

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, Robert L.	2. Court or Organization Bankruptcy Court - N.D. Texas	3. Date of Report 05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Bankruptcy Court 1205 Texas Avenue, Room 312 Lubbock, TX 79401-4002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Stockholder	Lubbock Country Club
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Jones, Robert L.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Texas Tech University - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Bar Association	1/11/2019	Irving, Texas	Bench/Bar Conference	Transportation
2. State Bar of Texas	4/17/2019 - 4/19/2019	Austin, Texas	Bench/Bar Conference	Transportation and lodging
3.				
4.				
5.				

Name of Person Reporting	Date of Report
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Robert Jones IRA carried by Wells Fargo Clearing Serv., custodian (H)									
2. --American FDS Global Balanced Market Fund and cash	B	Dividend	M	T					
3. Texas Teacher Retirement System (Texas Tech University)	D	Interest	N	T					
4. Texas Saver (457 Program) (H)									
5. -LifePath Index 2020 Fund (Money Market Fund)		None	M	T					
6. Wells Fargo Market Rate Savings Account	A	Interest	M	T					
7. Wells Fargo Market Rate Plus Savings Account	A	Interest	M	T					
8. Wells Fargo Advantage Plus Checking Account	A	Interest	J	T					
9. Estate (H)									
10. -Prudential Alliance Acct (savings account)	A	Interest	K	T					
11. -LPL Financial (Beneficiary) IRA									
12. --Deposit Cash Account (Insured) - Goldman Sachs Bank USA, et. al	A	Interest	J	T					
13. --Mutual Funds									
14. -- Blackrock High Yield Bond	A	Dividend	J	T					
15. -- American Century Equity	A	Dividend	J	T					
16. -- Blackrock Global	A	Dividend	J	T					
17. -- Calamos Market Neutral	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -- Ishares U.S. Pfd. Stock	A	Dividend	J	T					
19. -- Victory Shares U.S. Equity		None	J	T					
20. -- Pimco Income	A	Dividend	J	T					
21. -- Pimco All Asset (X)	A	Dividend	J	T					
22. -- Virtus SEIX	A	Dividend			Sold	03/21/19	J		
23. --Oppenheimer Steelpath MLP	A	Dividend	J	T					
24. --Washington Mutual	A	Dividend	J	T					
25. -LPL Financial Account (H)									
26. --JP Morgan Muni Money Market Fund	A	Interest	J	T					
27. --Equities									
28. -- AT&T, Inc.	A	Dividend	J	T					
29. -- Chevron Corp.	A	Dividend	J	T					
30. --Enbridge, Inc.	A	Dividend	J	T					
31. -- Ventas, Inc.	A	Dividend	J	T					
32. --Goldman Sachs Group, Inc. (corporate bonds)	A	Interest	J	T					
33. --Mutual Funds									
34. -- Western Asset Municipal Fund	A	Dividend	J	T					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -- AMCAP	A	Dividend	J	T					
36. -- American Century Equity Income	A	Dividend	J	T					
37. -- Blackrock Global Investor	A	Dividend			Sold	5/17/19	J		
38. -- Pimco All Asset	A	Dividend			Sold	5/17/19	J		
39. -- T Rowe Price	A	Dividend	J	T	Buy	5/17/19	J		
40. -- Calamos Market Neutral	A	Dividend	J	T					
41. -- Capital Income Builder	A	Dividend	J	T					
42. -- Franklin High Yield	A	Dividend	J	T					
43. -- Thornburg Strategic Mun. Income	A	Dividend	J	T					
44. -Plains Capital Bank Account	A	Interest	K	T					
45. -Wells Fargo Account	A	Interest	M	T					
46. -Rent House Lubbock, TX (1/2 interest) ((\$104,000-100% int.)	D	Rent	L	S					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. line 1: Wells Fargo Clearing Services is defined as Wells Fargo Advisors on reports I receive on the status of the IRA. I do not know the nature of First Clearing Corp.'s affiliation, if any, with Wells Fargo Clearing Services. But the IRA has always been managed by Wells Fargo Advisors.

Part VII. line 12: This asset was previously with JPMorgan Money Market Fund.

Part VII line 21: The Pimco All Asset was inadvertently omitted from last year's report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert L. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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