

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Brasher, Andrew L.	2. Court or Organization United States Distirt Court for Middle District of Alabama	3. Date of Report 5/5/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address One Church Street, Suite 300E Montgomery AL, 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Ex Officio Member	Alabama Legislature's Code of Ethics Reform and Clarification Commission
2. Member	Samford University Board of Overseers
3. Member	Standing Committee on Alabama Rules of Appellate Procedure
4. Vice President	Montgomery Chapter of Federalist Society for Law and Public Policy Studies
5. Member	Inns of Court, Maddox Chapter

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 5/1/2019	Defined Benefit Pension Plan with State of Alabama
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Attorney, Salary from State of Alabama	\$77,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Employment as Analyst, Ram Rool & Supply Co.
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Alabama Power Credit Union	A	Interest	L	T					
2. Vanguard Target Retirement 2045 Fund	C	Int./Div.	M	T					
3. Vanguard Target Retirement 2050 Fund	C	Int./Div.	M	T					
4. Vanguard Growth Index Fund	B	Int./Div.	L	T					
5. Vanguard Windsor II Fund	B	Int./Div.	K	T					
6. Vanguard Wellington Fund	B	Int./Div.	K	T					
7. Vanguard Strategic Vanguard Small-Cap Equity Fund	A	Int./Div.	J	T					
8. Vanguard Short-Term Investment Grade Fund	A	Int./Div.	K	T					
9. Vanguard Dividend Growth Fund	B	Int./Div.	K	T					
10. Vanguard Federal Money Market Fund	A	Int./Div.	K	T					
11. Vanguard Growth & Income Fund	A	Int./Div.	K	T					
12. Vanguard Energy ETF	A	Int./Div.	J	T					
13. Vanguard FTSE Developed Markets ETF	A	Int./Div.	J	T					
14. Vanguard Intermediate Term Corporate Bond ETF	A	Int./Div.	J	T					
15. General Electric Common Stock (GE)	A	Dividend			Sold	05/02/19	J	A	
16. British American Tobacco PLC Common Stock (BTI)	A	Dividend			Sold	05/02/19	J	A	
17. Conoco Phillips Common Stock (COP)	A	Dividend			Sold	05/02/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. 3M Common Stock (MMM)	A	Dividend			Sold	05/02/19	J	A	
19. Pfizer Common Stock (PFE)	A	Dividend			Sold	05/02/19	J	A	
20. Altria Common Stock (MO)	A	Dividend			Sold	05/02/19	J	A	
21. Phillips 66 Common Stock (PSX)	A	Dividend			Sold	05/02/19	J	A	
22. Total Common Stock (TOT)	A	Dividend			Sold	05/02/19	J	A	
23. Enterprise Products Partners L.P. Common Stock (EPD)	A	Int./Div.	K	T					
24. Kinder Morgan Inc. Common Stock (KMI)	A	Int./Div.	J	T					
25. Nustar Energy LP Common Stock (NS)	A	Int./Div.	J	T					
26. Uniti Group Inc. Common Stock (UNIT)	A	Int./Div.	J	T					
27. GuideStone Funds Growth Allocation Fund	A	Int./Div.	J	T					
28. Wal-Mart Common Stock (WMT)	A	Dividend			Sold	08/20/19	K		
29. American Beacon Bridgeway Large Cap Value Fund (BRLVX)	A	Int./Div.	K	T					
30. Columbia Mid Cap Index Fund (CPXRX)	A	Int./Div.	K	T					
31. Morgan Stanley Institutional Fund, Inc (MSEQX)	A	Int./Div.	K	T					
32.									
33.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re: Part II Agreement: I made contributions to a defined benefit pension plan with my previous employer, but I was never vested and am not eligible for a pension. I am eligible to withdraw the contributions, which I intend to do.

In Part VII on my second 2019 nomination report, I inadvertently double-listed some investments under the wrong name and the correct name. Specifically, in the 2019 nomination report, I listed Morningstar Blended Research Global, Columbia Mid Cap Index Fund, and Morgan Stanley Growth Fund, which were the incorrect names of the funds. These investments were also listed under their correct names, respectively, as American Beacon Bridgeway Large Cap Value Fund (BRLVX), Columbia Mid Cap Index Fund (CPXRX), and Morgan Stanley Institutional Fund, Inc (MSEQX) on the same report. Having discovered my error, I am using their correct names on this report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Andrew L. Brasher**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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