

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) VANSICKLE, FRED	2. Court or Organization U.S. District Court	3. Date of Report 08/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 7 N. Wenatchee Avenue, Suite 310 Wenatchee, WA 98801		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Washington State Judicial Retirement	\$64,717.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	State of Washington Retirement
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Schwab Money Market sweep account	A	Interest	K	T					
2. Abbott Laboratories (ABT)	A	Dividend	K	T					
3. Alphabet Inc (GOOGL)	A	Dividend	K	T					
4. American Intl Gro 21 WTS (AIGWS) n/k/a Life Ins Co 21 WTS (AIGWS)	A	Dividend	K	T					
5. AQR Eqty Mkt Neutral FD (QMNIX)	A	Dividend	L	T					
6. Artisan High Incm FD Inv (ARTFX)	A	Dividend	J	T	Sold (part)	08/13/19	J		
7. Boeing (BA)	B	Dividend	M	T					
8. Charles Schwab Corp (SCHW)	A	Dividend	K	T					
9. Cheniere Energy Inc (LNG)		None	K	T					
10. Comcast Corp (CMCSA)	A	Dividend	K	T					
11. Communications Sales (CSAL)		None	K	T					
12. Doubleline Flexible Inc (DLINX)	A	Dividend	J	T					
13. Eaton Vance Short Dur Infltn Protected Incm (EARRX)	A	Dividend	J	T					
14. Fedex Corp (FDX)	A	Dividend	K	T	Buy	07/16/19	K		
15. First American Fin Corp (FAF)	A	Dividend	J	T					
16. FMI Large Capital Fund	A	Dividend	J	T					
17. FMI Intl Fd Inst (FMIYX)	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Goldman Sachs MLP Energy (GMLPX)	C	Dividend	J	T					
19. Guggenheim Short Duration ETF (GSY)	A	Dividend	M	T					
20. Hart Cap Management Acct	A	Interest	L	T					
21. Intel Corp (INTC)	A	Dividend	K	T					
22. Invesco S&P 500 High Divi Low Volatility (SPHD)	A	Dividend	J	T	Sold (part)	03/21/19	L		
23.					Sold (part)	06/21/19	J		
24. Invesco Ultra Short ETF f/k/a Powershares Ultra Short	A	Dividend	L	T	Buy (add'l)	02/25/19	K		
25. Invesco QQQ Trust (QQQ) f/k/a Powershares QQQ	A	Dividend	K	T					
26. Ishares S&P Mid Cap 400 Grwth ETF (IJK)	A	Dividend	J	T	Sold (part)	11/27/19	J		
27. Ishares S&P 500 Growth ETF (IVW)	A	Dividend	J	T					
28. IShares Short Treasury Bond ETF (SHV)	A	Dividend	L	T	Buy	03/21/19	L		
29. Johnson & Johnson (JNJ)	B	Dividend	L	T					
30. JP Morgan Chase & Co (JPM)	A	Dividend	K	T					
31. Lamar Advertising (LAMR)	A	Dividend	K	T					
32. Microsoft (MSFT)	B	Dividend	M	T					
33. MainGate MLP Fund (IMLPX)		None	L	T					
34. Nasdaq 100 Shares	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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35. North Cascades Bank Shares	A	Dividend	K	T					
36. Norwegian Cruise Lin (NCLH)	A	Dividend	K	T					
37. Numerica CU	A	Interest	L	T					
38. PGIM High Yield Fund (PHYZX)a	A	Dividend	J	T	Buy	08/23/19	J		
39. Pimco Eqs Long Short Fd	A	Dividend	J	T					
40. Pimco Low Duration Incm Fd (PFIAX)	A	Dividend	J	T					
41. Real Estate Select Sctr Spdr ETF (XLRE)	A	Dividend	K	T	Buy	08/26/19	K		
42.					Buy (add'l)	09/09/19	J		
43. Realty Income Corp (O)	A	Dividend	J	T					
44. RMPI Account	A	Interest	K	T					
45. Schwab Govt Money Fund (SWGXX)	A	Dividend	K	T					
46. Schwab International Equity ETF (SCHF)	A	Dividend	K	T	Buy	02/25/19	L		
47.					Sold (part)	09/06/19	K		
48. Schwab US Large Cap ETF (SCHX)	A	Dividend	J	T	Sold (part)	11/27/19	J		
49. Schwab U.S. Mid-Cap ETF (SCHM)	A	Dividend	L	T					
50. Schwab US Small Cap ETF (SCHA)	A	Dividend	K	T	Sold (part)	04/15/19	J		
51. Select STR Financial Select SPDR ETF (XLF)	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. SPDR Dow Jones Global Real Estate ETF	A	Dividend	J	T					
53. SPDR Index Shares Emerg Markt ETF (SPEM)	A	Dividend	J	T	Buy	04/22/19	J		
54. Sportsmans WHSE Hldg (SPWH)	A	Dividend	K	T	Sold (part)	11/21/19	K		
55. St. Jude Med (STJ)	A	Dividend	K	T					
56. Tripadvisor In (TRIP)	A	Dividend	K	T					
57. Vanguard Health Care ETF (VHT)	A	Dividend	J	T					
58. Wash Trust Savings	A	Interest	J	T					
59. Whirlpool Corp (WHR)		None	K	T					
60. Wisdomtree Floating Rate Treasury ETF (USFR)	A	Dividend			Buy	06/21/19	K		
61.					Sold (part)	07/16/19	K		
62.					Sold	10/30/19	J		
63. Wisdomtree Int RT HDG Hgh YLD (HYZD)	A	Dividend	J	T					
64.									
65.									
66.									
67.									
68.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.									
70.									
71.									
72.									
73.									
74.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ FRED VANSICKLE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544