

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Levy, Robert M.	2. Court or Organization USDC EDNY	3. Date of Report 6/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	New York University School of Law
2. Adjunct Professor of Law	Brooklyn Law School
3. Lecturer in Law	Columbia Law School
4. Limited Partner	Fourlee Partnership
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. ongoing	ACLU Employee Retirement Plan
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Levy, Robert M.

Date of Report

6/12/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	New York University School of Law	\$14,000.00
2. 2018	Columbia Law School	\$7,605.00
3. 2018	Brooklyn Law School	\$5,500.00
4. 2018	ACLU Pension	\$12,255.42

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	NYS & Local Employees Retirement System
2. 2018	The Legal Aid Society pension
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 8

Name of Person Reporting	Date of Report
Levy, Robert M.	6/12/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Chase	credit card	K
2.	Citibank	credit card	J
3.	Mass Mutual	policy loan	J
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Levy, Robert M.

Date of Report

6/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mass Mutual Life Ins--MML Gr Allocn--whole life	A	Dividend	J	T					
2. Mass Mutual Life Ins--whole life	A	Dividend	K	T					
3. Mass Mutual Life Ins--whole life	A	Dividend	K	T					
4. Mass Mutual Life Ins--whole life	A	Dividend	J	T					
5. Mass Mutual Life Ins--whole life	B	Dividend	K	T					
6. Mass Mutual Life Ins --whole life	C	Dividend	L	T					
7. Mass Mutual Variable Life MMI Equity	A	Dividend	J	T					
8. Mass Mutual Life	A	Dividend	J	T					
9. Four Lee Limited Partnership	C	Int./Div.	L	T					
10. Deferred Compensation Plan	F	Dividend	O	T					
11. Copeland Stable Income Fd	C	Int./Div.	N	T					
12. -Copeland Stable Income Fd					Buy (add'l)	03/16/18	L		
13. -Copeland Stable Income Fd					Buy (add'l)	04/17/18	L		
14. -Copeland Stable Income Fd					Buy (add'l)	07/26/18	J		
15. -Copeland Stable Income Fd					Sold (part)	10/12/18	L		
16. -Copeland Stable Income Fd					Buy (add'l)	11/29/18	L		
17. -Copeland Stable Income Fd					Buy (add'l)	12/17/18	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Levy, Robert M.

Date of Report

6/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Fidelity OTC	D	Dividend			Sold (part)	01/16/18	K	D	
19. -Fidelity OTC	D	Dividend			Sold (part)	03/16/18	K		
20. -Fidelity OTC	D	Dividend			Sold	04/02/18	M		
21. -Fidelity OTC Class K	D	Dividend	M		Buy	04/02/18	M		
22. -Fidelity OTC K	D	Dividend			Sold (part)	04/17/18	K	D	
23. -Fidelity OTC K	C	Dividend			Sold (part)	07/26/18	J		
24. -Fidelity OTC K	C	Dividend			Sold	12/17/18	M		
25. -Vanguard Primecap Fund-Admiral	D	Dividend	M	T	Sold (part)	01/16/18	K	A	
26. -Vanguard Primecap Fund-Admiral	D	Dividend	M	T	Sold (part)	03/16/18	K		
27. -Vanguard Primecap Fund-Admiral	D	Dividend	M	T	Sold (part)	04/17/18	K	D	
28. -Vanguard Primecap Fund-Admiral	D	Dividend	M	T	Sold (part)	11/29/18	K	E	
29. -VngrdWlngtnAdml	C	Dividend	K						
30. -Vngrd Cap Opp * See note in Part VIII					Sold	08/10/17	L		
31. -Vngrd R Strategic Equity Fd					Buy	10/12/18	K		
32. -Vngrd Strategic Equity Fd					Sold	12/17/18	K		
33. -TRowePr Blue Chip Gr Trust	D		K	T	Buy (add'l)	01/16/18	L		
34. -TRowePr Blue Chip Gr Trust			L	T	Sold (part)	03/16/18	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Levy, Robert M.

Date of Report

6/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -TRowe Pr Blue Chip Gr Trust			L	T	Sold (part)	04/17/18	J	D	
36. -TRowe Price Blue Chip Gr Trust			L	T	Sold (part)	08/01/18	M		
37. -TRowe Price Blue Chip Growth Trust Class T6			L	T	Buy	08/01/18	M		
38. -TRowe Price Blue Chip Growth Trust Class T6			L	T	Sold (part)	11/29/18	L		
39. -NSDCB Equity Index Unitized Acct					Buy	10/12/18	K		
40. -NSDCB Equity Index Unitized Acct					Sold (part)	12/17/18	K		
41. -NSDCB Russell 2500 Index					Buy	10/12/18	K		
42. -NSDCB Russell 2500 Index					Sold	12/17/18	K		
43. IRA: Stifel Nicolaus (contains investments listed in lines 35-38)	B	Dividend	J	T					
44. -First Trust Amern Agenda Sa Ca	A	Dividend	J	T					
45. -Advisors Ubiquitous Strat 2017-1 MO CA	A	Dividend	J	T					
46. Rental of Vacation Home, Suffolk County, NY	F	Rent	O	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Levy, Robert M.

Date of Report

6/12/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, Line 29, Vanguard Opp corrects a typographical error on my FDR 2017. The fund was SOLD, not bought on 8/10/17 and is no longer part of the portfolio.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Levy, Robert M.

Date of Report

6/12/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert M. Levy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544