

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Little, Christine D.	2. Court or Organization U.S. District Court, Northern District of Oklahoma	3. Date of Report 05/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge, full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final	6. Reporting Period 01/01/2019 to 11/30/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address 333 W. 4th Street Room 411 Tulsa, OK 74103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust - no reportable assets
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Whirlpool Corporation, Salary
2. 2020	Whirlpool Corporation, Salary
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Oklahoma 529 College Savings Plan					Exempt				
2. - 2028/2029 Enrollment Option		None	J	T	Exempt				
3. - Fixed Income Option		None	J	T	Exempt				
4. Arvest cash account	A	Interest	J	T	Exempt				
5. Investment Account #1 (H)					Exempt				
6. - Edward Jones Retirement Money Market	A	Int./Div.	J	T	Exempt				
7. - iShares Core US Aggregate Bond	A	Dividend	J	T	Exempt				
8. - Spdr Dj Global Real Estate ETF	A	Dividend	J	T	Exempt				
9. - Vanguard FTSE Dev Mkts ETF	A	Dividend	J	T	Exempt				
10. - Vanguard Growth ETF	A	Dividend	J	T	Exempt				
11. - Vanguard Value ETF	A	Dividend	J	T	Exempt				
12. - Vanguard Large Cap ETF	A	Dividend	J	T	Exempt				
13. - Vanguard Small Cap ETF	A	Dividend	J	T	Exempt				
14. - Bridge Builder Core Plus Bond	A	Dividend	J	T	Exempt				
15. - Bridge Builder INTL Equity	A	Dividend	J	T	Exempt				
16. - Bridge Builder Large Growth	A	Dividend	J	T	Exempt				
17. - Bridge Builder Large Value	A	Dividend	J	T	Exempt				

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - Bridge Builder Small / Mid Grw	A	Dividend	J	T	Exempt				
19. - Bridge Builder Small / Mid Value	A	Dividend	J	T	Exempt				
20. - Federal Instl Hi-Yield BD R6	A	Dividend	J	T	Exempt				
21. - Goldman Fs Government I	A	Dividend	J	T	Exempt				
22. - JPM Emerging Markets Equity R6	A	Dividend	J	T	Exempt				
23. - Oakmark International I	A	Dividend	J	T	Exempt				
24. - Tcw Emerg Mrkts Income Plan P	A	Dividend	J	T	Exempt				
25. - H&W High Yield Z	A	Dividend			Exempt				
26. - H&W High Yield I	A	Dividend			Exempt				
27. Investment Account #2 (H)					Exempt				
28. - Vanguard Target Retirement 2030 Trust Plus	A	Dividend	M	T	Exempt				
29. - Vanguard Extended Market Index Fun Institutional Plus Shares	A	Dividend	K	T	Exempt				
30. - Vanguard FTSE All-World ex-US Index Fund Institutional Plus	A	Dividend	K	T	Exempt				
31. - Vanguard Growth Index Fund Institutional Shares	A	Dividend	L	T	Exempt				
32. - Vanguard Institutional Index Fund Institutional Plus Shares	A	Dividend	K	T	Exempt				
33. - Vanguard Retirement Savings Trust III	A	Dividend	J	T	Exempt				
34. - Vanguard Total Bond Market Index Fund Institutional Plus Shares	A	Dividend	K	T	Exempt				

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NONE (No reportable income, assets, or transactions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Vanguard Value Index Fund Institutional Shares	A	Dividend	K	T	Exempt				
36. - Whirlpool Stock Fund	A	Dividend	J	T	Exempt				

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Oklahoma 529 College Savings Plan (Part VII, lines 1-3) does not report credited income to the account, but instead reports a "unit price" and total "value."
No income was reported as having been credited to that account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christine D. Little**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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