

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                     |                                                                                                                                                                                                                                        |                                                                  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Pillard, Cornelia T.                                                                                                                           | <b>2. Court or Organization</b><br><br>U.S. Court of Appeals for the District of Columbia Circuit                                                                                                                                      | <b>3. Date of Report</b><br><br>05/22/2020                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Circuit Judge                                                                           | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>01/01/2019<br>to<br>12/31/2019 |
|                                                                                                                                                                                                                     | <b>5b.</b> <input type="checkbox"/> Amended Report                                                                                                                                                                                     |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>Chambers of the Hon. Cornelia T.L. Pillard<br>United States Court of Appeals for the District of Columbia Circuit<br>333 Constitution Ave. N.W.<br>Washington, DC 20001 |                                                                                                                                                                                                                                        |                                                                  |
| <b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>                          |                                                                                                                                                                                                                                        |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

| <u>POSITION</u>      | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----------------------|------------------------------------|
| 1. Board member      | Equal Justice Works                |
| 2. President         | Edward Coke Inn of Court           |
| 3. Adjunct Professor | Georgetown Law University Center   |
| 4.                   |                                    |
| 5.                   |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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Name of Person Reporting

Pillard, Cornelia T.

Date of Report

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

| <u>DATE</u>   | <u>SOURCE AND TYPE</u>                             | <u>INCOME</u><br>(yours, not spouse's) |
|---------------|----------------------------------------------------|----------------------------------------|
| 1. 12/20/2019 | Georgetown Law University Center, teaching stipend | \$15,000.00                            |
| 2.            |                                                    |                                        |
| 3.            |                                                    |                                        |
| 4.            |                                                    |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                  |
|-------------|---------------------------------------------------------|
| 1. 2019     | American Civil Liberties Union -- legal director salary |
| 2. 2019     | The New York Review of Books -- freelance writer fees   |
| 3. 2019     | The Nation -- freelance writer fees                     |
| 4.          |                                                         |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>           | <u>DATES</u>          | <u>LOCATION</u>   | <u>PURPOSE</u>              | <u>ITEMS PAID OR PROVIDED</u> |
|-------------------------|-----------------------|-------------------|-----------------------------|-------------------------------|
| 1. Equal Justice Works  | Feb. 21-23, 2019      | San Francisco, CA | Board of directors meeting  | Travel, lodging and meals     |
| 2. University of Hawaii | Feb. 23-March 4, 2019 | Honolulu, HI      | Lecture                     | Travel and lodging            |
| 3. Equal Justice Works  | June 20-21, 2019      | New York, NY      | Board of directors meeting  | Travel, lodging and meals     |
| 4. Berkeley Law School  | Sept. 22-25, 2019     | Berkeley, CA      | Jurist in residence program | Travel, lodging and meals     |
| 5.                      |                       |                   |                             |                               |

|                                                  |                              |
|--------------------------------------------------|------------------------------|
| Name of Person Reporting<br>Pillard, Cornelia T. | Date of Report<br>05/22/2020 |
|--------------------------------------------------|------------------------------|

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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Name of Person Reporting

Pillard, Cornelia T.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. American Century Ultra Fund Mutual Fund                                                                                 | C                                      | Int./Div.                                     | L                                              | T                                         | Buy<br>(add'l)                                  | 12/17/19                | J                               |                                |                                                                   |
| 2. Blackrock Muniassets Fund Mutual Fund                                                                                   | C                                      | Int./Div.                                     | L                                              | T                                         | Buy<br>(add'l)                                  | 12/17/19                | K                               |                                |                                                                   |
| 3. Cohen & Steers Institutional Realty Mutual Fund                                                                         | D                                      | Int./Div.                                     | L                                              | T                                         | Buy<br>(add'l)                                  | 12/17/19                | J                               |                                |                                                                   |
| 4. Cohen & Steers Realty Focus Mutual Fund                                                                                 | D                                      | Int./Div.                                     | M                                              | T                                         | Sold<br>(part)                                  | 12/17/19                | J                               | A                              |                                                                   |
| 5. Ishares JP Morgan Emerging MKTS Bond ETF                                                                                | B                                      | Int./Div.                                     | L                                              | T                                         | Buy<br>(add'l)                                  | 12/17/19                | J                               |                                |                                                                   |
| 6. Ishares MSCI EAFE Index Fund ETF                                                                                        | A                                      | Int./Div.                                     | K                                              | T                                         | Buy<br>(add'l)                                  | 12/17/19                | J                               |                                |                                                                   |
| 7. Ishares Russell 1000 Growth Fund ETF                                                                                    | B                                      | Int./Div.                                     | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Ishares Russell 1000 Value ETF                                                                                          | D                                      | Int./Div.                                     | M                                              | T                                         | Sold<br>(part)                                  | 12/17/19                | J                               | B                              |                                                                   |
| 9. Ishares Russell Mid Cap Index Fund ETF                                                                                  | A                                      | Int./Div.                                     | L                                              | T                                         | Sold<br>(part)                                  | 12/17/19                | J                               | C                              |                                                                   |
| 10. Ishares S&P Midcap 400 Growth Fund ETF                                                                                 | C                                      | Int./Div.                                     | M                                              | T                                         | Buy<br>(add'l)                                  | 02/07/19                | J                               |                                |                                                                   |
| 11.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 03/27/19                | J                               | A                              |                                                                   |
| 12.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/17/19                | J                               | B                              |                                                                   |
| 13. Ishares S&P Midcap 400 Value Index Fund ETF                                                                            | C                                      | Int./Div.                                     | M                                              | T                                         | Buy<br>(add'l)                                  | 02/07/19                | K                               |                                |                                                                   |
| 14.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/27/19                | J                               |                                |                                                                   |
| 15. Ishares S&P National AMT Free Muni Bond Fund ETF                                                                       | C                                      | Int./Div.                                     | M                                              | T                                         | Sold<br>(part)                                  | 12/17/19                | J                               | A                              |                                                                   |
| 16. Ishares S&P Small Cap 600 Growth Fund ETF                                                                              | A                                      | Int./Div.                                     | L                                              | T                                         | Buy<br>(add'l)                                  | 02/07/19                | K                               |                                |                                                                   |
| 17.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/27/19                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

Pillard, Cornelia T.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/17/19                | J                               | B                              |                                                                   |
| 19. Ishares S&P Small Cap 600 Value Fund<br>ETF                                                                            | B                                      | Int./Div.                                     | L                                              | T                                         | Buy<br>(add'l)                                  | 02/07/19                | K                               |                                |                                                                   |
| 20.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/27/19                | J                               |                                |                                                                   |
| 21.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/17/19                | J                               | A                              |                                                                   |
| 22. Ishares U.S. Preferred Stock ETF                                                                                       | C                                      | Int./Div.                                     | L                                              | T                                         | Buy<br>(add'l)                                  | 12/17/19                | J                               |                                |                                                                   |
| 23. Janus Investment Mutual Fund                                                                                           | D                                      | Int./Div.                                     | L                                              | T                                         | Sold<br>(part)                                  | 12/17/19                | J                               | A                              |                                                                   |
| 24. Pimco 1-5 Year U.S. Tips Index Fund                                                                                    | A                                      | Int./Div.                                     | L                                              | T                                         | Buy<br>(add'l)                                  | 12/17/19                | J                               |                                |                                                                   |
| 25. Royce Total Return Mutual Fund                                                                                         | C                                      | Int./Div.                                     | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 26. SPDR Barclays High Yield Bond Fund ETF                                                                                 | A                                      | Int./Div.                                     |                                                |                                           | Sold<br>(part)                                  | 02/07/19                | J                               | A                              |                                                                   |
| 27.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 03/27/19                | J                               | A                              |                                                                   |
| 28. SPDR Barclays Short Term High Yield<br>Fund ETF                                                                        | C                                      | Int./Div.                                     | L                                              | T                                         | Buy<br>(add'l)                                  | 02/07/19                | J                               |                                |                                                                   |
| 29.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/27/19                | J                               |                                |                                                                   |
| 30. SPDR Consumer Discretionary Select<br>Sector Fund ETF                                                                  | A                                      | Int./Div.                                     | K                                              | T                                         | Sold<br>(part)                                  | 02/07/19                | J                               | D                              |                                                                   |
| 31.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 03/27/19                | J                               | C                              |                                                                   |
| 32. SPDR Consumer Staples Select Sector Fund<br>ETF                                                                        | A                                      | Int./Div.                                     |                                                |                                           | Sold<br>(part)                                  | 02/07/19                | J                               | A                              |                                                                   |
| 33.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 03/27/19                | J                               | A                              |                                                                   |
| 34. SPDR Energy Select Sector Fund ETF                                                                                     | A                                      | Int./Div.                                     |                                                |                                           | Buy<br>(add'l)                                  | 02/07/19                | K                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/27/19         | K                        |                         |                                                            |
| 36.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 04/03/19         | J                        | A                       |                                                            |
| 37.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 04/23/19         | K                        | B                       |                                                            |
| 38. SPDR Euro Stoxx 50 ETF                                                                                                 | B                                      | Int./Div.                              | L                                              | T                                  | Sold<br>(part)                            | 12/17/19         | J                        | A                       |                                                            |
| 39. SPDR Financial Select Sector Fund ETF                                                                                  | A                                      | Int./Div.                              | K                                              | T                                  | Sold<br>(part)                            | 02/07/19         | J                        | C                       |                                                            |
| 40.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 03/27/19         | J                        | B                       |                                                            |
| 41. SPDR Health Care Select Sector Fund ETF                                                                                | A                                      | Int./Div.                              | L                                              | T                                  | Sold<br>(part)                            | 02/07/19         | J                        | C                       |                                                            |
| 42.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 03/27/19         | J                        | B                       |                                                            |
| 43. SPDR Industrial Select Sector Fund ETF                                                                                 | A                                      | Int./Div.                              | K                                              | T                                  | Buy<br>(add'l)                            | 02/07/19         | J                        |                         |                                                            |
| 44.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/27/19         | J                        |                         |                                                            |
| 45. SDPR Materials Select Sector Fund ETF                                                                                  | A                                      | Int./Div.                              |                                                |                                    | Sold<br>(part)                            | 02/07/19         | J                        | A                       |                                                            |
| 46.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 03/27/19         | J                        | A                       |                                                            |
| 47. SPDR S&P Dividend ETF                                                                                                  | B                                      | Int./Div.                              | L                                              | T                                  | Sold<br>(part)                            | 12/17/19         | J                        | A                       |                                                            |
| 48. SPDR Technology Select Sector Fund ETF                                                                                 | A                                      | Int./Div.                              | L                                              | T                                  | Sold<br>(part)                            | 02/07/19         | K                        | D                       |                                                            |
| 49.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 03/27/19         | J                        | D                       |                                                            |
| 50. T Rowe Price New Asia Mutual Fund                                                                                      | A                                      | Int./Div.                              | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 51. T Rowe Price Spectrum Income Mutual Fund                                                                               | C                                      | Int./Div.                              | L                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Vanguard Emerging Markets Stock Index Fund ETF                                                                         | D                                      | Int./Div.                                     | M                                              | T                                         | Buy<br>(add'l)                                  | 02/07/19                | J                               |                                |                                                                   |
| 53.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/27/19                | J                               |                                |                                                                   |
| 54.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/17/19                | J                               |                                |                                                                   |
| 55. Vanguard FTSE Developed Markets Fund ETF                                                                               | D                                      | Int./Div.                                     | M                                              | T                                         | Buy<br>(add'l)                                  | 02/07/19                | J                               |                                |                                                                   |
| 56.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/27/19                | J                               |                                |                                                                   |
| 57. Vanguard Reit Index Fund ETF                                                                                           | B                                      | Int./Div.                                     | L                                              | T                                         | Buy<br>(add'l)                                  | 02/07/19                | J                               |                                |                                                                   |
| 58.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/27/19                | J                               |                                |                                                                   |
| 59. Wisdomtree Emerging Mkts ETF                                                                                           | C                                      | Int./Div.                                     | L                                              | T                                         | Sold<br>(part)                                  | 12/17/19                | J                               | B                              |                                                                   |
| 60.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/17/19                | J                               |                                |                                                                   |
| 61. Wisdomtree Managed Futures Strategy Fund                                                                               | A                                      | Int./Div.                                     | J                                              | T                                         | Sold<br>(part)                                  | 12/17/19                | K                               | B                              |                                                                   |
| 62. Wisdomtree Total Dividend Fund ETF                                                                                     | B                                      | Int./Div.                                     | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 63. iShares Core U.S. Aggregate Bond ETF                                                                                   | C                                      | Int./Div.                                     | M                                              | T                                         | Sold<br>(part)                                  | 02/07/19                | K                               | A                              |                                                                   |
| 64.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 03/27/19                | K                               | A                              |                                                                   |
| 65. Powershares Global ETF                                                                                                 | B                                      | Int./Div.                                     | K                                              | T                                         | Buy<br>(add'l)                                  | 02/07/19                | J                               |                                |                                                                   |
| 66.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/27/19                | J                               |                                |                                                                   |
| 67. SPDR Real Estate Sector ETF                                                                                            | A                                      | Int./Div.                                     |                                                |                                           | Sold<br>(part)                                  | 02/07/19                | J                               | A                              |                                                                   |
| 68.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 03/27/19                | J                               | A                              |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pillard, Cornelia T.

Date of Report

05/22/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. iShares Core S&P Mid Cap ETF                                                                                           |                                        | None                                          |                                                |                                           | Sold                                            | 02/07/19                | K                               | B                              |                                                                   |
| 70. iShares Russell 2000 ETF                                                                                               |                                        | None                                          |                                                |                                           | Sold                                            | 02/07/19                | K                               | C                              |                                                                   |
| 71. SPDR Dow Jones Global Real Estate ETF                                                                                  | A                                      | Int./Div.                                     |                                                |                                           | Sold<br>(part)                                  | 02/07/19                | J                               | A                              |                                                                   |
| 72.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 03/27/19                | K                               | A                              |                                                                   |
| 73. iShares Core S&P 500 ETF                                                                                               | C                                      | Int./Div.                                     | M                                              | T                                         | Buy<br>(add'l)                                  | 02/07/19                | K                               |                                |                                                                   |
| 74.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/27/19                | J                               |                                |                                                                   |
| 75.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 04/05/19                | J                               |                                |                                                                   |
| 76.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 04/23/19                | K                               |                                |                                                                   |
| 77. SPDR Gold Shares ETF                                                                                                   |                                        | None                                          |                                                |                                           | Sold                                            | 01/08/19                | K                               | A                              |                                                                   |
| 78. VanEck Vectors Natural Resources ETF                                                                                   |                                        | None                                          | L                                              | T                                         | Buy<br>(add'l)                                  | 12/17/19                | J                               |                                |                                                                   |
| 79. Invesco S&P Global Water ETF (X)                                                                                       | A                                      | Int./Div.                                     | L                                              | T                                         | Buy                                             | 01/08/19                | K                               |                                |                                                                   |
| 80.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/17/19                | J                               |                                |                                                                   |
| 81. iShares iBoxx Investment Grade Corporate Bond ETF (X)                                                                  | B                                      | Int./Div.                                     | L                                              | T                                         | Buy                                             | 02/07/19                | K                               |                                |                                                                   |
| 82.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/27/19                | K                               |                                |                                                                   |
| 83. SDPR Communication Services ETF (X)                                                                                    | A                                      | Int./Div.                                     | K                                              | T                                         | Buy                                             | 02/07/19                | J                               |                                |                                                                   |
| 84.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/27/19                | J                               |                                |                                                                   |
| 85. IRA-BDA #1 (H)                                                                                                         | A                                      | Distribution                                  | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                                                                     |                                                                                                                                                      |                                                                                                                                                                                  |                                                                                                                                    |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000<br>R =Cost (Real Estate Only)<br>V =Other | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000<br>S =Assessment<br>W =Estimated | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000<br>T =Cash Market | E =\$15,001 - \$50,000 |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|------------------------|

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Name of Person Reporting

Pillard, Cornelia T.

Date of Report

05/22/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. --Fidelity Diversified International Mutual Fund                                                                       | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 87. --Fidelity Select Technology Mutual Fund                                                                               | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 88. IRA-BDA #2 (H)                                                                                                         | A                                      | Distribution                                  | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 89. --Fidelity Canada Mutual Fund                                                                                          | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 90. --Fidelity Diversified International Mutual Fund                                                                       | A                                      | Int./Div.                                     | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 91. --Fidelity Select Technology Mutual Fund                                                                               | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 92. Suntrust cash accounts                                                                                                 | A                                      | Int./Div.                                     | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 93. Schwab Total Stock Market Fund                                                                                         | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 94. Vanguard Windsor II Mutual Fund                                                                                        | F                                      | Int./Div.                                     | O                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 95. Vanguard Windsor Mutual Fund                                                                                           | G                                      | Int./Div.                                     | P1                                             | T                                         | Sold<br>(part)                                  | 03/31/19                | O                               | G                              |                                                                   |
| 96. Fidelity Magellan Mutual Fund                                                                                          | D                                      | Int./Div.                                     | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 97. Fidelity Low Priced Stock Mutual Fund                                                                                  | F                                      | Int./Div.                                     | P1                                             | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 98. Schwab Emerging Markets Equity ETF                                                                                     | A                                      | Int./Div.                                     | J                                              | T                                         | Sold<br>(part)                                  | 02/26/19                | J                               | A                              |                                                                   |
| 99.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/01/19                | J                               |                                |                                                                   |
| 100.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/02/19                | J                               |                                |                                                                   |
| 101. Schwab International Equity ETF                                                                                       | A                                      | Int./Div.                                     | J                                              | T                                         | Buy<br>(add'l)                                  | 02/12/19                | J                               |                                |                                                                   |
| 102.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 02/26/19                | J                               | A                              |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pillard, Cornelia T.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/01/19                | J                               |                                |                                                                   |
| 104.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/02/19                | J                               |                                |                                                                   |
| 105.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/18/19                | J                               |                                |                                                                   |
| 106. Schwab Health Care Mutual Fund                                                                                        | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 107. Powershares Emerging Markets ETF                                                                                      | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 108. Schwab U.S. Broad Market ETF                                                                                          | A                                      | Int./Div.                                     | J                                              | T                                         | Sold<br>(part)                                  | 02/26/19                | J                               | A                              |                                                                   |
| 109.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/01/19                | J                               |                                |                                                                   |
| 110. TIAA-CREF Lifecycle 2025 Retirement Fund                                                                              | B                                      | Int./Div.                                     | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 111. TIAA-CREF Large Cap Growth Fund                                                                                       | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 112. Ameritas Principal plan Plus - DC529                                                                                  |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 113. NY 529 Moderate Age-Based Option: Income Portfolio                                                                    |                                        | None                                          | L                                              | T                                         | Sold<br>(part)                                  | 11/06/19                | K                               | D                              |                                                                   |
| 114. Schwab Target 2060 Index Mutual Fund                                                                                  | A                                      | Int./Div.                                     | J                                              | T                                         | Buy<br>(add'l)                                  | 08/23/19                | J                               |                                |                                                                   |
| 115.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 11/14/19                | J                               |                                |                                                                   |
| 116. iShares U.S. Total Stock Market Index Portfolio ETF                                                                   |                                        | None                                          | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 117. Principal LifeTime Hybrid 2025 CIT Fund                                                                               | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 118. Principal Large Cap S&P 500 Index Separate Account Fund (X)                                                           | A                                      | Int./Div.                                     | K                                              | T                                         | Buy<br>(add'l)                                  | 03/31/19                | J                               |                                |                                                                   |
| 119.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 06/30/19                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/30/19                | J                               |                                |                                                                   |
| 121.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/31/19                | J                               |                                |                                                                   |
| 122. Principal Mid Cap S&P 500 Index Separate Account Fund                                                                 | B                                      | Int./Div.                                     | L                                              | T                                         | Buy<br>(add'l)                                  | 03/31/19                | J                               |                                |                                                                   |
| 123.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 06/30/19                | J                               |                                |                                                                   |
| 124.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/30/19                | J                               |                                |                                                                   |
| 125.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/31/19                | J                               |                                |                                                                   |
| 126. Principal Small Cap S&P 600 Index SA Fund                                                                             | A                                      | Int./Div.                                     | K                                              | T                                         | Buy<br>(add'l)                                  | 03/31/19                | J                               |                                |                                                                   |
| 127.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 06/30/19                | J                               |                                |                                                                   |
| 128.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/30/19                | J                               |                                |                                                                   |
| 129.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/31/19                | J                               |                                |                                                                   |
| 130. Schwab U.S. Aggregate Bond ETF                                                                                        | A                                      | Int./Div.                                     | J                                              | T                                         | Sold<br>(part)                                  | 02/26/19                | J                               | A                              |                                                                   |
| 131. Fidelity Zero International Index Mutual Fund                                                                         | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 132. Fidelity Zero Toral Market Index Mutual Fund                                                                          | A                                      | Int./Div.                                     | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 133. Principal Lifeime 2030 R3 Fund                                                                                        | A                                      | Int./Div.                                     |                                                |                                           | Sold                                            | 06/30/19                | J                               | A                              |                                                                   |
| 134. Fidelity Contrafund K Mutual Fund                                                                                     | E                                      | Int./Div.                                     | O                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 135. Vanguard Target 2030 Retirement Fund (X)                                                                              | A                                      | Int./Div.                                     | K                                              | T                                         | Buy                                             | 06/30/19                | J                               |                                |                                                                   |
| 136.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/30/19                | J                               |                                |                                                                   |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. Vanguard Total Bond Market Index Fund (X)                                                                             | D                                      | Int./Div.                              | N                                              | T                                  | Buy                                       | 03/31/19         | M                        |                         |                                                            |
| 138. Vanguard Real Estate Index Investor Fund (X)                                                                          | C                                      | Int./Div.                              | M                                              | T                                  | Buy                                       | 03/31/19         | M                        |                         |                                                            |
| 139. Vanguard Total International Stock Index Fund (X)                                                                     | C                                      | Int./Div.                              | M                                              | T                                  | Buy                                       | 03/31/19         | M                        |                         |                                                            |
| 140.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pillard, Cornelia T.

Date of Report

05/22/2020

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pillard, Cornelia T.

Date of Report

05/22/2020

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cornelia T. Pillard**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544