

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pacold, Martha M.	2. Court or Organization U.S. District Court for the Northern District of Illinois	3. Date of Report 08/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Everett McKinley Dirksen U.S. Courthouse 219 South Dearborn Street Room 1460 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member, Board of Trustees	Saint Ignatius College Prep
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 9/2007	Bartlit Beck Herman Palenchar & Scott LLP (former law firm)'s 401(k) plan - Filer will continue to participate in plan. No contributions will be made by firm.
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Pacold, Martha M.

Date of Report

08/13/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Notre Dame Law School	November 9-10, 2019	South Bend, IN	Fourth Annual Notre Dame National Appellate Advocacy Tournament for Religious Freedom	Hotel, meals
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Pacold, Martha M.	08/13/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. 401(k) Plan (H)									
2. American Funds American Balanced R6 (RLBGX)	E	Dividend	N	T					
3. Brokerage Account #1 (H)									
4. General Mny Mkt Fund Cl B (sweep deposit account)	A	Dividend			Closed	08/19/19	J		
5. Northwestern Mutual FDIC Insured Deposit Progrm (sweep deposit acct)	A	Interest	J	T	Open	08/19/19	J		
6. Van Eck Constant Maturity Commodity Index Fund Cl Y (CMCYX)	A	Dividend	K	T					
7. iShares Tr Core S&P Mid-Cap ETF (IJH)	A	Dividend	K	T	Sold (part)	07/01/19	J	A	
8.					Sold (part)	08/09/19	J	A	
9.					Sold (part)	12/18/19	J	A	
10. iShares Tr Core S&P Small-Cap ETF (IJR)	A	Dividend	K	T	Sold (part)	07/01/19	J	A	
11.					Buy (add'l)	08/19/19	J		
12.					Buy (add'l)	11/25/19	J		
13.					Sold (part)	12/18/19	J	A	
14. iShares Tr Natl Mun Bd ETF Fd (MUB)	B	Dividend	K	T	Sold (part)	08/02/19	J	A	
15.					Sold (part)	08/09/19	J	A	
16. SPDR S&P 500 ETF Tr Tr Unit (SPY)	C	Dividend	M	T	Sold (part)	02/21/19	J	C	
17.					Sold (part)	05/17/19	J	C	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	07/01/19	J	B	
19.					Sold (part)	08/02/19	J	B	
20.					Sold (part)	08/09/19	J	B	
21.					Sold (part)	08/30/19	J	A	
22.					Sold (part)	11/25/19	J	C	
23.					Sold (part)	12/18/19	J	C	
24. SPDR Ser Tr Nuveen Bloomberg Barclays Mun Bd ETF (TFI)	A	Dividend	K	T	Sold (part)	08/02/19	J	A	
25. SPDR Ser Tr Nuveen Bloomberg Barclays Short Term Mun Bd ETF (SHM)	A	Dividend	K	T					
26. VanEck Vectors ETF Tr High-Yield Mun Index ETF (HYD)	A	Dividend	K	T					
27. Vanguard Tax-Managed Intl Fd FTSE Developed Mkts ETF (VEA)	C	Dividend	M	T	Sold (part)	07/01/19	J	A	
28.					Sold (part)	08/09/19	J		
29.					Sold (part)	08/19/19	J		
30.					Sold (part)	08/30/19	J		
31.					Sold (part)	12/18/19	J	A	
32. Vanguard Intl Equity Index Fds FTSE Emerging Mkts ETF (VWO)	B	Dividend	L	T					
33. Vanguard Index Fds Real Estate Index Fd ETF (VNQ)	A	Dividend	J	T	Sold (part)	05/17/19	J	A	
34.					Sold (part)	08/02/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Pacold, Martha M.

Date of Report

08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold (part)	11/25/19	J	A	
36. IRA (H)									
37. General Mny Mkt Fund Cl B (sweep deposit account)	A	Dividend			Closed	08/19/19	J		
38. Northwestern Mutual FDIC Insured Deposit Progrm (sweep deposit acct)	A	Interest	J	T	Open	08/19/19	J		
39. Invesco Balanced- Risk Commodity Strategy Fund Cl Y (BRCYX)	A	Dividend	J	T					
40. Baron Asset Fund Institutional Class (BARIX)	A	Distribution	J	T	Buy	03/18/19	J		
41. Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T					
42. Europacific Growth Fund Class F-2 (AEPFX)	A	Dividend	J	T					
43. Fidelity Advisor Mid Cap II Fund Class I (FIIMX)	A	Distribution			Sold	03/18/19	J		
44. Fidelity Advisor New Insights Fund Class I (FINSX)	A	Dividend	J	T					
45. Goldman Sachs International Small Cap Insights Fund Class I (GICIX)	A	Dividend	J	T					
46. Goldman Sachs Absolute Return Tracker Fd Inst'l Cl (GJRTX)	A	Dividend	J	T	Buy	10/07/19	J		
47. The Oakmark International Fund Investor Class (OAKIX)	A	Dividend	J	T					
48. John Hancock Disciplined Value Mid Cap Fund Class I (JVMIX)	A	Dividend	J	T					
49. MFS Value Fund Class I (MEIIX)	A	Dividend	J	T					
50. New World Fund Class F-2 (NFFFX)	A	Dividend	J	T					
51. Northern Small Cap Value Fund (NOSGX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Pimco Income Fund Class I-2 (PONPX)	A	Dividend	J	T	Sold (part)	10/07/19	J		
53. Principal Real Estate Securities Fd Cl I (PIREX)	A	Dividend	J	T	Buy	03/18/19	J		
54. Principal Global Multi Strategy Fund Class I (PSMIX)		None	J	T	Buy	10/07/19	J		
55. T Rowe Price Emerging Markets Stock (PRMSX)	A	Dividend	J	T					
56. T Rowe Price Real Estate (TRREX)		None			Sold	03/18/19	J	A	
57. TIAA-CREF Bond Fund Advisor Class (TIBHX)	A	Dividend	J	T					
58. Brokerage Account #2 (H)									
59. Schwab Bank Savings Sweep account	A	Interest	J	T					
60. Invesco FTSE RAFI Developed Markets Ex-U.S. Small-Mid ETF (PDN)	A	Dividend	J	T					
61. Invesco FTSE RAFI Emerging Markets ETF (PXH)	A	Dividend	J	T					
62. Invesco FTSE RAFI US 1000 ETF (PRF)	A	Dividend	K	T					
63. Invesco FTSE RAFI US 1500 Small-Mid ETF (PRFZ)		None			Sold	01/07/19	J		
64. iShares Core US REIT ETF (USRT)	A	Dividend	J	T					
65. iShares International Dev RI Est ETF (IFGL)	A	Dividend			Sold	05/21/19	J	A	
66. Schwab Emerging Markets Equity ETF (SCHE)	A	Dividend	J	T					
67. Schwab Fundamental Intl Large Com ETF (FNDF)	A	Dividend	J	T					
68. Schwab Fundamental US Small Com ETF (FNDA)	A	Dividend	J	T	Buy	01/07/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Pacold, Martha M.

Date of Report

08/13/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Schwab Internatnal Small Cap Eqty ETF (SCHC)	A	Dividend	J	T					
70. Vanguard FTSE Developed Markets ETF (VEA)	A	Dividend	J	T					
71. Vanguard S&P 500 ETF (VOO)	A	Dividend	J	T					
72. Vanguard Small Cap ETF IV (VB)	A	Dividend	J	T					
73. Xtrackers Inter Real Est ETF (HAUZ)	A	Dividend	J	T	Buy	05/21/19	J		
74. Roth IRA #1 (H)									
75. Schwab Bank Savings Sweep account	A	Interest	J	T					
76. Charles Schwab US REIT ETF (SCHH)	A	Dividend	J	T					
77. Invesco FTSE RAFI Developed Markets Ex-U.S. Small-Mid ETF (PDN) (Y)									
78. iShares Core MSCI Emerging ETF (IEMG)	A	Dividend	J	T					
79. Schwab Funda Emg Mkts Large Com ETF (FNDE)	A	Dividend	J	T					
80. Schwab Fundamental Intl Large Com ETF (FNDF)	A	Dividend	J	T					
81. Schwab Fundamental Intl Smal Com ETF (FNDC)	A	Dividend	J	T					
82. Schwab Fundamental US Large Co ETF (FNDX)	A	Dividend	K	T					
83. Schwab Fundamental US Small Com ETF (FNDA)	A	Dividend	J	T					
84. Schwab Internatnal Small Cap Eqty ETF (SCHC)	A	Dividend	J	T					
85. Schwab US Small Cap ETF (SCHA)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Vanguard FTSE Developed Markets ETF (VEA)	A	Dividend	J	T					
87. Vanguard Glbal Ex US Real Estate ETF (VNQI)	A	Dividend	J	T					
88. Vanguard S&P 500 ETF (VOO)	A	Dividend	J	T					
89. Bank of America cash accounts	A	Interest	J	T					
90. Capital One cash accounts	A	Interest	J	T					
91. HSA Bank cash account (HSA)	A	Interest	K	T					
92. Northwestern Mutual Adjustable Complife Life Insurance (whole)	C	Dividend	L	T					
93. Northwestern Mutual Adjustable Complife Life Insurance (whole)	B	Dividend	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting Pacold, Martha M.	Date of Report 08/13/2020
---	---

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Pacold, Martha M.

Date of Report

08/13/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Martha M. Pacold**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544