

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Castel, P .Kevin                                                                                                                     | <b>2. Court or Organization</b><br><br>S.D.N.Y.                                                                                                                                                                                                                                          | <b>3. Date of Report</b><br><br>08/07/2020                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S. District Judge (active)                                                  | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2019<br>to<br>12/31/2019 |
| <b>7. Chambers or Office Address</b><br><br>United States Courthouse<br>500 Pearl Street<br>New York, NY 10007                                                                                            |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

| <u>POSITION</u>                              | <u>NAME OF ORGANIZATION/ENTITY</u>                                |
|----------------------------------------------|-------------------------------------------------------------------|
| 1. Director (ex officio as former president) | St. John's School of Law, Alumni Association (uncompensated)      |
| 2. Trustee (ex officio as former president)  | Federal Bar Council (uncompensated)                               |
| 3. Board of Managers                         | Havens Relief Fund Society (uncompensated)                        |
| 4. Trustee                                   | Family Irrevocable Trust (uncompensated) (Brk Act #9 on Part VII) |
| 5. Director                                  | Federal Judges Association (uncompensated)                        |
| 6. Chair                                     | New York State-Federal Judicial Council (uncompensated)           |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☐ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u>                                                                                                                         |
|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. 10/27/03 | Cahill Gordon & Reindel LLP agreement in connection with withdrawal from firm. (Copy of agreement filed with 2006 FDR and those of prior years.) |
| 2. 3/4/13   | Cahill Gordon & Reindel LLP renouncement of all future payments. (Copy filed with 2012 FDR.)                                                     |
| 3.          |                                                                                                                                                  |

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Name of Person Reporting

Castel, P .Kevin

Date of Report

08/07/2020

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions )*

### A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*



NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                                                                           |
|-------------|------------------------------------------------------------------------------------------------------------------|
| 1. 2019     | St. John's University (income as salary)                                                                         |
| 2. 2019     | Independent contractor serving as hearing officer for New York City Dept of Educ (compensated on per case basis) |
| 3.          |                                                                                                                  |
| 4.          |                                                                                                                  |

## IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*



NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                 | <u>DATES</u>      | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|-------------------------------|-------------------|-----------------|----------------|-------------------------------|
| 1. Federal Judges Association | April 15-16, 2019 | Dallas, Tx      | Meeting        | Transportation, meals, hotel  |
| 2.                            |                   |                 |                |                               |
| 3.                            |                   |                 |                |                               |
| 4.                            |                   |                 |                |                               |
| 5.                            |                   |                 |                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Castel, P .Kevin         | 08/07/2020     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Brk Act #1 Fidelity Capital Apprec'n Mutual Fd [FDCAX]                                                                  | E                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 2. Brk Act #1Fidelity Cash Reserves [FDRXX]                                                                                | D                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 3. Brk Act #1 Fidelity Asset Manager Mutual Fd [FASGX]                                                                     | E                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 4. Brk Act #1 Fidelity Aggressive Growth Mutual Fd [FAMRX]                                                                 | E                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 5. Brk Act #1 Fidelity Balanced [FBALX]                                                                                    | E                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 6. Brk Act #1 Fidelity MSCI Info [FTEC]                                                                                    | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 7. Brk Act #1 Fidelity NASDAQ [FNCMX]                                                                                      | C                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 8. Brk Act #1 Fidelity [FZDXX]                                                                                             | B                                      | Int./Div.                              | M                                              | T                                  | Buy                                       | 07/17/19         | M                        |                         |                                                            |
| 9. Brk Act#1 Fidelity MSCI Real Estate ETF                                                                                 | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 10. Brk Act #4 First Eagle Overseas Fund Class A                                                                           | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 11. Brk Act #4 LM [SB] Appreciation Fd CL A(Part VIII)                                                                     | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 12. Brk Act #4 LM [SB] Large Cap Grwth FD CL A                                                                             | A                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 13. Brk Act #4 Morgan Stanley NY Muni Money Mkt Fd CL A                                                                    | A                                      | Interest                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 14. Brk Act #4 US Treasury bill                                                                                            | A                                      | Interest                               |                                                |                                    | Sold                                      | 11/19/19         | M                        | A                       |                                                            |
| 15.                                                                                                                        |                                        |                                        |                                                |                                    | Redeemed (part)                           | 10/15/19         | N                        | A                       |                                                            |
| 16.                                                                                                                        |                                        |                                        |                                                |                                    | Redeemed (part)                           | 09/19/19         | N                        | A                       |                                                            |
| 17. Brk Act #4 VFH                                                                                                         | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Castel, P .Kevin

Date of Report

08/07/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 18. Brk Act #4 Lacey Township NJ BRD ED SCH 3.25/20[19]                                                                    | A                                      | Interest                                      |                                                |                                           | Redeemed                                        | 01/15/19                | L                               | A                              |                                                                   |
| 19. Brk Act #4 NJ High Ed 4.5/21                                                                                           | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 20. Brk Act #4 NY Gen Oblg BDS-G1 5.5/21                                                                                   | B                                      | Interest                                      |                                                |                                           | Redeemed                                        | 04/18/19                | L                               | A                              |                                                                   |
| 21. Brk Act #4 NJ Econ Dev Ref-EE 5.25/22                                                                                  | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 22. Brk Act #4 NJ Econ Dev Ref-EE 5.25/22                                                                                  | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 23. Brk Act #4 NJ Trans 5.25/23                                                                                            | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 24. Brk Act #4 NJ State Trans 5.25/23                                                                                      | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 25. Brk Act #4 NJ State Trans 5/24                                                                                         | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 26. Brk Act #4 NJ EDA Sch Facs Ser EE 5.25/25                                                                              | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 27. Brk Act #4 NJ TFA Rev Ser B 5.25/25                                                                                    | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 28. Brk Act #4 NJ Econ Dev Auth Sch Facs Const Ref-NN 5/26                                                                 | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 29. Brk Act #4 NJ Econ Dev Auth School 5.25/26                                                                             | D                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 30. Brk Act #4 NJ St. Trans Auth Ser - A 0/26                                                                              | A                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 31. Brk Act #4 NY NY 5/28                                                                                                  | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 32. Brk Act #4 NY NY 5/28                                                                                                  | B                                      | Int./Div.                                     | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 33. Brk Act #4 NYS Thruway 5/28                                                                                            | C                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 34. Brk Act #4 NY NY IDA Rev AGC 6.125/29                                                                                  | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

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|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 35. Brk Act #4 NJ St. Trans TRFD Sys - A 5.125/29                                                                          | C                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 36. Brk Act #4 NYS Dorm Auth 5/29                                                                                          | C                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 37. Brk Act #4 NJ TFARev Ser B 5.25/36                                                                                     | D                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 38. Brk Act #4 Ishares TR Dow Jones Select Div Index Fund                                                                  | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 39. Brk Act #4 Port Au NY & NJ 4.5/25                                                                                      | C                                      | Interest                                      |                                                |                                           | Redeemed                                        | 09/16/19                | L                               | A                              |                                                                   |
| 40. Brk Act #4 ADP                                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 41. Brk Act #4 CDK                                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 42. Brk Act #4 BR                                                                                                          | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 43. Brk Act #4 BBY                                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 44. Brk Act #4 IBM                                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 45. Brk Act #4 KMB                                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 46. Brk Act #4 AVNS Part VIII                                                                                              |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 47. Brk Act #4 KSS                                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 48. Brk Act #4 MDT                                                                                                         | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 49. Brk Act #4 MSFT                                                                                                        | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 50. Brk Act #4 WMT                                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 51. Brk Act #4 WBA                                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

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Name of Person Reporting

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Brk Act #4 VIG                                                                                                         | B                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 53. Brk Act #7 Bank Dep. Prog. Morgan Stanley Bank, N.A.                                                                   | A                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 54. Brk Act # 7 PWC                                                                                                        | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 55. Brk Act #9 Bank Dep Prog. Morgan Stanley Bank, N.A.                                                                    | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 56. Brk Act #9 EEM                                                                                                         | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 57. Brk Act #9 PZI                                                                                                         | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 02/27/19                | K                               | B                              |                                                                   |
| 58. Brk Act #9 MFS Intl New Disc Fund A [MIDAX]                                                                            | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. Brk Act #9 NYS G/O BDS-A 0/19                                                                                          | A                                      | Interest                                      |                                                |                                           | Redeemed                                        | 02/15/19                | K                               | A                              |                                                                   |
| 60. Brk Act #9 PR Comm H & T 0/20                                                                                          | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 61. Brk Act #9 XLF                                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. Brk Act #9 SPY                                                                                                         | C                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 63. Brk Act #9 VIG                                                                                                         | B                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 64. Brk Act #9 XLRE                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. Brk Act #10 Bank Dep Prog. Morgan Stanley Bank, N.A.                                                                   | A                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 66. Brk Act #10 NJ Turnpike Auth Ser - H 5/20                                                                              | A                                      | Interest                                      | K                                              | T                                         | Redeemed (part)                                 | 01/02/19                | J                               | A                              |                                                                   |
| 67. Brk Act #10 NY St. Dorm Auth North Shore Rev A 5/21                                                                    | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 68. Brk Act #10 NJ Health Care Facs 5/21                                                                                   | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Castel, P .Kevin

Date of Report

08/07/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Brk Act #10 Delaware Riv Jt Toll Bridge 5/22                                                                           | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 70. Brk Act #10 St. of Connecticut Health Facs 5/22                                                                        | B                                      | Interest                                      |                                                |                                           | Redeemed                                        | 02/13/19                | L                               | A                              |                                                                   |
| 71. Brk Act #10 Piedmont Mun Pwr Agy 5/23                                                                                  | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 72. Brk Act #10 Monmouth Cnty NJ 5/23                                                                                      | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 73. Brk Act #10 NJ Health Care Facs Fing Auth 5/23                                                                         | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 74. Brk Act #10 Monmouth Cnty NJ Impt Auth Lease 5/23                                                                      | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 75. Brk Act #10 Delaware Riv & Bay Auth Del Rev B 5/24                                                                     | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 76. Brk Act #10 Moorestown Twp NJ School Dist 5/24                                                                         | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 77. Brk Act #10 Hamilton Twp. Mercer Cnty NJ 5/25                                                                          | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 78. Brk Act #10 NJ St Edl Fac Auth Rev-A 5/25                                                                              | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 79. Brk Act #10 Port Auth NY & NJ Con 5/26                                                                                 | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 80. Brk Act #10 NJ Turnpike Auth Rev Ser B 5/26                                                                            | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 81. Brk Act #10 Metropolitan Trans Auth 5/26                                                                               | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 82. Brk Act #10 Monmouth Cnty NJ Impt 5/27                                                                                 | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. Brk Act #10 Hudson Cnty NJ Impt 5/29                                                                                   | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 84. Brk Act #10 Mercer Cnty NJ Impt Auth 5/27                                                                              | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 85. Brk Act #10 Port Auth of NY & NJ Consld Rev 194 5/27                                                                   | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Castel, P .Kevin

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. Brk Act #10 Hudson Cnty NJ G/O 4/27                                                                                    | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 87. Brk Act #10 NJ St Tpk Auth 5/29                                                                                        | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 88. Brk Act #10 NYC TFA 5/29                                                                                               | C                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 89. Brk Act #10 NYC TFA Rev-F3 5/30                                                                                        | C                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 90. Brk Act #10 Burlingtn Cnty NJ 5/30                                                                                     | C                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 91. Brk Act #10 NY St. Environ FACS 5/30                                                                                   | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 92. Brk Act #10 NY ST Dorm Auth 5/30                                                                                       | A                                      | Interest                                      | J                                              | T                                         | Buy                                             | 01/18/19                | J                               |                                |                                                                   |
| 93. Brk Act #10 NY ST Dorm Auth 5/30                                                                                       | D                                      | Interest                                      | M                                              | T                                         | Buy                                             | 01/18/19                | M                               |                                |                                                                   |
| 94. Brk Act #10 NYC Muni WTR 5/30                                                                                          | C                                      | Interest                                      | M                                              | T                                         | Buy                                             | 04/16/19                | L                               |                                |                                                                   |
| 95. Brk Act #10 NY ST Dorm Auth Sales Tax 5/31                                                                             | C                                      | Interest                                      | L                                              | T                                         | Buy                                             | 10/28/19                | L                               |                                |                                                                   |
| 96. Brk Act #10 NY NY City Muni Wtr 5/32                                                                                   | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 97. Brk Act #10 NY NY City TFA 5/33                                                                                        | C                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 98. Brk Act #10 Dorm Auth NY St. Johns 5/33                                                                                | C                                      | Interest                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 99. Brk Act#10 NY NY City Transitional 4/33                                                                                | C                                      | Interest                                      | M                                              | T                                         | Buy                                             | 09/16/19                | L                               |                                |                                                                   |
| 100. Brk Act #10 NY ST Dorm Auth Sales Tax 5/33                                                                            | C                                      | Interest                                      | L                                              | T                                         | Buy                                             | 09/30/19                | L                               |                                |                                                                   |
| 101. Brk Act #10 NY St Environmental 5/34                                                                                  | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 102. Brk Act #10 NY ST Dorm Auth Sales Tax 5/24                                                                            | C                                      | Interest                                      | M                                              | T                                         | Buy                                             | 05/15/19                | L                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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# FINANCIAL DISCLOSURE REPORT

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. Brk Act #10 NYC TFA Future Tax 5/35                                                                                   | D                                      | Interest                               | M                                              | T                                  | Buy                                       | 03/01/19         | M                        |                         |                                                            |
| 104. Brk Act #10 New York NY 5/35                                                                                          | C                                      | Interest                               | M                                              | T                                  | Buy                                       | 08/05/19         | L                        |                         |                                                            |
| 105. Brk Act #10 New York NY 5/35                                                                                          | C                                      | Interest                               | M                                              | T                                  | Buy                                       | 06/12/19         | L                        |                         |                                                            |
| 106. Brk Act #13 Fidelity Equity Inc[FEQIX]                                                                                | F                                      | Dividend                               | P1                                             | T                                  |                                           |                  |                          |                         |                                                            |
| 107. Brk Act #13 Fidelity Inter Bond [FTHRX] (H)                                                                           | C                                      | Interest                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 108. Brk Act #13 Fidelity Capital Apprec [FDCAX]                                                                           | F                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 109. Brk Act #13 Fidelity Retire Mmkt[Cash Reserves][FDRXX] [FCRXX] [FCRXX]                                                | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 110. Bank Act #14 Chase Accounts                                                                                           | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 111. Brk Act #5 Fidelity Smart Account                                                                                     | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 112. Bank Act#16 TD Bank                                                                                                   |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 113. Brk Act #17 Bank Deposit Program Morgan Stanley                                                                       | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 114. Real Estate Long Island NY #1                                                                                         |                                        | None                                   | N                                              | W                                  |                                           |                  |                          |                         |                                                            |
| 115. Brk Act # 18 Citibank CD                                                                                              | A                                      | Interest                               | K                                              | T                                  | Buy                                       | 03/22/19         | K                        |                         |                                                            |
| 116. Brk Act # 19 Ciitibank CD                                                                                             | A                                      | Interest                               | K                                              | T                                  | Buy                                       | 03/22/19         | K                        |                         |                                                            |
| 117. Bank Acct #20 First Nat Bank of LI                                                                                    | A                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 118. Brk Act # 21 Fidelity SPAXX                                                                                           | A                                      | Interest                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 119. Brk Act # 21 Texas Cap Bank Dallas (CD) 2.7/11/29/19                                                                  | C                                      | Interest                               |                                                |                                    | Matured                                   | 11/29/19         | L                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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# FINANCIAL DISCLOSURE REPORT

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| 120. Brk Act #21 US Treas zero cpn 0/7/5/2019                                                                              | A                                      | Interest                                      |                                                |                                           | Matured                                         | 07/05/19                | L                               |                                |                                                                   |
| 121. Brk Act #21 US Treas 11/30/2019                                                                                       | B                                      | Int./Div.                                     |                                                |                                           | Matured                                         | 12/02/19                | L                               |                                |                                                                   |
| 122. Brk Act # 21 Wells Fargo (CD) 2.8/8/17/20                                                                             | D                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 123. Brk Act #21 Wells Fargo<br>(CD)2.45/10/15/2019                                                                        | C                                      | Interest                                      |                                                |                                           | Matured                                         | 10/15/19                | L                               |                                |                                                                   |
| 124. Brk Act #21 FAMRX                                                                                                     | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 125. Brk Act #21 FASCX                                                                                                     | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 126. Brk Act # 21 FBALX                                                                                                    | A                                      | Int./Div.                                     | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 127. Brk Act # 21 FLCSX                                                                                                    | B                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 128. Brk Act #21 FTBALX                                                                                                    | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 129. Brk Act #21 PONX                                                                                                      | C                                      | Int./Div.                                     | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 130. Brk Act #22 Fidelity FCASH (Part VIII)                                                                                | A                                      | Interest                                      |                                                |                                           | Closed                                          | 07/16/19                | J                               |                                |                                                                   |
| 131. Brk Act 23 Fidelity SPAXX (Part VIII)                                                                                 | A                                      | Interest                                      |                                                |                                           | Closed                                          | 07/16/19                | J                               |                                |                                                                   |
| 132. Brk Act #24 Fidelity FAMRX                                                                                            | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 133. Brk Act #24 SPAXX                                                                                                     | A                                      | Int./Div.                                     | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 134. Brk Act #25 Fidelity FAMRX                                                                                            | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 135. Brk Act # 25 SPAXX                                                                                                    | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 136. Brk Act #26 St. John's Univ<br>DefiContribPlan TIAA Traditional                                                       | A                                      | Int./Div.                                     | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. Brk Act #26 TIAA Real Estate                                                                                          | A                                      | Int./Div.                              | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 138. Brk Act# 26 CREF Bond Mkt R2                                                                                          | A                                      | Int./Div.                              | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 139. Brk Act #26 CREF Stock R2                                                                                             | A                                      | Int./Div.                              | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 140. Brk Act #26 Western Asset CorPlsBnd IS                                                                                | A                                      | Int./Div.                              | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 141. Brk Act# 27 TIAA Tax Deferred<br>AnnuityTIAA Traditional                                                              | A                                      | Int./Div.                              | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 142. Brk Act#27 TIAA Real Estate                                                                                           | A                                      | Int./Div.                              | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 143. Brk Act #27 CREF Stock R2                                                                                             | A                                      | Int./Div.                              | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 144. Bank Act # 28 AMEX Savings                                                                                            | A                                      | Interest                               | M                                              | T                                  | Buy                                       | 11/26/19         | M                        |                         |                                                            |
| 145.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 146.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 147.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 148.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 149.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Castel, P .Kevin

Date of Report

08/07/2020

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 130 Brk Act # 22 FCash on July 16, 2019 the remining balance of this cash equivalent was transferred to another account at Fidelity, Brk Act# 21

Line 131 Brk Act # 23 SPAXX on July 16, 2019 the remaining balance of this cash equivalent (under the reporting thresshold) was transferred to another acocunt at Fidelity,, Brk Act #22.

# FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Castel, P .Kevin

Date of Report

08/07/2020

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **P .Kevin Castel**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544