE CAP GROWTH FUND CL I	A	Dividend	K	T	Exempt				
32. DELAWARE SMALL CAP CORE FUND CL INSTL	A	Dividend	K	T	Exempt				
33. EDGEWOOD GROWTH FUND CL INST	A	Dividend	K	T	Exempt				
34. INVESCO INTERNATIONAL GROWTH FUND CL Y	A	Dividend	J	T	Exempt				

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. INVESCO INTERNATIONAL GROWTH FUND CL A	C	Dividend	L	T	Exempt				
36. LORD ABBETT ALPHA STRATEGY FD CL F	D	Dividend	M	T	Exempt				
37. MFS RESEARCH INTERNATIONAL FD CL I	A	Dividend	J	T	Exempt				
38. MFS VALUE FD CL I	D	Dividend	M	T	Exempt				
39. NEUBERGER BERMAN INTL EQUITY FUND CL INSTL	A	Dividend	K	T	Exempt				
40. OAKMARK INTL FD CL ADV	B	Dividend	K	T	Exempt				
41. PIMCO INVESTMENT GRADE CREDIT BOND FD CL I2	C	Dividend	K	T	Exempt				
42. T ROWE PRICE BLUE CHIP GROWTH FUND INV CL	A	Dividend	M	T	Exempt				
43. T ROWE PRICE EMERGING MARKETS STOCK FD INV CL	A	Dividend	K	T	Exempt				
44. T ROWE PRICE EQUITY INDEX 500 FUND INV CL	D	Dividend	M	T	Exempt				
45. T ROWE PRICE GROWTH STOCK FUND INV CL	A	Dividend	K	T	Exempt				
46. T ROWE PRICE QM US SMALL CAP GROWTH EQ FD INV CL	A	Dividend	J	T	Exempt				
47. TCW TOTAL RETURN BOND FD CL I	B	Dividend	K	T	Exempt				
48. ALTRIA GROUP INC COMPANY GUARNT GLB BOND	B	Interest	K	T	Exempt				
49. BANK OF AMERICA CORP SER NOTZ BOND	C	Interest	K	T	Exempt				
50. CATERPILLAR FINANCIAL SE SER NOTZ BOND	C	Interest	K	T	Exempt				
51. CITIGROUP INC GLB BOND	B	Interest	K	T	Exempt				

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52. COMCAST CORP COMPANY GUARNT GLB BOND	B	Interest	K	T	Exempt				
53. DISCOVER FINANCIAL SVS SER NOTZ BOND	C	Interest	K	T	Exempt				
54. DOW CHEMICAL CO/THE SER NOTZ BOND	B	Interest	K	T	Exempt				
55. DUKE ENERGY CORP SER NOTZ BOND	B	Interest	K	T	Exempt				
56. GENERAL ELEC CAP CORP SER NOTZ BOND	B	Interest	K	T	Exempt				
57. GENERAL ELEC CAP CORP SER NOTZ BOND	B	Interest	K	T	Exempt				
58. GOLDMAN SACHS GROUP INC SER NOTZ BOND	C	Interest	K	T	Exempt				
59. GOLDMAN SACHS GROUP INC SER NOTZ BOND	C	Interest	K	T	Exempt				
60. JOHN DEERE CAPITAL CORP SER MTN BOND	C	Interest	K	T	Exempt				
61. JPMORGAN CHASE & CO GLB BOND	C	Interest	K	T	Exempt				
62. KRAFT FOODS GROUP INC COMPANY GUARNT GLB BOND	C	Interest	K	T	Exempt				
63. MORGAN STANLEY SER GMTN GLB BOND	B	Interest	K	T	Exempt				
64. NATIONAL RURAL UTIL COOP SER NOTZ BOND	C	Interest	K	T	Exempt				
65. NORTHERN TRUST CORP SUBORDINATED GLB BOND	C	Interest	K	T	Exempt				
66. PHILIP MORRIS INTL INC GLB BOND	C	Interest	K	T	Exempt				
67. WELLS FARGO & COMPANY SUBORDINATED SER MTN BOND	C	Interest	K	T	Exempt				
68. WESTPAC BANKING CORP GLB BOND	C	Interest	K	T	Exempt				

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. TENNESSEE VALLEY AUTH CALLABLE SR UNSECURED BOND	B	Interest			Exempt				
70. AMERICAN CAPITAL WORLD GROWTH AND INCOME CL F2	B	Dividend			Exempt				
71. AMERICAN GROWTH FUND OF AMERICA CL F2	B	Dividend			Exempt				
72. FRANKLIN GROWTH FD ADV	B	Dividend			Exempt				
73. ALLIANZGI MID CP FD CL A	B	Dividend			Exempt				
74. CLEARBRIDGE ALL CAP VL I VALUE FUND CL	B	Dividend			Exempt				
75. EATON VANCE WORLDWIDE HEALTH SCI FUND CL I	B	Dividend			Exempt				
76. INV OPN INTL GROWTH FD Y INTL GROWTH FUND CL Y	B	Dividend			Exempt				
77. SPDR BLMBRG BRCLY HIGH YIELD BOND ETF	B	Dividend			Exempt				
78. TEMPLETON GROWTH FUND, INC A INC. CL A	B	Dividend			Exempt				
79. CLEARBRIDGE AGGR GRW A GROWTH FUND A	B	Dividend			Exempt				
80. CLEARBRIDGE ALL CAP VL C VALUE FUND CL C	B	Dividend			Exempt				
81. SPDR BLMBRG BRCLY HIGH YIELD BOND ETF	B	Dividend			Exempt				
82. TEMPLETN GLBL OPP ADV OPPORTUNITIES FD CL ADV	B	Dividend			Exempt				
83. ALLNZ AGIC INC & GRWTH C GROWTH FUND CL C	B	Dividend			Exempt				
84. AT&T INC - COMMON STOCK	A	Dividend			Exempt				
85. CHEVRON CORP - COMMON STOCK	C	Dividend			Exempt				

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86. COMCAST CORP NEW CL A - COMMON STOCK	A	Dividend			Exempt				
87. GENERAL ELECTRIC - COMMON STOCK	C	Dividend			Exempt				
88. BASKET STEPUP ISSUER BAC STEP 14.90% SV 100.00 - BOND	B	Interest			Exempt				
89. DJIA LIRN ISSUER RBC PART 111.80% SV15,848.61 - BOND	B	Interest			Exempt				
90. SPSIOP STEPUP ISSUER CS STEP 30.00% SV 6,859.67 - BOND	B	Interest			Exempt				
91. SX5E STEPUP ISSUER CS STEP 18.61% SV 3,371.83 - BOND	B	Interest			Exempt				
92. Wiener, Weiss & Madison, P.C.	A	Dividend			Exempt				
93. Meadowview Minerals, L.L.C.	B	Royalty			Exempt				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following is additional information for Section II, "Agreements," under "Parties and Terms," as referenced therein:

Under the employment agreement with my former law firm, Wiener, Weiss & Madison, P.C., I was required to devote full time efforts to the practice of law in exchange for compensation of \$90,000.00 per year plus a bonus in an amount determined solely by the law firm. The employment agreement terminated by mutual consent on 07/31/2018. All payments due under the agreement were paid prior to 07/31/2018.

The following is additional information for Section VII, Column C:

Assets listed in No. 69-93 were sold before the end of the reporting period. Therefore, Column C is blank.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John S. Hodge**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544