

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Leichty, Damon R.	2. Court or Organization United States District Court, Northern District of Indiana	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States District Court 204 South Main Street South Bend, Indiana 46601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Barnes & Thornburg LLP
2. Partner	BT Building Company, LLP
3. Adjunct Professor	University of Notre Dame (Law School)
4. President	Robert A. Grant Inn of Court
5. Chairman and Director	Boys and Girls Club of St. Joseph County, Inc.
6. Director	Family & Children's Center, Inc.
7. Director	Family & Children's Center Foundation, Inc.
8. President and Director	Ronald A. Leichty Scholarship Foundation, Inc.
9. Director	Indiana University South Bend Center for Sustainable Future Advisory Board
10. Attorney-in-Fact	POA #1

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2007	Barnes & Thornburg LLP Partnership Agreement, including capital account, 401(k), profit sharing and cash balance plan.

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2. 2019	University of Notre Dame, compensation for teaching fall semester 2019
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3.	
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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Barnes & Thornburg LLP	\$381,803.00
2. 2019	BT Building Company, LLP (estimated)	\$58.00
3. 2019	University of Notre Dame (teaching)	\$3,400.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	University of Notre Dame (administrative assistant services)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Teachers Credit Union Account 1	A	Interest	J	T					
2. Teachers Credit Union Account 2	A	Interest	M	T					
3. Teachers Credit Union Account 4	A	Interest	K	T					
4. Teachers Credit Union Account M	A	Interest	J	T					
5. Teachers Credit Union Account W	A	Interest	J	T					
6. Wells Fargo IRA									
7. Franklin Mutual Global Discovery Fund (TEDIX)	A	Dividend	J	T					
8. Calvert Global Water Fund (CFWAX)	A	Dividend	J	T					
9. Templeton Developing Markets Trust Fund (TEDMX)	A	Dividend	J	T					
10. BT 401(k)									
11. Fidelity Low Price Stock Fund (FLPSX)	B	Dividend	M	T					
12. Pimco Total Return Institutional Class Fund (PTTRX)	B	Dividend	M	T					
13. Templeton Institutional Foreign Equity Fund (TFEQX)	D	Dividend	L	T					
14. Vanguard Extended Market Index Fund (VIEIX)	C	Dividend	O	T					
15. Vanguard Institutional Index Fund (VINIX)	A	Dividend			Closed	10/10/19	N	A	
16. Fidelity 500 Index Institution	C	Dividend	O	T					
17. ND 403(b)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Vanguard Total Stock Market Index Fund (VITX)	A	Dividend			Closed	02/20/19	N	A	
19. Vanguard Extended Market Index Fund (VIEIX)	C	Dividend	M	T					
20. Vanguard Real Estate Index Fund (VGSNX)	B	Dividend	K	T					
21. Vanguard Total Stock Market IP (VSMPX)	D	Dividend	N	T					
22. CollegeChoice Advisor 529 Plan									
23. College Portfolio Class A (Fund Code 2832)	A	Dividend	J	T					
24. 2022 Enrollment Portfolio Class A (Fund Code 2822)	A	Dividend	J	T					
25. Core Bond Index Portfolio Class A (Fund Code 2800)	A	Dividend	J	T					
26. Pimco Total Return Portfolio Class A (Fund Code 2816)	A	Dividend	J	T					
27. Boston Company Dynamic Large Cap Value Port. Class A (Fund Code 2853)	A	Dividend	J	T					
28. Large Cap Index Portfolio Class A (Fund Code 2802)	A	Dividend	K	T					
29. T Rowe Price Large Cap Growth Portfolio Class A (Fund Code 2808)	A	Dividend	K	T					
30. Diamond Hill Small-Mid Cap Portfolio Class A (Fund Code 2842)	A	Dividend	J	T					
31. International Equity Index Portfolio Class A (Fund Code 2814)	A	Dividend	J	T					
32. Emerging Markets Equity Index Portfolio Class A (Fund Code 2855)	A	Dividend	J	T					
33. Equitable Variable Life Insurance									
34. Guaranteed Interest Account (Unloaned)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Leichty, Damon R., 05/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period), and 5 sub-columns for D. Rows 35-42 list various funds and accounts.

1 Income Gain Codes: A =\$1,000 or less, B =\$1,001 - \$2,500, C =\$2,501 - \$5,000, D =\$5,001 - \$15,000, E =\$15,001 - \$50,000
(See Columns B1 and D4) F =\$50,001 - \$100,000, G =\$100,001 - \$1,000,000, H1 =\$1,000,001 - \$5,000,000, H2 =More than \$5,000,000
2 Value Codes J =\$15,000 or less, K =\$15,001 - \$50,000, L =\$50,001 - \$100,000, M =\$100,001 - \$250,000
(See Columns C1 and D3) N =\$250,001 - \$500,000, O =\$500,001 - \$1,000,000, P1 =\$1,000,001 - \$5,000,000, P2 =\$5,000,001 - \$25,000,000
P3 =\$25,000,001 - \$50,000,000
3 Value Method Codes Q =Appraisal, R =Cost (Real Estate Only), S =Assessment, T =Cash Market
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Teachers Credit Union Accounts 8 and 9, listed in my prior nominee report, are non-dividend, non-interest accounts with no income in 2019.

Part VII, Row 15. This fund became unavailable for further investment in the BT 401(k). The funds were exchanged with the Fidelity 500 Index Institution Fund in lieu (Row 16).

Part VII, Row 18. This fund became unavailable for further investment in the ND 403(b) Plan. The funds were exchanged with the Vanguard Total Stock Market IP (VSMPX) (Row 21).

Part VII, Row 41. The BT Cash Balance Plan was closed and rolled into the BT 401(k) (Row 10). There is no profit sharing component to my participation in the BT 401(k), just investments in mutual funds.

Part VII, Rows 40 & 42. These two capital accounts were closed with capital payouts following my departure from Barnes & Thornburg LLP.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Damon R. Leichty**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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