

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Greenberg, William S.	2. Court or Organization U.S. Court of Appeals for Veterans Claims	3. Date of Report 5/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 625 Indiana Avenue, NW Suite 900 Washington, DC 20004		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Chairman Emeritus, Board of Trustees	Mary Sachs Charitable Trust (private foundation)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2002	Public Employee Retirement System Pension Fund, State of New Jersey, pension upon retirement age 60, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	New Jersey Division of Pensions and Benefits, pension	\$5,945.16
2. 2019	Charles Schwab & Co. Inc., retirement plan distribution	\$88,853.46
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self-employed pharmaceutical consultant
2. 2019	Charles Schwab & Co., Inc., retirement plan distribution
3. 2019	Northwestern Mutual Life Insurance Co., insurance contract distribution
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Checking	A	Interest	M	T					
2. Brokerage Account #1 (H)									
3. -MN State HFA Fin. Rev. Muni. Bond	B	Interest	K	T					
4. -SPDR S & P Mid-Cap 400 ETF Trust	B	Int./Div.	M	T					
5. -NY NYC GO Muni. Bond (Y)									
6. -MI St Fin Auth Rev Muni. Bond	B	Interest			Redeemed	01/01/19	L		
7. -TX St Transn Commn Hwy Fund Muni. Bond	A	Interest			Matured	04/01/19	K		
8. -WI St Gen Fd Annual Approp Rev Muni. Bond	A	Interest			Redeemed	05/01/19	K		
9. -WA St GO Muni. Bond	C	Interest			Sold (part)	07/12/19	L		
10.					Redeemed	08/01/19	K		
11. -TX Fort Bend ISD GO Muni. Bond	C	Interest			Redeemed	05/15/19	L		
12. -NY NYC Trans Fin Auth Future Tax Rev Muni. Bond	C	Interest	L	T					
13. -CA St GO Muni. Bond	C	Interest	L	T					
14. -UT St GO Muni. Bond	C	Interest			Sold	06/03/19	M	A	
15. -IL DuPage & Will Cntys Muni. Bond	C	Interest	M	T					
16. -MN St COPs NC Muni. Bond	C	Interest	M	T					
17. -TX St GO Muni. Bond	C	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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18. -Vanguard FTSE Developed Markets ETF	A	Int./Div.			Sold	09/27/19	K	C	
19. -Brown Advisory - Somerset Emerging Markets		None			Sold	02/25/19	J	A	
20. -Seafarer Overseas Growth & Income Fund	A	Int./Div.			Sold	09/27/19	J	A	
21. -Touchstone Sands Capital Emerging Growth-Y		None			Sold	09/27/19	J	B	
22. -MI St GO NC Muni. Bond	C	Interest	L	T					
23. -NY Port Auth NY & NJ Rev Muni. Bond	C	Interest	L	T					
24. -CT St GO Muni. Bond	C	Interest	L	T					
25. -Schwab Bank Sweep	A	Interest	K	T					
26. -UT St Bldg Ownership Auth Lease Rev Muni. Bond	B	Interest	K	T					
27. -WA Snohomish Cnty SD No 201 GO School Board Guar. Muni. Bond	C	Interest	L	T					
28. -JBG Smith Properties	C	Int./Div.	M	T					
29. -Adobe Systems Inc. Stock (X)		None	J	T					
30. -Alphabet Inc. Class A Stock (X)		None	J	T					
31. -Apple Inc. Stock (X)		None	J	T					
32. -ASML Holding NV-NY Reg Shs. Stock (X)		None	J	T					
33. -Automatic Data Processing Inc. Stock (X)		None	J	T					
34. -Cognizant Tech Solutions Corp. Stock (X)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -Marsh & McLennan Companies Inc. Stock (X)		None	J	T					
36. -Mastercard Inc. Stock (X)		None	J	T					
37. -Microsoft Inc. Stock (X)		None	K	T					
38. -Varian Medical Systems Inc. Stock (X)		None	J	T					
39. -Dentsply Sirona Inc. Stock (X)		None	J	T					
40. -Edwards Lifesciences Corp. Stock (X)		None	J	T					
41. -FMC Corp. Stock (X)		None	J	T					
42. -NVIDIA Corp. Stock (X)		None	J	T					
43. -Stanley Black & Decker Inc. Stock (X)		None	J	T					
44. -Cerner Corp. Stock (X)		None	J	T					
45. -Flir Systems Inc. Stock (X)		None	J	T					
46. -Qiagen N.V. Stock (X)		None	J	T					
47. -Enterprise Products Partners L.P. interest (X)		None	J	T					
48. -Liberty Property Trust (X)		None	J	T					
49. -Becton Dickinson & Co. Stock (X)	A	Dividend			Sold	12/12/19	J	A	
50. -Broadcom Inc. Stock (X)		None			Sold	12/12/19	J	B	
51. -Vertex Pharmaceuticals Inc. Stock (X)		None			Sold	12/12/19	J	C	

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52. -EOG Resources Stock (X)		None			Sold	12/12/19	J	B	
53. -Albemarle Corp. Stock (X)		None			Sold	12/12/19	J		
54. -IQVIA Holdings Inc. Stock (X)		None			Sold	12/12/19	J	C	
55. -Medtronic PLC Stock (X)		None			Sold	12/12/19	J	C	
56. -Schlumberger Ltd. Stock (X)		None			Sold	12/12/19	J		
57. -HDFC Bank Ltd. Stock (X)		None			Sold	12/12/19	J	B	
58. -Energy Transfer LP (X)		None			Sold	12/12/19	J		
59. -AstraZeneca PLC - Spons ADR Stock (X)		None			Sold	12/12/19	J	C	
60. -Johnson & Johnson Stock (X)		None			Sold	12/27/19	J	D	
61. -Cisco Systems Inc. Stock (X)		None			Sold	12/27/19	J	D	
62. Brokerage Account #2 (H)									
63. -Schwab Bank Sweep	A	Interest	J	T					
64. Brokerage Account #3 (H)									
65. Brokerage Account #4 (H)									
66. -Schwab Advisor Cash Reserves - Premier (Y)	A	Interest							
67. -ASML Holding NV-NY Reg Shares	A	Dividend	K	T					
68. -Adobe Systems Inc. Stock		None	L	T					

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69. -Apple Inc. Stock	A	Dividend	L	T					
70. -Automatic Data Processing Inc. Stock	B	Dividend	K	T	Sold (part)	04/15/19	K	D	
71. -Cognizant Tech Solutions Corp. Stock	A	Dividend	K	T					
72. -Alphabet Inc. Stock (Class A)		None	K	T					
73. -Medtronic Stock	B	Dividend	K	T					
74. -Marsh & McLennan Companies Inc. Stock	B	Dividend	L	T					
75. -Microsoft Corp. Stock	B	Dividend	L	T					
76. -Johnson & Johnson Company Stock	B	Dividend	K	T	Sold (part)	04/15/19	J	D	
77. -Cisco Systems Inc. Stock	B	Dividend	K	T					
78. -Varian Medical Systems Inc. Stock		None	K	T	Sold (part)	04/15/19	J	D	
79. -Edwards Lifesciences Corp. Stock		None	L	T	Sold (part)	04/15/19	J	D	
80.					Sold (part)	07/24/19	J	C	
81. -Quest Diagnostics Inc. Stock	A	Dividend			Sold	04/10/19	K	E	
82. -Stanley Black & Decker Inc. Stock	A	Dividend	K	T					
83. -Cerner Corp. Stock	A	Dividend	K	T					
84. -Flir Systems Inc. Stock	A	Dividend	K	T					
85. -Liberty Property Trust	B	Int./Div.	K	T					

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86. -Schlumberger Ltd. Stock	B	Dividend			Sold	12/26/19	K		
87. -EOG Resources Stock	A	Dividend	K	T					
88. -Qiagen N. V. Stock		None	K	T	Sold (part)	01/28/19	J	D	
89.					Sold (part)	04/15/19	J	B	
90. -Enterprise Products Partners L.P. interest	C	Int./Div.	K	T					
91. -Energy Transfer Partners L.P. interest	A	Int./Div.	J	T					
92. -MasterCard Inc. Stock	A	Dividend	L	T	Sold (part)	01/28/19	J	D	
93. -FMC Corp. Stock	A	Dividend	K	T					
94. -Albemarle Corp. Stock	A	Dividend	K	T					
95. -IQVIA Holdings Inc. Stock		None	K	T					
96. -Broadcom Inc. Stock	B	Dividend	K	T					
97. -HDFC Bank Ltd. Stock	A	Dividend	K	T					
98. -Beckton Dickinson & Co. Stock	A	Dividend	K	T					
99. -Vertex Pharmaceuticals Inc. Stock		None	K	T					
100. -AstraZeneca PLC-Spons ADR Stock	B	Dividend	L	T					
101. -Schwab Bank Sweep (X)	A	Interest	K	T					
102. -Advanced Energy Inds. Inc. Stock		None	K	T	Buy	12/18/19	J		

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103.					Buy (add'l)	12/19/19	K		
104. -Dentsply Sirona Inc. Stock	A	Dividend	K	T	Buy	04/10/19	K		
105.					Buy (add'l)	08/13/19	K		
106. -NVIDIA Corp. Stock	A	Dividend	K	T	Buy	01/28/19	K		
107.					Buy (add'l)	09/17/19	K		
108. -Livent Corp. Stock		None			Spinoff (from line 93)	02/25/19	J		
109.					Sold (part)	03/04/19	J	A	
110.					Sold	08/13/19	J	A	
111. IRA #1 (H)									
112. -IL Peoria Cnty Cmnty Unit SD Muni. Bond	A	Interest			Matured	04/01/19	K		
113. -MI Jackson Pub Schs GO NC Muni. Bond	A	Interest			Matured	05/01/19	K		
114. -CO Colorado Springs Util Rev Muni. Bond	B	Interest			Matured	11/15/19	K		
115. -OH Hamilton Cnty Sewer Sys Muni. Bond	C	Interest			Redeemed	12/01/19	L		
116. -PA Commonwealth Fing Auth Muni. Bond	B	Interest	L	T					
117. -KY Asset/Liability Commn Muni. Bond	B	Interest	L	T					
118. -PA Montgomery Cnty Muni. Bond	B	Interest	K	T					
119. -CA Univ of Cal Rev Muni. Bond	B	Interest	L	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting

Greenberg, William S.

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5/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. -WA State Muni. Bond	B	Interest	L	T					
121. -UPS Corporate Bond	B	Interest			Matured	04/01/19	K		
122. -IBM Corporate Bond	B	Interest			Matured	11/01/19	K		
123. -Target Corporate Bond	A	Interest	K	T					
124. -Berkshire Hathaway Corporate Bond	B	Interest	L	T					
125. -US Treasury Note Government Bond NC	D	Interest	N	T	Matured (part)	08/31/19	M		
126. -FHLB US Government Agency Bond	C	Interest	M	T	Redeemed (part)	07/08/19	K		
127. -Colgate-Palmolive Co. Corporate Bond	C	Interest	M	T					
128. -Exxon Mobil Corp. Corporate Bond	B	Interest	L	T					
129. -Schwab Bank Sweep	A	Interest	M	T					
130. -Johnson & Johnson Corporate Bond	B	Interest	L	T					
131. -Procter & Gramble Corporate Bond	B	Interest	L	T					
132. -Schwab Value Advantage Money Fund (T +1)	C	Interest	O	T	Buy	03/07/19	M		
133.					Buy (add'l)	10/01/19	N		
134. IRA #2 (H)									
135. -Vanguard Bond Index - Short Term	A	Int./Div.	K	T					
136. -Vanguard S-T Investment Grade Fund	B	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Greenberg, William S.

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5/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -Schwab Bank Sweep	A	Interest	J	T					
138. 401(k) #1 (H)									
139. Trust #1 (H)									
140. -CRA 12 Deposit Account	C	Interest	M	T					
141. -S&P 500 Depository Receipts	D	Int./Div.	N	T					
142. -SPDR S&P Mid-Cap 400 ETF Trust	C	Int./Div.	M	T					
143. -BNY Mellon Smallcap Stock Index Fund	B	Int./Div.	M	T					
144. -Harris Assoc Invt TR Oakmark Intl Fund	C	Int./Div.	M	T					
145. -BNY Mellon Emerging Markets Fund - MPAM Shares	B	Int./Div.	M	T					
146. -DC Income Tax Rev Muni. Bond	B	Interest			Redeemed	12/03/19	L		
147. -DC GO NC Muni. Bond	D	Interest	M	T					
148. -Seafarer Overseas Growth & Income Fund	A	Int./Div.	K	T					
149. -Vanguard Energy Vipers Fund	B	Int./Div.	K	T					
150. -BNY Mellon Intl Stock Fund	B	Int./Div.	M	T					
151. -Texas Bexar Met Wtr Dist Muni. Bond	C	Interest	L	T					
152. -OH St Dept Admin COP Muni. Bond	B	Interest	L	T					
153. -DC Wtr & Swr Auth Pub Util Rev Muni. Bond	B	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -BNY Mellon Municipal Opportunities - CL M Fund	B	Int./Div.	L	T					
155. -Brookfield Global Listed Infrastructure Fund	B	Int./Div.	K	T					
156. Trust #2 (H)									
157. -Vanguard Intermediate Term Tax-Exempt Bond Fund	B	Int./Div.	L	T					
158. -S&P 500 Depository Receipts	B	Int./Div.	L	T					
159. -SPDR S&P Mid-Cap 400 ETF Trust	A	Int./Div.	L	T					
160. -Longleaf Partners Small Cap	A	Int./Div.	J	T					
161. -Baron Small Cap Fund-I	B	Int./Div.	J	T					
162. -Gateway Fund Y Shares	A	Int./Div.	K	T					
163. -AMEX Energy Select Sector SPDR	A	Int./Div.	J	T					
164. -PIMCO Commodity RR Strat-Ins	A	Int./Div.	J	T					
165. -BNY Mellon Intl Stock Fund CLI	A	Int./Div.	K	T					
166. -Oakmark Intl Fund - I	A	Int./Div.	K	T					
167. -Harbor International Fund - Inst	A	Int./Div.	K	T					
168. -Alerian MLP ETF Fund	A	Int./Div.	J	T					
169. -Schwab Bank Sweep	A	Interest	K	T					
170. The Vittoria Fund, LP - passive LP interest	F	Int./Div.	O	U					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting

Greenberg, William S.

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. JBG Investment Fund VIII - passive LP interest	A	Int./Div.	L	U					
172. Veritable LPC Partners LP - passive LP interest	F	Int./Div.	M	U					
173. BKWG, Inc.	D	Distribution	J	U					
174. Northwestern Mutual Whole Life Insurance Policies	E	Dividend	O	T					
175. MassMutual Whole Life Insurance Policies	B	Dividend	K	T					
176. New York Life Whole Life Insurance Policy		None	J	T					
177. AAFMAA Whole Life Insurance Policy		None	J	T					
178. Wells Fargo Checking		None	J	T					
179. SunTrust Bank Checking		None	J	T					
180. Wolfpac Holdings, Inc.	A	Distribution			Closed	09/30/19	K		
181. Brokerage Account #5 (H)									
182. -Altria Group Inc. Stock	A	Dividend			Donated				
183. -Walt Disney Co. Stock	A	Dividend	K	T					
184. -General Electric Stock	A	Dividend	J	T					
185. -JP Morgan Chase Stock	B	Dividend	L	T					
186. -Mondelez International Inc. Stock	A	Dividend			Donated				
187. -Pfizer Inc. Stock	B	Dividend	K	T					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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188. -Philip Morris International Inc. Stock	B	Dividend	K	T					
189. -Henry Schein Inc. Stock		None	K	T					
190. -Exxon Mobil Corp. Stock	B	Dividend	K	T					
191. -Schlumberger Ltd. Stock	A	Dividend	K	T					
192. -PNC Government Money Market Fund #405 (Y)	A	Interest							
193. -Federated Government Obligations Fund Sel. (X)	A	Interest	J	T					
194. -Covetrus Inc. Stock		None	J	T	Spinoff (from line 189)	02/04/19	J		
195. -Wabtec Stock	A	Dividend	J	T	Spinoff (from line 184)	02/25/19	J		
196.					Sold (part)	03/05/19	J		
197. Archean Capital Partners I, L.P. - Advanced Cl A - passive LP interest		None	K	U	Sold (part)	01/01/19	K		
198.					Buy (add'l)	08/14/19	J		
199.					Sold (part)	10/01/19	J		
200.					Sold (part)	12/05/19	K		
201.					Buy (add'l)	12/12/19	K		
202. Archean Capital Partners I, L.P. - passive LP interest	D	Int./Div.	L	U	Buy (add'l)	01/01/19	K		
203.					Buy (add'l)	10/01/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

-Item 5 (Heading: Brokerage Account #1) was sold on February 1, 2018 for L value and no reportable gain. This sale was inadvertently excluded from the 2018 AO-10.

-Items 29 - 61 (Heading: Brokerage Account #1) were transferred to Brokerage Account #1 from Brokerage Account #4 on December 9, 2019.

-Item 64 (Heading: Brokerage Account #3) contains no reportable assets. Heading title is included for continuity purposes only.

-Item 66 (Heading: Brokerage Account #4) was exchanged for Item 101 in May 2019. Both assets are cash equivalent securities funded with cash.

-Item 138 (Heading: 401(k) #1) contains no reportable assets. Heading title is included for continuity purposes only.

-Item 140 (Heading: Trust #1) is a cash equivalent security funded with cash that is alternatively referred to as "CRA 12 Deposit Account" and "BNY Mellon Money Market Fund" by the reporting financial institution. No sale or purchase information is available to indicate that these are treated as separate assets by the reporting financial institution.

-Item 143 (Heading: Trust #1) was purchased as "Dreyfus Small Cap Stock Index Fund." Effective on or about June 3, 2019, this fund was rebranded as "BNY Mellon Smallcap Stock Index Fund."

-Item 150 (Heading: Trust #1) was purchased as "Dreyfus International Stock Fund." Effective on or about June 3, 2019, this fund was rebranded as "BNY Mellon International Stock Fund."

-Item 165 (Heading: Trust #2) was purchased as "Dreyfus International Stock Fund." Effective on or about June 3, 2019, this fund was rebranded as "BNY Mellon International Stock Fund."

-Item 180 corporation dissolved on September 30, 2019.

-Item 192 (Heading: Brokerage Account #5) was exchanged for Item 193 on November 15, 2019. Both assets are cash equivalent securities funded with cash.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William S. Greenberg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544