

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelly, Jane	2. Court or Organization 8th Circuit	3. Date of Report 05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - active status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 111 7th Avenue SE, Box 20 Cedar Rapids, Iowa 52401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Executor	Estate #1 (no reportable assests)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Kelly, Jane

Date of Report

05/14/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Appellate Judges Education Institute	January 9-10, 2019	Washington, DC	AJEI Education Committee meeting	hotel, food, partial transportation
2. University of Dayton Law School and Dayton Bar Association	October 20-21, 2019	Dayton, Ohio	Speaker, First Monday in October	hotel, food, transportation
3. American Society of International Law	November 21-23, 2019	Washington, DC	Annual meeting	hotel, food, transportation
4.				
5.				

Name of Person Reporting	Date of Report
Kelly, Jane	05/14/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Kelly, Jane

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. Trust (H)									
2. --Vanguard 500 Index Fund	A	Dividend	K	T					
3. --shares in Cox-Kelly Farms (subchapter S corporation)	E	Dividend	O	W					
4. --Fidelity Core Account	A	Interest	O	T					
5. Illinois SURS-traditional benefit plan	A	Interest	J	T					
6. U.S. Bank, cash accounts	A	Interest	O	T					
7. ACE Limited, now Chubbs Limited	A	Dividend	K	T					
8. Accenture	A	Dividend	K	T					
9. Amphenol Corp.	A	Dividend	K	T					
10. Apple Inc	A	Dividend	K	T					
11. Berkshire Hathaway		None	K	T					
12. CSX Corp	A	Dividend	K	T					
13. Dow Chemical	A	Dividend	J	T					
14. Expedia Inc	A	Dividend			Sold	12/03/19	J	B	
15. First Republic Bank	A	Dividend	K	T					
16. Google Inc. A now Alphabet Inc.- Class A	A	Dividend	J	T					
17. Alphabet Inc. -Class C (mistakenly noted as sold in 2018) (X)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

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18. Iowa State Univ. Bond (no additional detail available)	A	Interest			Sold	07/01/19	K	A	
19. Ishares MSCI EAFE ETF	A	Dividend	J	T					
20. Ishares Russell 2000 Value ETF	A	Dividend	K	T					
21. Ishares Russell 2000 Growth ETF	A	Dividend	K	T					
22. Ishares Emerging Markets ETF	A	Dividend	J	T					
23. Marriott International Inc. Bond	A	Interest	K	T					
24. Mastercard Inc	A	Dividend	K	T					
25. Nextera Energy	A	Dividend	K	T					
26. Northern Trust	A	Dividend	J	T					
27. Skyworks Solutions	A	Dividend	J	T					
28. TJX Companies	A	Dividend	K	T					
29. United Technologies Corp	A	Dividend	J	T					
30. Univ of Iowa Bond (no additional detail available)	A	Interest	K	T					
31. Vanguard CRSP Growth ETF (noted in error as sold in full in 2018)	A	Dividend	K	T					
32. Vanguard CRSP Value ETF	A	Dividend	K	T					
33. Wyndham Hotels and Resorts, Inc.	A	Dividend	J	T					
34. Des Moines IA Bond (no additional detail available)	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Higher Dimension Research		None	J	W					
36. Arrow Electronics	A	Dividend			Sold	07/18/19	J	B	
37. Cedar Rapids, Iowa bond (expiration June 2021)	A	Interest	K	T					
38. Comcast Corporation, Class A	A	Dividend	J	T					
39. American Funds, The Growth Fund of America	A	Dividend	J	T					
40. American Funds, The New Economy Fund	A	Dividend	J	T					
41. American Funds, The New Perspective Fund	A	Dividend	J	T					
42. American Funds, The SmallCap World Fund	A	Dividend	J	T					
43. Bank of the West cash account		None	J	T					
44. JP Morgan Chase Bond	A	Interest	K	T					
45. Checkpoint Software	A	Dividend			Sold	06/14/19	K	C	
46. Pfizer	A	Dividend			Sold	08/27/19	J	A	
47. Abbott Labs	A	Dividend	K	T					
48. Spirit Lake IAComm. Schools Bond (expiration July 2027)	A	Interest	J	T					
49. Cedar Rapids Iowa Bond (expiration June 2031)	A	Interest	K	T					
50. Lockheed Martin Corp	A	Dividend	K	T					
51. Johnson and Johnson	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kelly, Jane

Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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52. Alibaba Group Holding	A	Dividend	K	T					
53. Thermo Fisher Scientific	A	Dividend	K	T					
54. PayPal Holdings	A	Dividend	K	T					
55. Sensata Technologies Holding	A	Dividend	J	T					
56. Pioneer Natural Resources	A	Dividend			Sold	10/10/19	J	A	
57. SPDR S&P Biotech ETF	A	Dividend			Sold	10/10/19	K	B	
58. Highwoods Realty Bond	A	Interest	K	T					
59. College IA Community bond (formerly Univ of Iowa Bond exp June 2025)	A	Interest	K	T					
60. International Business Machines	A	Dividend			Sold	11/18/19	J	A	
61. Citigroup Inc common stock	A	Dividend	J	T					
62. Check Point Software Technologies	A	Dividend			Sold	06/14/19	K	C	
63. BB&T Corporation	A	Dividend			Sold	08/14/19	J	A	
64. SK Telecom Co	A	Dividend			Sold	06/14/19	J	A	
65. Shire PLC	A	Dividend			Sold	01/14/19	K	C	
66. Mondelez International	A	Dividend	K	T					
67. Air Products and Chemicals	A	Dividend	J	T					
68. Anthem	A	Dividend			Sold	09/12/19	J	A	

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69. Southwest Airlines	A	Dividend			Sold	06/14/19	J	A	
70. Valero Energy Corp	A	Dividend	J	T					
71. Aqua America Inc.	A	Dividend	J	T					
72. Bank of America, common stock	A	Dividend	K	T					
73. Lam Research Group	A	Dividend	J	T					
74. Palo Alto Networks	A	Dividend	J	T					
75. CVS Health Corp	A	Dividend			Sold	06/14/19	J	A	
76. Adidas AG	A	Dividend			Sold	12/03/19	K	D	
77. Chevron Corp	A	Dividend	J	T					
78. Walgreens Boots Alliance Inc	A	Dividend			Sold	06/14/19	J	A	
79. Applied Materials (sold 12/20/18 sale not reported last year in error)	A	Dividend			Sold	12/20/18	J	A	
80. Constellation Brands	A	Dividend	J	T					
81. Schwab Value Advantage, money market account	A	Interest	J	T					
82. SPDR Investment Grade Floating ETF	A	Dividend	K	T	Buy	12/03/19	K		
83. Davenport, Iowa bond (expiration June 2028)	A	Interest	J	T	Buy	07/15/19	J		
84. Prudential Financial Inc.	A	Dividend	K	T	Buy	08/15/19	K		
85. Verizon Communications	A	Dividend	K	T	Buy	06/14/19	K		

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86. Walmart Inc.	A	Dividend	K	T	Buy	06/14/19	K		
87. Amazon.com Inc	A	Dividend	K	T	Buy	11/18/19	K		
88. Crown Castle International Corp	A	Dividend	K	T	Buy	06/14/19	K		
89. Facebook Inc.	A	Dividend	K	T	Buy	06/14/19	K		
90. Merck and Co. Inc.	A	Dividend	J	T	Buy	08/28/19	J		
91. Microsoft Corp.	A	Dividend	K	T	Buy	12/03/19	K		
92. Alaska Air Group Inc.	A	Dividend	K	T	Buy	12/03/19	K		
93. Sanmina Corp.	A	Dividend	J	T	Buy	07/19/19	J		
94. PRA Health Sciences Inc.	A	Dividend	K	T	Buy	09/24/19	K		
95. Xylem Inc.	A	Dividend	K	T	Buy	06/14/19	K		
96. Grifols SA	A	Dividend	J	T	Buy	10/15/19	J		
97. Koninklijke Ahold	A	Dividend	K	T	Buy	10/15/19	K		
98. Novartis AG -ADR	A	Dividend	K	T	Buy	02/14/19	K		
99. Pepsico (error to list last year as full rather than partial sell) (X)	A	Dividend	K	T					
100.									
101.									
102.									

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Estate #1 had no assets.

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Name of Person Reporting

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Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jane Kelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544