

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McAuliffe, Barbara A.	2. Court or Organization Eastern District of California, Fresno Division	3. Date of Report 07/06/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Magistrate Judge Barbara A. McAuliffe Robert E. Coyle U.S. Courthouse 2500 Tulare St. Fresno, CA 93721		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	ABA	1/24/19-1/26/19	Las Vegas	Bar association meeting	airfare, food, and lodging (partial reimbursement)
2.	ABA	8/9/19-8/10/19	San Francisco	Bar association meeting	airfare, food, and lodging (partial reimbursement)
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.		earrings	\$750.00
2.		cruise	\$1,500.00
3.		trip Hawaii	\$2,000.00
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Premier Valley Bank	A	Interest	J	T					
2. Charles Schwab (401K)									
3. --Schwab US Tres. money fund	B	Interest	J	T					
4. --Bank of America	A	Int./Div.	K	T					
5. --Am Fund cap world growth	A	Dividend	K	T					
6.									
7.									
8. AT&T	A	Dividend	J	T					
9.									
10.									
11.									
12.									
13. Chevron	A	Dividend	J	T					
14.									
15.									
16.									
17.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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18. Johnson & Johnson	A	Interest			Sold	09/03/19	J	C	
19.									
20.									
21.									
22.									
23.									
24.									
25.									
26.									
27.									
28. Pfizer Inc	A	Dividend	J	T					
29. Southern Company	A	Dividend	J	T					
30. Weingarten Rlty Invs SBI	A	Int./Div.	J	T					
31. Wells Fargo & Co	A	Dividend	J	T					
32.									
33.									
34.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35.									
36.									
37.									
38.									
39.									
40.									
41.									
42.									
43. Vanguard Balanced Index Fund	A	Dividend	K	T					
44. Vanguard Total Stock Market Admiral Fund	A	Dividend	L	T					
45. Vanguard 500 Index Fund Admiral	A	Dividend	K	T					
46.									
47. VanguardMid-Cap Index Fund	A	Dividend	J	T					
48. Vanguard LifeStrategy Conserv. Grwth	A	Dividend	J	T	Buy (add'l)	01/04/19	J		
49.									
50. Vanguard Explorer	A	Dividend	J	T					
51. Vanguard Cal Intermediate Tx Exempt	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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52. Vanguard Wellesley Income	A	Dividend	J	T					
53. Alcoa Inc	A	Int./Div.	J	T					
54. Applied Materials Inc	A	Dividend	J	T					
55.									
56. Costco wholesale Inc	A	Dividend	J	T					
57.									
58. EOG Resources Inc	A	Dividend	J	T					
59. Exxon Mobil Corp	A	Dividend	J	T					
60. Gildan Activewear Inc	A	Dividend	J	T					
61. Medtronic PLC	A	Dividend	J	T					
62. Merck &Co	A	Dividend	J	T					
63.									
64.									
65. Stryker Corp	A	Dividend	J	T					
66.									
67. Arconic	A	Dividend	J	T					
68. Dell Technologies	A	Dividend	J	T					

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69.									
70. Schwab Intermediate term bond fund (Schwab 401K)	A	Int./Div.			Sold	02/27/19	J	A	
71. Schwab Fundamental US Large index (Schwab 401K)	A	Int./Div.	J	T					
72. Schwab Fundament US Small index (Schwab 401K)	A	Int./Div.	J	T					
73. Schwab Total Bond fund	A	Interest	J	T					
74. Schwab Fundamental US large index	A	Int./Div.	J	T					
75. WEC Energy Group	A	Dividend	J	T					
76. Schwab "Cash, Money Market and Deposit Account"	A	Int./Div.	J	T					
77. Vanguard Windsor II fund Investor	A	Dividend	J	T	Buy (add'l)	01/04/19	J		
78. Schwab US Aggregate Bond Index	A	Dividend			Sold	02/27/19	J	A	
79. LMGEX (Schwab QS Int'l Eqty Fund)	A	Int./Div.	J	T					
80. Schwab 1000 Index Fund	A	Dividend	J	T	Buy	03/11/19	J		
81. Schwab S&P500 Index Fund (401K)	A	Dividend	J	T	Buy	08/19/19	J		
82. Schwab MarkettrackBalanceFund	A	Dividend	J	T	Buy	09/03/19	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 48 - Vanguard Life Strategy - each month is an additional buy, but there was no way to show monthly

Line 77 - Vanguard Windsor - each month is an additional buy, but there was no way to show monthly

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara A. McAuliffe**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544