

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Barbour, Jr. , William H.	2. Court or Organization U S District Court	3. Date of Report 8/12/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address 501 East Court Street, Suite 4 Jackson, MS 39201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mobil Oil (common)		None	J	T					
2. REAL ESTATE (3-5)									
3. Land #1, Yazoo County, MS		None	L	W					
4. Land #2, Yazoo City, MS - 1/2 interest		None	K	W					
5. Land #2, Yazoo City, MS remaining 1/2 interest		None	K	W					
6. RESIDENTIAL SUBDIVISION (7)									see Note 1
7. Subdiv #1: Lots 1-21 less Lots 1,2,2A,3,11,13,19,20		None	N	W					
8. OIL AND GAS INTERESTS (9-59)									see Note 2
9. -#1-Marathon Oil Co. & Citgo, Claiborne Parish, LA		None	J	W					
10. -#2-Sohio Pet Co., Franklin Parish, LA		None	J	W					
11. -#3-Marathon Oil Co., Claiborne Parish, LA		None	J	W					
12. -#4- Placid Oil Co., Warren County, MS		None	J	W					
13. -#5 Pursue Energy Corp (was Shell Oil Co) Rankin County, MS		None	J	W					
14. -#6-US Trading Co., Madison Parish & Richland Parish, LA		None	J	W					
15. -#7-McGowan Working Partners, Yazoo County, MS		None	J	W					
16. -#8-Pennzenergy (was Pennzoil Exp & Prod Co., Yazoo Co., MS)		None	J	W					
17. -#9-TXP Op Co., Yazoo County, MS		None	J	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

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18. -#10-Shell Western E & P, Lincoln County, MS		None	J	W					
19. -#11-Pennzoil Exp & Prod Co, Yazoo County, MS		None	J	W					
20. -#12-Petro-Hunt Bovina Field, Warren Co., MS		None	J	W					
21. -#13-Jefcoat, Yazoo Co., MS		None	J	W					
22. -#14-Bruxoil, Clay Co, MS		None	J	W					
23. -#15-Chevron USA, Claiborne Parish, LA		None	J	W					
24. -#16-Coho Resources, Jasper Co, MS		None	J	W					
25. -#17-Denbury On Shore LLC (was Denbury Resources)Jasper Co	F	Royalty	L	W					
26. -#18-D & S Oil & Gas, Claiborne Parish, LA		None	J	W					
27. -#19-Devon Energy, Yazoo Co, MS; Bossier Parish, LA		None	J	W					
28. -#20-EOTT Energy, Jasper & Smith Co., MS		None	J	W					
29. -#21-Ergon, Madison & Yazoo Co., MS		None	J	W					
30. -#22-Fina Oil, Claiborne Parish, LA		None	J	W					
31. -#23-Hassie Hunt Exploration, Yazoo Co., MS		None	J	W					
32. -#24-J.P. Oil, Linbcoln & Pike Co,MS		None	J	W					
33. -#25-McGowan Oil Working Partners, Yazoo Co., MS		None	J	W					
34. -#26-Marathon Oil, Claiborne Parish, LA		None	J	W					

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35. -#27-Shell Oil Co., Pike Co., MS		None	J	W					
36. -#28-Spooner Petroleum, Jasper Co., MS		None	J	W					
37. -#29-St Mary Land & Exploration, Claiborne Parish, LA		None	K	W					
38. -#30-Pennzenergy, Yazoo Co, MS		None	K	W					
39. -#31 Tecton Energy, LLC; Choctaw Co AL		None	J	W					
40. -#32 XTO Energy, Inc	A	Royalty	J	W					
41. -#33 EOG Resources, Inc.		None	L	W					
42. -#34 EOG Resources, Inc.		None	J	W					
43. Plains Marketing, Houston, TX		None	J	W					
44. Brammer Engineering		None	J	W					
45. Hunt Crude Oil		None	J	W					
46. Premier Natural Resources		None	J	W					
47. Premier Natural Resources		None	K	W					
48. SM Energy		None	J	W					
49. N&M Resources		None	J	W					
50. Southeastern Energy, Spring TX		None	J	W					
51. Vitruvian.		None	J	W					

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52. Renpetco, Smith Co, MS		None	J	W					
53. Merit Energy	A	Royalty	J	W					
54. SWN Production	A	Royalty	J	W					
55. Trinity River Energe		None	J	W					
56. Black River Petroleum		None	J	W					
57. Aethon Energy Operating	A	Royalty	J	W					
58. BHP Billiton Petroleum		None	J	W					
59. Blackbeard Operating East		None	J	W					
60. Petro Harvester Operating, Plano TX (X)		None	J	W					
61. Gulf Pine Energy, Calgary, AB Canada (X)	B	Royalty	J	W					
62. Plains All American Pipeline	A	Royalty	J	W					
63. Harold J. Anderson, Inc.	A	Royalty	J	W					
64. ASSETS - Other									
65. -Residential Real Estate - Cleburne Co, AR		None	N	W					
66. -Residential Real Estate - Shelby Co., TN		None	N	W					
67. FINANCIAL MGMT ACCTS ACTIVITY									see Attachment #1

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NOTE 1: Line 7 shows the unsold lots in my Residential Subdivision at the end of the reporting period with an estimated value for those unsold lots. There will be no income produced by the remaining lots in inventory unless and until they are sold.

NOTE 2: As I have noted for several years, I may own oil and gas interests which I inherited or obtained otherwise and of which I have no records. All income-producing interests have been included in my reports. As leasing occurs, I add them to my report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William H. Barbour, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

1	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount	(2) Type (e.g., div.,rent, or int.)	(1) Value	(2) Value	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
		Code 1 (A-H)		Code 2 (J-P)	Code 3 (Q-W)					
	FINANCIAL MGMT ACCT									
1	Citibank Deposit Program	A	Interest	K	T					
2	Apple Inc - AAPL		None	K	T	Buy	12/20/18	K		
3	AT&T - T	B	Dividend	K	T	Buy (add'l)	06/26/18	K		
4	Atmos Energy CP - ATO	B	Dividend	L	T					
5	B & G Foods - BGS	B	Dividend	K	T	Buy (add'l)	03/15/18	J		
6	Boeing Co - BA	B	Dividend	L	T					
7	Chevron Corp -CVX	B	Dividend	K	T					
8	Evergy Inc Com - EVRG	A	Dividend	K	T	Spinoff (from line 13)	06/05/18			exchange in
9	Evergy Inc Com - EVRG		None			Sold (part)	06/05/18	J	A	
10	General Motors - GM	A	Dividend	K	T					
11	General Dynamics Corp - GD	A	Dividend	K	T					
12	Great Plains Energy Inc - GXP	A	Dividend			Sold (part)	01/09/18	K	C	
13	Great Plains Energy Inc - GXP		None			Merged (with line 8)	06/05/18			exchange out
14	Halyard Health Inc - HYH (name change to Avanos Medical Inc - AVNS)		None	J	T					name change
15	Kimberly Clark Corp - KMB	A	Dividend			Sold	06/26/18	K	D	
16	Lockheed Martin Corp - LMT	B	Dividend	L	T					
17	MDU Res Group Inc - MDU	A	Dividend	K	T	Sold (part)	01/09/18	K	A	
18	Prologis Inc Com - PLD		None	K	T	Buy	12/18/18	K		
19	Royal Dutch Shell PLC - RDS'A	B	Dividend	K	T					
20	Walt Disney Co Hldg Co - DIS		None	K	T	Buy	12/18/18	K		
21	Cons Discret Sel Sect SPDR RD - XLY	A	Dividend	L	T	Buy (add'l)	03/13/18	K		
22	First TR Exchange Traded FD VI - TDIV	B	Dividend	K	T	Buy (add'l)	01/09/18	K		
23	Ishares Intl Select Div - IDV	B	Dividend	K	T	Buy (add'l)	03/13/18	K		
24	Kayne Anderson MLP Invst Co - KYN	B	Dividend	J	T					
25	Powershares S&P 500 Low Volatility - SPLV (name change to Invesco S&P 500 Low Volatility)	A	Dividend	K	T					name change
26	The Technology Sel Sec SPDR FD - XLK	A	Dividend	K	T					
27	Tortoise MLP Fund Inc - NTG	B	Dividend	J	T	Sold (part)	07/09/18	J	A	
28	Capital World Growth and Income Fund (American Cap World) - CWGIX	D	Dividend	L	T					
29	Europacific Growth Fund (American Europacific Grw A) - AEPGX	C	Dividend	K	T					
30	Income Fund of America (American Income Fund of America) - AMECX	D	Dividend	L	T					
31	New Perspective Fund (American New Perspective Fund) - ANWPX	C	Dividend	L	T					
32	Clearbridge Agressive Growth C - SAGCX	E	Dividend			Sold	12/18/18	L	A	
33	Franklin Balanced C - FBMCX	B	Dividend	L	T					
34	Franklin Equity Inc C - FRETIX	C	Dividend	K	T					
35	Franklin Rising Dividends C - FRDTX	B	Dividend	K	T					

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36	Hancock Horizon Burkenroad A - HHBUX	D	Dividend	K	T					
37	MS Dev BK Spl Oblig - 60534RYK9	B	Interest	K	T					
38	IRA ROLLOVER ACCT #1									
39	Dreyfus Liquid Assets, Inc. (MS Liquid Assets Fund)/Cash	A	Interest	J	T					
40	Ishares Cohen&Steers Reit ETF - ICF	A	Dividend			Sold	06/27/18	J	A	
41	I Shares Core MSCI EAFE ETF - IEFA	B	Dividend	L	T	Buy (add'l)	02/21/18	J		
42	I Shares Core MSCI EAFE ETF - IEFA		None			Buy (add'l)	06/27/18	J		
43	I Shares Core MSCI EAFE ETF - IEFA		None			Sold (part)	12/18/18	J	A	
44	I Shares Core MSCI Emerging - IEMG	A	Dividend	J	T	Sold (part)	02/21/18	J	A	
45	I Shares Core MSCI Emerging - IEMG		None			Buy (add'l)	06/27/18	J		
46	I Shares Core MSCI Emerging - IEMG		None			Sold (part)	12/18/18	J	A	
47	Dow Jones EPAC Sel Div (Ishares Intl Select Dividend) - IDV	A	Dividend			Sold	06/27/18	J	A	
48	I Shares MSCI Frontier 100 ETF - FM	A	Dividend	J	T	Buy (add'l)	06/27/18	J		
49	I Shares MSCI Frontier 100 ETF - FM		None			Sold (part)	12/18/18	J	A	
50	IShares Russell 2000 Growth Fd - IWO	A	Dividend	K	T	Buy (add'l)	06/27/18	J		
51	IShares Russell 2000 Growth Fd - IWO		None			Buy (add'l)	12/18/18	J		
52	IShares Russell 2000 Value Index Fd - IWN	A	Dividend	J	T	Buy (add'l)	06/27/18	J		
53	IShares Russell 2000 Value Index Fd - IWN		None			Buy (add'l)	12/18/18	J		
54	IShares Russell Top 200 G - IWY	A	Dividend			Sold	06/27/18	K	D	
55	IShares S & P 500 Growth Index Fund - IVW	B	Dividend	L	T	Buy (add'l)	06/27/18	J		
56	IShares S & P 500 Growth Index Fund - IVW		None			Sold (part)	12/18/18	J	D	
57	IShares S & P 500 Value Index Fund - IVE	B	Dividend	L	T	Sold (part)	06/27/18	J	C	
58	IShares S & P 500 Value Index Fund - IVE		None			Buy (add'l)	10/26/18	K		
59	IShares S & P 500 Value Index Fund - IVE		None			Sold (part)	12/18/18	J	C	
60	IShares Trust S & P Midcap 400 Growth - IJK	A	Dividend	K	T	Buy (add'l)	06/27/18	J		
61	I Shares Trust S & P MidCap Value (Ish S&P MidCap 400 Value) - IJJ	A	Dividend	J	T	Buy (add'l)	06/27/18	J		
62	I Shares Trust S & P MidCap Value (Ish S&P MidCap 400 Value) - IJJ		None			Sold (part)	12/18/18	J	A	
63	IShares TR S & P 500 Index Fund (S&P 500 Index Fund) - IVV	A	Dividend			Sold (part)	02/21/18	J	B	
64	IShares TR S & P 500 Index Fund (S&P 500 Index Fund) - IVV		None			Sold	06/27/18	K	D	
65	Vanguard Entergy ETF - VDE	A	Dividend			Sold (part)	06/27/18	J	A	
66	Vanguard Entergy ETF - VDE		None			Sold	10/26/18	J	A	
67	Vanguard FTSE All WO - VSS	A	Dividend	J	T	Sold (part)	02/21/18	J	A	
68	Vanguard FTSE All WO - VSS		None			Buy (add'l)	06/27/18	J		
69	Vanguard FTSE All WO - VSS		None			Buy (add'l)	12/18/18	J		
70	Vanguard Real Estate ETF - VNQ	A	Dividend	J	T	Buy	06/27/18	J		
71	Vanguard Real Estate ETF - VNQ		None			Sold (part)	12/18/18	J	A	
72	Vanguard Tele Svc ETF Index - VOX	A	Dividend			Buy (add'l)	06/27/18	J		

ATTACHMENT #1

73	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div.,rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
73	Vanguard Tele Svc ETF Index - VOX		None			Sold	10/26/18	J	A	
74	IRA ROLLOVER ACCT #2									
75	Citibank Deposit Program	A	Interest	J	T					
76	AT & T, Inc - T	B	Dividend	K	T					
77	Bank of America Corp - BAC	A	Dividend	J	T					
78	Microsoft Corp - MSFT	A	Dividend	K	T					
79	Regions Financial Corp - RF	C	Dividend	M	T					
80	Union Pacific - UNP	A	Dividend	J	T					
81	Franklin Income fund - FKINK	C	Dividend	L	T					
82	Goldman Sachs Capital Growth Fund - GSCGX	E	Dividend	K	T					
83	Legg Mason Value Trust (Clearbridge Value Trust) - LMVTX	A	Dividend	K	T					
84	Legg Mason Opportunity Tr (Primary Class) - LMOPX		None	K	T	Sold (part)	12/18/18	J	C	
85	IRA ROLLOVER ACCT #3									
86	Citibank Bank Deposit Program	A	Interest	J	T					
87	American Balanced Fd CL A - ABALX	E	Dividend	M	T					
88	Washington Mutual Investors Fd CL A - AWSHX	E	Dividend	N	T					
89	Wells Fargo Advantage Emerging Mkts Growth Fund - EMGAX	A	Dividend	K	T	Sold (part)	12/18/18	K	A	
90	IRA ROLLOVER ACCT #4									
91	Raymond James Bank Dep Prog	A	Interest	J	T					
92	IQ Hedge Multi-Strategy Tracker ETF - QAI		None	J	T	Buy	10/01/18	J		
93	Ishares TR Core US AGGBD ET - AGG	A	Dividend	J	T	Buy	10/01/18	J		
94	Ishare TR Core S&P MCP ETF - IJH	A	Dividend	J	T	Buy	10/01/18	J		
95	Ishares TR Core S&P SCP ETF - IJR	A	Dividend	J	T	Buy	10/01/18	J		
96	Ishares TR Core MSCI EAFE - IEFA	A	Dividend	J	T	Buy	10/01/18	J		
97	Ishares TR Core 1 5 Yr USD - ISTB	A	Dividend	J	T	Buy	10/01/18	J		
98	Ishares Incorporated Core MSCI EMKT - IEMG	A	Dividend	J	T	Buy	10/01/18	J		
99	SPDR Portfolio Intermediate Term Corporate Bond ETF - SPIB	A	Dividend	J	T	Buy	10/01/18	J		
100	SPDR S&P Dividend ETF - SDY	A	Dividend	J	T	Buy	10/01/18	J		
101	SPDR Series Trust Barclays CAP Investment Grade Floating Rate ETF - FLRN	A	Dividend	J	T	Buy	10/01/18	J		
102	Vanguard Index Funds S&P 500 EFT SHS New - VOO	A	Dividend	J	T	Buy	10/01/18	J		
103	BROKERAGE ACCT									
104	Bank Deposit Program-Raymond James Bank, FSB	A	Interest	L	T					
105	Longleaf Partners Fund N/L - LLPFX	B	Dividend	J	T					
106	IRA BROKERAGE ACCT #1									
107	Bank Deposit Program-Raymond James Bank, FSB	A	Interest	L	T					
108	First Horizon Natl Corp - FHN	A	Dividend	J	T					
109	Wal-mart Stores Inc. - WMT	A	Dividend	K	T					

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div.,rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
110	Longleaf Partners Fd - LLPFX	D	Dividend	L	T					
111	Lord Abbett Growth Leaders Fund Class A - LGLAX	D	Dividend	K	T					
112	Lord Abbett Floating Rate Fund Class A M/F - LFRAX	C	Dividend	L	T					
113	Autozone, Inc - 053332AM4	A	Interest	K	T					
114	Bank of America - 06050WDK8	A	Interest	K	T					
115	The Goldman Sachs Group, Inc. - 38141GFG4	A	Interest			Redemption	01/18/18	J	A	
116	IRA BROKERAGE ACCT #2									
117	Bank Deposit Program-Raymond James Bank, FSB	A	Interest	J	T					
118	Lord Abbett Calibrated Div (formerly Ld Ab Classic Stock) - LAMAX	E	Dividend	M	T					
119	Lord Abbett Fundamental Equity Fund CL A M/F - LDFVX	E	Dividend	M	T	Sold (part)	06/08/18	J	A	
120	Lord Abbett Short Duration Income Fd CL A M/F - LALDX	C	Dividend	L	T	Sold (part)	02/08/18	J	A	
121	Lord Abbett Short Duration Income Fd CL A M/F - LALDX		None			Sold (part)	04/10/18	J	A	
122	Lord Abbett Short Duration Income Fd CL A M/F - LALDX		None			Sold (part)	07/13/18	J	A	
123	Lord Abbett Short Duration Income Fd CL A M/F - LALDX		None			Sold (part)	10/15/18	J	A	
124	Lord Abbett Short Duration Income Fd CL A M/F - LALDX		None			Sold (part)	12/11/18	J	A	
125	Lord Abbett Floating Rate Fd CL A M/F - LFRAX	C	Dividend	L	T					
126	IRA BROKERAGE ACCT #3									
127	Cash - RJ Bank Dep	A	Interest	K	T					
128	Champlain Mid-Cap Fund - CIPMX	B	Dividend	K	T	Sold (part)	01/17/18	J	A	
129	Champlain Mid-Cap Fund - CIPMX		None			Sold (part)	09/10/18	K	D	
130	AF American Mutual F2 - AMRFX	C	Dividend	L	T	Sold (part)	01/17/18	J	A	
131	Artisan International Fund Advisor Class N/L - APDIX	C	Dividend	K	T	Sold (part)	01/17/18	J	B	
132	Baird Aggregate Bond Fund - BAGIX	C	Dividend	L	T	Buy (add'l)	01/17/18	J		
133	Columbia Dividend Income Fund Inst CL N/L - GSFTX	D	Dividend	L	T	Sold (part)	01/17/18	J	A	
134	Europacific Growth Fund Class F2 - AEPFX	B	Dividend	K	T	Sold (part)	01/17/18	J	B	
135	Federated Strategic Value Fd - SVAIX	D	Dividend	L	T					
136	Federated Inst High Yield Bd - FIHBX	B	Dividend	K	T	Buy (add'l)	01/17/18	J		
137	Federated Short Term Income FD Institutional Shares IS N/L - FSTYX	A	Dividend	K	T	Buy	09/10/18	K		
138	Loomis Sayles Growth Fund - LSGRX	C	Dividend	L	T	Sold (part)	01/17/18	J	D	
139	MFS Research Fund Class A - MFRFX		None			Sold (part)	01/17/18	J	A	
140	MFS Research Fund Class A - MFRFX		None			Merged (with line 141)	07/17/18			transfer out
141	MFS Research Fund Class I N/L - MRFIX	C	Dividend	K	T	Spinoff (from line 140)	07/17/18			transfer in
142	MFS Research Fund Class I N/L - MRFIX		None			Sold (part)	09/10/18	K	D	
143	Mainstay Epoch Global Equity Yield A - EPSPX	B	Dividend			Sold (part)	01/17/18	J	A	
144	Mainstay Epoch Global Equity Yield A - EPSPX		None			Merged (with line 145)	08/02/18			transfer out
145	Mainstay Epoch Global Equity Yield Fund Class I N/L - EPSYX	D	Dividend	L	T	Spinoff (from line 144)	08/02/18			transfer in
146	Metropolitan West Total Return Bond Fund - MWTIX	B	Dividend	L	T	Buy (add'l)	01/17/18	J		

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		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div.,rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
147	T. Rowe Price Small Cap Value Fund Investor Class N/L - PRSVX	B	Dividend	K	T	Spinoff (from line 151)	06/27/18			transfer in
148	T. Rowe Price Small Cap Value Fund Investor Class N/L - PRSVX		None			Sold (part)	09/10/18	K	C	
149	Sei U.S. Managed Volatility Fund Class Y N/L - SUSYX	D	Dividend	L	T	Buy	09/11/18	L		
150	T. Rowe Price Small Cap Value Fund - PASVX		None			Sold (part)	01/17/18	J	A	
151	T. Rowe Price Small Cap Value Fund - PASVX		None			Merged (with line 147)	06/27/18			transfer out
152	Wells Fargo International Value Fund - WFXVX	A	Dividend	K	T	Sold (part)	01/17/18	J	B	
153	Western Asset Total Return Unconstrained Fund - WAARX	B	Dividend	K	T	Buy (add'l)	01/18/18	J		
154	AQR Managed Futures Strategy Class N - AQMNX		None			Buy (add'l)	01/18/18	J		
155	AQR Managed Futures Strategy Class N - AQMNX		None			Merged (with line 156)	08/10/18			transfer out
156	Aqr Managed Futures Strategy Class I N/L - AQMIX		None	L	T	Spinoff (from line 155)	08/10/18			transfer in
157	Gateway Fund Class A (formerly Natixis Gateway Fund) - GATEX	A	Dividend	K	T	Buy (add'l)	01/17/18	J		
158	John Hancock Global Absolute Return Strategies A - JHAIX		None			Buy (add'l)	01/17/18	J		
159	John Hancock Global Absolute Return Strategies A - JHAIX		None			Sold	09/10/18	K	A	
160	BROKERAGE ACCT #2									
161	Raymond James Bank Dep Prog		None	J	T					
162	Capital Income Builder Fund - CAIBX	D	Dividend	M	T					
163	BROKERAGE ACCT #3									
164	Morgan Stanley Bank	A	Interest	J	T					
165	Ishares Cohen&Steers Reit ETF - ICF	A	Dividend			Sold	06/27/18	J	A	
166	Ishares Core MSCI EAFE ETF - IEFA	B	Dividend	K	T	Buy (add'l)	02/21/18	J		
167	Ishares Core MSCI EAFE ETF - IEFA		None			Buy (add'l)	06/27/18	J		
168	Ishares Core MSCI Emerging - IEMG	A	Dividend	J	T	Sold (part)	02/21/18	J	A	
169	Ishares Core MSCI Emerging - IEMG		None			Buy (add'l)	06/27/18	J		
170	Ishares Intl Select Div ETF - IDV	A	Dividend			Sold	06/27/18	J	A	
171	Ishares MSCI Frontier 100 ETF - FM	A	Dividend	J	T	Buy (add'l)	06/27/18	J		
172	Ishares Russell 2000 Growth ETF - IWO	A	Dividend	J	T	Buy (add'l)	06/27/18	J		
173	Ishares Russell 2000 Value ETF - IWN	A	Dividend	J	T	Buy (add'l)	06/27/18	J		
174	Ishares Russell Top 200 G ETF - IWY	A	Dividend			Sold	06/27/18	J	C	
175	Ishares S&P 500 Growth ETF - IVW	A	Dividend	L	T	Buy (add'l)	06/27/18	J		
176	Ishares S&P 500 Value ETF - IVE	B	Dividend	L	T	Sold (part)	06/27/18	J	A	
177	Ishares S&P 500 Value ETF - IVE		None			Buy (add'l)	10/26/18	J		
178	Ishares S&P Mid Cap 400 Growth ETF - IJK	A	Dividend	J	T	Buy (add'l)	06/27/18	J		
179	Ishares S&P Mid Cap 400 Value ETF - IJJ	A	Dividend	J	T	Buy (add'l)	06/27/18	J		
180	S&P 500 Index Fund - IVV	A	Dividend			Sold (part)	02/21/18	J	A	
181	S&P 500 Index Fund - IVV		None			Sold	06/27/18	J	C	
182	Vanguard Energy ETF - VDE	A	Dividend			Sold (part)	06/27/18	J	A	
183	Vanguard Energy ETF - VDE		None			Sold	10/26/18	J	A	

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A.		B.		C.		D.				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e.g., div.,rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
184	Vanguard FTSE All WO - VSS	A	Dividend	J	T	Sold (part)	02/21/18	J	A	
185	Vanguard FTSE All WO - VSS		None			Buy (add'l)	06/27/18	J		
186	Vanguard Real Estate ETF - VNQ	A	Dividend	J	T	Buy	06/27/18	J		
187	Vanguard Tele Svc ETF Index - VOX	A	Dividend			Buy (add'l)	06/27/18	J		
188	Vanguard Tele Svc ETF Index - VOX		None			Sold	10/26/18	J	A	