

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Newsom, Kevin C.	2. Court or Organization U.S. Court of Appeals, Eleventh Circuit	3. Date of Report 05/06/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Hugo L. Black United States Courthouse 1729 Fifth Avenue North Birmingham, AL 35203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Overseer	Samford University
2. Adjunct Faculty	Cumberland Law School
3. Adjunct Faculty	Duke Law School
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Cumberland Law School (teaching)	\$20,165.00
2. 2019	Duke Law School (teaching)	\$4,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Golds Holding Corp (wages)
2. 2019	LTF Club Management Company LLC (wages)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Federalist Society	05/03/19-05/05/19	Chicago, IL	Professional association	Transportation, meals, lodging
2.	William and Mary Law School	09/13/19-09/15/19	Williamsburg, VA	Educational activities	Transportation, meals, lodging
3.	The Federalist Society	10/3/19-10/4/19	Cambridge, MA	Professional association	Transportation, meals, lodging
4.	Notre Dame Law School	10/9/19-10/13/19	South Bend, IN	Educational activities	Transportation, meals, lodging
5.	The Federalist Society	10/24/19-10/27/19	Palo Alto, CA	Professional activities	Transportation, meals, lodging
6.	The Federalist Society	11/15/19-11/17/19	Washington, DC	Professional activities	Transportation, meals, lodging
7.	Duke Law School	11/20/19-11/21/19	Durham, NC	Educational activities	Transportation, meals, lodging

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. Wells Fargo Bank (cash)	A	Interest	K	T					
3. BROKERAGE #1 (H)									
4. Stifel Insured Bank Deposit Program (cash)	A	Interest	K	T	Open	01/24/19	L		
5. KKR Real Estate Finance Trust Inc (KREF)		None	J	T	Buy	12/31/19	J		
6. IShares MidCap Russell (IWP)	A	Dividend	J	T					
7. IShares Russell 1000 Growth (IWF)	A	Dividend	K	T					
8. IShares Russell 2000 Growth ETF (IWO)	A	Dividend			Buy	04/01/19	K		
9.					Sold	06/19/19	K		
10. Select Sector SPDR TR (XLK)		None			Sold	02/21/19	K	A	
11. MSCI EM ARN (MLVHT) (01020G264)		None			Redeemed	09/27/19	K		
12. SPSORBK ARNS BNS (MLVHK) (064161284)		None			Redeemed	08/30/19	K		
13. MSCI EM CLIRNS ISS BNS (MLVKT) (06417P678)		None	K	T					
14. SP500 CLIRN (MLVCD) (06746N550)		None			Redeemed	12/23/19	L		
15. SP500 CLIRN BARCLAY (MLVFT) (06746R551)		None	K	T					
16. R2000 CLIRN BARC (MLVKR) (06746V701)		None	K	T					
17. R2000 CLIRN (MLTFR) (097096242)		None			Redeemed	02/22/19	L	D	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Newsom, Kevin C., 05/06/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period), and sub-columns (1)-(5) for transaction details. Rows 18-34 list various investments like SP500 CLIRN BOFA, DJIA LIRN, etc.

1 Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
2 Value Codes: F=\$50,001 - \$100,000, G=\$100,001 - \$1,000,000, H1=\$1,000,001 - \$5,000,000, H2=More than \$5,000,000
3 Value Method Codes: Q=Appraisal, R=Cost (Real Estate Only), S=Assessment, T=Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. GS FINANCE CORP LEVERAGED INDX NOTE 0.00% (40056XMZ6)		None	K	T	Buy	11/25/19	K		
36. GS FINANCE CORP LEVERAGED INDX NOTE 0.00% (40056FRN7)		None	K	T	Buy	07/26/19	K		
37. GS FINANCE CORP LEVERAGED INDX 0.00% (40056FEL5)		None	K	T	Buy	05/28/19	K		
38. MORGAN STANLEY FIN LLC MKT 0.00% (61769H4T9)		None	K	T	Buy	12/23/19	K		
39. BARCLAYS BANK PLC BUF SUP NOTE 0.00% (06747MKB1)		None	K	T	Buy	04/25/19	K		
40. MORGAN STANLEY FIN LLC BUF PARTN SECS 0.00% (61769HMZ5)		None	K	T	Buy	08/27/19	K		
41. MORGAN STANLEY FIN LLC BUF PARTN SECS 0.00% (61769HSV8)		None	K	T	Buy	09/25/19	K		
42. American Fund Bal (ABALX)	B	Dividend	L	T					
43. American Growth (AGTHX)	B	Dividend	K	T					
44. American Income (AMECX)	A	Dividend			Sold (part)	06/19/19	J		
45.					Sold	06/27/19	K		
46. American New World (NEWFX)	B	Dividend	K	T					
47. GEB CLIRN (MLTHD)		None			Redeemed	01/25/19	K	C	
48. R2000 CLIRN (MLTEV)		None			Redeemed	01/25/19	L	D	
49. BROKERAGE #2 (H)									
50. ML Bank Deposit Program (cash)	A	Interest			Closed	01/18/19	K		
51. Stifel Insured Bank Program Money Market (cash)	A	Interest	K	T	Open	01/23/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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52. Bain Capital Specialty Finance (05684B107)		None	K	T	Buy	12/31/19	K		
53. Etowah Cnty AL Wts B/E B/Q 1.85% (297749GR8)	A	Interest	K	T	Buy	02/05/19	K		
54. Humana Inc 2.625% Corp Bond 10/01/19 (444859BC5)	A	Interest			Redeemed	10/01/19	K		
55. Goldman Sachs 2.3% Corp Bond 2/13/19 (38145GAJ9)	A	Interest			Redeemed	11/13/19	K		
56. Viacom Inc 2.75% Corp Bond 12/15/19 (92553PAY8)	A	Interest			Redeemed	11/15/19	K		
57. Eastman Chemical 2.70% Corp Bond 01/15/20 (277432AQ3)	A	Interest			Redeemed	12/16/19	J		
58. Morgan Stanley 2.65% Corp Bond 01/27/20 (61747YDW2)	A	Interest	K	T					
59. Phillips 66 2.646% Corp Bond 02/15/20 (718549AA6)	A	Interest			Redeemed	10/15/19	K	A	
60. Goldman Sachs 2.6% Corp Bond 04/23/20 (38148LAA4)	A	Interest	K	T					
61. American Express FLT% Corp Bond 09/14/20 (0258M0DY2)	A	Interest	K	T					
62. JP Morgan Chase 2.55% Corp Bond 10/29/20 (46625HXX4)	B	Interest	K	T					
63. Autonation Inc 3.35% Corp Bond 01/15/21 (0258M0DY2)	A	Interest	K	T					
64. Kroger Co 2.6% Corp Bond 02/01/21 (501044DB4)	A	Interest	K	T					
65. Citigroup Inc 2.7% Corp Bond 03/30/21 (172967KK6)	B	Interest	K	T					
66. USD Goldcorp Inc 3.625% Corp Bond 06/09/21 (380956AF9)	A	Interest	K	T					
67. Wells Fargo 2.1% Corp Bond 07/26/21 (949746SA0)	A	Interest	K	T					
68. Freeport McMoran Inc Sr Note Cpn 4.00% (35671DBH7)	A	Interest	K	T	Buy	02/28/19	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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69. Canada Nat Res 3.45% Corporate Bond 11/15/21 (136385AR2)	A	Interest	K	T					
70. Williams Partners 4.0% Corp Bond 11/15/21 (96950FAH7)	A	Interest	K	T					
71. Cigna Corp 4.0% Corp Bond 02/15/22 (125509BS7)	A	Interest	K	T					
72. Verizon Comm 2.946% Corp Bond 03/15/22 (92343VDQ4)	A	Interest	K	T					
73. Amgen Inc 2.65% Corp Bond 05/11/22 (031162CP3)	A	Interest	K	T					
74. Devon Energy 3.25% Corp Bond 05/15/22 (25179MAP8)	A	Interest			Redeemed	07/29/19	K	A	
75. Morgan Stanley 2.75% Corp Bond 05/19/22 (61744YAH1)	A	Interest	K	T					
76. Murphy Oil Corp Sr Note 4.00% (626717AD4)	A	Interest	K	T	Buy	02/21/19	K		
77. Kraft Food Group 3.5% Corp Bond 06/06/22 (500760AZ9)	A	Interest	K	T					
78. USD Cenovus Energy 3.0% Bond 08/15/22 (15135UAG4)	A	Interest	K	T					
79. Kinder Morgan Ener Part 3.95% Corp Bond 09/01/22 (494550BL9)	A	Interest	K	T					
80. Hewlett-Packard Co 4.05% Corp Bond 09/15/22 (428236BXO)	B	Interest	K	T					
81. Oneok Partners 3.375% Corp Bond 10/01/22 (68268NAJ2)	A	Interest	K	T					
82. McKesson Corp New Note 2.700% (581557AZ8)	A	Interest	L	T	Buy	11/22/19	L		
83. CBS Corp New Gtd Sr Note 2.500% (124857AS2)	A	Interest	K	T	Buy	07/31/19	K		
84. CVS Health Corp 3.7% Corp Bond 03/09/23 (126650CVO)	A	Interest	K	T					
85. Morgan Stanley 4.1% Corp Bond 05/22/23 (61747YDU6)	B	Interest	K	T					

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86. USD Cenovus Energy 3.8% Bond 09/15/23 (15135UAJ8)	B	Interest	K	T					
87. Citigroup Inc FLT% Corp Bond 05/17/24 (172967LL3)	A	Interest	K	T					
88. Hess Corp 3.5% Corp Bond 07/15/24 (42809HAF4)	A	Interest	K	T					
89. Fluour Corp New Sr Note 3.500% (343412AC6)	A	Interest	K	T	Buy	09/30/19	K		
90. SVB Finl Grp Sr Note Cpn 3.500% (78486QAD3)	A	Interest			Buy	02/25/19	K		
91.					Sold	12/18/19	K	B	
92. Vulcan Matls Co Note 4.500% (929160AS8)	B	Interest	K	T	Buy	02/21/19	K		
93. Autozone Inc 3.25% Corp Bond 04/15/25 (053332AR3)	B	Interest	K	T					
94. Opus Bk Irvine CA Sub Note Var Cpn 0.000% (684000AA0)	A	Interest	K	T	Buy	03/29/19	K		
95. Morgan Stanley Step% Corp Bond 07/28/27 (61760QKN3)	A	Interest			Sold	05/29/19	K		
96. Synovus Finl Corp Sub Note Var Cpn 5.900% (87161CAM7)	B	Interest	L	T	Buy	03/12/19	K		
97.					Buy (add'l)	03/13/19	K		
98. JP Morgan Chase Step% Corp Bond 09/23/30 (48125UY30)	A	Interest			Sold	10/17/19	K		
99. Jefferies Grp Step% Corp Bond 07/21/31 (47233JAC2)	A	Interest			Sold	12/31/19	J		
100. Angel Oak Financial Strat Inc Term Trust (03464A100)	A	Interest	K	T	Buy	05/29/19	K		
101. IRA #1 (H)									
102. KKR Real Estate Finance Trust (48251K100)		None	J	T	Buy	10/16/19	J		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. DJIA LIRNS Issuer BNS (MLVJV) (06417P801)		None	K	T					
104. INTL BKT LIRN Iss BOFA (MLVJW) (097097844)		None	K	T					
105. MSCI EM CLIRN Iss BOFA (MLVJU) (097097885)		None	K	T					
106. R2000 CLIRN Iss CIBC (MLVJT) (13606M342)		None	K	T					
107. HCP, Inc. 2.625% Corporate Bond 2/1/20 (40414LAH2)	A	Interest			Redeemed	07/22/19	J	A	
108. Marathon Oil 2.7% Corp Bond 6/1/20 (565849AN6)	A	Interest			Redeemed	10/03/19	J	A	
109. Kraft Foods Group Inc 3.5% Corp Bond 06/06/22 (50076QAZ9)	A	Interest	J	T					
110. CVS Health Corp 3.5% Corp Bond 07/20/22 (126650CK4)	A	Interest	J	T					
111. JPMorgan Chase Bank NA Stoxx Gbl Sel (48128HY44)		None	J	T	Buy	07/30/19	J		
112. JPMorgan Chase & Co 3.25% Corp Bond 09/23/22 (46625HJE1)	A	Interest	J	T					
113. Conoco 3.35% Corporate Bond 11/15/24 (20826FAD8)	A	Interest	J	T					
114. IRA #2 (H)									
115. Bank of America RASP (cash)	A	Interest			Closed	01/22/19	K		
116. Stifel Insured Bank Deposit (Cash)	A	Interest	J	T	Open	01/22/19	K		
117. Bain Capital Specialty Finance (BCSF)		None	K	T	Buy	12/31/19	K		
118. KKR Real Estate Finance Trust (KREF)		None	K	T	Buy	10/07/19	K		
119. Starwood Property Trust Inc (STWD)		None	J	T	Buy	12/31/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Pacer Trendpilot US Large Cap ETF (PTLC)		None			Buy	03/27/19	J		
121.					Sold	11/04/19	J	A	
122. DJIA LIRNS Issuer BNS (MLVJV) (06417P801)		None	K	T					
123. MSCI EM CLIRN Iss BOFA (MLVJU) (097097885)		None	K	T					
124. SP500 CLIRN Issuer CIBC (MLVJS) (13606M292)		None	K	T					
125. R2000 CLIRN Iss CIBC (MLVJT) (13606M342)		None	K	T					
126. Pioneer Natural Resource 3.45% Corp Bond 01/15/21 (723787AL1)	A	Interest	K	T					
127. USD Goldcorp Inc 3.625% Corp Bond 06/09/21 (380956AF9)	A	Interest	K	T					
128. HP Enterprise Co 3.5% Corp Bond 10/05/21 (42824CBC2)	A	Interest	K	T					
129. Barclays Bank PLC Buf Supertrk Note 0.00% (06747MLP9)		None	K	T	Buy	04/25/19	K		
130. HSBC USA Inc Buf Amps Lkd 0.00% (40435UKT0)		None	K	T	Buy	04/25/19	K		
131. BofA Finance LLC Note Lkd 0.00% (09709TVP3)		None	K	T	Buy	10/28/19	K		
132. Viacom Inc 3.875% Corp Bond 12/15/21 (92553PAJ1)	A	Interest	K	T					
133. Cigna Corp 4.0% Corp Bond 02/15/22 (125509BS7)	A	Interest	K	T					
134. Kraft Food Group Inc 3.5% Corp Bond 06/06/22 (500760AZ9)	A	Interest	K	T					
135. JPMorgan Chase & Co FLT% Corp Bond 06/18/22 (46647PAT3)	A	Interest	K	T					
136. CVS Health Corp 3.5% Corp Bond 07/20/22 (126650CK4)	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Celgene Corp 3.55% Corp Bond 08/15/22 (151020AR5)	A	Interest	K	T					
138. Murphy Oil Corp Note 4.450% (626717AF9)	B	Interest	K	T	Buy	03/05/19	K		
139. Goldman Sachs Group Inc 3.625% Corp Bond 01/22/23 (38141GRD8)	A	Interest	K	T					
140. Health Care Reit Inc 3.75% Corp Bond 03/15/23 (42217KBA3)	A	Interest	K	T					
141. JPMorgan Chase Bank NA Stoxx Global 0.00% (48128LJE0)		None	K	T	Buy	10/30/19	K		
142. Barclays Bank PLC Buf Supertrk Note 0.00% (06747MKB1)		None	K	T	Buy	04/25/19	K		
143. Delta Air Lines Inc De Note 2.900% (247361ZU5)		None	K	T	Buy	10/25/19	K		
144. Synovus Finl Corp Sub Note Fixed/Var 5.750% (87161CAK1)	A	Interest	K	T	Buy	10/07/19	K		
145. First Eagle Global A (SGENX)	C	Dividend	L	T					
146. Dodge & Cox Income (DODIX)	A	Dividend			Sold	04/17/19	L		
147. Harbor Small Cap Growth Institutional (HASGX)	B	Dividend			Sold	12/27/19	K		
148. Putnam Equity Income Y (PEIYX)	C	Dividend	K	T	Sold (part)	08/14/19	L		
149.					Sold (part)	10/23/19	K		
150.					Sold (part)	11/04/19	K		
151. Angel Oak Financial Strat Inc Term (FINS)	A	Dividend	K	T	Buy	10/07/19	K		
152. RETIREMENT PLAN #2 (H)									
153. CREF Stock R3 Fund		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. CREF Growth R3 Fund		None	J	T					
155. CREF Equity Index R3 Fund		None	J	T					
156. CREF Global Equities R3		None	J	T					
157. CREF Equity Index R2 Fund		None	J	T					
158. CREF Bond Market R2 Fund		None	J	T					
159. TIAA Traditional Fund		None	J	T					
160. CREF Stock R2 Fund		None	J	T					
161. CREF Equity Index R1 Fund		None	J	T					
162. CREF Money Market Fund		None	J	T					
163. RETIREMENT PLAN #3 (H)									
164. FID Overseas K (FOSKX)	A	Dividend	J	T					
165. FID Growth Co K (FGCKX)	A	Dividend	J	T					
166. FID OTC K (FOCKX)	A	Dividend	J	T					
167. FID 500 Index (FXAIX)	A	Dividend	J	T					
168. RETIREMENT PLAN #4 (H)									
169. FID Growth Co K (FGCKX)	A	Dividend	J	T					
170. FID OTC Portfolio (FOCKX)	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Fmmt Retirement GOV II (FRTXX)	A	Dividend	J	T					
172. FID 500 Index (FXAIX)	A	Dividend	K	T					
173. FID Overseas K (FOSKX)	A	Dividend	J	T					
174. 529 Plan #1 (H)									
175. Virginia 529 2024 Age-Based Portfolio		None	M	T					
176. 529 Plan #2 (H)									
177. My529 Age-Based Aggressive Global Portfolio	D	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kevin C. Newsom**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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