

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SICKEL, JAMES R.	2. Court or Organization U.S. DISTRICT COURT, E D of WI	3. Date of Report 09/21/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE, PART-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address P. O. BOX 1626 GREEN BAY, WI 54305-1626		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. SOLE PROPRIETOR	JAMES R SICKEL ATTORNEY
2. MEMBER	JEFFERSON COURT BUILDING, LLC
3. MEMBER	JAMKAT, LLC
4. MEMBER	EASTERN HORIZON, LLC
5. MEMBER	HURON PROFESSIONAL CENTER, LLC
6. MEMBER	709 EAST, LLC

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Self-employed, Attorney (James R Sickel Attorney)	\$34,699.47
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. Associated Bank Accounts	C	Interest	O	T	Buy (add'l)	01/22/19	J		
2. JP Morgan Chase Checking Account		None	L	T					
3. BMO Harris Checking Account		None			Closed	01/22/19	J		
4. Neuberger Berman - Mid Cap Growth Fd Inv Cl	E	Dividend	O	T					
5. First Bancshares, Inc.		None	J	T					
6. Parnassus Funds - Parnassus Fund (PARNX)	B	Dividend	K	T					
7. BAIRD ACCOUNT (H)									
8. -General Muni Money Mkt		None			Closed	08/12/19	J		
9. -Dreyfus Money Market Fund	A	Interest			Closed	08/12/19	K		
10. -Insured Deposit (US Bank)	A	Interest	L	T	Buy (add'l)	08/12/19	K		
11. -Calvert Equity CL A (CSIEX)	A	Dividend	K	T	Buy (add'l)	12/03/19	J		
12. -Putnam Investors CL A (PMYAX)	B	Dividend	K	T	Buy (add'l)	12/09/19	J		
13. IRA #1 - ASSOCIATED BANK	A	Interest	K	T					
14. IRA #2 - BMO HARRIS BANK	A	Interest	J	T					
15. IRA #3- ALERUS - Vang Tar Retire 2010 INV	D	Int./Div.	M	T	Distributed (part)	12/01/19	J		
16. IRA #4 - AMERICAN FUNDS (H)									
17. -Fundamental Investors C (AFICX)	D	Dividend	L	T	Distributed (part)	11/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Fundamental Investors C (AFICX)					Redeemed (part)	9/10/19	J		
19. -Smallcap World Fund C (SCWCX)	C	Dividend	L	T	Distributed (part)	11/15/19	J		
20. -New World Fund C (NEWCX)		None			Redeemed	09/10/19	K		
21. -American Balanced Fund C (BALCX)	B	Dividend	L	T	Distributed (part)	11/15/19	J		
22. -AF U.S. Government Money Market A (AFAXX)	A	Dividend	J	T	Distributed (part)	11/15/19	J		
23. -Fundamental Investors A (ANCFX)	C	Dividend	L	T	Distributed (part)	11/15/19	J		
24. -Fundamental Investors A (ANCFX)					Buy (add'l)	04/16/19	J		
25. -American Balanced Fund A (ABALX)	C	Dividend	L	T	Distributed (part)	11/15/19	J		
26. -American Balanced Fund A (ABALX)					Buy (add'l)	04/16/19	J		
27. -Capital World Growth and Income Fund A (CWGIX)	B	Dividend	K	T	Distributed (part)	11/15/19	J		
28. -AF U.S. Government Money Market F1 (AFFXX)	A	Dividend	J	T	Distributed (part)	11/15/19	J		
29. -Smallcap World Fund F1 (SCWFX)	B	Dividend	K	T	Distributed (part)	11/15/19	J		
30. -Fundamental Investors F1 (AFIFX)	B	Dividend	K	T	Distributed (part)	11/15/19	J		
31. -Smallcap World Fund A (SMCWX)	A	Dividend	J	T	Distributed (part)	11/15/19	J		
32. -Smallcap World Fund A (SMCWX)					Buy (add'l)	04/16/19	J		
33. -American Balanced Fund F1 (BALFX)	A	Dividend	J	T	Buy	09/10/19	J		
34. -New World Fund F1 (NWFFX)	A	Dividend	K	T	Buy	09/10/19	K		

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35. -New World Fund F1 (NWFFX)					Distributed (part)	11/15/19	J		
36. JAMKAT, LLC (See Section VIII)	E	Rent	N	S					
37. JEFFERSON COURT BLDG LLC (See Section VIII)	G	Rent	P1	S					
38. EASTERN HORIZONS LLC (See Section VIII)	E	Rent	N	U					
39. HURON PROF CENTER LLC (See Section VIII)	A	Rent	L	U					
40. 709 EAST, LLC (See Section VIII)	A	Rent	L	U					
41. WIS National Life n/k/a Humana Dental		None	K	T					
42. Business Vehicle (SEE SECTION VIII)		None	J	V					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
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U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, Page 3, Line #36 JAMKAT, LLC (100% Ownership) - 100% assessed value \$485,747. Property located in Milwaukee, WI and Green Bay, WI.
- 2) Part VII, Page 3, Line #37 JEFFERSON COURT BLDG, LLC (90% Ownership) - 100% assessed value \$2,276,000. Property located in Green Bay, WI.
- 3) Part VII, Page 3, Line #38 EASTERN HORIZONS, LLC (14.29% Ownership) - Tax basis value \$452,868 (14.29%). Property located in Green Bay, WI.
- 4) Part VII, Page 3, Line #39 HURON PROFESSIONAL CENTER, LLC (14.29% Ownership) - Tax basis value \$52,485 (14.29%). Property located in Green Bay, WI.
- 5) Part VII, Page 3, Line #40 709 EAST, LLC (25.00% Ownership) - Tax basis value \$92,429 (25.00%). Property located in Oak Park, IL.
- 6) Part VII, Page 3, Line #32 BUSINESS VEHICLE- Business portion of vehicle used for self-employed business. Value listed at blue book value of \$11,233

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JAMES R. SICKEL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544