

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MOODY, JR., JAMES S.	2. Court or Organization U.S. DISTRICT COURT MIDDLE DISTRICT FL	3. Date of Report 08/08/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT COURT JUDGE (SENIOR STATUS)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. DISTRICT COURT 801 N. FLORIDA AVE TAMPA, FL 33602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Humana/Compbenefits, Inc. (severance pay)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Merrill Lynch	Margin Loan	M
2.	Moody Investments, LP	Loan	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SUNTRUST CASH ACCOUNTS (cash)	A	Interest	K	T					
2. MERRILL LYNCH BANK DEPOSIT PROGRAM (cash) (X)	A	Interest	J	T					
3. TRUST ACCOUNT #1 (H)									
4. ML BANK DEPOSIT PROGRAM (cash)	A	Interest	L	T					
5. Akoustis Technologies (AKTS)		None	K	T	Buy (add'l)	11/08/18	J		
6. Ambarella Inc (AMBA)		None	K	T					
7. Anheuser-Busch Inbev ADR (BUD)		None			Buy	10/05/18	K		
8.					Sold	11/15/18	K		
9. Annaly Cap Mgmt (NLY) (Y)									
10. Aphria Inc SHS (APHQF)		None	J	T	Buy (add'l)	01/10/18	J		
11. Apple Inc (AAPL)	A	Dividend			Buy	02/08/18	L		
12.					Sold (part)	03/20/18	L	D	
13.					Buy (add'l)	03/22/18	L		
14.					Sold	05/14/18	L	C	
15. Aptio Inc CL A (APTI)		None			Buy (add'l)	01/22/18	K		
16.					Sold (part)	01/23/18	K	C	
17.					Sold	02/21/18	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy	02/26/18	L		
19.					Sold	04/24/18	L	D	
20.					Buy	04/25/18	L		
21.					Sold	05/22/18	L	C	
22. Ardelyx Inc SH A (ARDX)		None	J	T	Buy (add'l)	10/24/18	J		
23. Ares Capital Corp (ARCC) (Y)									
24. Arista Networks Inc (ANET)		None	K	T	Buy	06/18/18	K		
25.					Buy (add'l)	09/27/18	K		
26. Aurora Cannabis Inc (ACBFF)	A	Dividend	K	T	Buy (add'l)	01/10/18	J		
27. Bitcoin Invt TR SHS USD (GBTC)	A	Dividend			Sold	02/07/18	K	B	
28. Boston Beer Company Inc (SAM)		None			Sold	01/22/18	M	E	
29. BP PLC (BP) (Y)									
30. Calyxt Inc Reg SHS (CLXT)		None	K	T	Buy	10/24/18	K		
31. Canopy Growth Corp (TWMJF)		None	L	T	Buy (add'l)	01/10/18	K		
32. Crispr Therapeutics AG (CRSP)		None	K	T	Buy	07/27/18	L		
33. Editas Medicine Inc SHS (EDIT)		None	K	T	Buy	07/27/18	K		
34. Cyber-Ark Software Ltd (CYBR)		None			Sold	05/22/18	L	D	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Ensco PLC SHS SL A (ESV)	A	Dividend			Sold	04/24/18	K		
36. Fireeye Inc (FEYE)		None	K	T	Sold (part)	01/22/18	K	B	
37.					Buy (add'l)	01/24/18	K		
38.					Sold	02/21/18	K	C	
39.					Buy	02/26/18	K		
40.					Sold	03/20/18	K	A	
41.					Buy	03/22/18	K		
42.					Sold	11/20/18	K	B	
43.					Buy	11/23/18	K		
44. Hortonworks Inc SHS (HDP)		None	K	T	Buy	02/26/18	K		
45.					Buy (add'l)	08/20/18	K		
46.					Sold (part)	08/21/18	K	B	
47.					Buy (add'l)	09/25/18	K		
48.					Sold (part)	09/25/18	K	B	
49.					Buy (add'l)	10/24/18	K		
50. II-VI Inc (IIVI)		None	K	T	Buy	10/12/18	K		
51. Lumentum Holdings Inc (LITE)		None	K	T	Buy (add'l)	02/26/18	L		

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52.					Sold (part)	02/21/18	L		
53.					Sold	03/20/18	L		
54.					Buy (add'l)	10/24/18	L		
55. Macom Technology (MTSI)		None	K	T	Buy	10/24/18	K		
56.					Buy (add'l)	11/23/18	K		
57.					Sold (part)	11/20/18	K	D	
58. New Gold Inc CDA (NGD)		None	J	T					
59. New Relic Inc (NEWR)		None	L	T	Buy	02/26/18	K		
60.					Sold	04/24/18	L	C	
61.					Buy	04/25/18	K		
62.					Sold	05/22/18	L	D	
63.					Buy	05/30/18	L		
64.					Sold	06/19/18	L	D	
65.					Buy	06/25/18	M		
66. Nuance Communications (NUAN)		None	K	T	Buy	02/26/18	K		
67.					Buy (add'l)	03/22/18	K		
68.					Sold (part)	03/20/18	K	B	

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69. OKTA Inc Reg SHS (OKTA)		None	L	T	Buy	02/26/18	K		
70.					Sold	04/24/18	K	D	
71.					Buy	04/27/18	K		
72.					Sold	06/19/18	K	D	
73.					Buy	06/25/18	L		
74.					Sold	07/24/18	L	C	
75.					Buy	07/27/18	L		
76.					Sold (part)	09/25/18	L	D	
77. Overstock.com Inc (OSTK)		None	J	T					
78. Proctor & Gamble Co (PG)		None			Buy	05/30/18	K		
79.					Sold	07/20/18	K	B	
80. Regions Finl Corp (RF)	B	Dividend	K	T					
81. Shopify Inc (SHOP)		None	L	T	Buy	05/30/18	L		
82.					Sold	06/19/18	L	C	
83.					Buy	06/21/18	L		
84.					Sold (part)	09/25/18	L		
85. Snap Inc CL A (SNAP)		None	J	T	Sold	01/23/18	J		

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86.					Buy	01/24/18	J		
87.					Sold	02/21/18	J	A	
88.					Buy	02/22/18	K		
89. Square Inc (SQ)		None	L	T	Buy	05/30/18	L		
90.					Sold	06/19/18	L	C	
91.					Buy	06/21/18	K		
92.					Sold	08/21/18	K	C	
93.					Buy	08/22/18	K		
94.					Sold (part)	09/25/18	K	C	
95. Starbucks Corp (SBUX)	A	Dividend			Buy	05/30/18	L		
96.					Buy (add'l)	07/27/18	L		
97.					Sold (part)	08/21/18	L		
98.					Buy (add'l)	08/22/18	L		
99.					Sold (part)	10/23/18	L	A	
100.					Sold	11/15/18	L	D	
101. Suncor Energy Inc (SU)	A	Dividend			Sold	05/22/18	L	D	
102. Suntrust Bks Inc (STI)	A	Dividend	J	T					

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103. Torex Gold Resources Inc (TORXF)		None	J	T					
104. Valero Energy Corp (VLO)		None	L	T	Buy	12/31/18	L		
105. Western Alliance (WAL)		None	K	T					
106. Wells Fargo & Co (WFC)	B	Dividend	K	T	Buy (add'l)	04/27/18	L		
107.					Sold (part)	06/19/18	L		
108.					Buy (add'l)	06/25/18	L		
109.					Sold (part)	07/24/18	L	C	
110. Wix Com Ltd (WIX)		None	L	T	Buy	06/11/18	K		
111.					Sold (part)	07/24/18	K	C	
112.					Buy (add'l)	07/27/18	L		
113.					Sold (part)	09/25/18	L	D	
114. Wynn Resorts Ltd (WYNN)		None	K	T	Buy	12/31/18	K		
115. Zendesk Inc SHS (ZEN)		None	L	T	Buy	12/20/18	L		
116. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
117. TRUST ACCOUNT #2 (H)									
118. Annaly Cap Mgmt (NLY)	C	Dividend	K	T					
119. Aphria Inc SHS (APHQF)		None	J	T	Buy	05/30/18	J		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Ares Capital Corp (ARCC) (X)	B	Dividend	K	T					
121. Bank of America Corp (BAC)	D	Dividend	N	T					
122. Aurora Cannabis Inc (ACB)	A	Dividend	J	T	Buy	05/30/18	J		
123. BP PLC (BP) (X)	B	Dividend	K	T					
124. Canopy Growth Corp (CGC)		None	J	T	Buy	05/30/18	J		
125. Royal Gold Inc (RGLD)	B	Dividend	M	T					
126. Spero Therapeutics Inc (SPRO)		None	J	T	Buy	06/21/18	K		
127. Vivendi SHS UNSP ADR (VIVHY)	A	Dividend	J	T					
128. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T	Buy	03/22/18	J		
129. BROKERAGE ACCOUNT #1 (H)									
130. ML BANK DEPOSIT PROGRAM (cash)	A	Interest	K	T					
131. BP PLC (BP)	A	Dividend	J	T					
132. Valero Energy Corp (VLO)	A	Dividend	J	T					
133. Wells Fargo & Co (WFC)	A	Dividend	J	T					
134. BROKERAGE ACCOUNT #2 (H)									
135. ML BANK DEPOSIT PROGRAM (cash)	A	Interest	K	T					
136. BP PLC (BP)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Valero Energy Corp (VLO)	A	Dividend	J	T					
138. Wells Fargo & Co (WFC)	A	Dividend	J	T					
139. BROKERAGE ACCOUNT #3 (H)									
140. ML BANK DEPOSIT PROGRAM (cash)	A	Interest	J	T					
141. Proctor & Gamble (PG)	A	Dividend	K	T					
142. Travelers Cos Inc (TRV)	A	Dividend	K	T					
143. Starbucks Corp (SBUX)	A	Dividend	J	T					
144. Valero Energy Corp (VLO)	A	Dividend	J	T					
145. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
146. BROKERAGE ACCOUNT #4 (H)									
147. ML BANK DEPOSIT PROGRAM (cash) (X)	A	Interest	M	T					
148. AKOUSTIS TECHNOLOGIES (AKTS) (X)		None	K	T	Buy (add'l)	11/08/18	J		
149. ALIO GOLD INC REG SHS (ALO) (X)		None	J	T					
150. ALLEGIANT TRAVEL CO (ALGT)	A	Dividend	K	T	Buy	04/18/18	L		
151.					Sold	05/18/18	L		
152.					Buy	06/25/18	K		
153. ALPHABET INC SHS CL C (GOOG)		None	M	T	Buy	06/06/18	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	08/21/18	M		
155.					Buy	08/22/18	M		
156. AMBARELLA INC (AMBA) (X)		None	L	T					
157. ANHEUSER-BUSCH INBEV ADR (BUD)		None			Buy	05/03/18	L		
158.					Sold	11/23/18	M		
159. APHRIA INC SHS (APHA) (formerly (APHQF)) (X)		None	J	T					
160. APPLE INC (AAPL) (X)		None			Sold	01/23/18	M		
161.					Buy	01/24/18	M		
162.					Buy (add'l)	02/08/18	M		
163.					Sold (part)	03/20/18	M		
164.					Sold	05/14/18	M		
165. APPTIO INC CL A (APT1)		None			Buy	01/24/18	L		
166.					Sold	02/21/18	L		
167.					Buy	02/23/18	K		
168.					Sold	04/24/18	K		
169.					Buy	04/27/18	K		
170.					Sold	05/22/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. ARDELYX INC SHS (ARDX) (X)		None	J	T					
172. ARES CAPITAL CORP (ARCC) (X)	C	Dividend	K	T					
173. ARISTA NETWORKS INC (ANET)		None	L	T	Buy	06/18/18	K		
174.					Buy (add'l)	08/06/18	K		
175.					Buy (add'l)	09/26/18	K		
176. ASTELLAS PHARMA INC SHS (ALPMY) (X)	A	Dividend	K	T	Buy (add'l)	01/24/18	J		
177. AURORA CANNABIS INC (ACB) (formerly (ACBFF)) (X)		None	K	T					
178. AXOS FINL INC (AX) (formerly BOFI HLDG INC (BOFI)) (X)		None	K	T	Sold	02/21/18	L		
179.					Buy	02/23/18	K		
180.					Sold	03/20/18	K		
181.					Buy	03/21/18	K		
182. BELO SUN MINING CORP (VNNHF) (X)		None	J	T					
183. CANOPY GROWTH CORP (CGC) (formerly TWMJF) (X)		None	L	T					
184. CIRRUS LOGIC INC DEL (CRUS)		None	K	T	Buy	03/22/18	K		
185. CME GROUP INC (CME) (X)	B	Dividend	L	T	Sold	01/23/18	M		
186.					Buy	01/24/18	M		
187.					Sold	02/21/18	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy	03/21/18	M		
189.					Sold	04/24/18	M		
190.					Buy	04/27/18	M		
191.					Sold	06/08/18	M		
192.					Buy	06/11/18	M		
193.					Sold	10/23/18	M		
194.					Buy	10/24/18	L		
195.					Sold	11/20/18	L		
196.					Buy	11/23/18	L		
197. CRISPR THERAPEUTICS AG (CRSP) (X)		None	L	T	Sold (part)	01/23/18	K		
198.					Buy	02/26/18	K		
199.					Buy	03/12/18	L		
200.					Sold (part)	03/20/18	K		
201.					Buy (add'l)	03/21/18	L		
202.					Sold	05/22/18	M		
203.					Buy	05/23/18	M		
204. CYBER-ARK SOFTWARE LTD (CYBR)		None	L	T	Buy	02/26/18	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold	05/22/18	M		
206.					Buy	05/23/18	M		
207.					Sold	06/19/18	M		
208.					Buy	06/25/18	L		
209.					Sold	07/24/18	L		
210.					Buy	07/25/18	L		
211.					Sold	08/21/18	L		
212.					Buy	08/22/18	L		
213. EDITAS MEDICINE INC SHS (EDIT) (X)		None	K	T	Sold	01/23/18	K		
214.					Buy	01/24/18	K		
215.					Buy (add'l)	02/26/18	K		
216.					Sold (part)	06/19/18	L		
217.					Buy (add'l)	06/22/18	L		
218. ELDORADO GOLD CORP (formerly ELDORADO GOLD CORP NEW) (EGO) (X)		None	J	T					
219. ENDO INTL PLC SHS (ENDP) (X)		None	K	T					
220. ENERGOUS CORP SHS (WATT) (X)		None	J	T	Sold	01/02/18	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221.					Buy	01/05/18	K		
222.					Sold (part)	01/10/18	J		
223.					Buy	02/26/18	K		
224. ENSCO PLC SHS CL A (ESV) (X)		None			Sold	01/23/18	K		
225.					Buy	02/07/18	K		
226.					Sold	05/22/18	K		
227. EQUITY COMMONWEALTH (EQC) (X)		None	L	T					
228. FAIRFAX FINL HLDGS LTD (FRFHF)	A	Dividend	K	T	Buy	01/24/18	L		
229. FIREEYE INC (FEYE) (X)		None	K	T	Sold	02/21/18	K		
230.					Buy	02/23/18	K		
231.					Sold	04/24/18	K		
232.					Buy	04/27/18	K		
233. FRONTIER COMMUNICATIONS (FTR) (X)		None			Sold (part)	05/07/18	J		
234.					Sold (part)	05/09/18	J		
235.					Sold	05/22/18	K		
236. GILEAD SCIENCES INC COM (GILD) (X)	B	Dividend	L	T	Sold	01/23/18	M		
237.					Buy	01/24/18	L		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238. HORTONWORKS INC SHS (HDP) (X)		None	K	T	Sold	03/20/18	K		
239.					Buy	03/21/18	K		
240.					Sold	08/21/18	K		
241.					Buy	08/22/18	K		
242.					Sold	09/25/18	K		
243.					Buy	09/26/18	K		
244. II-VI INC (IIVI)		None	K	T	Buy	10/12/18	K		
245. ILLUMINA INC COM (ILMN)		None	M	T	Buy	01/24/18	M		
246.					Sold	04/24/18	M		
247.					Buy	04/27/18	M		
248.					Sold	06/19/18	M		
249.					Buy	06/25/18	M		
250.					Sold	08/21/18	M		
251.					Buy	08/22/18	M		
252.					Sold	09/25/18	M		
253.					Buy	11/16/18	M		
254. INTELLIA THERAPEUTICS (NTLA) (X)		None	K	T	Sold	06/19/18	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

255.					Buy	06/25/18	L		
256. KINDER MORGAN INC DEL (KMI) (X)	B	Dividend	K	T					
257. KIRKLAND LAKE GOLD LTD (KL) (X)		None			Sold	01/23/18	K		
258.					Buy	01/24/18	K		
259.					Sold	05/22/18	K		
260. LUMENTUM HOLDINGS INC (LITE) (X)		None	L	T	Sold (part)	02/21/18	M		
261.					Buy (add'l)	02/23/18	L		
262.					Sold (part)	03/20/18	M		
263.					Sold	05/22/18	M		
264.					Buy	05/23/18	M		
265.					Sold	09/25/18	M		
266.					Buy	10/24/18	M		
267. MARRIOTT INTL INC NEW A (MAR)	A	Dividend	L	T	Buy	07/25/18	L		
268. MICROVISION INC (MVIS) (X)		None	J	T					
269. NEW GOLD INC CDA (NGD) (X)		None	J	T					
270. NEW RELIC INC (NEWR) (X)		None			Sold	02/21/18	L		
271.					Buy	03/21/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

272.					Sold	05/22/18	L		
273. NOKIA CORP SPON ADR (NOK) (X)		None			Sold	10/24/18	J		
274. NUANCE COMMUNICATIONS INC (NUAN) (X)		None	K	T					
275. NUTANIX INC (NTNX) (X)		None	L	T	Sold	03/20/18	L		
276.					Buy	03/27/18	L		
277.					Sold	04/24/18	L		
278.					Buy	04/27/18	M		
279.					Sold	06/19/18	M		
280.					Buy	06/25/18	M		
281. OKTA INC REG SHS CL A (OKTA)		None	L	T	Buy	01/24/18	K		
282.					Sold	02/21/18	K		
283.					Buy	02/23/18	K		
284.					Sold	04/24/18	K		
285.					Buy	04/27/18	K		
286.					Sold	06/19/18	K		
287.					Buy	06/25/18	L		
288.					Sold	07/24/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

289.					Buy	07/25/18	L		
290.					Sold	09/25/18	L		
291.					Buy	10/29/18	L		
292. OVERSTOCK.COM INC (OSTK) (X)		None	K	T	Buy (add'l)	06/25/18	K		
293. PAYPAL HOLDINGS INC SHS (PYPL)		None	L	T	Buy	01/24/18	L		
294. QUANTENNA COMMUNICATIONS (QTNA) (X)		None	K	T	Sold	01/23/18	K		
295.					Buy	01/24/18	K		
296.					Sold (part)	03/20/18	K		
297.					Buy (add'l)	03/21/18	K		
298. ROYAL GOLD INC COM (RGLD) (X)	B	Dividend	L	T					
299. SABINA SILVER CORP SHS (SGSVF) (X)		None	K	T					
300. SEABRIDGE GOLD INC (SA) (X)		None			Sold	01/23/18	J		
301. SHOPIFY INC CL A (SHOP) (X)		None	M	T	Sold	02/21/18	N		
302.					Buy	02/23/18	M		
303.					Sold	03/20/18	M		
304.					Buy	03/21/18	M		
305.					Buy (add'l)	05/14/18	L		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
306.					Sold	06/19/18	M		
307.					Buy	06/25/18	M		
308.					Sold	09/25/18	M		
309.					Buy	10/29/18	M		
310. SNAP INC CL A (SNAP) (X)		None	J	T	Sold	01/23/18	K		
311.					Buy	01/24/18	K		
312.					Sold	02/21/18	K		
313.					Buy	04/25/18	K		
314. SQUARE INC SHS CL A (SQ)		None			Buy	06/11/18	K		
315.					Sold	07/24/18	K		
316. STARBUCKS CORP (SBUX) (X)	C	Dividend	M	T	Sold	01/23/18	M		
317.					Buy	01/24/18	M		
318. TALEND SA (TLND) (X)		None	K	T					
319. TELECOM ITALIA SPA ADR	A	Dividend			Buy	02/12/18	K		
320.					Buy (add'l)	04/12/18	J		
321.					Sold	10/24/18	J		
322. TOREX GOLD RESOURCES INC (TORFX) (X)		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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323. TWILIO INC CL A (TWLO) (X)		None			Sold (part)	02/21/18	L		
324.					Sold (part)	03/20/18	K		
325.					Buy (add'l)	03/21/18	K		
326.					Sold	04/24/18	L		
327.					Buy	04/27/18	K		
328.					Sold	05/22/18	K		
329. WELLS FARGO & CO NEW DEL (WFC) (X)	B	Dividend	K	T	Sold	01/23/18	M		
330.					Buy	02/26/18	L		
331. WIX COM LTD (WIX) (X)		None	L	T	Buy	06/11/18	K		
332.					Sold	07/24/18	K		
333.					Buy	07/25/18	L		
334.					Sold	09/25/18	L		
335.					Buy	11/16/18	L		
336. WYNN RESORTS LTD (WYNN) (X)	C	Dividend	M	T	Sold	01/23/18	M		
337.					Buy	02/01/18	L		
338.					Sold	03/20/18	L		
339.					Buy	03/27/18	L		

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340.					Sold	05/17/18	L		
341.					Buy	06/06/18	M		
342.					Buy (add'l)	12/27/18	K		
343. BITCOIN INVT TR SHS USD (GBTC) (X)		None			Sold	02/07/18	K		
344. VANECK VECTORS GOLD (GDX) (X)	A	Dividend	L	T	Sold (part)	01/17/18	K		
345.					Sold (part)	01/23/18	K		
346. BROKERAGE ACCOUNT #5 (H)									
347. ML BANK DEPOSIT PROGRAM (cash) (X)	A	Interest	L	T					
348. AIR LEASE CORP CL A (AL)	A	Dividend	K	T	Buy	06/11/18	K		
349. CIE FINANCIERE RICHEMONT (CFRUY) (X)	A	Dividend	K	T					
350. FAIRFAX FINL HLDGS LTD (FRHFH)	D	Dividend	N	T	Buy (add'l)	06/11/18	L		
351. SPERO THERAPEUTICS INC (SPRO)		None	K	T	Buy	06/21/18	K		
352. VIVENDI SHS UNSP ADR (VIVHY)	C	Dividend	M	T	Buy (add'l)	06/11/18	K		
353. CALAMOS STRA TOT RETURN (CSQ)	B	Dividend	K	T	Buy	03/22/18	K		
354. IPATH BLOOMBERG COPPER (JJCTF) (X)		None	K	T					
355. CUSTODIAL ACCOUNT #1 (H)									
356. Anheuser-Busch Inbev ADR (BUD)	A	Dividend	J	T	Buy	10/05/18	J		

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357. BP PLC (BP)	A	Dividend	J	T	Buy	10/05/18	J		
358. Canopy Growth Corp (CGC)		None	J	T	Buy	10/05/18	J		
359. Royal Gold Inc (RGLD)	A	Dividend	J	T					
360. Starbucks Corp (SBUX)	A	Dividend	J	T					
361. Vivendi SHS UNSP ADR (VIVHY)	A	Dividend	J	T					
362. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
363. CUSTODIAL ACCOUNT #2 (H)									
364. Anheuser Busch (BUD)	A	Dividend	J	T	Buy	10/05/18	J		
365. BP PLC (BP)	A	Dividend	J	T	Buy	10/05/18	J		
366. Canopy Growth Corp (CGC)		None	J	T	Buy	10/05/18	J		
367. Royal Gold Inc (RGLD)	A	Dividend	J	T					
368. Starbucks Corp (SBUX)	A	Dividend	J	T					
369. Vivendi SHS UNSP ADR (VIVHY)	A	Dividend	J	T					
370. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
371. CUSTODIAL ACCOUNT #3 (H)									
372. Anheuser Busch (BUD)	A	Dividend	J	T	Buy	10/05/18	J		
373. BP PLC (BP)	A	Dividend	J	T	Buy	10/05/18	J		

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374. Canopy Growth Corp (CGC)		None	J	T	Buy	10/05/18	J		
375. Royal Gold Inc (RGLD)	A	Dividend	J	T					
376. Starbucks Corp (SBUX)	A	Dividend	J	T					
377. Vivendi SHS UNSP ADR (VIVHY)	A	Dividend	J	T					
378. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
379. CUSTODIAL ACCOUNT #4 (H)									
380. Anheuser Busch (BUD)	A	Dividend	J	T	Buy	10/05/18	J		
381. BP PLC (BP)	A	Dividend	J	T	Buy	10/05/18	J		
382. Canopy Growth Corp (CGC)		None	J	T	Buy	10/05/18	J		
383. Royal Gold Inc (RGLD)	A	Dividend	J	T					
384. Starbucks Corp (SBUX)	A	Dividend	J	T					
385. Vivendi SHS UNSP ADR (VIVHY)	A	Dividend	J	T					
386. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
387. CUSTODIAL ACCOUNT #5 (H)									
388. Anheuser Busch (BUD)	A	Dividend	J	T	Buy	10/05/18	J		
389. BP PLC (BP)	A	Dividend	J	T	Buy	10/05/18	J		
390. Canopy Growth Corp (CGC)		None	J	T	Buy	10/05/18	J		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
391. Royal Gold Inc (RGLD)	A	Dividend	J	T					
392. Starbucks Corp (SBUX)	A	Dividend	J	T					
393. Vivendi SHS UNSP ADR (VIVHY)	A	Dividend	J	T					
394. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
395. CUSTODIAL ACCOUNT #6 (H)									
396. Anheuser Busch (BUD)	A	Dividend	J	T	Buy	10/05/18	J		
397. BP PLC (BP)	A	Dividend	J	T	Buy	10/05/18	J		
398. Canopy Growth Corp (CGC)		None	J	T	Buy	10/05/18	J		
399. Royal Gold Inc (RGLD)	A	Dividend	J	T					
400. Starbucks Corp (SBUX)	A	Dividend	J	T					
401. Vivendi SHS UNSP ADR (VIVHY)	A	Dividend	J	T					
402. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
403. CUSTODIAL ACCOUNT #7 (H)									
404. Anheuser Busch (BUD)	A	Dividend	J	T	Buy	10/05/18	J		
405. BP PLC (BP)	A	Dividend	J	T	Buy	10/05/18	J		
406. Canopy Growth Corp (CGC)		None	J	T	Buy	10/05/18	J		
407. Valero Energy Corp (VLO)	A	Dividend	J	T	Buy	11/15/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MOODY, JR., JAMES S.

Date of Report

08/08/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
408. OTHER HOLDINGS (H)									
409. MOODY INVESTMENTS FAMILY LIMITED PARTNERSHIP	E	Int./Div.	P1	T					
410. GEO THERMAL (Y)									
411. FIRST TAMPA ALEXANDER		None	M	W					
412. MISSOURI MIDWAY	D	Interest	L	W					
413. REAL PROPERTY (H)									
414. Investment Property #1, Valrico, FL		None	M	W					
415. Investment Property #2, Longboat Key, FL	D	Rent	N	W					
416. Investment Property #3, Hillsborough Co., FL	D	Rent	N	W					
417. Investment Property #4, Celo, NC		None	O	W					
418. Investment Property #5, Highlands, NC		None	M	W					
419. Investment Property #6, Highlands, NC	D	Rent			Sold	10/01/18	N	F	Martha Goldman
420. Investment Property #7, Highlands, NC		None	O	W					
421. Investment Property #8, Plant City, FL	C	Rent	O	W					
422. Investment Property #9, Plant City, FL (Y)									
423. Investment Property #10, Tampa, FL	D	Rent	M	W					
424. Investment Property #11, Tampa FL	D	Rent	M	W					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MOODY, JR., JAMES S.

Date of Report

08/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
425. Investment Property #12, Tampa FL	D	Rent	M	W					
426. Investment Property #13, Tampa FL	E	Rent	N	W					
427. Investment Property #14, Tampa FL		None	N	W					
428. Investment Property #15, Tampa FL	D	Rent	M	W					
429. Investment Property #16, Tampa FL	D	Rent	N	W					
430. Investment Property #17, St. Petersburg, FL	E	Rent	N	W					
431. Investment Property #18, St. Petersburg, FL	E	Rent	N	W					
432. Investment Property #19, Gainesville, FL		None	N	W					
433. Gulf to Bay Partners, LLC (real estate, Clearwater, FL)		None	M	W	Buy	11/17/18	M		
434. OPTIONS (H)									
435. Call AAPL		None			Sold	01/23/18	J		
436.					Sold	02/07/18	J		
437.					Sold	02/23/18	J		
438.					Sold	04/26/18	J		
439. Call AMBA		None			Sold	01/22/18	J		
440.					Sold	01/23/18	J		
441.					Sold	03/20/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

442.					Sold	04/24/18	J		
443.					Sold	05/22/18	J		
444.					Sold	07/26/18	J		
445.					Sold	09/25/18	J		
446.					Sold	11/20/18	J		
447. CALL APTI		None			Sold	01/23/18	J		
448.					Sold	04/26/18	J		
449. CALL BOFI		None			Sold	03/20/18	J		
450. CALL CME		None			Sold	01/23/18	J		
451.					Sold	03/20/18	J		
452.					Sold	04/26/18	J		
453.					Sold	05/22/18	J		
454.					Sold	06/08/18	J		
455.					Sold	07/26/18	J		
456.					Sold	08/21/18	J		
457.					Sold	10/23/18	J		
458. CALL CRSP		None			Sold	02/23/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

459.					Sold	03/09/18	J		
460.					Sold	03/20/18	J		
461.					Sold	04/26/18	J		
462.					Sold	05/22/18	J		
463.					Sold	12/27/18	J		
464. CALL CYBR		None			Sold	04/11/18	J		
465.					Sold	04/24/18	J		
466.					Sold	05/22/18	J		
467.					Sold	06/22/18	J		
468.					Sold	07/24/18	J		
469.					Sold	08/21/18	J		
470.					Sold	09/25/18	J		
471.					Sold	10/23/18	J		
472.					Sold	12/27/18	J		
473. Call EDIT		None			Sold	01/23/18	J		
474.					Sold	02/23/18	J		
475.					Sold	03/20/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

476.					Sold	04/24/18	J		
477.					Sold	05/22/18	J		
478.					Sold	06/21/18	J		
479. CALL ESV		None			Sold	03/19/18	J		
480.					Sold	03/20/18	J		
481. CALL FEYE		None			Sold	01/23/18	J		
482.					Sold	03/20/18	J		
483.					Sold	06/21/18	J		
484.					Sold	12/27/18	J		
485. CALL FTR		None			Sold	03/20/18	J		
486. CALL HDP		None			Sold	03/20/18	J		
487.					Sold	07/26/18	J		
488.					Sold	08/21/18	J		
489.					Sold	09/25/18	J		
490. CALL KL		None			Sold	02/28/18	J		
491. CALL NEWR		None			Sold	03/20/18	J		
492. CALL NTLA		None			Sold	02/23/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
493.					Sold	04/26/18	J		
494.					Sold	06/22/18	J		
495.					Sold	08/21/18	J		
496.					Sold	10/23/18	J		
497. Call NTNX		None			Sold	03/26/18	J		
498.					Sold	04/26/18	J		
499.					Sold	06/22/18	J		
500.					Sold	07/26/18	J		
501. CALL NUAN		None			Sold	09/25/18	J		
502.					Sold	11/20/18	J		
503. CALL OKTA		None			Sold	04/26/18	J		
504.					Sold	06/22/18	J		
505.					Sold	07/24/18	J		
506.					Sold	10/30/18	J		
507. Call PYPL		None			Sold	09/25/18	J		
508.					Sold	12/28/18	J		
509. CALL QTNA		None			Sold	01/24/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

510. CALL RGLD		None			Sold	06/21/18	J		
511.					Sold	07/26/18	J		
512.					Sold	12/28/18	J		
513.					Sold	09/26/18	J		
514.					Sold	12/28/18	J		
515. CALL SBUX		None			Sold	12/28/18	J		
516. CALL SHOP		None			Sold	01/23/18	J		
517.					Sold	01/23/18	J		
518.					Sold	02/23/18	J		
519.					Sold	03/20/18	J		
520.					Sold	04/26/18	J		
521.					Sold	05/11/18	J		
522.					Sold	06/22/18	J		
523.					Sold	07/26/18	J		
524.					Sold	08/21/18	J		
525.					Sold	10/30/18	J		
526.					Sold	12/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

527. Call SNAP		None			Sold	01/23/18	J		
528.					Sold	04/26/18	J		
529. CALL TLND		None			Sold	09/27/18	J		
530.					Sold	11/21/18	J		
531. CALL TWLO		None			Sold	01/23/18	J		
532.					Sold	02/23/18	J		
533.					Sold	03/20/18	J		
534.					Sold	04/26/18	J		
535. CALL WIX		None			Sold	06/11/18	J		
536.					Sold	07/24/18	J		
537.					Sold	08/21/18	J		
538.					Sold	12/28/18	J		
539. Call WYNN		None			Sold	01/24/18	J		
540.					Sold	02/23/18	J		
541.					Sold	03/26/18	J		
542.					Sold	06/05/18	J		
543.					Sold	07/26/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

544.					Sold	12/28/18	J		
545. PUTS (H)									
546. PUT AAPL		None			Sold	01/23/18	J		
547.					Sold	03/20/18	J		
548.					Sold	03/21/18	J		
549. PUT CME		None			Sold	02/22/18	J		
550. PUT ILMN		None			Sold	09/26/18	J		
551. PUT LITE		None			Sold	03/20/18	J		
552.					Sold	09/26/18	J		
553. PUT NEWR		None			Sold	02/22/18	J		
554. PUT SAM		None			Sold	01/23/18	J		
555. PUT SHOP		None			Sold	02/22/18	J		
556.					Sold	03/20/18	J		
557.					Sold	09/26/18	J		
558. PUT SNAP		None			Sold	02/22/18	J		
559. PUT TWLO		None			Sold	03/20/18	J		
560. PUT WIX		None			Sold	09/26/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
561. PUT WYNN		None			Sold	01/23/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

During this reporting cycle, a number of holdings were transferred between accounts. These transfers did not involve reportable transactions. In some instances, this resulted in an adjustment of the corresponding value codes in Column C(1). In instances where this resulted in the complete removal of and asset from one account and the introduction of that asset into a new account, those items are coded with "(X)" and "(Y)" for ease of review.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, line 409: This entry represents a limited partnership interest. The entity was duly registered in the state of Florida. Its business offices and operations are located in another state and run by an individual whose information is not subject to this disclosure. As a limited partner, the filer has no knowledge of, and no control over, the specific assets or activities of the limited partnership; his interest is reported per page 24 of the Alphabetical Reference. All holdings in which the filer, or any other individual subject to this disclosure, has a personal ownership share are separately identified in Part VII.

Part VII, lines 435-561, Each put or call transaction is an independent contract creating the right, but not the obligation, to make future sales or purchases of specific assets. They are not fixed assets, therefore either purchases or sales of individual options can be used to either open or close a contract; they do not generate income, and none have value at the end of the reporting period. Purchases and sales of any optioned holdings are reported separately from these contracts.

Part VII, Lines 80, 105, 106, 112, 113, 119, 120, 126, 127, 133, 134, 140, and 141 of the 2017 report are not reportable during this cycle; there are not corresponding reportable transactions.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JAMES S. MOODY, JR.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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