

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Park, Michael H.	2. Court or Organization U.S. Court of Appeals, Second Circuit	3. Date of Report 05/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Thurgood Marshall United States Courthouse 40 Centre Street New York, NY 10019		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Consovoy McCarthy Park PLLC
2. Adjunct Professor	Antonin Scalia Law School, George Mason University
3. Trustee	Supreme Court Historical Society
4. Advisor	Operation Exodus Inner City
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2012	Dechert LLP pension plan for partners (no contributions since withdrawal from firm in 2015)
2.	
3.	

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Name of Person Reporting

Park, Michael H.

Date of Report

05/11/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Consovoy McCarthy Park PLLC, partnership income	\$354,819.00
2. 2019	George Mason University, teaching income	\$45,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	University of Iowa (teaching income)
2. 2019	Columbia University (stipend)
3. 2019	Self-employed (writer)
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Mason University	Dec 7-14, 2019	Honolulu, HI	Judicial education program	Travel, lodging, meals
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Nelnet	Student loans	J
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Citibank (cash)	A	Interest	M	T					
3. Fidelity (Dechert Salary Savings Plan) - Russell 2040 Target Date Fund		None			Sold	08/02/19	M		
4. Fidelity (Dechert Partner Pension Plans) - Vang Inst Tr 2040		None			Sold	08/02/19	L		
5. Brokerage Acct #1 (H)									
6. Devel Markets Idx Admiral (VTMGX)	C	Dividend	M	T	Buy (add'l)	05/31/19	K		
7.					Sold (part)	07/22/19	K	D	
8. Emerging Mkts Stk Idx Adm (VEMAX)	C	Dividend	M	T	Buy (add'l)	05/31/19	K		
9.					Sold (part)	10/15/19	K	C	
10. Energy Fund Investor (VGENX)	B	Dividend	K	T					
11. Growth Index Fund Adm (VIGAX)	B	Dividend	M	T					
12. International Growth Adm (VWILX)	B	Dividend	M	T					
13. Mid-Cap Index Fund Adm (VIMAX)	B	Dividend	M	T	Sold (part)	09/30/19	K	D	
14. New York Muni Money Mkt (VYFXX) (cash equiv)	C	Dividend	O	T					
15. Pacific Stock Index Adm (VPADX)	A	Dividend	K	T					
16. Real Estate Index Admiral (VGSLX)	A	Dividend	K	T					
17. Small-Cap Gr Idx Admiral (VSGAX)	A	Dividend	M	T	Buy (add'l)	05/29/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18.					Sold (part)	09/30/19	K		
19. Tot Intl Stock Ix Admiral (VTIAX)	D	Dividend	M	T	Buy (add'l)	05/29/19	K		
20.					Sold (part)	09/19/19	K	B	
21.					Sold (part)	11/25/19	K	C	
22. Value Index Fund Adm (VVIAX)	B	Dividend			Buy (add'l)	05/31/19	K		
23.					Sold	11/01/19	M	E	
24. 500 Index Fund Adm (VFIAX)	D	Dividend	M	T	Buy (add'l)	05/29/19	K		
25.					Sold (part)	07/23/19	K	E	
26.					Sold (part)	09/16/19	K	D	
27.					Sold (part)	11/12/19	K	E	
28. IRA #1 (H)									
29. Capital Opportunity Adm (VHCAX)	A	Dividend			Sold	11/01/19	L		
30. Target Retirement 2040 (VFORX)	C	Dividend	M	T	Buy	11/01/19	M		
31. 500 Index Fund Adm (VFIAX)	A	Dividend			Sold	11/01/19	K		
32. IRA #2 (H)									
33. Federal Money Mkt Fund (VMFXX) (cash equiv)	A	Dividend			Open	08/02/19	M		
34.					Closed	11/12/19	N		

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(See Column C2)

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35. Target Retirement 2040 (VFORX)	D	Dividend	N	T	Buy	11/12/19	N		
36. 500 Index Fund Adm (VFIAX)	C	Dividend			Buy (add'l)	08/06/19	L		
37.					Sold (part)	11/01/19	M		
38.					Sold	11/07/19	M		
39. Brokerage Acct #2 (H)									
40. AFLAC Inc (AFL) (Y)									
41. AT&T (T) (Y)									
42. Kellogg Company (K) (Y)									
43. PepsiCo Inc (PEP) (Y)									
44. Wells Fargo & Co (WFC) (Y)									
45. Brokerage Acct #3 (H)									
46. Diageo PLC (DEO)	A	Dividend			Sold	07/24/19	J		
47. Suntory Beverage & Food (STBFF)	A	Dividend			Sold	07/24/19	J		
48. 401k Acct #1 (H)									
49. Vanguard Target Retirement 2040		None	L	T					

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Park, Michael H.	05/11/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III A., line 1: Pending final accounting.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael H. Park**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544