

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pollak, Cheryl L.	2. Court or Organization U.S. District Court, E.D.N.Y.	3. Date of Report 07/08/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse, E.D.N.Y. 225 Cadman Plaza East Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Pollak, Cheryl L.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Morgan Lewis & Bockius - partnership
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard 500 Index Fund (no control)	A	Interest	O	T					
2. Rental Property (Kiawah Island, SC 2010 \$1.8 million)	E	Rent	P1	R					
3. AB Discovery Growth Fund	A	Dividend	L	T	Sold (part)	01/08/19	J	A	
4.					Sold (part)	01/28/19	J	A	
5.					Buy (add'l)	12/12/19	J		
6.					Sold (part)	12/24/19	J	A	
7. AB Discovery Value Fund	A	Dividend	L	T	Buy (add'l)	03/20/19	J		
8.					Buy (add'l)	05/24/19	J		
9.					Buy (add'l)	07/19/19	J		
10.					Buy (add'l)	08/14/19	J		
11.					Sold (part)	09/25/19	J	A	
12.					Buy (add'l)	10/28/19	J		
13.					Buy (add'l)	11/20/19	J		
14.					Buy (add'l)	12/16/19	J		
15. AB Emerging Markets Portfolio	A	Dividend	M	T	Buy (add'l)	01/23/19	K		
16.					Buy (add'l)	12/11/19	J		
17. AB International Small Cap Portfolio	B	Dividend	M	T	Buy (add'l)	01/23/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	12/13/19	J		
19. AB International Stratetic Equities Portfolio	D	Dividend	O	T	Buy (add'l)	01/23/19	M		
20.					Sold (part)	04/23/19	J	A	
21.					Sold (part)	07/23/19	J	A	
22.					Sold (part)	10/22/19	J	A	
23.					Buy (add'l)	12/13/19	J		
24. AB Small Cap Core Portfolio	A	Dividend	L	T	Buy (add'l)	05/23/19	J		
25.					Buy (add'l)	07/19/19	J		
26.					Buy (add'l)	08/16/19	J		
27.					Sold (part)	09/25/19	J	A	
28.					Buy (add'l)	11/18/19	J		
29.					Buy (add'l)	12/13/19	J		
30. AB Wealth Appreciation Strategy - Advisor Class	B	Dividend	M	T	Sold (part)	01/23/19	J	A	
31.					Sold (part)	04/23/19	J	A	
32.					Sold (part)	07/23/19	J	A	
33.					Sold (part)	10/22/19	J	A	
34.					Buy (add'l)	12/18/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Adobe Inc.	A	Dividend	J	T	Sold (part)	07/18/19	J	B	
36. Alphabet Inc. Class A	A	Dividend	J	T					
37. Alphabet Inc. Class C	A	Dividend	L	T	Buy (add'l)	06/06/19	J		
38.					Buy (add'l)	06/17/19	J		
39.					Buy (add'l)	08/02/19	J		
40.					Sold (part)	09/03/19	J	A	
41. Amazon.com Inc.	A	Dividend	J	T	Buy	12/27/19	J		
42.					Buy (add'l)	12/30/19	J		
43. American Beacon	A	Dividend	L	T	Buy (add'l)	01/18/19	J		
44.					Buy (add'l)	01/24/19	M		
45.					Buy (add'l)	02/19/19	J		
46.					Buy (add'l)	03/18/19	J		
47. American Electric Power	B	Dividend	K	T	Sold (part)	01/22/19	J	A	
48.					Sold (part)	05/14/19	J	A	
49.					Sold (part)	09/12/19	J	A	
50. AMG GW&K Small Cap	A	Dividend	O	T					
51. Anthem Inc.	A	Dividend	K	T	Buy (add'l)	01/03/19	J		

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52.					Sold (part)	02/21/19	J	A	
53. Apple Inc.	A	Dividend	K	T	Sold (part)	08/29/19	J	B	
54.					Sold (part)	09/25/19	J	B	
55. Automatic Data Processing	A	Dividend	K	T	Buy	01/24/19	J		
56. Autozone	A	Dividend	K	T	Sold (part)	05/06/19	J	B	
57.					Sold (part)	07/30/19	J	A	
58.					Sold (part)	08/01/19	J	A	
59. Bank of America	A	Dividend	K	T	Sold (part)	01/15/19	J	A	
60.					Sold (part)	01/28/19	J	A	
61. Berkshire Hathaway Inc.	D	Dividend	K	T	Sold (part)	07/30/19	J	A	
62.					Buy (add'l)	09/16/19	J		
63.					Buy (add'l)	11/21/19	J		
64. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	12/31/18	J		
65.					Buy (add'l)	01/18/19	N		
66.					Sold (part)	01/23/19	J	A	
67.					Buy (add'l)	02/20/19	J		
68.					Buy (add'l)	03/20/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
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G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
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V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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69.					Buy (add'l)	04/18/19	J		
70.					Sold (part)	04/23/19	J	A	
71.					Buy (add'l)	05/20/19	J		
72.					Buy (add'l)	06/20/19	J		
73.					Buy (add'l)	07/19/19	J		
74.					Sold (part)	07/23/19	J	A	
75.					Buy (add'l)	08/20/19	J		
76.					Buy (add'l)	09/20/19	J		
77.					Buy (add'l)	10/18/19	J		
78.					Sold (part)	10/22/19	J	A	
79.					Buy (add'l)	11/20/19	J		
80.					Buy (add'l)	11/27/19	J		
81.					Buy (add'l)	12/20/19	J		
82.					Buy (add'l)	12/31/19	N		
83. Bernstein Tax Managed International Portfolio	C	Dividend	N	T	Buy (add'l)	01/23/19	M		
84.					Buy (add'l)	02/04/19	J		
85.					Buy (add'l)	12/11/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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86. Bernstein Tax-Aware Overlay A Portfolio Class A - (no control)	B	Dividend	N	T	Buy (add'l)	05/31/19	J		
87.					Buy (add'l)	08/28/19	J		
88.					Buy (add'l)	12/18/19	J		
89.					Buy (add'l)	12/31/19	K		
90. Bernstein Tax-Aware Overlay N Portfolio Class 1 ((no control)	D	Dividend	P1	T	Buy (add'l)	08/28/19	M		
91.					Buy (add'l)	12/18/19	K		
92.					Buy (add'l)	12/31/19	M		
93. Berry Global Group Inc.	A	Dividend			Buy	06/17/19	J		
94.					Buy (add'l)	06/19/19	J		
95.					Sold	09/09/19	J	A	
96. Biogen Inc.	A	Dividend	J	T	Sold (part)	04/23/19	J	A	
97.					Buy (add'l)	08/01/19	J		
98.					Sold (part)	10/18/19	J	A	
99.					Sold (part)	10/21/19	J	A	
100.					Sold (part)	10/28/19	J	A	
101. Blackrock High Yield	A	Dividend	O	T	Buy (add'l)	12/20/19	M		
102. Blackrock Multi Asset INCM Port Inst.	A	Dividend	J	T	Buy	06/25/19	O		

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103. Boeing Co.	A	Dividend	J	T	Sold (part)	04/05/19	J	A	
104.					Sold (part)	04/09/19	J	A	
105. Booking Holdings Inc.	A	Dividend	K	T	Buy (add'l)	03/01/19	J		
106.					Buy (add'l)	03/18/19	J		
107.					Buy (add'l)	06/14/19	J		
108.					Buy (add'l)	06/28/19	J		
109.					Sold (part)	08/14/19	J	A	
110. Broadcom Inc.	A	Dividend	J	T	Buy	05/14/19	J		
111.					Buy (add'l)	06/13/19	J		
112.					Buy (add'l)	07/31/19	J		
113. Capital One Financial Corp.	A	Dividend	J	T	Sold (part)	07/30/19	J	B	
114. CBRE Group Inc.	A	Dividend	J	T	Sold (part)	01/28/19	J	A	
115.					Sold (part)	09/26/19	J	A	
116.					Sold (part)	10/04/19	J	A	
117. CDW Corp.	A	Dividend	J	T					
118. Check Point Software Tech	A	Dividend	J	T	Buy	02/12/19	J		
119.					Buy (add'l)	02/13/19	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	02/15/19	J		
121.					Buy (add'l)	03/13/19	J		
122.					Buy (add'l)	04/12/19	J		
123.					Sold (part)	12/03/19	J	A	
124. Chevron Corp.	A	Dividend	K	T	Sold (part)	08/21/19	J	A	
125.					Sold (part)	08/29/19	J	A	
126.					Sold (part)	09/25/19	J	A	
127.					Buy (add'l)	11/20/19	J		
128.					Buy (add'l)	12/03/19	J		
129. Cigna Corp.	A	Dividend			Sold	01/28/19	J	A	
130. Cintas Corp.	F	Dividend	M	T					
131. Cisco Systems Inc.	A	Dividend	K	T	Sold (part)	01/04/19	J	A	
132.					Sold (part)	06/21/19	J	A	
133.					Buy (add'l)	09/06/19	J		
134.					Buy (add'l)	11/25/19	J		
135. Citibank-Checking	B	Interest	K	T					
136. Citibank Insured Money Mkt Acct. (IRA - CD)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Citibank Insured Money Mkt. Acct. (IRA - Solomon)	A	Interest	K	T					
138. Citibank Insured Money Mkt Acct. (IRA)	A	Interest	J	T					
139. Citibank-Insured Money Market	C	Interest	J	T					
140. Citibank-Insured Money Market	C	Interest	J	T					
141. Citigroup, Inc.	A	Dividend	K	T	Buy	01/29/19	J		
142.					Buy (add'l)	03/29/19	J		
143.					Buy (add'l)	05/10/19	J		
144.					Buy (add'l)	09/03/19	J		
145. Citrix Systems Inc.	A	Dividend	J	T	Buy	06/13/19	J		
146.					Buy (add'l)	08/02/19	J		
147.					Sold (part)	09/12/19	J	A	
148. CME Group, Inc.	A	Dividend			Sold (part)	01/04/19	J	A	
149.					Sold (part)	02/19/19	J	A	
150.					Sold	03/22/19	J	A	
151. Cognizant Tech Solutions	A	Dividend			Sold (part)	06/13/19	J	A	
152.					Sold	06/14/19	J	A	
153. Comcast Corp.	A	Dividend	K	T	Sold (part)	03/14/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold (part)	09/04/19	J	A	
155. Continental Resources Inc.	A	Dividend			Sold	05/30/19	J	A	
156. Constellation Brands Inc.	A	Dividend	J	T	Buy (add'l)	01/10/19	J		
157.					Sold (part)	02/11/19	J	A	
158.					Sold (part)	02/12/19	J	A	
159. Costco Wholesale Corp.	A	Dividend	K	T	Sold (part)	02/27/19	J	A	
160.					Sold (part)	07/18/19	J	A	
161.					Sold (part)	09/09/19	J	A	
162. Cubesmart	A	Dividend	J	T	Sold (part)	02/13/19	J	A	
163.					Buy (add'l)	05/15/19	J		
164.					Sold (part)	08/29/19	J	A	
165.					Sold (part)	11/20/19	J	A	
166. Delta Air Lines, Inc.	A	Dividend	J	T	Buy (add'l)	05/16/19	J		
167. DFA US Small Cap Port Inst.	A	Dividend	L	T					
168. Discovery Inc.	A	Dividend	J	T	Buy	06/12/19	J		
169.					Buy (add'l)	08/16/19	J		
170.					Sold (part)	12/24/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Dodge & Co. Intl. Stock Fund	A	Dividend	N	T	Buy	12/20/19	N		
172. Dollar General Corp.	A	Dividend	K	T	Buy (add'l)	01/07/19	J		
173.					Buy (add'l)	02/13/19	J		
174. Eaton Corp.	A	Dividend	J	T	Sold (part)	07/30/19	J	A	
175.					Buy (add'l)	09/10/19	J		
176. Ebay Inc.	A	Dividend	J	T					
177. Edwards Lifesciences	A	Dividend	J	T	Sold (part)	07/30/19	J	A	
178. Electronic Arts Inc.	A	Dividend	J	T	Buy	05/14/19	J		
179.					Buy (add'l)	05/15/19	J		
180.					Buy (add'l)	09/06/19	J		
181.					Buy (add'l)	12/26/19	J		
182. Eli Lilly & Co.	A	Dividend	J	T	Buy	04/10/19	J		
183.					Buy (add'l)	07/23/19	J		
184.					Sold (part)	11/13/19	J	A	
185. EOG RES Inc.	A	Dividend	K	T	Sold (part)	01/29/19	J	A	
186.					Buy (add'l)	09/03/19	J		
187.					Buy (add'l)	11/13/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Everest Re Group Ltd.	A	Dividend	J	T	Buy (add'l)	01/16/19	J		
189.					Sold (part)	05/14/19	J	A	
190.					Sold (part)	07/30/19	J	A	
191.					Sold (part)	08/01/19	J	A	
192.					Sold (part)	12/24/19	J	A	
193. Exelon	A	Dividend	J	T					
194. Exxon Mobil Corp.	A	Dividend			Sold (part)	01/09/19	J	A	
195.					Sold (part)	02/11/19	J	A	
196.					Sold	05/14/19	J	A	
197. F5 Networks Inc.bb	A	Dividend	J	T	Buy	06/12/19	J		
198.					Buy (add'l)	06/13/19	J		
199.					Buy (add'l)	07/31/19	J		
200.					Sold (part)	11/01/19	J	A	
201. Facebook Inc.	A	Dividend	K	T	Sold (part)	03/12/19	J	A	
202.					Buy (add'l)	06/12/19	J		
203.					Sold (part)	09/30/19	J	A	
204. Fiserv Inc.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. FNF Group	A	Dividend	K	T	Sold (part)	03/07/19	J	A	
206.					Buy (add'l)	03/29/19	J		
207.					Buy (add'l)	04/18/19	J		
208.					Sold (part)	07/23/19	J	A	
209.					Sold (part)	12/24/19	J	A	
210. Fidelity National Information	A	Dividend	J	T	Sold (part)	07/29/19	J	A	
211.					Sold (part)	09/05/19	J	A	
212. Garrett Motion Inc.	A	Dividend			Sold	08/27/19	J	A	
213. Gilead Sciences Inc.	A	Dividend	J	T	Buy (add'l)	08/16/19	J		
214.					Sold (part)	11/11/19	J	A	
215.					Sold (part)	12/02/19	J	A	
216.					Sold (part)	12/04/19	J	A	
217. Goldman Sachs Group Inc.	A	Dividend	K	T	Buy	02/12/19	J		
218.					Buy (add'l)	04/11/19	J		
219.					Buy (add'l)	09/12/19	J		
220.					Sold (part)	10/15/19	J	A	
221. GP Strategies Corp.		None	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Harding Loevner Int'l Eqty Port Inst.	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
223.					Buy (add'l)	01/23/19	N		
224.					Buy (add'l)	02/19/19	J		
225.					Buy (add'l)	03/18/19	J		
226. Harding Loevner Emerging Markets	A	Dividend	J	T	Buy	01/18/19	K		
227.					Buy (add'l)	01/23/19	L		
228.					Buy (add'l)	03/18/19	J		
229. Honeywell International Inc.	A	Dividend	K	T					
230. HP Inc.	A	Dividend	J	T	Sold (part)	04/09/19	J	A	
231.					Sold (part)	04/10/19	J	B	
232.					Sold (part)	07/30/19	J	A	
233. Intel Corp.	A	Dividend	J	T	Sold (part)	01/18/19	J	B	
234.					Sold (part)	01/22/19	J	B	
235. Interferon Sciences, Inc.		None	J	T					
236. International Research Insight Series DBT	A	Dividend	M	T	Sold (part)	01/18/19	J	A	
237.					Sold (part)	04/26/19	J	A	
238.					Sold (part)	07/19/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Sold (part)	10/18/19	J	A	
240. Intuitive Surgical Inc.	A	Dividend	J	T					
241. Invesco Oppenheimer Dev. Mkts (formerly Oppenheimer Developing Mkts)	D	Dividend	N	T					
242. Investment Company of America - Mutual Fund (American Fund)	E	Dividend	M	T					
243. IRA-Citibank-CD	A	Interest	J	T					
244. IRA-Citibank-Money Market	A	Interest	J	T					
245. IRA-Soloman - Treasury Bond	A	Interest	J	T					
246. IShares MSCI EAFE Growth ETF	E	Dividend	O	T	Sold (part)	01/23/19	M	A	
247. IShares MSCI EAFE Emerging ETF Markets	A	Dividend	M	T	Sold (part)	01/23/19	M	A	
248. Ishares MSCI EAFE Value ETF	A	Dividend	J	T	Sold (part)	01/24/19	M	A	
249. Ishares Russell 1000 (X)	A	Dividend	N	T					
250. Johnson & Johnson	A	Dividend	K	T	Buy (add'l)	05/15/19	J		
251.					Buy (add'l)	06/14/19	J		
252.					Buy (add'l)	09/04/19	J		
253.					Buy (add'l)	09/05/19	J		
254. JP Morgan Chase & Co.	B	Dividend	K	T	Sold (part)	01/28/19	J	A	
255.					Sold (part)	02/11/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. L3 Harris Technologies Inc.	A	Dividend	J	T	Sold (part)	07/08/19	J	B	
257. Lyondellbasell Indu - CL A	A	Dividend			Buy (add'l)	01/30/19	J		
258.					Sold (part)	02/12/19	J	A	
259.					Sold	02/13/19	J	A	
260. Magna International Inc.	A	Dividend	J	T	Sold (part)	03/15/19	J	A	
261.					Sold (part)	08/06/19	J	A	
262.					Sold (part)	08/21/19	J	A	
263.					Buy (add'l)	11/14/19	J		
264. Marathon Petroleum	A	Dividend			Sold (part)	02/11/19	J	A	
265.					Sold (part)	04/09/19	J	A	
266.					Sold	04/10/19	J	A	
267. McDonald's Corp.	A	Dividend	J	T	Sold (part)	06/12/19	J	A	
268.					Sold (part)	06/13/19	J	B	
269.					Sold (part)	08/01/19	J	A	
270. Medtronic	A	Dividend	J	T	Sold (part)	02/13/19	J	A	
271.					Sold (part)	04/10/19	J	A	
272.					Sold (part)	04/11/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273.					Buy (add'l)	07/26/19	J		
274.					Buy (add'l)	07/30/19	J		
275.					Buy (add'l)	07/31/19	J		
276.					Buy (add'l)	11/22/19	J		
277. Merck & Co. Inc.	A	Dividend	K	T	Buy (add'l)	01/16/19	J		
278.					Buy (add'l)	03/13/19	J		
279.					Buy (add'l)	09/10/19	J		
280. Microsoft Corp.	A	Dividend	L	T	Buy (add'l)	01/10/19	J		
281.					Buy (add'l)	02/14/19	J		
282.					Buy (add'l)	12/03/19	J		
283.					Buy (add'l)	12/05/19	J		
284. Mid-America Apartment	A	Dividend	K	T	Sold (part)	01/18/19	J	A	
285. Morgan Lewis & Bockius LLP, Firm Capital	E	Interest	O	T					
286. Morgan Stanley	A	Dividend	J	T					
287. Morgan Stanley NY Municipal	A	Dividend	J	T	Sold (part)	02/04/19	J	A	
288.					Sold (part)	02/11/19	J	A	
289.					Sold (part)	08/16/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. National Oilwell Varco Inc.	A	Dividend			Buy	01/09/19	J		
291.					Buy (add'l)	01/10/19	J		
292.					Sold (part)	05/30/19	J	A	
293.					Sold	06/12/19	J	A	
294. Nextera Energy Inc.	A	Dividend	J	T	Buy	12/02/19	J		
295.					Buy (add'l)	12/03/19	J		
296. Nike Inc.	A	Dividend	K	T					
297. Nisource Inc.	A	Dividend	J	T	Buy (add'l)	11/11/19	J		
298.					Sold (part)	12/02/19	J	A	
299. Nokia Corp.	A	Dividend			Sold (part)	05/16/19	J	A	
300.					Sold (part)	05/17/19	J	A	
301.					Sold	05/20/19	J	A	
302. Norfolk Southern Corp.	A	Dividend	J	T					
303. Northrop Grumman Corp.	A	Dividend	J	T	Sold (part)	02/11/19	J	B	
304.					Sold (part)	05/14/19	J	C	
305.					Sold (part)	05/15/19	J	A	
306.					Sold (part)	06/26/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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07/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Novo Nordisk A S ADR	A	Dividend	J	T	Buy	04/11/19	J		
308.					Buy (add'l)	04/16/19	J		
309.					Buy (add'l)	06/18/19	J		
310. Nuveen New York Quality	A	Interest	J	T					
311. Oracle Corp.	A	Dividend	K	T					
312. Paypal Holdings Inc.	A	Dividend	J	T	Buy	01/24/19	J		
313.					Buy (add'l)	02/22/19	J		
314.					Buy (add'l)	02/25/19	J		
315. Pepsico Inc.	A	Dividend	K	T	Sold (part)	09/05/19	J	A	
316. Pfizer Inc.	A	Dividend	K	T	Sold (part)	06/12/19	J	A	
317.					Buy (add'l)	12/26/19	J		
318. Phillips 66	A	Dividend	J	T	Buy	07/19/19	J		
319.					Buy (add'l)	07/22/19	J		
320. Pimco Foreign Bond Fund Institutional	D	Dividend	P1	T					
321. Pimco High Yield Fund	A	Dividend	N	T	Buy (add'l)	01/18/19	K		
322.					Buy (add'l)	02/19/19	K		
323.					Buy (add'l)	03/18/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. Pimco Int'l. Bond US Dollar Hedge Inst.	A	Dividend	J	T	Buy	01/18/19	K		
325.					Buy (add'l)	02/19/19	K		
326.					Buy (add'l)	03/18/19	J		
327.					Buy (add'l)	12/20/19	M		
328. PNC Financial Services Group	A	Dividend	J	T	Buy	11/15/19	J		
329.					Buy (add'l)	11/25/19	J		
330.					Buy (add'l)	12/26/19	J		
331.					Buy (add'l)	12/27/19	J		
332. Procter & Gamble	A	Dividend	K	T	Buy (add'l)	01/10/19	J		
333.					Sold (part)	05/14/19	J	A	
334.					Sold (part)	08/01/19	J	A	
335. Progressive Corp.	A	Dividend	K	T	Sold (part)	01/02/19	J	B	
336. Quest Diagnostics Inc.	A	Dividend			Sold	10/25/18	J	A	
337. Raytheon Company	A	Dividend	J	T	Buy (add'l)	02/25/19	J		
338.					Buy (add'l)	03/15/19	J		
339.					Buy (add'l)	04/24/19	J		
340.					Sold (part)	06/12/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Sold (part)	06/13/19	J	A	
342. REF Partners LP	A	Dividend	L	T					
343. Regency Centers Corp.	A	Dividend	J	T	Sold (part)	01/18/19	J	A	
344.					Buy (add'l)	07/31/19	J		
345.					Buy (add'l)	08/16/19	J		
346.					Sold (part)	11/19/19	J	A	
347.					Sold (part)	11/22/19	J	A	
348.					Sold (part)	12/02/19	J	A	
349.					Sold (part)	12/26/19	J	A	
350. Regeneron Pharmaceuticals	A	Dividend	J	T	Buy	11/13/19	J		
351. Reinsurance Group of America	A	Dividend	J	T	Buy	09/05/19	J		
352.					Buy (add'l)	09/20/19	J		
353. Resideo Technologies Inc.	A	Dividend			Sold	08/09/19	J	A	
354. Roche Hldg. Ltd. Sponsored ADR	A	Dividend	K	T	Buy	05/20/19	J		
355.					Buy (add'l)	07/25/19	J		
356.					Buy (add'l)	08/23/19	J		
357.					Buy (add'l)	11/14/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Ross Stores Inc.	A	Dividend	K	T	Sold (part)	08/09/19	J	A	
359. Royal Dutch Shell	A	Dividend	K	T	Buy (add'l)	01/10/19	J		
360.					Buy (add'l)	02/11/19	J		
361.					Buy (add'l)	02/14/19	J		
362.					Buy (add'l)	05/31/19	J		
363.					Sold (part)	08/21/19	J	A	
364.					Buy (add'l)	10/16/19	J		
365.					Buy (add'l)	11/14/19	J		
366.					Buy (add'l)	11/18/19	J		
367.					Sold (part)	12/27/19	J	A	
368. S & P Global Inc.	A	Dividend			Sold (part)	05/15/19	J	A	
369.					Sold (part)	05/16/19	J	A	
370.					Sold	05/17/19	J	A	
371. Schwab Breckinridge (no control)	F	Interest	P1	T					
372. Schwab Gov't Money Fund	A	Dividend	K	T					
373. Schwab S&P 500 Index	C	Dividend	O	T	Buy (add'l)	01/18/19	L		
374.					Buy (add'l)	02/19/19	L		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	03/18/19	L		
376.					Sold (part)	05/22/19	J	A	
377.					Sold (part)	08/06/19	K	A	
378.					Sold (part)	10/14/19	J	A	
379.					Buy (add'l)	10/15/19	J		
380.					Sold (part)	11/06/19	J	A	
381. Schwab Value Advantage Money Fund	A	Dividend	L	T					
382. Starbucks Corp.	A	Dividend	J	T	Sold (part)	02/11/19	J	A	
383.					Sold (part)	06/05/19	J	A	
384.					Buy (add'l)	06/27/19	J		
385.					Sold (part)	11/21/19	J	A	
386. Sun Communities Inc.	A	Dividend	J	T	Sold (part)	01/25/19	J	A	
387.					Sold (part)	04/23/19	J	A	
388.					Sold (part)	06/06/19	J	A	
389.					Sold (part)	08/01/19	J	A	
390.					Sold (part)	08/29/19	J	A	
391. Synchrony Financial	A	Dividend	J	T	Buy (add'l)	06/11/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	09/03/19	J		
393.					Buy (add'l)	09/04/19	J		
394.					Sold (part)	12/24/19	J	A	
395. Sysco Corp.	A	Dividend			Sold	01/28/19	J	A	
396. T-Mobile US Inc.	A	Dividend	J	T	Sold (part)	06/25/19	J	A	
397.					Sold (part)	07/18/19	J	A	
398.					Sold (part)	10/31/19	J	A	
399. Teva Pharmaceutical	A	Dividend			Buy	01/22/19	J		
400.					Buy (add'l)	01/23/19	J		
401.					Buy (add'l)	01/24/19	J		
402.					Sold	06/04/19	J	A	
403. Texas Instruments Inc.	A	Dividend	K	T	Buy (add'l)	01/22/19	J		
404.					Buy (add'l)	05/16/19	J		
405. Thornburg Ltd.	A	Dividend			Sold	10/16/19	N	A	
406. TJX Companies Inc.	A	Dividend	K	T	Sold (part)	03/07/19	J	A	
407.					Sold (part)	04/09/19	J	A	
408.					Sold (part)	08/29/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409. Total System Services Inc.	A	Dividend			Sold (part)	03/14/19	J	A	
410.					Sold (part)	03/15/19	J	A	
411.					Sold (part)	03/18/19	J	A	
412.					Sold	06/21/19	J	A	
413. Ultra Beauty Inc.	A	Dividend			Sold	11/14/19	J	A	
414. U.S. Savings Bonds	A	Interest	J	T					
415. U.S. Treasury Bills	A	Interest	P1	T					
416. US Bancorp.	A	Dividend	J	T					
417. US Foods Holding Corp.	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
418.					Buy (add'l)	03/18/19	J		
419.					Sold (part)	07/22/19	J	A	
420.					Sold (part)	07/25/19	J	A	
421. Unitedhealth Group Inc.	A	Dividend	K	T	Buy (add'l)	01/10/19	J		
422.					Sold (part)	02/11/19	J	A	
423.					Buy (add'l)	03/15/19	J		
424.					Buy (add'l)	06/04/19	J		
425.					Sold (part)	11/01/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	11/22/19	J		
427.					Buy (add'l)	11/25/19	J		
428.					Buy (add'l)	12/11/19	J		
429. Vanguard Interm Term Tax Exempt Fd Admiral Share	A	Dividend	J	T	Buy	10/17/19	P1		
430. Vanguard Morgan Growth Fund Admiral Share	D	Dividend	M	T	Sold (part)	02/07/19	J	A	
431.					Buy (add'l)	10/16/19	J		
432. Vanguard Short Term Tax Exempt Admiral Share	A	Int./Div.			Sold	10/16/19	O	A	
433. Vanguard US Growth Fd Admiral Share (form. Vanguard Morgan Growth Fund	A	Dividend	M	T	Sold (part)	10/14/19	J	A	
434.					Buy (add'l)	10/16/19	J		
435. Vanguard Windsor Fund Admiral Share	A	Dividend	N	T	Sold (part)	02/07/19	J		
436. Vertex Pharmaceuticals Inc.	A	Dividend	J	T	Buy (add'l)	03/13/19	J		
437.					Buy (add'l)	12/04/19	J		
438. Visa Inc.	A	Dividend	K	T	Sold (part)	07/18/19	J	B	
439.					Sold (part)	09/04/19	J	B	
440. VMWARE Inc.	A	Dividend			Buy	02/12/19	J		
441.					Buy (add'l)	02/13/19	J		
442.					Sold	08/29/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. Wal-mart Stores Inc.	A	Dividend	K	T	Sold (part)	01/29/19	J	A	
444. Walt Disney Co.	A	Dividend	K	T	Buy (add'l)	08/02/19	J		
445.					Sold (part)	08/29/19	J	A	
446. Westlake Chemical Corp.	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
447.					Buy (add'l)	01/03/19	J		
448.					Sold (part)	01/09/19	J	A	
449.					Sold (part)	01/29/19	J	A	
450.					Buy (add'l)	05/03/19	J		
451.					Buy (add'l)	08/02/19	J		
452.					Sold (part)	09/26/19	J	A	
453.					Sold (part)	10/31/19	J	A	
454. Westwood Income Oppty	F	Dividend			Sold	06/24/19	O	A	
455. Xerox Holdings Corp.(formally Xerox Corp.)	A	Dividend	J	T	Buy (add'l)	01/22/19	J		
456.					Sold (part)	03/14/19	J	A	
457.					Sold (part)	04/26/19	J	A	
458.					Sold (part)	06/17/19	J	A	
459.					Sold (part)	10/16/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
460. Xilinx Inc.	A	Dividend	J	T	Sold (part)	07/30/19	J	A	
461.					Sold (part)	08/08/19	J	A	
462. Zoetis Inc.	A	Dividend	K	T	Sold (part)	06/26/19	J	A	
463.					Sold (part)	09/03/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Page 7 of 33 - Line 64; Bernstein New York Municipal Portfolio - The accounting firm made this transaction at the end of the calendar year and it didn't get reflected until this year.

Page 18 of 33 - Line 241; Invesco Oppenheimer Dev. Mkts - formerly Oppenheimer Developing Mkts. On June 3, 2020, Oppenheimer Developing Mkts changed their name to Invesco Oppenheimer Developing Mkts.

Page 19 of 33 - Line 256; L3 Harris Technologies Inc. - formerly L-3 Technologies Inc. - On June 29, 2019, L3 Technologies Inc. changed their name to L3 Harris Technologies Inc.

Page 23 of 33 - Line 336; Quest Diagnostics Inc. - Last year, I mistakenly reported that as a partial sale. This holding was in fact a complete sale on 10/25/2018.

Page 27 of 33 - Line 433; Vanguard US Growth Fund Admiral Share - On April 5, 2019, Vanguard Morgan Fund Admiral Shares merged with Vanguard US Growth Fund Admiral Shares.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cheryl L. Pollak**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544