

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Wehrman, J. Gregory	2. Court or Organization US District Court, WDNY	3. Date of Report 5/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge, full-time (recall)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 118 West Lakeside Avenue Lakeside Park, KY 41017		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Kentucky Retirement Systems	\$2,675.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Huntington Banchsares, common stock	B	Dividend	M	T					
2. Fifth Third Bank Account	A	Interest	J	T					
3. Fifth Third Bank Account	A	Interest	J	T					
4. Caldwell Securities/Wedbush Morgan IRA									
5. - Wedbush Inc.	A	Int./Div.	L	T					
6. - Monarch Cement Company	A	Int./Div.	K	T	Buy	03/18/19	K		
7. -Kayne Anderson MLP Midstream Investment	A	Int./Div.	K	T	Buy	09/04/19	K		
8. - Barings Corp Investors (formerly Babson Capital 9-12	D	Int./Div.	L	T					
9. - Capital Southwest Corp	B	Int./Div.	L	T					
10. - CSW Industrials	A	Int./Div.	M	T					
11. -Bank of Utica	B	Int./Div.	K	T					
12. - Leadis Tech Inc	A	Int./Div.	J	T					
13. -Sadlier William H Inc.	A	Int./Div.	K	T	Buy	07/09/19	J		
14. -First Opportunity Fund	A	Int./Div.	J	T					
15. - Boulder Growth & Income	A	Int./Div.	J	T					
16. - Nicholas Financial Inc.	A	Int./Div.	J	T					
17. - HMG Courtland	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Meritage Hosp.	A	Int./Div.	K	T					
19. - Anchor Bancorp	A	Int./Div.	K	T					
20. - Regency Affialities	A	Int./Div.	J	T					
21. - Siem	A	Int./Div.	J	T					
22. - Cuisine Solutions	A	Int./Div.	J	T	Buy	04/03/19	K		
23. - Cim Commerical Trust	A	Int./Div.	J	T					
24. -Natural Alternatives Intl	A	Int./Div.	J	T					
25. -IEH Corp	A	Int./Div.	J	T					
26. Summit Hills CC, Edgewood, KY - membership stock		None	J	U					
27. Ky Federal Savings & Loan	A	Interest	J	T					
28. Ky Retirement Systems-retirement	C	Interest	J	T					
29. Heritage Bank - common stock	A	Dividend	J	T					
30. Perry Park, Ky-house/lot int cnty ass'd 90,500 1/98 100%		None	K	S					
31. Fidelity Investment IRA									
32. - Columbia Cash Reserves	A	Dividend	K	T					
33. - Procter & Gamble Co.	A	Dividend	K	T					
34. Fidelity Investment IRA									

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. -Abbott Labs, common stock	C	Dividend	M	T					
36. -Hospira, Inc., common stock	A	Dividend	J	T					
37. -Motorola, common stock	A	Dividend	K	T					
38. -Casadian Therapeutics (formerly Oncothyreon, Inc.)	A	Dividend			Sold	03/13/18	J	A	
39. -Pfizer, Inc., common stock	D	Dividend	M	T					
40. - Monsanto Co., common stock	B	Dividend	L	T					
41. - Huntington Bancshares, common stock	A	Dividend	L	T					
42. - Fifth Third Bancorp, common stock	B	Dividend	L	T					
43. - US Bancorp, common stock	C	Dividend	M	T					
44. - Piper Jaffey Co., common stock	A	Dividend	J	T					
45. - P&G common stock	D	Dividend	M	T					
46. - Cash Reserves	A	Dividend	J	T					
47. -Abbvie Inc., common stock	D	Dividend	M	T					
48. Fifth Third Bank, common stock	A	Dividend	J	T					
49. Perry Park lot assessed 100%-\$18,000		None	K	S					
50. CBA Pharma, Inc., common stock		None	J	T					
51. Allstate Insurance, common stock	B	Dividend	L	T					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. US Bancorp, common stock	C	Dividend	N	T					
53. Piper Jaffey Co., common stock	A	Dividend	J	T					
54. Conestoga Energy Partners									
55. - Fifth Third Bank	B	Dividend	L	T					
56. - US Bancorp	C	Dividend	N	T					
57. - Huntington Bancshares	B	Dividend	L	T					
58. - First Energy	A	Dividend	J	T					
59.									
60.									
61.									
62.									
63.									
64.									
65.									
66.									
67.									
68.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ J. Gregory Wehrman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544