

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pryor, Jr., William H.	2. Court or Organization United States Court of Appeals	3. Date of Report 5/05/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 1729 Fifth Avenue North Suite 900 Birmingham, AL 35203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Visiting Professor	University of Alabama School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	University of Alabama School of Law	\$12,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	SELF-EMPLOYED ACCOUNTANT
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	University of Pennsylvania Law School	January 24-25, 2019	Philadelphia, PA	Panel Judge, Keedy Cup Final Moot Court Argument	Transportation, Lodging, and Meals
2.	Faulkner University	February 28, 2019	Montgomery, AL	Judicial Seminar	Transportation and Meals
3.	Mobile Bar Association	March 28, 2019	Mobile, AL	Keynote Speaker, 150th Anniversary of Mobile Bar	Transportation, Lodging and Meals
4.	The Federalist Society	March 30, 2019	Cambridge, MA	Keynote Speaker, Harvard Fed Soc & Harvard Journal LPP	Transportation, Lodging, and Meals
5.	Antonin Scalia Law School George Mason University	April 24-26, 2019	Arlington, VA	Delivered Lecture, Scalia Forum	Transportation, Lodging, and Meals

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6.	The Federalist Society	May 28-29, 2019	Phoenix, AZ	Panelist, Federalist-Antifederalist Seminar	Transportation, Lodging , and Meals
7.	The Federalist Society	September 5, 2019	Montgomery, AL	Delivered Speech to Faulkner Law School Chapter	Transportation and Meals
8.	The Federalist Society	September 24-25, 2019	Philadelphia, PA	Delivered Speech to Penn Law School Chapter	Transportation, Lodging and Meals
9.	The Federalist Society	October 24, 2019	Atlanta, GA	Delivered Speech to Emory Law School Chapter	Meals
10.	The Federalist Society	October 29-30, 2019	New Haven, CT	Delivered Speech to Yale Law School Chapter	Transportation, Lodging and Meals
11.	The Federalist Society	November 13-14, 2019	Washington, DC	Moderator, National Lawyers Convention	Transportation, Lodging and Meals
12.	The University of Alabama School of Law	August 19, 2019 - November 25, 2019	Tuscaloosa, AL	Professor, Legislation: Special Problems in Statutory Interpretation	Transportation

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. -FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T	Sold (part)	04/02/19	L		
3. -FIDELITY OTC PORT	C	Dividend	L	T	Buy	04/02/19	L		
4. IRA #2 (H)									
5. -FIDELITY SELECT RETAILING	A	Dividend			Sold	12/05/19	K	B	
6. - FIDELITY BLUE CHIP GROWTH	C	Dividend	L	T					
7. - FIDELITY SELECT IT SERVICES PORTFOLIO	C	Dividend	M	T					
8. -FIDELITY NASDAQ COMPOSITE INDEX	B	Dividend	K	T					
9. -FIDELITY GROWTH DISCOVERY FUND	B	Dividend	K	T					
10. -FIDELITY SELECT WIRELESS PORTFOLIOS	A	Dividend	K	T	Buy	12/05/19	K		
11. IRA #3 (H)									
12. -FIDELITY OTC PORT	C	Dividend	L	T					
13. -FIDELITY NASDAQ COMPOSITE INDEX	B	Dividend	K	T					
14. IRA #4 (H)									
15. -FIDELITY SELECT RETAILING	A	Dividend			Sold	12/05/19	K	C	
16. -FIDELITY SELECT TRANSPORT	B	Dividend			Buy	02/20/19	K		
17.					Sold	07/05/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18. -FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T	Buy	10/23/19	L		
19.					Sold (part)	11/05/19	L		
20.					Sold (part)	07/16/19	L		
21. -FIDELITY BLUE CHIP GROWTH	C	Dividend	L	T	Buy (add'l)	02/20/19	K		
22. -FIDELITY SMALL CAP GROWTH	C	Dividend	L	T					
23. FIDELITY TREND	C	Dividend	K	T					
24. -FIDELITY SELECT MEDICAL EQUIP&SYSTEMS		None			Sold	10/23/19	L	E	
25. -FIDELITY 500 INDEX FUND	B	Dividend	M	T	Buy	04/02/19	K		
26.					Buy (add'l)	11/05/19	L		
27. -FIDELITY GROWTH DISCOVERY FUND	B	Dividend	K	T	Buy	07/16/19	K		
28. -FIDELITY SELECT IT SERVICES PORTFOLIO EQUIP&SYSTEMS	A	Dividend	L	T	Buy	07/05/19	K		
29. -FIDELITY SELECT CONSTRUCTN & HOUSING	B	Dividend	K	T	Buy	12/05/19	K		
30. -FIDELITY SELECT GNMA FUND	B	Dividend	L	T	Buy	02/20/19	K		
31. IRA #7 (H)									
32. -FIDELITY OTC PORTFOLIO	C	Dividend	K	T					
33. -FIDELITY SELECT RETAILING	A	Dividend			Sold	07/15/19	K	C	
34. -FIDELITY SELECT DEFENSE & AEROSPACE	A	Dividend	K	T	Buy	07/15/19	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. BROKERAGE ACCT #2 (H)									
36. -FIDELITY CASH	A	Dividend			Sold	10/10/19	J		
37. -FIDELITY GOVERNMENT MONEY MARKET	A	Dividend	L	T	Buy	10/10/19	M		
38.					Sold (part)	11/26/19	K		
39. -FIDELITY LIMITED TERM BOND FUND	A	Dividend	L	T	Buy	11/26/19	K		
40. WARREN AVERETT, LLC PROFIT SHARING PLAN (H)									
41. -DFA INTERNATIONAL SMALL COMPANY I	B	Dividend	K	T					
42. -DFA INTERNATIONAL VALUE I	B	Dividend	L	T					
43. -MELLON STABLE VALUE FUND	A	Dividend	K	T					
44. -VANGUARD EMERGING MKTS STOCK IDX.	B	Dividend	L	T					
45. -VANGUARD GROWTH INDEX ADM	A	Dividend	M	T					
46. -VANGUARD INTERM-TERM CORPORATE BOND CORPORATE BOND	A	Dividend	K	T					
47. -VANGUARD MID-CAP GROWTH INDEX ADM	A	Dividend	K	T					
48. -VANGUARD MID-CAP VALUE INDEX ADM	B	Dividend	L	T					
49. -VANGUARD SMALL CAP GROWTH INDEX ADM	A	Dividend	K	T					
50. -VANGUARD SMALL CAP VALUE INDEX ADM	A	Dividend	K	T					

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51. -VANGUARD TOTAL BOND MARKET INDEX ADM	B	Dividend	L	T					
52. -VANGUARD VALUE INDEX ADM	C	Dividend	M	T					
53. BBVA COMPASS BANK - A/C #1	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William H. Pryor, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544