AO 10*
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Kleeh, Thomas S.	Northern District of West Virginia	05/03/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Article III	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2019 **to** 12/31/2019
7. Chambers or Office Address		
500 West Pike Street P.O. Box 2408 Clarksburg, WV 26302		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2019	West Virginia Supreme Court of Appeals, salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	American Express	Credit Card	K
2.	MVB Bank	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B	C		D				
Description of Assets (including trust assets)	Income during reporting period	Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MVB Cash Account - Checking		None	K	T					
2. MVB Cash Account - Savings	A	Interest	L	T					
3. 529 #1 (H)									
4. - Age Based Portfolio 11-12D	C	Dividend	K	T					
5. 529 #2 (H)									
6. - Age Based Portfolio 7-8D	C	Dividend	J	T					
7. 529 #3 (H)									
8. - Age Based Portfolio 4-6D	A	Dividend	J	T					
9. Northwestern Mutual 65 Whole Life #1	A	Dividend	J	T					
10. Northwestern Mutual 65 Whole Life #2	A	Dividend	K	T					
11. Northwestern Mutual 65 Whole Life #3	A	Dividend	J	T					
12. Northwestern Mutual 65 Whole Life #4	A	Dividend	J	T					
13. Northwestern Mutual 65 Whole Life #5	A	Dividend	J	T					
14. Select UMA Retirement Account (H)									
15. - Cash - Morgan Stanley Bank N.A.	A	Interest	K	T					
16. - 3M Company	A	Int./Div.	J	T					
17. - AIA Group LTD Spon ADR	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18. - Airbus SE Unsponsored ADR	A	Int./Div.	J	T					
19. - Amer Intl GP Inc New	A	Int./Div.	J	T					
20. - American Tower Reit Com	A	Int./Div.	J	T					
21. - AMN Healthcare Svcs Inc		None	J	T					
22. - Anheuser Busch Inbev SA Spon	A	Int./Div.	J	T					
23. - Ansys Inc		None	J	T					
24. - Apollo Global Mgmt Inc	A	Int./Div.	J	T	Buy	09/06/19	J		
25. - Apple Inc	A	Int./Div.	J	T					
26. - Asahi Kaisei Corp ADR	A	Int./Div.	J	T					
27. - ASML Holding NV NYReg New	A	Int./Div.	J	T					
28. - Aspen Technology Inc		None	J	T					
29. - Astrazeneca PLC ADS	A	Int./Div.	J	T					
30. - Bank of America Corp	A	Int./Div.	J	T					
31. - Berkley W R Corp	A	Int./Div.	J	T					
32. - Blackrock Inc	A	Dividend			Sold	09/05/19	J	A	
33. - Blackrock Bats: Series C PTF	B	Distribution	K	T	Buy	12/24/19	J		
34.					Buy (add'l)	12/24/19	J		

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35. - Blackrock Bats: Series M PTF	B	Distribution	K	T	Buy	09/17/19	J		
36.					Buy (add'l)	12/24/19	J		
37. Blackrock Event Driven Eq Inst	B	Distribution	K	T					
38. - Blackstone Group Inc CL A	A	Int./Div.	J	T					
39. - Carlsberg AS	A	Int./Div.	J	T					
40. - CDW Corporation	A	Int./Div.	J	T					
41. - Charles River Labs Intl Inc		None	J	T					
42. - Coca Cola Inc	A	Int./Div.	J	T					
43. - Comcast Corp (New) Class A	A	Int./Div.	J	T					
44. - Cooper Co Inc New		None	J	T					
45. - Copart Inc		None	J	T					
46. - Danone Sponsored ADR	A	Int./Div.	J	T					
47. - Diageo PLC Spon ADR New	A	Int./Div.	J	T					
48. Diamond Hill Long Short I	B	Distribution	K	T					
49. - Docusign Inc		None	J	T					
50. - Ecolab Inc	A	Int./Div.	J	T					
51. - Edison Intl	A	Int./Div.	J	T	Buy	07/31/19	J		

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52. - Elanco Animal Health Inc		None	J	T					
53. - Enbridge inc	A	Int./Div.	J	T					
54. - Enel Societa Per Azioni ADR	A	Int./Div.	J	T					
55. - Essilorluxottica ADR	A	Int./Div.	J	T					
56. - Expeditions Intl Wash inc	A	Int./Div.	J	T					
57. - Exxon Mobile Corp	A	Int./Div.	J	T					
58. - Fair Issac & Co Inc	A	Int./Div.	J	T					
59. - Flir Systems Inc		None	J	T					
60. - Galapagos NV ADR		None	J	T					
61. - Genmab A S ADR		None	J	T					
62. - Givaudan SA ADR	A	Int./Div.	J	T					
63. - Goldman Sachs Group Inc		None			Sold	01/29/19	J	A	
64. - HDFC Bank LTD ADR	A	Int./Div.	J	T					
65. - Home Depot Inc	A	Int./Div.	J	T					
66. - Hong Kong Exchanges & Clearing	A	Int./Div.	J	T					
67. - Hoya Corp Spons ADR	A	Int./Div.	J	T					
68. - Iberdrola SA Spon ADR	A	Int./Div.	J	T					

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69. - Interactive Brokers Group CL A	A	Int./Div.	J	T					
70. - International Paper Co	A	Int./Div.	J	T					
71. - Jack Henry & Assoc	A	Int./Div.	J	T					
72. - Johnson & Johnson	A	Int./Div.	J	T					
73. - Kering S A ADR New	A	Int./Div.	J	T					
74. - Keyence Corp	A	Int./Div.	J	T					
75. - Kinder Morgan Inc	A	Int./Div.	J	T					
76. - Koninkluke Phil El SP ADR New	A	Int./Div.	J	T					
77. - L Oreal Co ADR	A	Int./Div.	J	T					
78. - Lennox Intl Inc	A	Int./Div.	J	T					
79. - Linde PLC	A	Int./Div.	J	T					
80. - Lloyds Banking Group		None	J	T	Buy	04/15/19	J		
81. - London Stock Exchange Group ADR	A	Int./Div.	J	T					
82. - LVMH Moet Hennessy Louis Vuitt	A	Int./Div.	J	T					
83. - Mastercard Inc CL A	A	Int./Div.	J	T					
84. - McDonalds Corp	A	Int./Div.	J	T					
85. - Merck & Co Inc New Com	A	Int./Div.	J	T					

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86. - MetLife Inc	A	Int./Div.	J	T					
87. - Microsoft Corp	A	Int./Div.	J	T					
88. - Mondelez Intl Inc Com	A	Int./Div.	J	T					
89. - MSCI Inc Com	A	Int./Div.	J	T					
90. - Murata Mfg Co Ltd	A	Int./Div.	J	T					
91. - Nestle Spon ADR REP REG SHR	A	Int./Div.	J	T					
92. - Nextera Energy Inc	A	Int./Div.	J	T					
93. - Nidec Corp	A	Int./Div.	J	T					
94. - Nippon Telegraph & Telephone ADS	A	Int./Div.	J	T					
95. - Nordson CP	A	Int./Div.	J	T					
96. - Novo Nordisk A/S ADR	A	Int./Div.	J	T					
97. - Nvent Electric PLC	A	Int./Div.	J	T	Buy	04/15/19	J		
98. - Pernod Ricard SA Unspons ADR	A	Int./Div.	J	T					
99. - Pfizer Inc	A	Int./Div.	J	T					
100. - PNC Finl Svcs GP	A	Int./Div.	J	T					
101. - Pool Corp	A	Int./Div.	J	T					
102. - PPG Industries Inc	A	Int./Div.	J	T					

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103. - Primerica Inc	A	Int./Div.	J	T					
104. - Proctor & Gamble	A	Int./Div.	J	T					
105. - Raytheon Co	A	Int./Div.	J	T					
106. - RBC Bearings Inc		None	J	T					
107. - Reckitt Benckiser PLC Spns ADR	A	Int./Div.	J	T					
108. - Relx PLC Sponsored ADR	A	Int./Div.	J	T	Buy (add'l)	01/16/19	J		
109. - Rollins Inc	A	Int./Div.	J	T					
110. - Royal Dutch Shell PLC CL B	A	Int./Div.	J	T					
111. - Royal KPN NV Spons ADR	A	Int./Div.	J	T					
112. - Safran SA	A	Int./Div.	J	T					
113. - SAP AG	A	Int./Div.	J	T					
114. - Schlumberger Ltd		None			Sold	07/01/19	J	A	
115. - Shin Etsu CHem Co Ltd ADR	A	Int./Div.	J	T					
116. - Siteone Landscape Supply Inc		None	J	T					
117. - SMC Corp Common	A	Int./Div.	J	T					
118. - Snap-On Inc		None			Sold	07/01/19	J	A	
119. - Softbank Corp Unspons ADR	A	Int./Div.	J	T					

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120. - Sysco Corp	A	Int./Div.	J	T					
121. - Taiwan SMCNDCTR Mfg Co Ltd ADR	A	Int./Div.	J	T					
122. - Teradyne Inc	A	Int./Div.	J	T	Sold (part)	10/18/19	J	A	
123. - Texas Instruments	A	Int./Div.	J	T					
124. - The Scotts Miracle Gro Co	A	Int./Div.	J	T					
125. - Thor Industries	A	Int./Div.	J	T					
126. - Tractor Supply Co	A	Int./Div.	J	T	Buy	08/26/19	J		
127. - Travelers Companies Inc Com	A	Int./Div.	J	T					
128. - US Bancorp Com New	A	Int./Div.	J	T					
129. - Unicharm Corp Unspon ADR	A	Int./Div.	J	T					
130. - Union Pacific Corp	A	Int./Div.	J	T					
131. - United Parcel Ser Inc CL-B	A	Int./Div.	J	T					
132. - United Technologies Corp	A	Int./Div.	J	T					
133. - Unitedhealth GP Inc	A	Int./Div.	J	T					
134. - Verizon Communications	A	Int./Div.	J	T					
135. - Visa inc CL A	A	Int./Div.	J	T					
136. - Vulcan Materials Co	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Kleeh, Thomas S.	05/03/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Wabco Holding		None			Sold	04/15/19	J	A	
138. - Walt Disney Co Hldg Co	A	Int./Div.	J	T					
139. - Waste Mgmt Inc	A	Int./Div.	J	T					
140. - WEC Energy Group Inc Com	A	Int./Div.	J	T	Sold (part)	07/31/19	J	A	
141. - Wells Fargo & Co New	A	Int./Div.	J	T					
142. - Williams Co Inc	A	Int./Div.	J	T					
143. - Zebra Tech CL A		None	J	T					
144. - Zoetis Inc Class A	A	Int./Div.	J	T					
145. - Ishares Core MSCI Emerging	B	Dividend	K	T	Buy (add'l)	05/28/19	J		
146. - Ishares Iboxx HY Cor BD ETF	B	Dividend	K	T					
147. - Ishares Inc MSCI Japan ETF	A	Int./Div.	K	T	Buy (add'l)	05/18/19	J		
148. - Ishares Micro-Cap ETF	A	Int./Div.	K	T	Buy (add'l)	05/28/19	J		
149. - Ishares Morningstar SML CP ETF	A	Int./Div.	K	T	Buy (add'l)	05/28/19	J		
150. - Ishares MSCI Frontier 100 ETF	A	Int./Div.	K	T					
151. - CVS Healthcorp Corp Bond 3/9/21		None			Sold	09/26/19	J	A	
152. - CVS Healthcorp Corp Bond 3/9/23	A	Distribution	J	T	Buy	09/26/19	J		
153. - Toronto Dominions Bank Bond	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Kleeh, Thomas S.	05/03/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - American Express Bond	A	Int./Div.	J	T					
155. - Oracle Corp Bond	A	Int./Div.	J	T					
156. - US Bancorp Bond	A	Int./Div.	J	T					
157. - BP Capital Markets PLC Bond	A	Int./Div.	J	T					
158. - Anheuser-Busch Inbev Finance Inc Bond	A	Int./Div.	J	T					
159. - US Treasury Note 2/29/20	A	Int./Div.	J	T					
160. - US Treasury Note 7/31/20	A	Interest	J	T					
161. - US Treasury Note 11/30/25	A	Interest	J	T	Buy (add'l)	01/31/19	J		
162.					Buy (add'l)	12/24/19	J		
163. - US Treasury Note 2/15/28	A	Interest	J	T					
164. - US Treasury Note 2/15/29	A	Interest	J	T	Buy (add'l)	05/15/19	J		
165.					Buy (add'l)	12/24/19	J		
166. - US Treasury Note 6/30/23	A	Interest			Sold	05/15/19	J	A	
167. - US Treasurey Note 7/15/21	A	Interest			Sold	05/15/19	J	A	
168. - US Treasury Bond 11/15/43	A	Interest			Sold	06/26/19	J	A	
169. - US Treasury Bond 5/15/47	A	Interest			Sold	06/26/19	J	A	
170. - US Treasury Bond 5/15/49	A	Interest	J	T	Buy	06/26/19	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Kleeh, Thomas S.	05/03/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	12/24/19	J		
172. - Fed Natl Mtg Assn	A	Int./Div.	J	T					
173. - FHLMC 30 Yr Gold G08741	A	Int./Div.	J	T					
174. - Federal National Mtg Assn Pool MA3088	A	Int./Div.	J	T					
175. Ambassador 6 IRA (H)									
176. - Cash - Raymond James Bank	A	Interest	K	T					
177. - First Eagle Overseas Fund Class I N/L	A	Dividend	J	T					
178. - JP Morgan Mid Cap Value Fund Class L N/L	A	Dividend	J	T					
179. - Glenmede Quantitative US Lrg CP GR EQ Port Instl	A	Dividend	K	T					
180. - Hartford Equity Income Fund Class 1 N/L	A	Dividend	K	T					
181. - Hartford Core Equity Fund Class 1 N/L	A	Dividend	K	T	Sold (part)	08/09/19	J	A	
182. - JP Morgan Core Bond Fund Class 1 N/L	A	Dividend	K	T	Buy (add'l)	08/08/19	J		
183. - JP Morgan Equity Income Fund Class 1 N/L	A	Dividend	K	T					
184. - Loomis Sayles Core Plus Bond FD Class Y N/L	A	Dividend	K	T	Buy (add'l)	08/08/19	J		
185. - Neuberger Berman Genesis Fund Advisor Class N/L	A	Distribution	J	T					
186. - Pimco Stocksplus Small Fund Class I2 N/L	A	Dividend	J	T					
187. - Templeton Global Total Return Fund Advisor Class N/L	A	Dividend	J	T	Buy (add'l)	08/09/19	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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188. - Touchstone International Small Cap Fund Class Y N/L	A	Dividend	J	T					
189. - Virtus Vontobel Foreign Opportunities Fund Class 1 N/L	A	Dividend	J	T					
190. - Virtus Vontobel Emerging Markets Oppor Fund CLS 1 N/L	A	Dividend	J	T					
191. - Voya Mid Cap Opportunities Fund Class 1 N/L	A	Distribution	J	T					
192. - PGIM Short Duration High Yield Income Fund Class Z N/L	A	Int./Div.	J	T	Sold (part)	08/08/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Kleeh, Thomas S.	05/03/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas S. Kleeh

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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