

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Aron, Catharine R.	2. Court or Organization U S Bankruptcy Court MDNC	3. Date of Report 05/01/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address U. S. Bankruptcy Court P. O. Box 448 Greensboro, NC 27402		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Valic-Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Middle District Bankruptcy Seminar	April 12-14, 2018	Durham, NC	seminar	(lodging, food, transportation)
2. NC Bankruptcy Institute	Nov 1-2, 2018	Pinehurst, NC	seminar	(lodging, food, transportation)
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. First National Bank Bank money market	A	Interest	J	T					
2.									
3. AIG-Valic Aim Intern.ADR Growth Fund									
4. BTI	A	Dividend	J	T	Sold (part)	04/30/18	J	A	
5. BZLFY		None			Sold	01/04/18	J	A	
6. CNI	A	Dividend	J	T					
7. CVE	A	Dividend			Sold (part)	02/21/18	J		
8.					Sold	05/25/18	J	A	
9. CMPGY	A	Dividend	J	T					
10. FANUY		None	J	T	Buy (add'l)	05/08/18	J		
11.					Buy (add'l)	10/29/18	J		
12.					Buy (add'l)	12/27/18	J		
13. FMX	A	Dividend	J	T					
14. JBAXY		None	J	T	Sold (part)	01/25/18	J	A	
15.					Buy (add'l)	10/16/18	J		
16. NVS	A	Dividend	J	T	Buy (add'l)	02/09/18	J		
17.					Buy (add'l)	06/25/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18.					Buy (add'l)	07/02/18	J		
19.					Sold (part)	12/18/18	J	A	
20. RELX	A	Int./Div.	J	T	Sold (part)	02/21/18	J	A	
21. RDSB		None	J	T					
22. SAP	A	Dividend	J	T	Sold (part)	07/26/18	J	A	
23. SNN	A	Dividend			Sold (part)	01/19/18	J	A	
24.					Sold	05/25/18	J	A	
25. TSM	A	Dividend	J	T					
26. UN	A	Dividend	J	T					
27. AMADY	A	Dividend	J	T					
28. CIOXY	A	Dividend			Sold	03/21/18	J		
29. GIB	A	Dividend	J	T	Sold (part)	11/20/18	J	A	
30. SU	A	Dividend	J	T					
31. SBGSY		None	J	T	Sold (part)	04/19/18	J		
32. AVGO	A	Dividend	J	T	Buy (add'l)	07/25/18	J		
33.					Sold (part)	11/07/18	J	A	
34. BIDU		None	J	T	Buy (add'l)	06/05/18	J		

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. AZSEY		None	J	T					
36. AMCRY		None	J	T	Buy (add'l)	05/08/18	J		
37.					Sold (part)	08/15/18	J	A	
38.					Sold (part)	10/04/18	J	A	
39. CABGY	A	Int./Div.	J	T	Sold (part)	07/24/18	J	A	
40. DPSGY	A	Int./Div.	J	T					
41. PPERY	A	Int./Div.	J	T					
42. UBS		None	J	T					
43. KPCPY	A	Dividend	J	T					
44. PRDSY	A	Dividend			Sold	03/20/18	J		
45. UOVEY	A	Dividend	J	T	Sold (part)	04/09/18	J	A	
46. YAH0Y		None			Sold	05/18/18	J		
47. CFRUY	A	Int./Div.	J	T					
48. JAPAY	A	Int./Div.	J	T	Sold (part)	02/16/18	J		
49. LYG	A	Int./Div.			Sold	08/27/18	J		
50. CKHUY		None	J	T	Sold (part)	04/30/18	J		
51.					Sold (part)	07/12/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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52. WTKWY		None	J	T					
53. CSLLY		None	J	T	Sold (part)	08/21/18	J	A	
54. KCRPY		None			Sold	06/11/18	J	A	
55. PDRDY		None	J	T	Sold (part)	11/19/18	J	A	
56. IFJPY		None	J	T					
57. BBD	A	Int./Div.	J	T	Sold (part)	02/09/18	J	A	
58. BXBLY	A	Int./Div.	J	T	Sold (part)	07/09/18	J		
59. DBOEY	A	Int./Div.	J	T	Sold (part)	10/12/18	J	A	
60. ESLOY	A	Int./Div.	J	T					
61. GEAGY	A	Int./Div.	J	T					
62. ING	A	Int./Div.	J	T	Buy (add'l)	10/16/18	J		
63. ISNPY	A	Int./Div.	J	T					
64. KROTY	A	Int./Div.			Sold (part)	05/23/18	J		
65.					Sold	12/24/18	J		
66. LVS	A	Int./Div.	J	T	Sold (part)	06/15/18	J	A	
67.					Buy (add'l)	09/12/18	J		
68. MDIBY	A	Int./Div.	J	T	Buy (add'l)	06/05/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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69. MDLZ	A	Int./Div.	J	T					
70. OTEX	A	Int./Div.	J	T	Sold (part)	03/27/18	J	A	
71.					Sold (part)	08/09/18	J	A	
72. PDYPY	A	Int./Div.	J	T					
73. RBGLY		None	J	T					
74. VCISY		None	J	T	Buy (add'l)	02/13/18	J		
75.					Buy (add'l)	07/31/18	J		
76. WHGLY	A	Int./Div.	J	T	Sold (part)	02/09/18	J	A	
77.					Sold (part)	06/15/18	J		
78.					Buy (add'l)	10/16/18	J		
79. xAKBTY	A	Int./Div.	J	T	Buy	02/14/18	J		
80. xBABA		None	J	T	Buy	10/10/18	J		
81.					Buy (add'l)	10/16/18	J		
82.					Buy (add'l)	12/04/18	J		
83. xCRTO		None	J	T	Buy	04/10/18	J		
84.					Buy (add'l)	11/06/18	J		
85. xHOCPY	A	Int./Div.	J	T	Buy	06/07/18	J		

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86. xKAOOY		None	J	T	Buy	12/13/18	J		
87. xNTR	A	Int./Div.	J	T	Buy	07/10/18	J		
88.					Buy (add'l)	08/07/18	J		
89.					Buy (add'l)	10/11/18	J		
90. xPM	A	Int./Div.	J	T	Buy	07/02/18	J		
91.					Buy (add'l)	12/31/18	J		
92. xFTI	A	Int./Div.	J	T	Buy	07/31/18	J		
93.					Buy (add'l)	12/04/18	J		
94.					Buy (add'l)	12/28/18	J		
95. xVIVHY	A	Int./Div.	J	T	Buy	01/10/18	J		
96.					Buy (add'l)	02/27/18	J		
97. xYUMC	A	Int./Div.	J	T	Buy	07/11/18	J		
98.									
99. SSGAMoney Market Fund	A	Int./Div.	J	T					
100.									
101. AIG- Valic money market account	A	Interest	J	T					
102.									

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103. AIG/Valic Select IRA 1 Transamerica Large Growth									
104. AAPL	A	Dividend	K	T					
105. CERN		None			Sold	05/14/18	J	B	
106. SBUX	A	Dividend			Sold	07/12/18	J	C	
107. V	A	Dividend	J	T					
108. DIS	A	Dividend	J	T					
109. GILD		None	J	T					
110. GOOG		None	J	T					
111. A	A	Int./Div.	J	T					
112. LOW	A	Dividend	J	T					
113. CHKP		None			Sold	01/16/18	J	C	
114. AGN		None	J	T					
115. ATVI	A	Int./Div.	J	T					
116. AMGN	A	Int./Div.	J	T					
117. BLK	A	Int./Div.	J	T					
118. MSFT	A	Int./Div.	K	T					
119. UTX	A	Int./Div.	J	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. FB		None	J	T					
121. NVDA	A	Dividend	J	T	Sold (part)	01/31/18	J	C	
122. NXPI		None	J	T					
123. RHT		None			Sold	11/01/18	J	D	
124. CELG		None			Sold	07/12/18	J	B	
125. AKAM		None	J	T					
126. INTC		None	J	T					
127. TJX		None	J	T					
128. HRS	A	Int./Div.	J	T					
129. INTU	A	Dividend	J	T					
130. MLM	A	Dividend	J	T					
131. NKE	A	Dividend	J	T					
132. NOC	A	Dividend	J	T					
133. SCHW		None	J	T					
134. AET Merged Now CVS	A	Dividend			Merged (with line 135)	11/29/18	J		
135. CVS	A	Dividend	J	T					
136. ALB	A	Dividend			Sold	04/26/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. AMZN		None	K	T					
138. AVGO	A	Dividend	J	T					
139. COST	A	Dividend	J	T					
140. CRM		None	J	T					
141. LLL	A	Dividend	J	T					
142. PANW		None	J	T					
143. IQV		None	J	T	Buy (add'l)	12/19/18	J		
144. SCSO is TYPO should be CSCO		None	J	T	Buy (add'l)	12/19/18	J		
145. TER	A	Dividend			Sold	12/17/18	J		
146. WM	A	Dividend	J	T					
147. WMT	A	Dividend	J	T					
148. ZTS	A	Dividend	J	T					
149. xXLNX	A	Dividend	J	T	Buy	01/16/18	J		
150. xBAH	A	Dividend	J	T	Buy	04/24/18	J		
151. xPLNT		None	J	T	Buy	09/24/18	J		
152.					Buy (add'l)	12/19/18	J		
153. xGD	A	Dividend			Buy	02/14/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	07/20/18	J		
155.					Sold	11/21/18	J		
156. xBIIB	A	Dividend			Buy	07/31/18	J		
157.					Sold	11/21/18	J		
158. xILMN		None	J	T	Buy	11/09/18	J		
159.									
160. SSGA Money Market	A	Interest	K	T					
161.									
162. AIG- Valic Select IRA 2 Columbia value and restruct									
163.									
164. ETN	A	Dividend			Sold	06/12/18	J	A	
165. JPM	A	Dividend	J	T	Buy (add'l)	01/05/18	J		
166.					Sold (part)	05/30/18	J	A	
167.					Sold (part)	06/12/18	J	A	
168.					Sold (part)	10/16/18	J	A	
169.					Buy (add'l)	12/21/18	J		
170. MA	A	Dividend	J	T	Sold (part)	01/09/18	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	12/21/18	J		
172. CVX	A	Dividend	J	T	Buy (add'l)	01/05/18	J		
173.					Buy (add'l)	03/16/18	J		
174.					Buy (add'l)	11/09/18	J		
175. CI	A	Dividend	J	T	Sold (part)	01/09/18	J	A	
176.					Sold (part)	04/03/18	J	A	
177.					Sold (part)	05/15/18	J	A	
178.					Buy (add'l)	06/08/18	J		
179.					Sold (part)	08/07/18	J	A	
180.					Buy (add'l)	08/16/18	J		
181.					Sold (part)	09/19/18	J	A	
182. GOOG		None	J	T					
183.									
184.									
185.									
186. AON	A	Dividend	J	T	Sold (part)	02/06/18	J	A	
187.					Buy (add'l)	03/02/18	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

188.					Buy (add'l)	03/16/18	J		
189.					Sold (part)	12/26/18	J	A	
190. ABT	A	Dividend	J	T	Sold (part)	04/17/18	J	A	
191.					Sold (part)	10/16/18	J	A	
192. AAPL	A	Dividend	K	T	Buy (add'l)	02/16/18	J		
193.					Sold (part)	05/01/18	J	B	
194.					Buy (add'l)	05/11/18	J		
195.					Buy (add'l)	06/06/18	J		
196.					Sold (part)	11/14/18	J	B	
197. BRKB		None	J	T	Sold (part)	02/21/18	J	B	
198.					Sold (part)	05/30/18	J	A	
199.					Buy (add'l)	08/16/18	J		
200. BLK	A	Dividend	J	T	Buy (add'l)	02/16/18	J		
201. CELG		None			Sold (part)	01/23/18	J	A	
202.					Sold (part)	02/21/18	J		
203.					Sold (part)	03/20/18	J		
204.					Sold	06/12/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. C	A	Dividend	J	T	Sold (part)	03/20/18	J	A	
206.					Buy (add'l)	05/11/18	J		
207.					Sold (part)	06/12/18	J	A	
208.					Buy (add'l)	09/17/18	J		
209. CMCSA	A	Dividend	J	T	Sold (part)	05/15/18	J	A	
210. FDX	A	Dividend	J	T	Sold (part)	07/10/18	J		
211.					Sold (part)	10/02/18	J	A	
212.					Sold (part)	12/26/18	J		
213. GE		None			Sold (part)	01/23/18	J		
214.					Sold	02/21/18	J		
215. HON	A	Dividend	J	T	Sold (part)	07/10/18	J	A	
216.					Buy (add'l)	08/03/18	J		
217.					Sold (part)	11/26/18	J	A	
218. JNJ	A	Dividend	J	T	Sold (part)	02/06/18	J	A	
219.					Buy (add'l)	03/13/18	J		
220.					Buy (add'l)	04/13/18	J		
221.					Sold (part)	05/30/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	07/06/18	J		
223. LOW	A	Dividend	J	T	Sold (part)	03/20/18	J	A	
224.					Sold (part)	04/17/18	J	A	
225.					Sold (part)	05/15/18	J	A	
226.					Sold (part)	08/20/18	J	A	
227.					Buy (add'l)	12/07/18	J		
228.					Buy (add'l)	12/21/18	J		
229. MSFT	A	Dividend	K	T	Buy (add'l)	06/06/18	J		
230.					Buy (add'l)	10/26/18	J		
231.					Buy (add'l)	12/21/18	J		
232. PEP	A	Dividend			Sold (part)	02/21/18	J	A	
233.					Sold (part)	04/03/18	J	A	
234.					Sold (part)	05/30/18	J	A	
235.					Sold (part)	09/05/18	J	A	
236.					Sold	09/19/18	J	A	
237. CNQ		None	J	T	Buy (add'l)	03/29/18	J		
238.					Buy (add'l)	05/11/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Sold (part)	10/16/18	J	A	
240. CVS	A	Int./Div.	J	T	Sold (part)	02/21/18	J		
241.					Sold (part)	04/03/18	J		
242.					Sold (part)	07/24/18	J		
243.					Sold (part)	08/07/18	J		
244.					Buy (add'l)	08/31/18	J		
245.					Buy (add'l)	09/17/18	J		
246.					Buy (add'l)	09/28/18	J		
247. EA		None	J	T	Sold (part)	08/20/18	J	A	
248.					Sold (part)	09/05/18	J		
249.					Buy (add'l)	12/21/18	J		
250. BIIB		None	J	T	Buy (add'l)	09/07/18	J		
251. MDT	A	Dividend	J	T	Buy (add'l)	01/19/18	J		
252.					Buy (add'l)	02/02/18	J		
253.					Sold (part)	02/12/18	J	A	
254.					Buy (add'l)	04/13/18	J		
255.					Buy (add'l)	05/11/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. VZ	A	Dividend	J	T	Buy (add'l)	05/25/18	J		
257.					Buy (add'l)	07/06/18	J		
258.					Sold (part)	09/19/18	J	A	
259.					Sold (part)	12/11/18	J	A	
260. VRTX		None			Sold (part)	11/26/18	J	A	
261.					Sold	12/11/18	J		
262. ATVI	A	Int./Div.	J	T	Sold (part)	02/21/18	J	B	
263.					Sold (part)	03/20/18	J	A	
264.					Buy (add'l)	05/25/18	J		
265.					Buy (add'l)	06/08/18	J		
266.					Sold (part)	08/20/18	J	A	
267. GOOGL		None	J	T	Sold (part)	09/05/18	J	B	
268.					Sold (part)	09/19/18	J	A	
269. AMT	A	Int./Div.	J	T	Buy (add'l)	02/02/18	J		
270.					Buy (add'l)	03/16/18	J		
271.					Sold (part)	11/26/18	J	A	
272. BK	A	Int./Div.			Sold (part)	04/03/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273.					Sold (part)	06/12/18	J	A	
274.					Sold (part)	07/24/18	J	A	
275.					Sold (part)	09/19/18	J	A	
276.					Sold	12/26/18	J		
277. XOM	A	Int./Div.			Sold (part)	02/21/18	J	A	
278.					Sold (part)	03/06/18	J		
279.					Sold	03/20/18	J		
280. FB		None	J	T	Sold (part)	04/03/18	J	B	
281.					Sold (part)	05/01/18	J	A	
282.					Buy (add'l)	06/08/18	J		
283.					Buy (add'l)	08/03/18	J		
284.					Buy (add'l)	08/16/18	J		
285.					Sold (part)	10/02/18	J	A	
286. MCD	A	Int./Div.	J	T	Sold (part)	01/09/18	J	A	
287.					Sold (part)	03/06/18	J	A	
288.					Buy (add'l)	03/16/18	J		
289.					Buy (add'l)	04/13/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	06/22/18	J		
291.					Buy (add'l)	09/28/18	J		
292.					Sold (part)	11/14/18	J	A	
293.					Sold (part)	12/26/18	J	A	
294. PFE		None	J	T	Sold (part)	02/06/18	J	A	
295.					Buy (add'l)	05/25/18	J		
296.					Buy (add'l)	06/08/18	J		
297.					Buy (add'l)	08/16/18	J		
298.					Sold (part)	11/14/18	J	A	
299. PM	A	Int./Div.	J	T	Buy (add'l)	02/16/18	J		
300.					Sold (part)	06/12/18	J		
301.					Buy (add'l)	08/03/18	J		
302. PVH		None	J	T	Sold (part)	11/26/18	J	A	
303.					Buy (add'l)	12/21/18	J		
304. ALXN		None	J	T	Buy (add'l)	01/05/18	J		
305. ANTM	A	Int./Div.	J	T	Buy (add'l)	03/29/18	J		
306.					Buy (add'l)	04/13/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	06/22/18	J		
308. AVGO	A	Int./Div.	J	T	Sold (part)	07/24/18	J	A	
309.					Sold (part)	09/05/18	J	A	
310.					Buy (add'l)	09/17/18	J		
311.					Sold (part)	12/26/18	J	A	
312. EXPE	A	Int./Div.	J	T	Buy (add'l)	02/16/18	J		
313.					Sold (part)	04/03/18	J		
314. FIS	A	Int./Div.	J	T	Buy (add'l)	10/26/18	J		
315. JCI	A	Int./Div.			Sold (part)	04/17/18	J		
316.					Sold (part)	05/01/18	J		
317.					Sold	05/15/18	J	A	
318. MAR	A	Int./Div.			Sold (part)	03/20/18	J	A	
319.					Sold	04/17/18	J	A	
320. MS	A	Int./Div.	J	T	Sold (part)	06/12/18	J	B	
321.					Sold (part)	07/10/18	J	A	
322.					Sold (part)	08/20/18	J	B	
323.					Buy (add'l)	08/31/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

324.					Buy (add'l)	11/09/18	J		
325. PANW		None	J	T	Sold (part)	07/10/18	J	A	
326.					Sold (part)	10/16/18	J	A	
327.					Buy (add'l)	12/07/18	J		
328.					Buy (add'l)	12/21/18	J		
329. RCL	A	Int./Div.			Sold (part)	10/16/18	J	A	
330.					Sold	10/30/18	J		
331. SPGI	A	Int./Div.	J	T	Buy (add'l)	02/16/18	J		
332.					Sold (part)	07/10/18	J	A	
333.					Sold (part)	12/26/18	J	A	
334. SHW		None	J	T	Sold (part)	04/17/18	J	A	
335.					Sold (part)	05/01/18	J	A	
336.					Sold (part)	10/02/18	J	A	
337.					Buy (add'l)	10/26/18	J		
338.					Buy (add'l)	11/12/18	J		
339.					Sold (part)	12/26/18	J	A	
340. T		None	J	T	Sold (part)	05/15/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341.					Sold (part)	05/30/18	J		
342.					Sold (part)	07/24/18	J		
343.					Sold (part)	09/05/18	J		
344. AGN		None	J	T	Buy	09/05/18	J		
345. AMZN		None	J	T	Buy (add'l)	01/19/18	J		
346.					Buy (add'l)	02/16/18	J		
347.					Buy (add'l)	03/06/18	J		
348.					Buy (add'l)	11/21/18	J		
349. AIG		None	J	T	Sold (part)	02/21/18	J	B	
350.					Sold (part)	03/06/18	J		
351.					Buy (add'l)	06/20/18	J		
352.					Buy (add'l)	11/09/18	J		
353.					Sold (part)	12/11/18	J		
354. ABC		None			Sold (part)	04/03/18	J	A	
355.					Sold	05/30/18	J	A	
356. AZO		None			Sold	11/26/18	J	A	
357. BMY	A	Int./Div.			Sold	05/15/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

358. CSCO	A	Int./Div.	J	T	Sold (part)	06/12/18	J	A	
359.					Sold (part)	07/24/18	J	A	
360.					Sold (part)	08/07/18	J	A	
361. CAG		None	J	T	Buy (add'l)	10/12/18	J		
362. COST	A	Int./Div.			Sold (part)	01/23/18	J	A	
363.					Sold	03/20/18	J	A	
364. DG	A	Int./Div.	J	T	Sold (part)	03/20/18	J	A	
365.					Buy (add'l)	04/13/18	J		
366. xEOG	A	Int./Div.	J	T					
367. FLT		None			Sold	05/15/18	J	A	
368. HAL	A	Int./Div.			Sold (part)	10/30/18	J		
369.					Sold	12/11/18	J		
370. MTSI		None			Sold	04/03/18	J		
371. MDLZ	A	Int./Div.	J	T	Buy (add'l)	02/16/18	J		
372.					Buy (add'l)	03/16/18	J		
373. NUE	A	Int./Div.			Sold (part)	08/20/18	J	A	
374.					Sold	09/05/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375. SO	A	Int./Div.			Sold	02/06/18	J		
376. SEE	A	Int./Div.			Sold (part)	01/23/18	J	A	
377.					Sold (part)	08/20/18	J		
378.					Sold	10/30/18	J		
379. LUV	A	Int./Div.	J	T	Sold (part)	10/02/18	J	A	
380.					Buy (add'l)	11/09/18	J		
381. SBUX	A	Int./Div.			Sold (part)	01/09/18	J	A	
382.					Sold (part)	02/06/18	J		
383.					Sold	06/12/18	J		
384. SYMC		None	J	T	Buy	02/06/18	J		
385. SYY	A	Int./Div.	J	T	Sold (part)	05/15/18	J	A	
386.					Sold (part)	09/13/18	J	A	
387.					Buy (add'l)	11/21/18	J		
388. TPR	A	Int./Div.	J	T	Sold (part)	09/19/18	J	A	
389.					Buy (add'l)	10/12/18	J		
390.					Buy (add'l)	10/26/18	J		
391. MOS		None			Sold (part)	08/20/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392.					Sold	09/05/18	J	A	
393. TSS	A	Int./Div.	J	T	Sold (part)	01/23/18	J	A	
394.					Sold (part)	05/01/18	J	A	
395.					Sold (part)	07/10/18	J	A	
396. xGIS	A	Int./Div.			Buy	02/16/18	J		
397.					Buy (add'l)	03/02/18	J		
398.					Sold	05/01/18	J		
399. xGIS	A	Int./Div.			Buy	04/13/18	J		
400.					Sold (part)	06/26/18	J	A	
401.					Sold	11/14/18	J		
402. xAMAT	A	Int./Div.			Buy	03/02/18	J		
403.					Sold	06/26/18	J		
404. xULTA	A	Int./Div.			Buy	04/27/18	J		
405.					Sold (part)	09/05/18	J	A	
406.					Sold	10/02/18	J	A	
407. xA	A	Int./Div.	J	T	Buy	06/22/18	J		
408. xAPD		None	J	T	Buy	12/21/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409. xCAT	A	Int./Div.	J	T	Buy	08/31/18	J		
410.					Buy (add'l)	09/19/18	J		
411.					Buy (add'l)	09/28/18	J		
412.					Sold (part)	12/26/18	J	A	
413. xXEC		None	J	T	Buy	10/12/18	J		
414. xSTZ	A	Int./Div.	J	T	Buy	09/13/18	J		
415. xXRAY		None	J	T	Buy	12/21/18	J		
416. xDWDP	A	Int./Div.	J	T	Buy	05/11/18	J		
417.					Buy (add'l)	08/16/18	J		
418.					Sold (part)	10/02/18	J		
419.					Sold (part)	10/16/18	J		
420.					Buy (add'l)	11/09/18	J		
421.					Sold (part)	12/26/18	J		
422. xEBAY	A	Int./Div.	J	T	Buy	08/16/18	J		
423.					Buy (add'l)	08/31/18	J		
424.					Sold (part)	10/02/18	J		
425.					Sold (part)	10/16/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	11/09/18	J		
427. xEMR	A	Int./Div.	J	T	Buy	04/27/18	J		
428.					Buy (add'l)	05/11/18	J		
429. xEQIX	A	Int./Div.	J	T	Buy	03/29/18	J		
430.					Buy (add'l)	06/22/18	J		
431.					Buy (add'l)	11/21/18	J		
432. xFDC		None	J	T	Buy	03/02/18	J		
433.					Buy (add'l)	11/09/18	J		
434.					Buy (add'l)	12/07/18	J		
435. xHRS		None	J	T	Buy	12/21/18	J		
436. xLRCX		None	J	T	Buy	12/07/18	J		
437. xMCHP	A	Int./Div.	J	T	Buy	08/31/18	J		
438. xNOC		None	J	T	Buy	12/21/18	J		
439. xNVDA		None	J	T	Buy	11/09/18	J		
440.					Buy (add'l)	12/07/18	J		
441. xQCOM		None	J	T	Buy	12/07/18	J		
442. xQSR		None	J	T	Buy	10/26/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443.					Buy (add'l)	11/09/18	J		
444. xSCHW		None	J	T	Buy	12/21/18	J		
445. xSTT		None	J	T	Buy	12/21/18	J		
446. xTMUS		None	J	T	Buy	06/08/18	J		
447. xUSB		None	J	T	Buy	06/08/18	J		
448.					Sold (part)	12/11/18	J	A	
449.					Sold (part)	12/26/18	J		
450. xWY		None	J	T	Buy	12/07/18	J		
451.									
452.									
453.									
454. SSGA Money Market	A	Interest	J	T					
455.									
456. AIG Valic IRA DBA DavidsonMid Cap									
457.									
458. SIVB		None			Sold (part)	01/11/18	J	A	
459.					Sold (part)	04/20/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
460.					Sold (part)	08/09/18	J	B	
461.					Sold (part)	09/18/18	J	B	
462.					Sold	09/25/18	J	A	
463. ALKS		None			Sold	10/19/18	J	A	
464. STE	A	Int./Div.	J	T	Sold (part)	11/23/18	J	A	
465. ACHC		None	J	T	Buy (add'l)	10/22/18	J		
466. ALGN		None			Sold	01/08/18	J	B	
467. ACC	A	Int./Div.	J	T					
468. ANSS		None	J	T	Sold (part)	08/09/18	J	A	
469. ATR	A	Int./Div.	J	T					
470. APAM	A	Int./Div.	J	T					
471. BKU	A	Int./Div.			Sold	11/23/18	J		
472. BLKB	A	Int./Div.	J	T					
473. BURL		None	J	T					
474. CPE		None	J	T					
475. CTLT		None	J	T					
476. CVCO		None	J	T	Sold (part)	05/10/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
477. CGNX	A	Int./Div.	J	T	Sold (part)	01/11/18	J	A	
478.					Sold (part)	05/14/18	J	A	
479. CSGP		None			Sold (part)	02/12/18	J	A	
480.					Sold (part)	08/09/18	J	A	
481.					Sold (part)	09/26/18	J	A	
482.					Sold	09/28/18	J	B	
483. DORM		None	J	T	Sold (part)	01/11/18	J	A	
484. DRQ		None	J	T					
485. EXPO	A	Int./Div.	J	T	Buy (add'l)	11/23/18	J		
486. FIVE		None	J	T	Sold (part)	01/11/18	J	A	
487. IT		None	J	T					
488. GBCI	A	Int./Div.	J	T					
489. ICUI		None	J	T	Sold (part)	05/10/18	J	A	
490. IDXX		None			Sold	05/14/18	J	B	
491. MKTX	A	Int./Div.	J	T	Sold (part)	01/11/18	J	A	
492. MAA	A	Int./Div.	J	T					
493. MIDD		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. NDSN	A	Int./Div.	J	T					
495. POR	A	Int./Div.	J	T					
496. POWI	A	Int./Div.	J	T					
497. PINC		None	J	T	Buy (add'l)	02/13/18	J		
498. PSMT	A	Int./Div.	J	T					
499. PRA	A	Int./Div.	J	T	Sold (part)	01/11/18	J	A	
500. KWR	A	Int./Div.	J	T					
501. QEP		None			Sold	08/16/18	J		
502. RPM	A	Int./Div.	J	T					
503. ROLL		None	J	T					
504. RBA	A	Int./Div.	J	T					
505. SSNC	A	Int./Div.	J	T	Sold (part)	02/01/18	J	A	
506. SBNY		None	J	T	Sold (part)	01/11/18	J	A	
507. SUI	A	Int./Div.	J	T					
508. SPN		None	J	T					
509. TCBI		None	J	T	Buy (add'l)	09/25/18	J		
510. TTC	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. UP	A	Int./Div.			Sold	08/09/18	J		
512. TYL		None	J	T	Sold (part)	05/07/18	J	A	
513. ULTI		None	J	T					
514. WAB		None	J	T					
515. WAGE	A	Int./Div.	J	T	Sold (part)	02/01/18	J	A	
516. WBS	A	Int./Div.	J	T					
517. WST	A	Int./Div.	J	T					
518. ZBRA		None	J	T	Sold (part)	04/20/18	J	A	
519. BAH	A	Int./Div.	J	T	Buy (add'l)	08/24/18	J		
520. BJRI	A	Int./Div.	J	T	Buy (add'l)	10/01/18	J		
521. CRI	A	Int./Div.	J	T					
522. COR	A	Int./Div.	J	T					
523. EXP	A	Int./Div.	J	T					
524. DEA	A	Int./Div.	J	T	Buy (add'l)	06/22/18	J		
525. GDI		None	J	T	Sold (part)	01/11/18	J	A	
526. GGG	A	Int./Div.	J	T					
527. HZN		None			Sold	05/14/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. IPXL		None			Sold	06/07/18	J		
529. JRVR	A	Int./Div.	J	T					
530. KTWO		None			Sold	10/01/18	J	A	
531. LECO	A	Int./Div.	J	T					
532. LAD	A	Int./Div.	J	T					
533. LOGM	A	Int./Div.			Sold	08/24/18	J		
534. MTDR		None	J	T					
535. OGE	A	Int./Div.	J	T					
536. DOC	A	Int./Div.	J	T					
537. PNFP	A	Int./Div.	J	T	Sold (part)	01/11/18	J	A	
538.					Buy (add'l)	11/23/18	J		
539. PII	A	Int./Div.	J	T	Buy (add'l)	02/05/18	J		
540. POOL	A	Int./Div.	J	T	Sold (part)	01/11/18	J	A	
541. SLAB		None	J	T					
542. SNDR	A	Int./Div.	J	T					
543. INN	A	Int./Div.	J	T	Buy (add'l)	09/28/18	J		
544. MIK		None	J	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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05/01/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545. WAL		None	J	T	Sold (part)	01/11/18	J	A	
546.					Buy (add'l)	09/18/18	J		
547. xBERY		None	J	T	Buy	02/16/18	J		
548.					Buy (add'l)	05/08/18	J		
549. xBIO		None	J	T	Buy	10/01/18	J		
550. xEPAM		None	J	T	Buy	08/09/18	J		
551. xHXL		None	J	T	Buy	02/01/18	J		
552.					Buy (add'l)	02/16/18	J		
553.					Buy (add'l)	09/28/18	J		
554. xJAZZ		None	J	T	Buy	10/22/18	J		
555. xNBIX		None	J	T	Buy	10/22/18	J		
556.					Buy (add'l)	11/23/18	J		
557.					Buy (add'l)	12/17/18	J		
558. xPE		None	J	T	Buy	04/20/18	J		
559.					Buy (add'l)	08/14/18	J		
560. xRPD		None	J	T	Buy	01/19/18	J		
561.					Sold (part)	05/10/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
562. xTXRH	A	Int./Div.	J	T	Buy	08/09/18	J		
563.					Buy (add'l)	11/02/18	J		
564. SSGAMoney Market Fund	A	Int./Div.	J	T					
565.									
566.									
567. Highwoods Pptys Inc	A	Dividend	K	T					
568. Hooker Furniture	A	Dividend	J	T					
569. Regency CTRS Corp	A	Int./Div.	K	T					
570. Carter Bank and Trust		None	K	T					
571. Calamos Growth Mutual Fund A	A	Int./Div.	K	T					
572. Mutual Series Mutual Shares A	A	Int./Div.	K	T					
573. Tempelton Growth Mutual Fund A	A	Int./Div.	K	T					
574. Franklin Income Mutual Fund A	A	Int./Div.	K	T					
575. Valic Freedom Plus IRA Annuity (Portfolio Director Fixed and Variable)	A	Int./Div.	L	T					
576. AIG 401K									
577. BSCFX	A	Int./Div.	K	T					
578. JNTEFX	A	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
579. VPMCX	A	Int./Div.	K	T					
580. VEXMX	A	Int./Div.	K	T					
581. FTSE	A	Int./Div.	K	T					
582. TGVAX	A	Int./Div.	K	T					
583. VINIX	A	Int./Div.	K	T					
584. VWELX	A	Int./Div.	K	T					
585. MEIAX	A	Int./Div.	K	T					
586. JSIVX	A	Int./Div.	J	T					
587. VBMFX	A	Int./Div.	K	T					
588. AIG Stable Value Fund	A	Interest	J	T					
589. Sun America NQDA	A	Int./Div.	L	T					
590. Jackson National NQDA	A	Int./Div.	L	T					
591. VFA TransAmerica Laarge Growth	A	Int./Div.	N	T					
592. VFA Columbia Value	A	Int./Div.	N	T					
593. VFA Sterling Mid Cap Value	A	Int./Div.	N	T					
594. VFA GWK Small Core	A	Int./Div.	N	T					
595. VFA Nuveen Intermediate Muni	C	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
596. VFA Intergrated Manager Account									
597. A	A	Dividend	J	T					
598. SBUX	A	Dividend			Sold	07/12/18	J	C	
599. V	A	Dividend	K	T					
600. MSFT	A	Dividend	K	T					
601. AMGN	A	Distribution	K	T					
602. ATVI	A	Int./Div.	J	T					
603. BLK	A	Int./Div.	J	T					
604. CELG		None			Sold	07/12/18	J	B	
605. CERN		None			Sold	05/16/18	J	C	
606. CHKP		None			Sold	01/16/18	J	D	
607. DIS	A	Int./Div.	J	T					
608. GILD		None	J	T					
609. GOOG		None	J	T					
610. UTX	A	Int./Div.	J	T					
611. CRM		None	K	T					
612. LOW	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. NXPI		None	J	T					
614. FB		None	K	T					
615. NVDA	A	Dividend	J	T	Sold (part)	01/31/18	J	D	
616. RHT		None			Sold	11/01/18	K	D	
617. AKAM		None	J	T					
618. TJX		None	J	T					
619. INTC	A	Int./Div.	J	T					
620. NKE	A	Int./Div.	J	T					
621. AGN		None	J	T					
622. GOOGL		None	J	T					
623. APPL	A	Int./Div.	K	T					
624. NOC	A	Int./Div.	J	T					
625. SCHW		None	J	T					
626. HRS	A	Int./Div.	J	T					
627. INTU	A	Int./Div.	J	T					
628. MLM		None	J	T					
629. WOOF		None			Sold	07/12/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. XLNX	A	Int./Div.	J	T					
631. AVGO	A	Int./Div.	K	T					
632. LLL	A	Int./Div.	J	T					
633. AMZN		None	K	T					
634. AET* merged with line 632 to CVS		None			Merged (with line 635)	11/29/18	J		
635. xCVS		None	J	T		11/29/18	J		
636. WM	A	Int./Div.	J	T					
637. ZTS	A	Int./Div.	J	T					
638. PAWN		None	J	T					
639. ALB	A	Int./Div.			Sold	04/26/18	J		
640. TER	A	Int./Div.			Sold	04/26/18	J		
641. IQV		None	J	T					
642. COST	A	Int./Div.	J	T					
643. WMT	A	Int./Div.	J	T					
644. CSCO	A	Int./Div.	J	T					
645. xGD	A	Int./Div.			Buy	02/14/18	J		
646.					Sold	11/14/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. xBAH	A	Int./Div.	J	T	Buy	04/26/18	J		
648. xBIIB		None			Buy	07/31/18	J		
649.					Sold	11/14/18	J		
650. xPLNT		None	J	T	Buy	09/26/18	J		
651. xILMN	A	Int./Div.	J	T	Buy	11/14/18	J		
652. xSPY	A	Interest	J	T	Buy	11/14/18	K		
653.									
654.									
655. xDiversified equity mutual Funds									
656. FSSVX	B	Int./Div.			Sold (part)	07/31/18	J	A	
657.					Merged (with line 658)	11/02/18	L		
658. xFSPSX	B	Int./Div.	L	T					
659. FSIVX	A	Int./Div.			Sold (part)	07/30/18	J	A	
660.					Merged (with line 661)	11/02/18	K		
661. xFSSNX	A	Int./Div.	K	T					
662. FUSVX	B	Int./Div.			Sold (part)	07/30/18	J	C	
663.					Merged (with line 664)	11/02/18	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
664. xFXAIX	B	Int./Div.	M	T					
665. PMDEX	A	Int./Div.	M	T	Buy (add'l)	07/30/18	J		
666. TWTIX	A	Int./Div.			Merged (with line 667)	08/27/18	K		
667. xAXBIS	A	Int./Div.	K	T					
668. WSMAX	A	Int./Div.			Merged (with line 669)	08/27/18	K		
669. xWSBIS	A	Int./Div.	K	T					
670.									
671. SPDR (cash)	A	Interest	J	T					
672. Genworth Variable Life Insurance (Fixed Index Annuities)	A	Int./Div.	J	T					
673.									
674.									
675.									
676.									
677.									
678.									
679.									
680.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
681.									
682.									
683.									
684.									
685.									
686.									
687.									
688.									
689.									
690.									
691.									
692.									
693.									
694.									
695.									
696.									
697.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
698.									
699.									
700.									
701.									
702.									
703.									
704.									
705.									
706.									
707.									
708.									
709.									
710.									
711.									
712.									
713.									
714.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
715.									
716.									
717.									
718.									
719.									
720.									
721.									
722.									
723.									
724.									
725.									
726.									
727.									
728.									
729.									
730.									
731.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
732.									
733.									
734.									
735.									
736.									
737.									
738.									
739.									
740.									
741.									
742.									
743.									
744.									
745.									
746.									
747.									
748.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
749.									
750.									
751.									
752.									
753.									
754.									
755.									
756.									
757.									
758.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Aron, Catharine R.	05/01/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/01/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catharine R. Aron**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544