

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Winsor, Allen C.	2. Court or Organization Northern District of Florida	3. Date of Report 05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 111 N. Adams Street Tallahassee, FL 3231		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. State Judge	Florida First District Court of Appeal (1/1/19 - 6/20/19)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2019	State of Florida - Judicial Salary - period before appointment (thru 6/18/19)	\$79,064.64
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Holy Comforter Episcopal School	Tuition Agreement	None
2.	Community Leadership Academy	Tuition Agreement	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ally Bank Cash Accounts and CDs	C	Interest	M	T					
2. +-38 acres undeveloped land, Henry County AL 50% share.		None	K	W					
3. Florida Prepaid Tuition Agreement		None	K	T					
4. Capital One Savings Account	A	Int./Div.	J	T					
5. FRS Retirement 2040 Fund		None			Sold	12/30/19	M	E	
6. IRA #1 (H)									
7. TD Ameritrade Cash Account	A	Int./Div.	J	T					
8. Dollar General Corp (DG) Stock	A	Dividend	K	T	Buy	03/21/19	J		
9.					Buy (add'l)	04/18/19	J		
10. Lockheed Martin (LMT) Stock	A	Dividend	K	T					
11. Northrop Grumman Corp (NOC) Stock	A	Dividend	L	T	Buy (add'l)	04/24/19	J		
12. American Funds Capital World Growth & Inc Fund F1 (CWGFX)	A	Dividend	K	T					
13. American Funds Growth Fund of Am F1 (GFAFX)	B	Dividend	K	T					
14. American Funds New World F1 (NWWFX)	A	Dividend	K	T	Buy	11/04/19	K		
15. American Funds Sm Cap World F1 (SCWFX)	A	Distribution	J	T					
16. Artisan Funds Intl Inv (ARTIX)	A	Dividend	K	T					
17. Fidelity Investments Biotechnology Fund (FBIOX)	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Fidelity Export and Multinational Fund (FEXPX)	A	Dividend			Sold	11/04/19	J	A	
19. Oakmark Funds Eqty & Inc Inv Fund (OAKBX)	D	Dividend	L	T					
20. Oberweis Funds Intl Opp Investor Fund (OBIOX)	A	Dividend	K	T					
21. Pioneer Invests Strategic Inc Y Fund (STRYX)	B	Dividend	L	T					
22. T Rowe Price Funds Blue Chip Growth Investor Fund (TRBCX)	A	Dividend	L	T	Buy	04/15/19	K		
23. Everst RE Group (RE) Stock	A	Dividend	J	T					
24. Intel Corp (INTC) Stock	A	Dividend			Sold	07/10/19	J		
25. Lear Corp (LEA) Stock	A	Dividend			Sold (part)	04/01/19	J		
26.					Sold	09/18/19	J		
27. IRA #2 (H)									
28. Cigna Corp (CI) Stock	A	Dividend	J	T					
29. American Funds Capital Income Builder A Fund (CAIBX)	B	Dividend	K	T					
30. Cash Account (Etrade)	A	Interest	K	T					
31. Gap Corp (GPS) Stock	A	Dividend			Sold	07/01/19	J		
32. Royal Caribbean Cruises (RCL) Stock	A	Dividend			Sold	07/01/19	J		
33. Brokerage #1 (H)									
34. TD Ameritrade Cash Account	A	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Celgene Corp (CELG) Stock		None			Sold	03/26/19	K		
36. CVS Corp (CVS) Stock	A	Dividend			Sold	07/02/19	J		
37. Etrade Fin Corp (ETFC) Stock	A	Dividend			Sold	07/02/19	K		
38. Fidelity Select Defense & Aero Fund (FSDAX)		None	K	T	Buy	12/31/19	K		
39. Natl Bev Corp (FIZZ) Stock	A	Dividend			Sold	03/21/19	J		
40. Olin Corp (OLN) Stock	A	Dividend			Sold	09/10/19	J		
41. Prologis Inc (PLD) Stock	A	Dividend	J	T	Buy	04/18/19	J		
42.					Buy (add'l)	12/05/19	J		
43. Select Sector Spdr Trust Tech ETF (XLK)	A	Dividend	L	T	Buy	12/03/19	K		
44. Steel Dynamics (STLD) Stock	A	Dividend			Buy (add'l)	03/22/19	J		
45.					Sold	09/10/19	K		
46. T Rowe Price Funds Blue Chip Growth Investor Fund (TRBCX)	A	Dividend	L	T	Buy	08/01/19	K		
47. Valero Energy Corp (VLO) Stock	A	Dividend			Sold	07/11/19	J		
48. Walgreens Boots Alliance (WBA) Stock	A	Dividend			Sold	07/02/19	J		
49. Walmart Inc (WMT) Stock	B	Dividend	L	T					
50. Profunds Biotechnology Ultrasector Inv Fund (BIPX)	A	Distribution	J	T					
51. Brokerage #2 (H)									

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52. Fidelity Growth Co. Fund (FDGRX)	B	Distribution	K	T					
53. 529 Fund - NH Aggressive Growth Port (Fidelity FDS)		None	J	T	Buy (add'l)	01/19/19	J		
54.					Buy (add'l)	06/18/19	J		
55.					Buy (add'l)	10/07/19	J		
56.					Buy (add'l)	12/31/19	J		
57. 529 Fund - NH Portfolio 2024 (Fidelity FDS)		None	J	T	Buy (add'l)	01/19/19	J		
58.					Buy (add'l)	06/18/19	J		
59.					Buy (add'l)	10/07/19	J		
60.					Buy (add'l)	12/31/19	J		
61.									
62.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Allen C. Winsor**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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