

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Applebaum, Joel D.	2. Court or Organization US Bankruptcy Court for Eastern District of Michigan	3. Date of Report 02/19/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge for E.D. Michigan	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Bankruptcy Court for the Eastern District of Michigan 226 West Second Street Flint, Michigan 48502		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director (unpaid position)	Access to Bankruptcy Court (not for profit corporation)
2. Director (unpaid position)	Legal Aid and Defender Association (not for profit corporation)
3. Partner	Clark Hill PLC
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2005-2019	Clark Hill Basic Pension Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Clark Hill PLC law firm partner	\$84,324.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Autoneum North American (annual salary)
2. 2019	Nexteer Automotive (annual salary)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bank of America Cash equivalent accounts	A	Interest	K	T					
2. MESP 529 College Savings Account (aged-Based)		None	K	T					
3.									
4. Nexteer Automotive Retirement Account (H)									
5. - Fidelity US Bond Index Fund	A	Dividend	J	T	Buy	10/01/19	J		
6. - American Funds 2045 Trgt Date Fund	A	Dividend	K	T	Buy	10/01/19	K		
7.									
8. Capital Group/American Funds IRA Account #1 (H)									
9. - ABALX	A	Dividend	K	T					
10. - CWGIX	A	Dividend	K	T					
11. - AGTHX	A	Dividend	K	T					
12. - AMECX	A	Dividend	K	T					
13. - ANEFX	A	Dividend	K	T					
14.									
15. Capital Group/American Funds IRA (39) #2 (H)									
16. - AMRMX	A	Dividend	J	T					
17. - CAIBX	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - AGTHX	A	Dividend	J	T					
19. - NEWFX	A	Dividend	J	T					
20. - AWSHX	A	Dividend	J	T					
21.									
22. Charles Schwab One Account (54) (H)									
23. - Charles Schwab money market/sweep account	A	Interest	J	T	Open	10/01/19	J		
24. - (BIV) VANGUARD INTERMEDIATE TERM BOND ETF	A	Dividend	K	T	Buy	10/01/19	K		
25. - (BSV) VANGUARD SHORT-TERM BOND ETF	A	Dividend	K	T	Buy	10/01/19	K		
26. - (DFCEX) DFA EMERGING MKTS CORE EQTY PORT INSTL	A	Dividend	J	T	Buy	10/01/19	J		
27. - (DFGBX) DFA FIVE YEAR GLBL FIXED INCM PORT INSTL	A	Dividend	K	T	Buy	10/01/19	K		
28. - (DFGEX) DFA GLBL REAL ESTATE SEC PORT INST	A	Dividend	J	T	Buy	10/01/19	J		
29. - (DFLVX) DFA US LARGE CAP VALUE PORT INSTL	A	Dividend	K	T	Buy	10/01/19	K		
30. - (DFS VX) DFA US SMALL CAP VALUE PORT INSTL	A	Dividend	K	T	Buy	10/01/19	K		
31. - (DFIEX) DFA INTL CORE EQTY PORT INSTL	A	Dividend	K	T	Buy	10/01/19	K		
32. - (DISVX) DFA INTL SMALL CAP VALUE PORT INSTL	A	Dividend	J	T	Buy	10/01/19	J		
33. - (SWAGX) SCHWAB US AGGREGATE BOND INDEX FD	A	Dividend	K	T	Buy	10/01/19	K		
34. - (SWISX) SCHWAB INTL INDEX FUND	A	Dividend	J	T	Buy	10/01/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. - (SWTSX) SCHWAB TOTAL STOCK MKT INDEX	A	Dividend	J	T	Buy	10/01/19	J		
36. - (SWVXX) SCHWAB VALUE ADVANTAGE	A	Dividend	K	T	Buy	10/01/19	K		
37. - (VTI) VANGUARD TOTAL STOCK MARKET ETF	A	Dividend	K	T	Buy	10/01/19	K		
38.									
39. Charles Schwab Rollover IRA Account (96) (H)									
40. - Charles Schwab money market/sweep account	A	Interest	L	T	Open	10/01/19	L		
41. - (DFGBX) DFA FIVE YEAR GLBL FIXED INCM PORT INSTL	A	Dividend	J	T	Buy	10/01/19	J		
42. - (SWAGX) SCHWAB US AGGREGATE BOND INDEX FD	A	Dividend	K	T	Buy	10/01/19	K		
43. - (DFCEX) DFA EMERGING MKTS CORE EQTY PORT INSTL	A	Dividend	J	T	Buy	10/01/19	J		
44. - (DFGEX) DFA GLBL REAL ESTATE SEC PORT INST SEC PORT INST	A	Dividend	J	T	Buy	10/01/19	J		
45. - (DFLVX) DFA US LARGE CAP VALUE PORT INSTL	A	Dividend	J	T	Buy	10/01/19	J		
46. - (DFS VX) DFA US SMALL CAP VALUE PORT INSTL	A	Dividend	J	T	Buy	10/01/19	J		
47. - (SWISX) SCHWAB INTL INDEX FUND	A	Dividend	J	T	Buy	10/01/19	J		
48. - (SWTSX) SCHWAB TOTAL STOCK MKT INDEX	A	Dividend	J	T	Buy	10/01/19	J		
49.									
50. Auto NA Retirement Savings Plan (H)									
51. - TRP Ret I 2045 Fd I Cl		None			Sold	09/20/19	L		

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52.									
53. Clark Hill Salary Deferral Plan (H)									
54. - Fidelity Managed Income Port	A	Dividend			Sold	09/15/19	K		
55. - Prudential Short Trm Corp BD Z	A	Dividend			Sold	09/15/19	K		
56. - Vanguard Int Term Bond INV	A	Dividend			Sold	09/15/19	K		
57. - Oppenheimer SR Floating Rate I	A	Dividend			Sold	09/15/19	J		
58. - Templeton Global Total Ret	A	Dividend			Sold	09/15/19	K		
59. - JPMorgan Equity Income R6	B	Dividend			Sold	09/15/19	K		
60. - American Amcap R6	B	Dividend			Sold	09/15/19	K		
61. - Fidelity Value K	A	Dividend			Sold	09/15/19	K		
62. - Alliance Bernstein Disc Growth	A	Dividend			Sold	09/15/19	K		
63. - Victory Sm Co Opporrunity J	B	Dividend			Sold	09/15/19	K		
64. - RS Select Growth Y	A	Dividend			Sold	09/15/19	K		
65. - Am Europacific Growth R6	A	Dividend			Sold	09/15/19	K		
66. - Thornburg Developing World	A	Dividend			Sold	09/15/19	J		
67.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joel D. Applebaum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544