

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) BLOCK, FREDERIC	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 08/13/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address 225 CADMAN PLAZA E. EASTERN DISTRICT COURT OF NY BROOKLYN NY 11201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. OFFICER	FREDERIC BLOCK P.C.
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	SELF EMPLOYED-OWNER OF CONSTRUCTION COMPANY
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ALLIANZGI NFJ	B	Dividend	K	T					
2. BLACKROCK NY MUNI	C	Dividend	L	T					
3. BLACKROCK MUNIYLD	B	Dividend	K	T					
4. CALAMOS STRAT TOTAL RET	C	Dividend	K	T					
5. EATON VANCE TAX DIV	C	Dividend	L	T					
6. EATON VANCE TX-AD	C	Dividend	L	T					
7. EATON VANCE ENH INC	C	Dividend	K	T					
8. GUGGENHEIM S&P 500	A	Dividend			Redeemed	04/09/18	L	A	
9. ISHARES US CONSUMER	A	Dividend	K	T					
10. INVESCO VARIABLE	A	Dividend	K	T					
11. INVESCO PREFERRED	A	Dividend	K	T					
12. INVESCO S&P 500 LOW	A	Dividend	K	T					
13. INVESCO QQQ TR	A	Dividend	K	T	Sold (part)	10/01/18	J	C	
14. INVESCO S&P 500 TOP	A	Dividend	L	T					
15. NUVEEN NY QUALITY	B	Dividend	K	T					
16. POWERSHARES QQQ	A	Dividend			Merged (with line 13)	06/04/18	J		
17. POWERSHARES PREFERRED	A	Dividend			Merged (with line 11)	06/04/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. POWERSHARES S&P 500	A	Dividend			Merged (with line 12)	06/04/18	J		
19. POWERSHARES VARIABLE RAT	A	Dividend			Merged (with line 10)	06/04/18	J		
20. ML BANK DEPOSIT PROGRAM	A	Interest	J	T					
21. IRA-F. BLOCK									
22. ALLIANCE BERNSTEIN GLOBAL	C	Dividend	K	T					
23. ALLIANZGI NFJ	C	Dividend	K	T					
24. BLACKROCK INTL GRWTH	A	Dividend	J	T					
25. CALAMOS STRAT TOTAL	D	Dividend	L	T					
26. COHEN AND STEERS QUALITY	C	Dividend	K	T					
27. EATON VANCE TAX DIV INC	C	Dividend	K	T					
28. EATON VANCE TX-AD	C	Dividend	K	T					
29. EATON VANCE ENH INCOME	C	Dividend	L	T					
30. FIRST TR SR FL	B	Dividend	K	T					
31. GABELLI DIV & INC TRUST	C	Dividend	L	T					
32. MORGAN STANLEY EMERGING	C	Dividend	K	T					
33. BANK OF AMERICA NA RASP	A	Interest	J	T					
34. IRA-F. BLOCK (2)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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35. BANK OF AMERICA NA RASP	A	Interest	J	T					
36. ABBVIE INC	A	Dividend			Buy	03/27/18	J		
37. ABBVIE INC		None			Sold	11/08/18	J	A	
38. AIR PRODUCTS &CHEM	A	Dividend	J	T	Buy	03/21/18	J		
39. AMGEN INC	A	Dividend	J	T	Buy	01/24/18	J		
40. AMGEN INC		None			Sold (part)	11/08/18	J	A	
41. AMN ELEC POWER CO	A	Dividend	J	T	Buy	12/18/18	J		
42. AMN ELEC POWER CO		None			Sold (part)	12/18/18	J	A	
43. ASTRAZENECA PLC	A	Dividend	J	T	Sold (part)	11/29/18	J	A	
44. AT&T	A	Dividend	J	T	Buy	10/05/18	J		
45. AT&T		None			Sold (part)	03/09/18	J	A	
46. BB&T CORP	A	Dividend	J	T	Buy	04/26/18	J		
47. BB&T CORP		None			Sold (part)	11/08/18	J	A	
48. BOEING CO	A	Dividend	J	T	Buy	01/19/18	J		
49. BOEING CO		None			Sold (part)	08/06/18	J	A	
50. BROADCOM LTD	A	Dividend	J	T	Buy	12/18/18	J		
51. CARNIVAL CORP	A	Dividend	J	T	Sold (part)	11/30/18	J	A	

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52. CHEVRON CORP	A	Dividend	J	T	Buy	11/15/18	J		
53. CHUBB LTD		None	J	T	Buy	10/26/18	J		
54. CISCO SYSTEMS INC	A	Dividend	J	T	Buy	02/28/18	J		
55. CISCO SYSTEMS INC		None			Sold (part)	03/23/18	J	A	
56. CME GROUP INC	A	Dividend	J	T	Sold (part)	11/08/18	J	B	
57. COMM SERVICES SELECT	A	Dividend	J	T	Buy	10/22/18	J		
58. CONSUMER DISCRETIONARY SPDR	A	Dividend	J	T	Buy	03/27/18	J		
59. CONSUMER DISCRETIONARY SPDR		None			Sold (part)	10/18/18	J	A	
60. COCA COLA COM	A	Dividend	J	T	Sold (part)	03/23/18	J	A	
61. CROWN CASTLE REIT INC	A	Dividend	J	T	Buy	04/30/18	J		
62. DIGITAL REALTY TR INC	A	Dividend	J	T	Sold (part)	03/23/18	J	A	
63. DOMINION ENERGY INC	A	Dividend			Sold	03/22/18	J	A	
64. DUKE ENERGY	A	Dividend	J	T	Buy	10/30/18	J		
65. EMERSON ELEC CO	A	Dividend			Buy	02/28/18	J		
66. EMERSON ELEC CO		None			Sold	12/14/18	J	A	
67. EXELON CORP	A	Dividend	J	T	Buy	05/11/18	J		
68. EXXON MOBIL CORP	A	Dividend	J	T	Buy	10/24/18	J		

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69. FIRST TR EXCHANGE		None	J	T	Buy	04/02/18	J		
70. FIRST TR EXCHANGE		None			Sold (part)	06/22/18	J	A	
71. GENERAL MOTORS CO	A	Dividend			Sold	10/03/18	J	A	
72. HEALTHCARE SELECT	A	Dividend	J	T	Buy	06/25/18	J		
73. HSBC HLDG PLC	A	Dividend			Buy	01/24/18	J		
74. HSBC HLDG PLC		None			Sold	10/24/18	J	A	
75. ILLINOIS TOOL WORKS INC	A	Dividend			Buy	03/20/18	J		
76. ILLINOIS TOOL WORKS INC		None			Sold	10/23/18	J	A	
77. INTEL CORP	A	Dividend			Buy	03/12/18	J		
78. INTEL CORP		None			Sold	08/23/18	J	B	
79. INTL BUSINESS MACHINES	A	Dividend			Sold	10/26/18	J	A	
80. INVESCO EMERGING	A	Dividend	J	T	Sold (part)	06/20/18	J	A	
81. INVESCO PREFERRED	A	Dividend	J	T					
82. ISHARES MSCI EAFE		None			Sold	01/30/18	K	D	
83. ISHARES TIPS BOND ETF	A	Dividend	J	T	Buy	02/02/18	J		
84. ISHARES TIPS BOND ETF		None			Sold (part)	03/23/18	J	A	
85. ISHARES 3-7 YEAR	A	Dividend	J	T	Buy	02/02/18	J		

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86. ISHARES 3-7 YEAR		None			Sold (part)	03/23/18	J	A	
87. ISHARES MBS ETF	A	Dividend	K	T	Buy	02/01/18	J		
88. ISHARES MBS ETF		None			Sold (part)	06/25/18	J	A	
89. ISHARES IBOXX HIGH YIELD	A	Dividend	J	T	Buy	02/02/18	J		
90. ISHARES IBOXX HIGH YIELD		None			Sold (part)	03/23/18	J	A	
91. ISHARES IBOXX \$ INVT	A	Dividend	K	T	Buy	06/25/18	J		
92. ISHARES INC CORE MSCI	A	Dividend	J	T	Buy	03/27/18	J		
93. ISHARES INC CORE MSCI		None			Sold (part)	03/30/18	J	A	
94. ISHARES NASDAQ BIOTECH	A	Dividend	J	T	Buy	03/27/18	J		
95. ISHARES TR CORE MSCI	A	Dividend	K	T	Buy	03/27/18	K		
96. ISHARES TR CORE MSCI		None			Sold (part)	06/20/18	J	A	
97. JOHNSON AND JOHNSON	A	Dividend	J	T	Buy	11/15/18	J		
98. JP MORGAN CHASE	A	Dividend	J	T	Buy	08/28/18	J		
99. JP MORGAN CHASE		None			Sold (part)	08/30/18	J	A	
100. KIMBERLY CLARK	A	Dividend			Sold	04/23/18	J	A	
101. KRAFT HEINZ CO	A	Dividend			Sold	04/26/18	J	A	
102. LOCKHEED MARTIN CORP	A	Dividend	J	T	Buy	04/25/18	J		

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103. LOCKHEED MARTIN CORP		None			Sold (part)	08/13/18	J	B	
104. MATERIALS SELECT SECTOR SPDR	A	Dividend	J	T	Buy	03/27/18	J		
105. MATERIALS SELECT SECTOR SPDR		None			Sold (part)	03/28/18	J	A	
106. MCDONALDS CORP	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
107. MERCK AND CO	A	Dividend	J	T	Buy	01/18/18	J		
108. MERCK AND CO		None			Sold (part)	10/18/18	J	A	
109. METLIFE INC		None			Sold	02/02/18	J	A	
110. OCCIDENTAL PETE CORP	A	Dividend			Sold	03/13/18	J	A	
111. PACCAR INC	A	Dividend			Sold	03/15/18	J	A	
112. PEPSICO	A	Dividend	J	T	Buy	06/29/18	J		
113. PFIZER INC	A	Dividend	J	T	Buy	08/08/18	J		
114. PFIZER INC		None			Sold (part)	10/18/18	J	A	
115. PHILLIP MORRIS INTL	A	Dividend			Sold	04/24/18	J	A	
116. POWERSHARES EM SOVEREIGN	A	Dividend			Buy	03/27/18	J		
117. POWERSHARES EM SOVEREIGN		None			Redeemed	06/04/18	J	A	
118. POWERSHARES PREFERRED	A	Dividend			Buy	02/02/18	J		
119. POWERSHARES PREFERRED		None			Sold	03/23/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. PPL CORP	A	Dividend			Sold	01/01/18	J	A	
121. PROCTER GAMBLE CO	A	Dividend			Sold	04/25/18	J	A	
122. REAL ESTATE SELECT	A	Dividend	J	T	Buy	02/02/18	J		
123. REAL ESTATE SELECT		None			Sold (part)	03/23/18	J	A	
124. ROYAL DUTCH SHELL	A	Dividend	J	T	Sold (part)	03/23/18	J	A	
125. SECTOR SPDR CONSMRS STPL	A	Dividend	J	T	Buy	03/27/18	J		
126. SECTOR SPDR CONSMRS STPL		None			Sold (part)	03/29/18	J	A	
127. SECTOR SPDR ENERGY	A	Dividend	J	T	Sold (part)	03/06/18	J	A	
128. SECTOR SPDR INDUSTRIAL	A	Dividend	J	T	Buy	02/02/18	J		
129. SECTOR SPDR UTILITIES	A	Dividend	J	T	Sold (part)	03/06/18	J	A	
130. SIMON PROPERTY GROUP	A	Dividend	J	T	Buy	05/14/18	J		
131. SPDR S&P INSURANCE	A	Dividend	J	T	Sold (part)	06/21/18	J	A	
132. SYSCO CORP	A	Dividend	J	T	Buy	05/11/18	J		
133. TARGET CORP	A	Dividend	J	T	Buy	01/23/18	J		
134. TARGET CORP		None			Sold (part)	10/30/18	J	A	
135. TEXAS INSTRUMENTS	A	Dividend			Buy	01/09/18	J		
136. TEXAS INSTRUMENTS		None			Sold	10/26/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. TORONTO DOMINION BANK	A	Dividend	J	T	Sold (part)	03/23/18	J	A	
138. TRANSCANADA CORP	A	Dividend			Sold	05/30/18	J	A	
139. UNITED TECHS CORP	A	Dividend	J	T	Buy	02/12/18	J		
140. UNITED TECHS CORP		None			Sold (part)	08/24/18	J	A	
141. VANECK VECTORS JP	A	Dividend	J	T	Buy	02/02/18	J		
142. VANECK VECTORS JP		None			Sold (part)	06/20/18	J	A	
143. VANGUARD FINANCIALS ETF	A	Dividend	J	T	Buy	03/27/18	J		
144. VANGUARD FINANCIALS ETF		None			Sold (part)	03/30/18	J	A	
145. VANGUARD INFORMATION	A	Dividend	J	T	Buy	02/02/18	J		
146. VANGUARD INFORMATION		None			Sold (part)	08/29/18	J	B	
147. VANGUARD INTERMEDIATE	A	Dividend	K	T	Buy	02/02/18	J		
148. VANGUARD INTERMEDIATE		None			Sold (part)	06/22/18	J	A	
149. VANGUARD SHORT TERM	A	Dividend	K	T	Buy	02/02/18	J		
150. VANGUARD SHORT TERM		None			Sold (part)	03/23/18	J	A	
151. VANGUARD TELECOMM		None			Buy	02/02/18	J		
152. VANGUARD TELECOMM		None			Sold	03/06/18	J	A	
153. VENTAS INC	A	Dividend			Sold	03/15/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. VERIZON COMMUNICATIONS	A	Dividend	J	T	Sold (part)	11/29/18	J	A	
155. VODAFONE GRP	A	Dividend			Buy	01/19/18	J		
156. VODAFONE GRP		None			Sold	09/11/18	J	A	
157. WALMART INC		None	J	T	Buy	10/30/18	J		
158. WELLS FARGO CO	A	Dividend	J	T	Buy	08/28/18	J		
159. WELLS FARGO CO		None			Sold (part)	12/12/18	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ FREDERIC BLOCK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544