

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cavender, Jeffery W.	2. Court or Organization United States Bankruptcy Court Northern District of Georgia	3. Date of Report 05/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Richard B. Russell Federal Building and United States Courthouse 75 Ted Turner Drive, SW Suite 1270 Atlanta, GA 30303-3345		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	University of Georgia Board of Visitors
2. Chairperson, New Members Committee	National Conference of Bankruptcy Judges
3. Co-Chair, Membership Services Committee	National Conference of Bankruptcy Judges
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2011	Troutman Sanders LLP Cash or Deferred Profit Sharing Plan
2.	
3.	

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Cavender, Jeffery W.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Taylor, Bean & Whitaker Plan Trust	\$848.42
2. 2019	Tax Refund, State of New York	\$431.00
3. 2019	Tax Refund, State of California	\$333.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	MS Realty, LLC (wages)
2. 2019	Math EH, LLC (wages)
3. 2019	Mathnasium of North Alpharetta (wages)
4. 2019	Math AC, LLC (wages)

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	September 19-20, 2019	Washington, D.C.	Attendance as speaker for ABI Views from the Bench Conference	Airline travel, hotel, parking, taxi/Uber, meals, mileage and seminar registration
2. National Conference of Bankruptcy Judges	October 29-November 1, 2019	Washington, D.C.	Attendance at national conference	Hotel
3. State Bar of Georgia	December 12-13, 2019	Lake Oconee, GA	Attendance as speaker at annual bankruptcy seminar	Hotel, meals and seminar registration
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Bank Cash Accounts	C	Interest	M	T					
2. Wells Fargo Bank Cash Accounts	A	Interest	L	T					
3. IRA #1 (H)									
4. - American Funds AMCAP Fund-A	C	Dividend	L	T					
5. - American Funds AmericanBalanced Fund-A	B	Dividend	L	T					
6. - American Funds Capital Income Builder - A	C	Dividend	L	T					
7. - American Funds Capital World Growth and Income Fund-A	B	Dividend	L	T					
8. - American Funds Fundamental Investors-A	E	Dividend	N	T					
9. - American Funds The Growth Fund of America-A	D	Dividend	M	T					
10. - American Funds New Perspective Fund-A	C	Dividend	M	T					
11. - American Funds New World Fund-A	C	Dividend	M	T					
12. - American Funds SMALLCAP World Fund-A	C	Dividend	L	T					
13. - American Funds Washington Mutual Investors Fund-A	C	Dividend	L	T					
14. IRA #2 (H)									
15. - American Funds Capital Income Builders	A	Dividend	J	T					
16. - American Funds Capital World Growth and Income Fund	A	Dividend	J	T					
17. - American Funds Fundamental Investors	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18. - American Funds The Growth Fund of America	A	Dividend	J	T					
19. - American Funds New Perspective Fund	A	Dividend	J	T					
20. - American Funds SMALLCAP World A	A	Dividend	J	T					
21. ROTH IRA #1 (H)									
22. - Fidelity Government Cash Reserves	A	Dividend	J	T					
23. - Intel Corp. Common Stock	A	Dividend	J	T					
24. - Fidelity Blue Chip Growth	A	Dividend	J	T					
25. ROTH IRA #2 (H)									
26. - American Funds Capital World Growth & Income Fund A Shares	A	Dividend	K	T					
27. The CocaCola Company Common Stock	A	Dividend	J	T					
28. Principal Financial Common Stock	A	Dividend	J	T					
29. Troutman Sanders LLP Cash and Deferred Profit Sharing Plan (H)									
30. - Schwab Managed Retirement Target Fund 2035 IV	E	Dividend	O	T					
31. Guardian Whole Life Insurance Policy	B	Dividend	M	T					
32. MassMutual Whole Life Insurance Policy (see note in Part VIII)	B	Dividend	K	T					
33. Webster Bank Health Savings Account	A	Interest	K	T					
34.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.									
36.									
37.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III-A. Non-Investment Income.

Non-investment income from the Taylor, Bean & Whitaker Plan Trust represents distributions on a claim filed relative to severance benefits owed by a former employer who filed for relief under Chapter 11 of the Bankruptcy Code.

Part VII. Investments and Trusts.

The Mass Mutual Wholelife Insurance Policy listed on line 32 of Part VII of this report was incorrectly listed as a Metlife Wholelife Insurance Policy in the 2019 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jeffery W. Cavender**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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