

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Weisman, M. David	2. Court or Organization Northern District of Illinois	3. Date of Report 08/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (full time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Dirksen Federal Courthouse 219 S. Dearborn Street, Suite 1318 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary	Federal Bar Association, Chicago Chapter
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2016	Miller Shakman & Beem LLP, fees collected for six months following my departure, limited to matters that I brought to the firm. I will not receive firm profits
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Miller Shakman & Beem LLP, Partnership Income	\$127,768.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	(salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Miller Shakman & Beem LLP	Hosted reception following my swearing in (July 2016)	\$7,918.00
2.	Jack Merriman	Cubs baseball tickets	\$640.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Verizon (VZ)	A	Dividend			Sold	09/02/16	J		
2. Froniter (FTR)	A	Dividend	J	T					
3. Bank Account (H)									
4. Bank of America Cash Account	A	Interest	K	T					
5. Fifth Third Cash Account	A	Interest	J	T					
6. Novia Scotia Bank Cash Account		None	J	T					
7. Brokerage Account #1 (H)									
8. USAA Treasury MMKT (UATXX) (X)		None	J	T					
9. Fuel Cell (FCEL) (Y)									
10. Crocs Inc. (CROX)		None	J	T					
11. Sandston Corp. (SDON) (Y)									
12. Katten Muchin Rosenmann Defined Contribution Plan (H)									
13. Fidelity Growth Company (FDGRX)	D	Dividend	L	T					
14. MSH Profit Sharing 401(k) Plan and Trust (H)									
15. Vanguard Life Strategy Growth Inv. (VASGX)	B	Dividend	K	T					
16. USAA 529 College Savings Plan (H)									
17. USAA Aggressive Growth Portfolio (USAUX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA #1 (H)									
19. USAA Aggressive Growth Portfolio (USAUX)	A	Dividend	J	T					
20. IRA #2 (H)									
21. USAA Aggressive Growth Portfolio (USAUX)	C	Dividend	K	T					
22. Brokerage Account #2 (H)									
23. Comcast (CMCSA)	A	Dividend	K	T	Buy (add'l)	07/14/16	J		
24.					Buy (add'l)	10/13/16	J		
25. IRA #3 (H)									
26. Fidelity Strategic Advisers Core (FCSAX)	A	Dividend	K	T					
27. Fidelity Strategic Advisers Growth (FSGFX)	A	Dividend	J	T					
28. Fidelity Strategic Advisers Value (FVSAX)	A	Dividend	J	T					
29. Fidelity Strategic Advisers Emerging Markets (FSAMX)	A	Dividend	J	T					
30. Fidelity Advisor Short Fxd-Inc C (FSCFX)	A	Dividend	J	T					
31. Fidelity Strategic Advisers International (FILFX)	A	Dividend	J	T					
32. Fidelity SAI US Large Cap Index (FLCPX) (Y)									
33. Fidelity Strategic Advisers Short Duration (FAUDX)	A	Dividend	J	T					
34. Fidelity Strategic Advisers Income Opportunities (FPIOX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Fidelity Strategic Advisers Core Income (FPCIX)	A	Dividend	J	T					
36. IRA #4 (H)									
37. Fidelity Strategic Advisers Core (FCSAX)	B	Dividend	M	T	Sold (part)	07/22/16	J		
38.					Buy (add'l)	10/06/16	J		
39. Fidelity Strategic Advisers Growth (FSGFX)	A	Dividend	L	T	Sold (part)	07/22/16	J		
40.					Buy (add'l)	12/02/16	J		
41. Fidelity Strategic Advisers Value (FVSAX)	A	Dividend	L	T	Sold (part)	07/22/16	J		
42.					Sold (part)	12/02/16	J		
43. Fidelity Strategic Advisers Emerging Markets (FSAMX)	A	Dividend	K	T	Sold (part)	09/09/16	J		
44. Fidelity Advisor Short Fxd-Inc C (FSCFX)	A	Dividend	K	T	Sold (part)	07/22/16	J		
45. Fidelity Strategic Advisers International (FILFX)	A	Dividend	L	T	Sold (part)	09/09/16	J		
46.					Sold (part)	12/02/16	J		
47. Fidelity SAI US Large Cap Index (FLCPX)	A	Dividend	J	T					
48. Fidelity SAI International Index (FIONX)	A	Dividend	J	T	Buy (add'l)	07/22/16	J		
49. Fidelity SAI Merging Markets Index (FERGX)	A	Dividend	J	T	Buy (add'l)	09/09/16	J		
50. AQR Managed Futures Strategy N (AQMNX)	A	Dividend	J	T	Buy (add'l)	07/22/16	J		
51. Fidelity Short Term Bond (FSHBX) (Y)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Fidelity Strategic Advisers Short Duration (FAUDX)	A	Dividend	K	T	Buy (add'l)	07/22/16	J		
53.					Buy (add'l)	09/09/16	J		
54.					Buy (add'l)	09/12/16	J		
55. Fidelity Strategic Advisers Income Opportunities (FPIOX)	A	Dividend	J	T	Sold (part)	07/22/16	J		
56.					Sold (part)	10/06/16	J		
57. Fidelity Strategic Advisers Core Income (FPCIX)	A	Dividend	L	T	Buy (add'l)	07/22/16	J		
58. Eaton Vance Gbl Macro Abs Ret Advtg (EGRIX)	A	Dividend	J	T					
59. PIMCO Commodity Real Ret Strat Instl (PCRIX)	A	Dividend	J	T	Buy (add'l)	09/09/16	J		
60. Templeton Global Bond A (TPINX)	A	Dividend	J	T	Sold (part)	07/22/16	J		
61. FIMM Govt. Portfolio: Inst (FRGXX)	A	Dividend	J	T	Buy (add'l)	09/09/16	J		
62.					Sold (part)	12/28/16	J		
63. City of Chicago Deferred Compensation Plan (H)									
64. T. Row Price Retirement 2015 (TRFGX)	B	Dividend	L	T					
65. UTMA #1 (H)									
66. USAA Aggressive Growth (USAUX)	C	Dividend	K	T					
67. UTMA #2 (H)									
68. USAA Capital Growth (USCGX)	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III A. includes salary received prior to taking the bench and subsequent payments pursuant to the agreement listed in Part II.

Part VII, lines 14-15: This header and asset were inadvertently duplicated on lines 13-14 and 57-58 of my prior report.

Part VII, line 21: This item was inadvertently omitted from my prior report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ M. David Weisman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544