

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tatel, David S.	2. Court or Organization U.S. Court of Appeals, DC Cir.	3. Date of Report 05/02/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Prettyman U.S. Courthouse 333 Constitution Ave., NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Self-Employed (education consultant)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Northwestern University, Chicago, IL	2/29/12-3/3/12	Chicago	Participate in Moot Court Program	Airfare, Hotel, Meals, Local Transportation, Telephone
2. ABA Section of Labor and Employment Law, Chicago, IL	3/21/12-3/24/12	San Francisco, CA	Speak at conference	Airfare, Hotel, Rental Car, Meals, Local Transportation
3. The National Academies, Washington, D.C.	3/26/12-3/27/12	Washington, D.C.	Committee Meetings	Local Transportation
4. The National Academies, Washington, D.C.	10/22/10-10/23/12	Washington, D.C.	Committee Meetings	Local Transportation
5. Spencer Foundation, Chicago, IL	10/23/12-10/25/12	Chicago, IL	Board Meeting	Airfare, Meals, Local Transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital One Bank- checking acct	A	Interest	K	T					
2. ING Bank-savings acct(s) (Note 1)		None			Closed	1/1/12	J		
3. ING Bank-checking acct. (Note 1)		None			Closed	1/1/12	J		
4. Starbucks Corp.	B	Dividend	L	T					
5. Standard & Poors Midcap 400	B	Dividend	M	T					
6. Federal Realty Investment Trust	B	Dividend	L	T					
7. Avalonbay Communities	B	Dividend	L	T					
8. Suncor Energy Inc.	A	Dividend	K	T	Sold (part)	12/18/12	J	B	
9. -See Line 8					Sold (part)	12/19/12	J	B	
10. -See Line 8					Sold (part)	12/20/12	J	A	
11. Newport News, VA 5% due 11/1/15	B	Interest	L	T					
12. Wisconsin St 4.75% due 5/1/25	B	Interest	L	T					
13. Spring, TX Indpt Sch 5% due 8/15/33	B	Interest	K	T					
14. Cenovus Energy Inc.		None			Sold	1/18/12	J	C	
15. Schwab Advisors Cash Reserves Premier Fund	A	Dividend	J	T					
16. DFA Emerging Markets Core Equity Portfolio	A	Dividend	J	T					
17. DFA Emerging Markets Value Portfolio	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. DFA International Small Cap Value Portfolio	A	Dividend	J	T					
19. DFA T.A. U.S. Core Equity 2 Portfolio	A	Dividend	J	T					
20. Simplified Employee Pension Plan (SEP-IRA)	C	Int./Div.	M	T					
21. --Schwab Advisors Cash Reserve Premier Fund									
22. --DFA Actively Hedged Global Fixed Income Portfolio					Buy (add'l)	12/24/12	J		
23. --DFA International Core Equity Portfolio									
24. Individual Retirement Account	F	Int./Div.	P1	T					
25. --U.S. Treasury TIPS 2% due 1/15/26									
26. --Vanguard S/T Investment Grade Fd					Buy (add'l)	2/9/12	L		
27. --See Line 26					Buy (add'l)	5/21/12	M		
28. --Vanguard Inter Term Corporate Bond Fd									
29. --FHLB V-A 2% due 5/18/16					Sold	5/18/12	M	A	
30. --Fifth Third Bank CD .35% due 2/2/12					Matured	2/2/12	M	A	
31. --DFA Five Year Global Fixed Income Portfolio					Buy (add'l)	1/18/12	L		
32. --DFA Short Term Extended Quality Portfolio Instl									
33. --DFA Short Term Government Portfolio					Buy (add'l)	2/3/12	L		
34. --See Line 33					Sold (part)	12/18/12	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --DFA Selectively Hedged Global Fixed Income Portfolio					Buy (add'l)	1/10/12	K		
36. --PIMCO Emerging Local Bond Fund									
37. --DFA Emerging Markets Core Equity Portfolio									
38. --DFA Emerging Markets Small Cap Portfolio									
39. --DFA International Core Equity Portfolio					Buy (add'l)	1/5/12	K		
40. --DFA International Small Cap Value Portfolio									
41. --DFA U.S. Core Equity 2 Portfolio									
42. --DFA U.S. Small Cap Value Portfolio									
43. --DFA U.S. Micro Cap Portfolio									
44. --DFA Emerging Markets Value Portfolio					Buy	1/9/12	K		
45. --Schwab Advisors Cash Reserve Premier Fund									
46. Life Insurance- Massachusetts Mutual Life Insurance	D	Int./Div.	M	T					
47. Rental property, Rappahannock County, VA (8/2/96 \$86,600)	D	Rent	L	R					
48. Maryland College Investment Plan (no control) (Note 2)		None	L	T	Buy (add'l)	12/25/12	J		
49. --See Line 48					Distributed (part)	02/03/12	J	B	
50. --See Line 48		None	J	T	Buy (add'l)	12/25/12	J		
51. --See Line 48					Distributed (part)	02/03/12	J	B	

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52. --See Line 48		None	J	T	Buy (add'l)	12/25/12	J		
53. --See Line 48					Distributed (part)	02/03/12	J	B	
54. --See Line 48		None	J	T	Buy (add'l)	12/25/12	J		
55. --See Line 48					Distributed (part)	02/03/12	J	B	
56. --See Line 48		None	J	T	Buy (add'l)	12/25/12	J		
57. --See Line 48					Distributed (part)	02/03/12	K	C	
58. --See Line 48		None	J	T	Buy (add'l)	12/25/12	J		
59. --See Line 48					Distributed (part)	02/03/12	J	A	
60. --See Line 48		None	J	T	Buy (add'l)	12/25/12	J		
61. --See Line 48		None	J	T	Buy	12/25/12	J		
62. USAA checking & savings accounts		None	J	T					
63. Nevada College Savings Plan (no control) (Note 2)		None	J	T	Buy	02/03/12	J		
64. --See Line 63		None	J	T	Buy	02/03/12	J		
65. --See Line 63		None	J	T	Buy	02/03/12	J		
66. --See Line 63		None	J	T	Buy	02/03/12	J		
67. --See Line 63		None	K	T	Buy	02/03/12	K		
68. --See Line 63		None	J	T	Buy	02/03/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trusts

Note 1- ING Bank was acquired by Capital One Bank. Accounts were closed.

Note 2- Filer made contributions to 8 Maryland College Savings Plan accounts. He also rolled over a portion of 6 of these accounts to new accounts established with the Nevada College Savings Plan.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David S. Tatel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544