

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Brady, Holly A.	2. Court or Organization Northern District of Indiana, Fort Wayne Division	3. Date of Report 05/08/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 1300 S. Harrison Street Fort Wayne, IN 46802		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Article III Judge	United States District Court, Northern District of Indiana, Fort Wayne Division
2. Vice President	Haller & Colvin., PC - Resigned effective April 19, 2019
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 04/19/2019	Holly A. Brady and Haller & Colvin, PC - Redemption Agreement
2. 04/19/2019	Holly A. Brady and East Main Street Associates, LLC - LLC Membership Redemption Agreement
3. 04/19/2019	Holly A. Brady and East Main Street Associates, LLC - Promissory Note
4. 01/01/2017	Holly A. Brady and Haller & Colvin, PC. - Employment Agreement and payments to be made following Brady's withdrawal as an owner of Haller & Colvin, PC
5. 2009	Haller & Colvin, PC Retirement Plan
6. 2007	Holly A. Brady and Haller & Colvin, PC. Payment for units of Haller & Colvin pursuant to Operating Agreement

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Haller & Colvin W-2 Wages	\$169,001.00
2. 2019	Haller & Colvin K-1 Income	\$39,196.00
3. 2019	East Main Street, LLC K-1 Income	\$1,658.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self Employed - Customer Service Consultant
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Intel Corp.	A	Dividend			Sold	01/02/19	J	A	
2. Steel Dynamics	A	Dividend	K	T					
3. Visa		None			Buy	01/02/19	K		
4. Visa	A	Dividend			Sold	05/16/19	K	D	
5. Janus Henderson Forty D Shares	A	Dividend			Sold	05/16/19	L	C	
6. Janus Henderson Overseas D Shares	A	Dividend	K	T					
7. Millennium Trust/BioPoly		None	M	T					
8. All Schwab 401(k) Rolled Over to Brady IRA with Schwab June 2019 - (H)									
9. Brady IRA Schwab Cash Reserve	A	Interest	K	T					
10. Brady IRA Schwab American Fed Europacific (AEPFX)	C	Dividend	L	T	Buy (add'l)	02/26/19	J		
11. Brady IRA - Angel Oak (ANGLX)		None			Buy	04/25/19	K		
12. Brady IRA - Angel Oak (ANGLX)	A	Dividend	K	T	Sold (part)	07/10/19	J		
13. Brady IRA - Brandywine Global		None			Buy (add'l)	02/26/19	J		
14. Brady IRA - Brandywine Global	A	Dividend			Sold	04/26/19	K		
15. Brady IRA Schwab Carillon Eagle Small Cap (HRSCX)	C	Dividend	K	T	Buy (add'l)	07/11/19	J		
16. Brady IRA Schwab Doublin Schiller Ehnanced (DSENX)	B	Dividend	M	T	Sold (part)	07/11/19	J		
17. Brady IRA - Gugenheim Total Return (GIBLX)	A	Dividend	K	T	Buy	12/23/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Brady IRA Schwab Ishares Core US		None			Buy (add'l)	02/26/19	J		
19. Brady IRA Schwab Ishares Core US		None			Sold	04/25/19	K		
20. Brady IRA Schwab Ishares MSCI EAfe ETF (EFA)		None			Buy (add'l)	02/26/19	K		
21. Brady IRA Schwab Ishares MSCI EAfe ETF (EFA)	B	Dividend	M	T	Buy (add'l)	07/11/19	J		
22. Brady IRA Schwab MFS New Discovery Value (NDVAX)	B	Dividend	L	T	Buy (add'l)	07/11/19	J		
23. Brady IRA Schwab Pimco Incm Inst CL (PIMX)		None			Buy (add'l)	02/26/19	J		
24. Brady IRA Schwab Pimco Incm Inst CL (PIMX)		None			Buy (add'l)	07/11/19	J		
25. Brady IRA Schwab Pimco Incm Inst CL (PIMX)	A	Dividend			Sold	08/30/19	K		
26. Brady IRA Schwab Pimco Stockplus Small A (PCKAX)		None			Buy (add'l)	02/26/19	J		
27. Brady IRA Schwab Pimco Stockplus Small A (PCKAX)	C	Dividend	L	T	Buy (add'l)	07/11/19	J		
28. Brady IRA - Schwab Aggregate Bond Fund (SWAGX) - (X)	A	Dividend			Sold	12/23/19	K		
29. Brady IRA Schwab Spdr S&P 500 ETF (SPY)		None			Buy (add'l)	02/26/19	J		
30. Brady IRA Schwab Spdr S&P 500 ETF (SPY)		None			Sold (part)	07/11/19	J		
31. Brady IRA Schwab Spdr S&P 500 ETF (SPY)	B	Dividend	N	T	Buy (add'l)	09/05/19	J		
32. Brady IRA Schwab Vanguard FTSE Emerging (VWO) Markets ETF		None			Buy (add'l)	02/26/19	J		
33. Brady IRA Schwab Vanguard FTSE Emerging (VWO) Markets ETF	A	Dividend	K	T	Buy (add'l)	07/11/19	J		
34. Indiana College Choice Section 529 Direct - Plan #1 Plan - #1		None	K	T					

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35. Indiana College Choice Section 529 Direct - Plan #2 Plan - #2		None	L	T					
36. Indiana College Choice Section 529 Direct - Plan #3 Plan - #3		None	L	T					
37. Three Rivers Federal Credit Union	A	Interest	L	T					
38. Chase Bank Account - 1	A	Interest	J	T					
39. Chase Bank Account - 2	A	Interest	J	T					
40. Old National HSA Account	A	Interest	J	T					
41. East Main Street Associates, LLC - Property in Allen County, Indiana	A	Rent			Sold	04/19/19	L	F	
42. Haller & Colvin, PC	E	Distribution			Sold	04/19/19	J		
43. Trust Number 1 - 1/6th Remainder Interest (H)									
44. -Uobirac, LLC - Property in Allen County, Indiana - AV \$914,200	F	Rent	N	S					
45. - Promissory Note AW	D	Interest	M	T					
46. - Promissory Note - RW Winkeljohn	C	Interest	M	T					
47. - First Source	A	Interest	M	T					
48. - Activision Blizzard (ATVI)	A	Dividend	K	T					
49. - Allegiant Travel (LAGT)	A	Dividend	L	T					
50. - Apple (AAPL)	B	Dividend	M	T					
51. - Biostage Inc Common Stock (BSTG)- (Y)									

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52. - Cisco Systems (CSCO)	A	Dividend	K	T					
53. - ETrade Financial (ETFC)	A	Dividend	L	T					
54. - Nucor (NUE)	A	Dividend	K	T					
55. -Nutrien Ltd (NTR) - (X)	A	Dividend	J	T					
56. - Nvidia Corp (NVDA)	A	Dividend	M	T					
57. - PNC Financial Services (PNC)	A	Dividend	K	T					
58. - Steel Dynamics (STDL)	D	Distribution	N	T					
59. - ST Microelectronics (STM)	A	Dividend	J	T					
60. - Teck Resources (TECK)	A	Dividend	J	T					
61. - Visa Inc CLA Common Stock (V)	B	Dividend	L	T					
62. - Weibo Corporation (WB)			J	T					
63. - Schwab xxxx-xx83 - Money Market Sweep Account	A	Interest	J	T					
64. - Schwab xxxx-xx83 - Invesco Bulletshares (BTSM) - (X) Equity ETF	C	Dividend	M	T					
65. - Schwab xxxx-xx83 - Schwab International (SCHF) Equity ETF	C	Dividend	L	T	Buy (add'l)	09/23/19	J		
66. - Schwab xxxx-xx83 Schwab US Large Cap Growth (SCHG)					Buy	01/10/19	M		
67. - Schwab xxxx-xx83 Schwab US Large Cap Growth (SCHG)					Sold (part)	09/19/19	M	E	
68. - Schwab xxxx-xx83 Schwab US Large Cap Growth (SCHG)	A	Dividend	M	T	Buy (add'l)	11/22/19	M		

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69. - Schwab xxxx-xx83 Schwab US Large Cap Value (SCHV)					Sold (part)	01/10/19	M		
70. - Schwab xxxx-xx83 Schwab US Large Cap Value (SCHV)					Buy (add'l)	09/19/19	M		
71. - Schwab xxxx-xx83 Schwab US Large Cap Value (SCHV)	A	Dividend			Sold	11/22/19	M	C	
72. - Schwab xxxx-xx83 SPDR S&P 400 Mid Cap Growth ETF (MDYG)					Buy	01/10/19	M		
73. - Schwab xxxx-xx83 SPDR S&P 400 Mid Cap Growth ETF (MDYG)					Sold (part)	09/19/19	M	D	
74. - Schwab xxxx-xx83 SPDR S&P 400 Mid Cap Growth ETF (MDYG)	B	Dividend	M	T	Buy (add'l)	11/22/19	M		
75. - Schwab xxxx-xx83 SPDR S&P 400 Mid Cap Growth ETF (MDYV)					Sold (part)	01/10/19	M		
76. - Schwab xxxx-xx83 SPDR S&P 400 Mid Cap Growth ETF (MDYV)					Buy (add'l)	09/19/19	M		
77. - Schwab xxxx-xx83 SPDR S&P 400 Mid Cap Growth ETF (MDYV)	A	Dividend			Sold	11/22/19	M	C	
78. - Schwab xxxx-xx83 SPDR S&P 600 Small Cap Growth ETF (SLYG)					Buy	01/11/19	L		
79. - Schwab xxxx-xx83 SPDR S&P 600 Small Cap Growth ETF (SLYG)					Sold (part)	09/19/19	L	D	
80. - Schwab xxxx-xx83 SPDR S&P 600 Small Cap Growth ETF (SLYG)	A	Dividend	M	T	Buy (add'l)	11/22/19	M		
81. - Schwab xxxx-xx83 SPDR S&P 600 Small Cap Value ETF (SLYV)					Sold (part)	01/10/19	K		
82. - Schwab xxxx-xx83 SPDR S&P 600 Small Cap Value ETF (SLYV)					Buy (add'l)	09/19/19	L		
83. - Schwab xxxx-xx83 SPDR S&P 600 Small Cap Value ETF	A	Dividend			Sold	11/22/19	L		
84. - Schwab xxxx-xx91 Money Market Sweep	A	Interest	K						
85. - Schwab xxxxxx91 Ishares Core US Agrregrate Bond ETF (AGG)	A	Dividend			Sold	04/24/19	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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86. - Schwab xxxx-xx91 - Ishares MSCI EAFE (EFA) ETF	B	Dividend	L	T					
87. - Schwab xxxxxx91 Schwab Aggregate Bond ETF (SWAGX)					Buy	08/30/19	M		
88. - Schwab xxxxxx91 Schwab Aggregate Bond ETF (SWAGX)	A	Dividend			Sold	12/20/19	M		
89. Schwab xxxx-xx91 - SPDR S&P 500 ETF (SPY)	B	Dividend	M	T					
90. - Schwab xxxx-xx91 - Vanguard FTSE Emerging Markets (VWO)	A	Dividend	K	T					
91. - Schwab xxxx-xx91 - American Fund Europacific Growth (AEPFX)	B	Dividend	K	T					
92. - Schwab xxxx-xx91 - Angel Oak Multi Strat (ANGLX) Europacific Growth	B	Dividend	K	T	Buy	04/25/19	L		
93. -Schwab xxxx-xx91 - Angel Oak Multi Strat (ANGLX)					Sold (part)	08/30/19	K	A	
94. - Schwab xxxxxx91 - Carillon Eagle Small Cap Growth Fd A (HRSCX)	B	Dividend	K	T					
95. - Schwab xxxxxx91 - Doubleline Shiller Enhanced Cap Fund (DSENX)	C	Dividend	L	T					
96. - Schwab xxxxxx91 - Doubleline Shiller Core Fixed (DLFNX)	A	Dividend	K	T	Buy	12/20/19	K		
97. - Schwab xxxxxx91 - Fidelity Inter Bond (FTHRX) Enhanced Cap Fund	A	Dividend	L	T	Buy	12/20/19	L		
98. - Schwab xxxxxx91 - Guggenheim (GIBLX)	B	Dividend	L	T	Buy	04/25/19	L		
99. - Schwab xxxxxx91 - Brandywine Global (GOBIX)	A	Dividend			Sold	04/25/19	K		
100. - Schwab xxxxxx91 - MFS New Discovery (NDVAX) Value Fund A	C	Dividend	K	T					
101. - Schwab xxxxxx91 - Pimco Short Term (PTSHX) - (X)	A	Dividend			Sold	04/25/19	J		
102. - Schwab xxxxxx91 - Pimco Stockplus Small A (PCKAX)	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Brady, Holly A.

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. - Schwab xxxxxx91 Pimco Incm Inst CL (PIMIX)	D	Dividend	L	T	Sold (part)	08/30/19	L		
104. - Schwab-xxxxxx12 Cash Sweep Account	A	Interest	J	T					
105. - Schwab xxxxxx12 - 3M	A	Dividend			Sold	07/15/19	J		
106. - Schwab xxxxxx12 - AT & T	A	Dividend			Sold	07/15/19	J	A	
107. -Schwab xxxxxx12 - Abbott Laboratories	A	Dividend			Sold	07/15/19	J	B	
108. -Schwab xxxxxx12 - Abbvie Inc. - X	A	Dividend			Sold	07/15/19	J		
109. -Schwab xxxxxx12 - Accenture PLC	A	Dividend			Sold	07/15/19	J	B	
110. -Schwab xxxxxx12 - ACS Activ DE Construc - (X)	A	Dividend			Sold	07/15/19	J	A	
111. -Schwab xxxxxx12 - Aercap Holdings - (X)	A	Dividend			Sold	07/15/19	J	A	
112. -Schwab xxxxxx12 - AIA Group LTD	A	Dividend			Sold	07/15/19	J	A	
113. -Schwab xxxxxx12 - Airbus Group	A	Dividend			Sold	07/15/19	J	B	
114. -Schwab xxxxxx12 - Altria Group	A	Dividend			Sold	07/15/19	J		
115. -Schwab xxxxxx12 - Amazon					Buy	07/01/19	J		
116. -Schwab xxxxxx12 - Amazon					Sold	07/15/19	J	A	
117. -Schwab xxxxxx12 - Amgen Inc	A	Dividend			Sold (part)	04/15/19	J	A	
118. -Schwab xxxxxx12 - Amgen Inc	A	Dividend			Sold	07/15/19	J	A	
119. - Schwab xxxxxx12 - American Campus - Y Comm									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Brady, Holly A.

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Schwab xxxxxx12 - Apple Inc	A	Dividend			Sold	07/15/19	J	B	
121. -Schwab xxxxxx12 - Arthur J. Gallagher	A	Dividend			Sold	07/15/19	J	A	
122. -Schwab xxxxxx12 - ASML Holdings					Buy	04/03/19	J		
123. -Schwab xxxxxx12 - ASML Holdings					Buy (add'l)	06/04/19	J		
124. -Schwab xxxxxx12 - ASML Holdings	A	Dividend			Sold	07/15/19	J	A	
125. -Schwab xxxxxx12 - AT&T 3.6%23	A	Int./Div.			Sold	07/15/19	J	A	
126. -Schwab xxxxxx12 - Auto Data Processing - (X)	A	Dividend			Sold	07/15/19	J	A	
127. -Schwab xxxxxx12 - AXA SA	A	Dividend			Sold	07/15/19	J	A	
128. -Schwab xxxxxx12 - BAE Systems	A	Dividend			Sold	07/15/19	J		
129. -Schwab xxxxxx12 - Banco Santander	A	Dividend			Sold	07/15/19	J		
130. -Schwab xxxxxx12 - Bank of America	A	Dividend			Sold	07/15/19	J	A	
131. -Schwab xxxxxx12 - BASF SE - (X)	A	Dividend			Sold	07/15/19	J		
132. -Schwab xxxxxx12 - BCE Inc.	A	Dividend			Sold	07/15/19	J		
133. -Schwab xxxxxx12 - Berkshire Hathaway - (X)	A	Dividend			Sold	07/15/19	J	A	
134. -Schwab xxxxxx12 - BHP Group					Buy	01/24/19	J		
135. -Schwab xxxxxx12 - BHP Group					Sold (part)	03/11/19	J	A	
136. -Schwab xxxxxx12 - BHP Group	A	Dividend			Sold	07/15/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Brady, Holly A.

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Schwab xxxxxx12 - Biogen Inc. - (X)	A	Int./Div.			Sold	07/15/19	J		
138. -Schwab xxxxxx12 - Blackrock, Inc	A	Dividend			Sold	07/15/19	J	A	
139. -Schwab xxxxxx12 - Boeing	A	Dividend			Sold	07/15/19	J	A	
140. -Schwab xxxxxx12 - Brit Amer Tobacco- (X)	A	Dividend			Sold	01/29/19	J		
141. -Schwab xxxxxx12 - Canadian Natl Railway	A	Dividend			Sold	07/15/19	J	A	
142. -Schwab xxxxxx12 - Carlsberg AS	A	Dividend			Sold	07/15/19	J	A	
143. -Schwab xxxxxx12 - CDW Corp - (X)	A	Dividend			Buy	04/15/19	J		
144. -Schwab xxxxxx12 - CDW Corp	A	Dividend			Sold	07/15/19	J	A	
145. -Schwab xxxxxx12 - Chevron Corp	A	Dividend			Sold	07/15/19	J	A	
146. -Schwab xxxxxx12 - CISCO Systems Inc.	A	Dividend			Sold	07/15/19	J	B	
147. -Schwab xxxxxx12 - Citigroup Inc.	A	Dividend			Sold	07/15/19	J	A	
148. -Schwab xxxxxx12 - Citigroup Inc. 4.4%25	A	Dividend			Sold	07/15/19	J	A	
149. -Schwab xxxxxx12 - Comcast Corp	A	Dividend			Sold	07/15/19	J	A	
150. -Schwab xxxxxx12 - Consolidated Edison	A	Dividend			Sold	07/15/19	J	A	
151. -Schwab xxxxxx12 - Crown Castle Intl Co	A	Dividend			Sold	07/15/19	J	A	
152. -Schwab xxxxxx12 - Daimler AG - (X)	A	Dividend			Sold	07/15/19	J		
153. -Schwab xxxxxx12 - Danone - (X)	A	Dividend			Sold	07/15/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Brady, Holly A.

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05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Schwab xxxxxx12 - DBS Group Holdings	A	Dividend			Sold	07/15/19	J	A	
155. -Schwab xxxxxx12 - Deutsche Boerse - (X)	A	Dividend			Sold	07/15/19	J	A	
156. -Schwab xxxxxx12 - Duetsche Post AG - (X)	A	Dividend			Sold	07/15/19	J		
157. -Schwab xxxxxx12 - Discover Finl	A	Dividend			Sold	07/15/19	J	A	
158. -Schwab xxxxxx12 - DNB ASA	A	Dividend			Sold	07/15/19	J	A	
159. -Schwab xxxxxx12 - E. On SE AG	A	Dividend			Sold	07/15/19	J	A	
160. -Schwab xxxxxx12 - Engie SA	A	Dividend			Sold	07/15/19	J	A	
161. -Schwab xxxxxx12 - Essilorluxotica	A	Dividend			Sold	07/15/19	J	A	
162. -Schwab xxxxxx12 - Estee Lauder	A	Dividend			Sold	07/15/19	J	B	
163. -Schwab xxxxxx12 - Exxon Mobil Corp	A	Dividend			Sold	07/15/19	J		
164. -Schwab xxxxxx12 - Facebook Inc. - (X)	A	Dividend			Sold	07/15/19	J	A	
165. -Schwab xxxxxx12 - Factset Research Sys	A	Dividend			Sold	07/15/19	J	B	
166. -Schwab xxxxxx12 - Fanuc Ltd. Japan	A	Dividend			Sold	07/15/19	J		
167. -Schwab xxxxxx12 - Fedex Corp	A	Dividend			Sold	07/15/19	J		
168. -Schwab xxxxxx12 - Hitachi					Buy	06/12/19	J		
169. -Schwab xxxxxx12 - Hitachi					Sold	07/15/19	J		
170. -Schwab xxxxxx12 - Home Depot	A	Dividend			Sold	07/15/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. -Schwab xxxxxx12 - Honeywell	A	Dividend			Sold	07/15/19	J	A	
172. -Schwab xxxxxx12 - Hormell Foods Corp					Sold (part)	01/24/19	J	A	
173. -Schwab xxxxxx12 - Hormell Foods Corp	A	Dividend			Sold	07/15/19	J	A	
174. -Schwab xxxxxx12 - Industria DE Diseno TE - (X)	A	Dividend			Sold	07/15/19	J	A	
175. -Schwab xxxxxx12 - Intel Corp	A	Dividend			Sold	07/15/19	J	A	
176. -Schwab xxxxxx12 - Interntnl Cos Airls					Buy	01/22/19	J		
177. -Schwab xxxxxx12 - Interntnl Cos Airls					Sold	07/15/19	J		
178. -Schwab xxxxxx12 - Intesa Sanpalol Spa	A	Dividend			Sold	07/15/19	J		
179. - Schwab xxxxxx12 - Ishares Russell 1000	A	Dividend			Sold	07/15/19	K	C	
180. -Schwab xxxxxx12 - JP Morgan Chase & Co	A	Dividend			Sold	07/15/19	J	B	
181. -Schwab xxxxxx12 - Janus Henderson Short Term BD	A	Dividend			Sold	07/12/19	K	B	
182. -Schwab xxxxxx12 - Johnson & Johnson	A	Dividend			Sold	07/15/19	J		
183. -Schwab xxxxxx12 - JP Morgan Chase 3.3%26	A	Dividend			Sold	07/17/19	J	A	
184. -Schwab xxxxxx12 - JP Morgan Chase 2.95%26	A	Dividend			Sold	07/17/19	J	A	
185. -Schwab xxxxxx12 - Julius Baer Group Ltd	A	Dividend			Sold	07/15/19	J		
186. - Schwab xxxxxx12 - James River Group Holdings - Y									
187. -Schwab xxxxxx12 - Keycorp Inc. - (X)	A	Dividend			Sold	07/15/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. -Schwab xxxxxx12 - Kimberly Clark Corp	A	Dividend			Sold	07/15/19	J	A	
189. -Schwab xxxxxx12 - Kubota Ltd - (X)	A	Dividend			Sold	03/21/19	J		
190. -Schwab xxxxxx12 - Lloyds Banking Corp - (X)	A	Dividend			Sold	07/15/19	J		
191. -Schwab xxxxxx12 - Lockheed Martin Corp	A	Dividend			Sold	07/15/19	J	A	
192. -Schwab xxxxxx12 - Lowes Companies	A	Dividend			Sold	07/15/19	J	A	
193. -Schwab xxxxxx12 - Mainstay Mackay High	A	Dividend			Sold	07/12/19	K		
194. -Schwab xxxxxx12 - Marketaxss Holdings	A	Dividend			Sold	07/15/19	J	A	
195. -Schwab xxxxxx12 - Mastercard Inc.	A	Dividend			Sold	07/15/19	J	C	
196. -Schwab xxxxxx12 - McDonalds Corp - (X)	A	Dividend			Sold	07/15/19	J	A	
197. -Schwab xxxxxx12 - Merck & Co	A	Dividend			Sold	07/15/19	J	A	
198. -Schwab xxxxxx12 - Microsoft Corp	A	Dividend			Sold	07/15/19	J	C	
199. -Schwab xxxxxx12 - MSCI Inc - (X)	A	Dividend			Sold	07/15/19	J	A	
200. -Schwab xxxxxx12 - Murata Mfg Co. Ltd	A	Dividend			Sold	07/15/19	J		
201. - Schwab xxxxxx12 - Mid America Apartment - Y									
202. -Schwab xxxxxx12 - Naspers Ltd					Buy	05/02/19	J		
203. -Schwab xxxxxx12 - Naspers Ltd	A	Dividend			Sold	07/15/19	J		
204. -Schwab xxxxxx12 - Nextera Energy Inc.	A	Dividend			Sold	07/15/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. -Schwab xxxxxx12 - Nike Inc.	A	Dividend			Sold	07/15/19	J	B	
206. -Schwab xxxxxx12 - Nordea Bank ABP - (X)	A	Dividend			Sold	07/15/19	J		
207. -Schwab xxxxxx12 - Nutrien Ltd					Buy	06/28/19	J		
208. -Schwab xxxxxx12 - Nutrien	A	Dividend			Sold	07/15/19	J		
209. -Schwab xxxxxx12 - NXP Semiconductors					Buy	01/07/19	J		
210. -Schwab xxxxxx12 - NXP Semiconductors	A	Dividend			Sold	07/15/19	J	A	
211. -Schwab xxxxxx12 - Oracle Corp - (X)	A	Dividend			Sold	07/15/19	J	A	
212. -Schwab xxxxxx12 - Orange	A	Dividend			Sold	07/15/19	J		
213. -Schwab xxxxxx12 - Otsuka Holdings Co. Ltd	A	Dividend			Sold	07/15/19	J		
214. -Schwab xxxxxx12 - Paychex Inc.	A	Dividend			Sold	07/15/19	J	A	
215. -Schwab xxxxxx12 - Pepsico					Buy	05/24/19	J		
216. -Schwab xxxxxx12 - Pepsico	A	Dividend			Sold	07/15/19	J	A	
217. - Schwab xxxxxx12 - Sun Communities - Y									
218. - Schwab xxxxxx12 - Weyerhaeuser - Y									
219. - Schwab xxxxxx12 - Physicians Realty TR - Y									
220. - Schwab - xxxxxx12 - ally Financial - Y									
221. - Schwab - xxxxxx12 - Aptargroup - Y									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. - Schwab - xxxxxx12 - Artisan Part Asset - Y									
223. - Schwab - xxxxxx12 - Blackbaud, Inc - Y									
224. - Schwab - xxxxxx12 - Booz Allen Hamilton - Y									
225. - Schwab - xxxxxx12 - Bristol Myers Squibb - Y									
226. - Schwab - xxxxxx12 - Broadridge - Y									
227. - Schwab - xxxxxx12 - Canadian National Railway - Y									
228. - Schwab - xxxxxx12 - Carters, Inc - Y									
229. - Schwab - xxxxxx12 - Charles Schwab - Y									
230. - Schwab - xxxxxx12 - Cognex - Y									
231. - Schwab - xxxxxx12 - Conocophillips - Y									
232. - Schwab - xxxxxx12 - Eagle Materials - Y									
233. - Schwab - xxxxxx12 - Ecolab - Y									
234. - Schwab - xxxxxx12 - Emerson Electric - Y									
235. - Schwab - xxxxxx12 - Exponent - Y									
236. - Schwab - xxxxxx12 - Ford Motor Company - Y									
237. - Schwab - xxxxxx12 - Glacier Bancorp - Y									
238. - Schwab - xxxxxx12 - Graco Inc. - Y									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Brady, Holly A.

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. - Schwab - xxxxxx12 - Hexcel Crop - Y									
240. - Schwab - xxxxxx12 - HSBC Holdings - Y									
241. - Schwab - xxxxxx12 - Lincoln Elec Holdings - Y									
242. - Schwab - xxxxxx12 - Lithia Motors - Class - Y A									
243. - Schwab - xxxxxx12 - MGM Resorts - Y									
244. Schwab - xxxxxx12 - Nordson Corp - Y									
245. - Schwab - xxxxxx12 OGE Energy Cp Hldg - Y									
246. -Schwab xxxxxx12 - Pfizer Inc.	A	Dividend			Sold	07/15/19	J	A	
247. - Schwab - xxxxxx12 - Phillip Morris Intl					Sold (part)	01/07/19	J		
248. -Schwab xxxxxx12 - Phillip Morris	A	Dividend			Sold	07/15/19	J		
249. - Schwab - xxxxxx12 - Phillips 66					Sold	07/15/19	J	A	
250. - Schwab - xxxxxx12 - Pinnacle Finl Partnr - Y									
251. - Schwab - xxxxxx12 - Polaris Industries - Y									
252. - Schwab - xxxxxx12 - Pool Corporation - Y									
253. - Schwab - xxxxxx12 - Porland General Elec - Y									
254. - Schwab - xxxxxx12 - Power Integrations - Y									
255. - Schwab - xxxxxx12 - Pricesmart - Y									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Brady, Holly A.

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. - Schwab - xxxxxx12 - ProAssurance Corp - Y									
257. - Schwab - xxxxxx12 - Procter & Gamble	A	Dividend			Sold	07/15/19	J	A	
258. - Schwab - xxxxxx12 - Prologis Inc.	A	Dividend			Sold	07/15/19	J	A	
259. - Schwab - xxxxxx12 - Quaker Chemical - Y Corp									
260. - Schwab - xxxxxx12 - Ritchie Bros. Auction - Y									
261. - Schwab - xxxxxx12 - Roches Hldg AG	A	Dividend			Sold	07/15/19	J	A	
262. - Schwab - xxxxxx12 - Rolls Royce					Buy	05/29/19	J		
263. - Schwab - xxxxxx12 - Rolls Royce	A	Dividend			Sold	07/15/19	J		
264. - Schwab - xxxxxx12 - Royal DSM	A	Dividend			Sold	07/15/19	J	B	
265. - Schwab - xxxxxx12- Royal Dutch Shell	A	Dividend			Sold	07/15/19	J	A	
266. - Schwab - xxxxxx12 - RPM International	A	Dividend			Sold	07/15/19	J	A	
267. - Schwab - xxxxxx12 - S&P Global, Inc - Y									
268. - Schwab - xxxxxx12 - SAP SE	A	Dividend			Sold	07/15/19	J	A	
269. - Schwab - xxxxxx12 - Schlumberger Ltd. - Y									
270. - Schwab - xxxxxx12 - Schneider National - Y									
271. - Schwab - xxxxxx12 - Secom Co. Ltd	A	Dividend			Sold	07/15/19	J	A	
272. - Schwab xxxxxx12 - Seven & I Hldg	A	Dividend			Sold	07/15/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Brady, Holly A.

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. - Schwab - xxxxxx12 - Sherwin Williams	A	Dividend			Sold	07/15/19	J	B	
274. - Schwab - xxxxxx12 - Siemens Healthliners					Buy	04/17/19	J		
275. - Schwab - xxxxxx12 - Siemens Healthliners					Sold	07/15/19	J		
276. - Schwab - xxxxxx12 - Six Flags Entertainment	A	Dividend			Sold	07/15/19	J		
277. - Schwab - xxxxxx12 - Smith & Nephew	A	Dividend			Sold	07/15/19	J	A	
278. - Schwab - xxxxxx12 - Starbucks	A	Dividend			Sold	07/15/19	J	B	
279. - Schwab - xxxxxx12 - Steris Plc - Y									
280. - Schwab - xxxxxx12 - Sumitomo Mitsui Fian	A	Dividend			Sold	07/15/19	J		
281. - Schwab - xxxxxx12 - Templeton Global Bond Fund ADV	A	Dividend			Sold	07/15/19	K		
282. - Schwab - xxxxxx12 - Tesco Plc - (X)	A	Dividend			Sold	07/15/19	J		
283. - Schwab - xxxxxx12 - Texas Instruments	A	Dividend			Sold	07/15/19	J	A	
284. - Schwab - xxxxxx12 - The Coca Cola Co. - (X)	A	Dividend			Sold	07/15/19	J	A	
285. - Schwab - xxxxxx12 - TJX Companies	A	Dividend			Sold	07/15/19	J	B	
286. - Schwab - xxxxxx12 - Toray Industries - (X)	A	Dividend			Sold	07/15/19	J		
287. - Schwab - xxxxxx12 - Toro Company	A	Dividend			Sold	07/15/19	J	A	
288. - Schwab - xxxxxx12 - Total SA F Spondored ADR	A	Dividend			Sold	06/18/19	J	A	
289. - Schwab - xxxxxx12 - Union Pacific Corp	A	Dividend			Sold	07/15/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Brady, Holly A.

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Schwab - xxxxxx12 - United Health Group	A	Dividend			Sold	07/15/19	J	B	
291. - Schwab - xxxxxx12 - US Treasur NT 1.625% 08/19	A	Interest			Sold	07/16/19	J		
292. - Schwab - xxxxxx12 - US Treasur NT 1.625% 10/23	A	Interest			Sold	07/16/19	J	A	
293. - Schwab - xxxxxx12 - US Treasur NT 1.875% 04/22	A	Interest			Sold	07/16/19	J		
294. - Schwab - xxxxxx12 - US Treasur NT 1.0% 10/19					Buy	04/04/19	J		
295. - Schwab - xxxxxx12 - US Treasur NT 1.0% 10/19	A	Interest			Sold	07/16/19	J		
296. - Schwab - xxxxxx12 - US Treasur NT 1.0% 11/19					Buy	05/23/19	J		
297. - Schwab - xxxxxx12 - US Treasur NT 1.0% 11/19	A	Interest			Sold	07/16/19	J		
298. - Schwab - xxxxxx12 - US Treasur NT 2.0% 02/25	A	Interest			Sold	07/16/19	J	A	
299. - Schwab - xxxxxx12 - US Treasur NT 1.5% 05/19	A	Interest			Sold	05/23/19	J		
300. - Schwab - xxxxxx12 - US Treasur NT 2.5% 01/24					Buy	02/21/19	J		
301. - Schwab - xxxxxx12 - US Treasur NT 2.5% 01/24	A	Interest			Sold	07/16/19	J	A	
302. - Schwab - xxxxxx12 - US Treasur NT 3.5% 05/20					Buy	02/21/19	J		
303. - Schwab - xxxxxx12 - US Treasur NT 3.5% 05/20	A	Interest			Sold	07/16/19	J		
304. - Schwab - xxxxxx12 - US Treasur NT 1.75% 01/23	A	Interest			Sold	07/16/19	J	A	
305. - Schwab - xxxxxx12 - US Treasur NT 1.75% 10/20					Buy	04/01/19	J		
306. - Schwab - xxxxxx12 - US Treasur NT 1.75% 10/20	A	Interest			Sold	07/16/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Brady, Holly A.

Date of Report

05/08/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Schwab - xxxxxx12 - US Treasur NT 2.25% 02/27					Buy	04/01/19	J		
308. - Schwab - xxxxxx12 - US Treasur NT 2.25% 02/27	A	Interest			Sold	07/16/19	J	A	
309. - Schwab - xxxxxx12 - US Treasur NT 2.25% 12/24	A	Interest			Sold	07/16/19	J	A	
310. - Schwab - xxxxxx12 - Vanguard FTSE Emerginc Markets	A	Dividend			Sold	07/15/19	K	A	
311. - Schwab - xxxxxx12 - Vanguard S&P 500 ETF	A	Dividend			Sold	07/15/19	J	A	
312. - Schwab - xxxxxx12 - Verizon Comm	A	Dividend			Sold	07/15/19	J	A	
313. - Schwab - xxxxxx12 - Visa Inc. Class A	A	Dividend			Sold	07/15/19	J	B	
314. - Schwab - xxxxxx12 - Wabtec - Y									
315. - Schwab - xxxxxx12 - Walt Disney Co.	A	Dividend			Sold	07/15/19	J	A	
316. - Schwab - xxxxxx12 - Webster Financial - Y									
317. - Schwab - xxxxxx12 - Wells Fargo & Co NA					Buy (add'l)	04/02/19	J		
318. - Schwab - xxxxxx12 - Wells Fargo & Co	A	Interest			Sold	07/15/19	J	A	
319. - Schwab - xxxxxx12 - Wells Fargo Bank NA	A	Dividend			Sold	07/15/19	J		
320. - Schwab - xxxxxx12 - West Pharm Srvc - Y Inc.									
321. - Schwab - xxxxxx12 - Xcel Energy	A	Dividend			Sold	07/15/19	J	A	
322. - Schwab - xxxxxx12 - Yum Brands Inc. - (X)	A	Dividend			Sold	07/15/19	J	A	
323. - Schwab - xxxxxx12 - Zoetis Inc. Class A - (X)	A	Dividend			Sold	07/15/19	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. - Schwab - xxxxxx12 - Mainstay High Yield Corp. Bd. 1	A	Dividend			Sold	07/15/19	K		
325.									
326.									
327.									
328.									
329.									
330.									
331.									
332.									
333.									
334.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 34. The valuation is based upon the Allen County, Indiana Assessor's Office assessment of \$914,200 dated March 15, 2019. The Trust owns a 50% interest in the property resulting in a valuation code of "N".

When my nomination expired on December 31, 2018, I was instructed to prepare a new Nomination FDR. At the time, the Government was shut down and there were limited resources available to answer questions regarding the filing requirements. I sought information regarding the need to continue to list the assets held in a Family Trust based upon my resignation as the Trustee in October 2018. As a result of the erroneous information provided, I filed the same information submitted in my original Nomination FDR (filed April 2018). The January 2019 Nomination FDR failed to reflect changes in the funds I held individually and held by the Trust and also failed to disclose certain funds purchased between April 2018 and January 2019. The sale and purchase of funds between April 2018 and January 1, 2019 were made in the normal course and were not the result of any unanticipated or out of the ordinary gain.

The following investments should have been included in Part VII of my January 2019 Nomination FDR. I have placed an (X) behind these investments in Part VII of this year's report.

Trust

Nutrien Ltd

Trust Schwab xxxxxx83

Invesco Bulletshares

Trust Schwab xxxxxx91

Pimco Short Term

Trust Schwab xxxxxx12

Abbvie
ACS Activ DE Const
Aercap Holdings
Auto Data Processing
BASF SE
Berkshire Hathaway
Biogen Inc
Brit Amer Tobacco
CDW Corp
Daimler AG
Danone
Deutsche Borse
Duestche Post AG
Facebook
Industria DE Diseno TE
Keycorp Inc
Kubota Ltd
Lloyds Banking Corp
McDonalds Corp
MSCI Inc
Nordea Bank ABP
Oracle Corp
Tesco Plc
The Coca Cola Co
Toray Industries
Yum Brands
Zoetis Inc. Class A

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following investments should Not have been included in Part VII of my January 2019 Nomination FDR and do not appear on this report in Part VII. The investments with a (Y) on this year's report denote those investments that were not reportable because they fell below the \$1,000 reporting threshold.

Brady

Home Depot
Johnson & Johnson
SD Plus Corp
Macy's
Kroger

Trust

Magellan Midstream Partners

Trust Schwab xxxxxxx83

Schwab US Aggregate Bond ETF

Schwab xxxxxxx91

Pimco Stockplus Small D

Schwab xxxxxxx12

American Tower Corp
Coresite Realty
Crown Castle Inc
Delphi Auto
Easterly Govt
Equity Residential
Ishares Cohen and Steersreit
Magellan Midstream Partners
Realty Incm Corp
Simon Property Group
Simon Hotel Property
Welltowner Inc
Adecco
Aegon
Alliant Energy
Ambev
Amer Electric Power
Astellas Pharma
Banco Bilbao
Bankunited
BHP Biliton
Broadco
CEB Inc
CK Hutchinson
CA Inc
CA Atlantic
Canadian National
Carrefour SA
Caterpillar
China Mobile Ltd

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Clorox
Compass
Costco
CR Bard Inc.
Cummins
CVS Health
Dominos
Dow Chemical
Dowdupont
Dupon EI DE Nemour
Duke Energy
EOG Resoucces
Fresenius Med Care
Gemal to NB
General Dynamics
Genuine Parts
Goldman Sachs
Goodyear Tire & Rubber
Greenhill & Co
Harman Intl Inds. Inc
Heartland Express
Iberiabank Corp
IBM Corp
Illinois Tool
Komatsu Ltd
Logmein
Lyondell Bassell Ind
Mazda Motors
Microchip Technology
Mitsubishi Estate
Monsanto Co
Moody's Corp
Navient
Novo Nordisk
Occidental Petrol
Panasonic Corp
Principal Financial
Raytheon Co
Rockwell Collins
Royal KpN NV
Scripps Ntwk Interac
Seiko Epson Corp
Skyworks
Southern Company
SS&C Technologies
Tesco Plc
Target Corp
Time Warner
Toronto Dominion
Tupperware Brands
United Parcel Services – Class B
United Technologies
Valero Energy
Vivendi SA
Walgreens Boots Alli
Wal-Mart Stores Inc.
Waste Management
Zions Bancorp
Templeton Global
James River Group

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Holly A. Brady**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544