

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Audero, Maria A.	2. Court or Organization U.S. District Court, Central District of California	3. Date of Report 10/31/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☒ Amended Report	
7. Chambers or Office Address U.S. Courthouse 255 East Temple Street Los Angeles, California 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Paul Hastings LLP
2. Commissioner	California Fair Political Practices Commission
3. Director	Inner City Law Center
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2011-2018	Paul Hastings LLP pension plans; firm-managed asset selection
2. 2011-2018	Paul Hastings LLP voluntary savings plan
3. 2011-2018	Paul Hastings LLP partnership agreement

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Paul Hastings LLP - Partnership distribution	\$472,432.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Paul Hastings LLP	Cost of investiture reception	\$11,356.16
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - cash accounts	A	Interest	M	T					
2. ALLIANCEBERNSTEIN: AB INTERNATIONAL VALUE FUND CL R		None	K	T					
3. AMERICAN FUNDS: AMERICAN CAPITAL WORLD GROWTH & INC FD CL R2	B	Dividend	K	T					
4. AMERICAN FUNDS: AMERICAN GROWTH FUND OF AMERICA FD CL R2	D	Dividend	K	T					
5. CALAMOS: CONVERTIBLE FUND	C	Dividend	K	T					
6. COLUMBIA: CONTRARIAN CORE FUND CL R	B	Dividend	K	T					
7. DELAWARE: EMERGING MARKETS FD CLASS R	A	Dividend	K	T					
8. LORD ABBETT: SHORT DURATION INCOME FD CL R2	B	Dividend	K	T					
9. MFS: VALUE FD CL R2	B	Dividend	K	T					
10. NUVEEN: SMALL CAP VALUE FUND CL R3	A	Dividend	K	T					
11. PUTNAM: CAPITAL SPECTRUM FUND CL R		None	K	T					
12. PUTNAM: DIVERSIFIED INCOME TRUST FUND CL R	C	Dividend	K	T					
13. THORNBURG: INVESTMENT INCOME BUILDER FD CL R3	A	Dividend	K	T					
14. THORNBURG: LIMITED TERM INCOME FD CL R3	B	Dividend	L	T					
15. VOYA: FLOATING RATE FUND CLASS R	B	Dividend	K	T					
16. WESTERN ASSET: CORE PLUS BOND FD CL R	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. CASH ACCOUNT - Merrill Lynch	A	Interest	M	T					
18. IRA (H)									
19. AMERICAN CENTURY: EQUITY INCOME FUND CL I	A	Dividend	J	T	Buy (add'l)	08/29/18	J		
20. BLACKROCK: GLOBAL LONG SHORT CREDIT FUND CL I	A	Dividend	J	T					
21. BOSTON PARTNERS: LONG / SHORT RESEARCH FD INSTL	A	Dividend	J	T					
22. DELAWARE: SMALL CAP CORE FUND INSTL CL	A	Dividend	J	T					
23. FIRST EAGLE: GOLD FUND CL I		None	J	T					
24. FIRST PACIFIC ADVISORS: FPA CRESCENT FUND INSTL CL	A	Dividend	J	T					
25. FIDELITY: ADVISOR REAL ESTATE INCOME FUND CL I	A	Dividend	J	T					
26. GUGGENHEIM: MACRO OPPORTUNITIES FUND INSTL	A	Dividend	J	T					
27. NATIXIS: GATEWAY FUND CL Y	A	Dividend	J	T					
28. VOYA: FLOATING RATE FUND CLASS I	A	Dividend	J	T					
29. CASH ACCOUNT - Merrill Lynch	A	Interest	J	T					
30. ADVISORY RESEARCH: MLP & ENERGY INCOME FUND CL I	A	Dividend	J	T					
31. JAMES ALPHA: GLBL REAL EST INVEST FUND CLASS I	A	Dividend	J	T					
32. JOHN HANCOCK: STRATEGIC INCOME OPP FUND CL I	A	Dividend	J	T					
33. COHEN & STEERS: LOW DUR PREFERRED & INC FD CL I	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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34. MFS: INTERNATIONAL DIVERSIFICATION FUND CL I	A	Dividend	J	T					
35. PUTNAM: CAPITAL SPECTRUM FUND CL Y		None			Sold	08/29/18	J		
36. AQR: LONG SHORT EQUITY FUND CL I		None			Sold	12/11/18	J		
37. WESTERN ASSET: CORE PLUS BOND FD CL I	A	Dividend	J	T					
38. CALAMOS MARKET NEUTRAL	A	Dividend	J	T	Buy	12/11/18	J		
39. Single Name Assets (H)									
40. CALIFORNIA ST GO BDS - OCT12 05.000%SEP01 2021	A	Interest	K	T	Buy	05/16/18	K		
41. CALIFORNIA ST GO VARIOUS PURPOSE BDS 2017 SEP17 04.000%NOV01 2019	A	Interest	J	T					
42. CALIFORNIA ST VAR PURP GO REF BDS NOV12 05.000%SEP01 2024	A	Interest	J	T					
43. CALIFORNIA ST VAR PURP GO AND GO REF BDS SEP11 05.250%SEP01 2023	A	Interest	J	T	Buy	01/24/18	J		
44. ETIWANDA SD CA PUB FIN AT REV REV REF AGC ETM JAN08 04.000%SEP15 2018	A	Interest			Redeemed	09/17/18	J		
45. LOS ANGELES CALIF GO BDS 2000 SER A PRF21 JUL11 05.000%SEP01 2026	A	Interest	J	T					
46. U.S. TREASURY NOTE 1.250% MAR 31 2019 01.250% MAR 31 2019	A	Interest	J	T	Buy	07/25/18	J		
47. UNIVERSITY CALIF REVS REV BDS SER U JUL10 05.000%MAY15 2021	A	Interest	J	T					
48. MERRILL LYNCH CASH EQUIVALENT ACCOUNT	A	Interest	K	T					
49. ANGEL OAK: MULTI STRATEGY INCOME FUND CL INSTL	A	Dividend	J	T					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
50. ABERDEEN: ULTRASHORT MUNI INCOME FUND CL INSTL	A	Dividend	J	T					
51. BLACKSTONE: ALT MULTI STRATEGY FUND CLASS I	A	Dividend	J	T					
52. CALAMOS: MARKET NEUTRAL INCOME FD CL I	A	Dividend	J	T	Buy (add'l)	12/11/18	J		
53. ASHMORE: EMERGING MARKETS TOTAL RETURN FD INSTL CL	A	Dividend			Buy	04/03/18	J		
54. ASHMORE: EMERGING MARKETS TOTAL RETURN FD INSTL CL					Sold	08/30/18	J		
55. FIRST PACIFIC ADVISORS: FPA CRESCENT FUND INSTL CL	A	Dividend	J	T					
56. GUGGENHEIM: MACRO OPPORTUNITIES FUND INSTL	A	Dividend	J	T					
57. GOLDMAN SACHS: DYNAMIC MUNI INCOME FUND INST CL	A	Dividend	J	T					
58. NATIXIS: GATEWAY FUND CL Y	A	Dividend	J	T					
59. COHEN & STEERS: LOW DUR PREFERRED & INC FD CL I	A	Dividend	J	T					
60. LOOMIS SAYLES: SR FLOAT RTE & FIXD INC FD CL Y	A	Dividend	J	T					
61. AMERICAN FUNDS: AMERICAN LTD TRM TAX EX BD OF FD AMER FUND CL F2	A	Dividend	J	T					
62. BLACKROCK: CALIF MUNI OPPORTUNITIES FD CL INST	A	Dividend	J	T					
63. NUVEEN: SHORT DURATION HI YLD MUNI BD FD CLASS I	A	Dividend	J	T					
64. AQR: LONG SHORT EQUITY FUND CL I	A	Dividend			Sold	12/11/18	J		
65. JP MORGAN: TAX AWARE REAL RETURN FUND CL I	A	Dividend	J	T					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
66. T. ROWE PRICE: EMERING MARKETS BOND	A	Dividend			Sold	04/03/18	J		
67. FIDELITY ADV EMERGING MARKETS IN FD CL I	A	Dividend			Buy	08/30/18	J		
68. FIDELITY ADV EMERGING MARKETS IN FD CL I					Sold	12/11/18	J		
69. FIDELITY ADV EMERGING MARKETS IN FD CL I	A	Dividend	J	T	Buy	12/11/18	J		
70.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Maria A. Audero**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544